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► To cite this version:

Giovanni Bellettini, Antonin Chambolle, Michael Goldman. The Γ -limit for singularly perturbed functionals of Perona-Malik type in arbitrary dimension. Mathematical Models and Methods in Applied Sciences, 2014. hal-00779609

HAL Id: hal-00779609

<https://hal.science/hal-00779609>

Submitted on 22 Jan 2013

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The Γ -limit for singularly perturbed functionals of Perona-Malik type in arbitrary dimension

Giovanni Bellettini ^{*} Antonin Chambolle [†] Michael Goldman [‡]

Abstract

In this paper we generalize to arbitrary dimensions a one-dimensional equicoerciveness and Γ -convergence result for a second derivative perturbation of Perona-Malik type functionals. Our proof relies on a new density result in the space of special functions of bounded variation with vanishing diffuse gradient part. This provides a direction of investigation to derive approximation for functionals with discontinuities penalized with a “cohesive” energy, that is, whose cost depends on the actual opening of the discontinuity.

1 Introduction

We investigate in this paper a singular perturbation problem whose limit is defined on piecewise constant functions, and corresponds essentially to a subadditive penalization of the discontinuity.

More precisely, we consider for Ω a bounded open subset of \mathbb{R}^n with Lipschitz boundary, and $\nu \in (0, 1]$, the functional $F_\nu : L^2(\Omega) \rightarrow [0, +\infty]$ defined by

$$F_\nu(u) := \begin{cases} \frac{1}{2} \int_{\Omega} \left[\nu^3 |\nabla^2 u|^2 + \frac{1}{\nu \phi(1/\nu)} \phi(|\nabla u|) \right] dx & \text{if } u \in H^2(\Omega), \\ +\infty & \text{elsewhere in } L^2(\Omega). \end{cases} \quad (1.1)$$

Here $\nabla^2 u$ is the hessian of u and, for a symmetric real $(n \times n)$ -matrix M , we set $|M| := \max\{\langle M\xi, \xi \rangle : \xi \in \mathbb{R}^n, |\xi| \leq 1\}$. Moreover the function $\phi : \mathbb{R} \rightarrow [0, +\infty)$ is continuous, even, nondecreasing, typically nonconvex and nonconcave with $\phi^{-1}(0) = \{0\}$, and has sublinear growth at infinity, in the sense that

$$\exists a \in [0, 1) \text{ such that } \lim_{p \rightarrow +\infty} \frac{\phi(\lambda p)}{\phi(p)} = \lambda^a, \quad \lambda > 0. \quad (1.2)$$

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In this paper we prove that the sequence (F_ν) is equicoercive and Γ -converges [25, 16] as $\nu \rightarrow 0^+$ to the functional $\mathcal{F} : L^2(\Omega) \rightarrow [0, +\infty]$ defined as follows:

$$\mathcal{F}(u) := \begin{cases} \sigma_a \int_{J_u} |u^+ - u^-|^{\frac{2+a}{4-a}} d\mathcal{H}^{n-1} & \text{if } u \in X(\Omega) \cap L^2(\Omega), \\ +\infty & \text{elsewhere in } L^2(\Omega), \end{cases} \quad (1.3)$$

where $X(\Omega)$ consists of special functions of bounded variation in Ω having gradient with no absolutely continuous part (see Section 2) and $\sigma_a > 0$ is a constant depending only on a . Our results generalize an equicoerciveness and Γ -convergence result obtained in [9] in the one-dimensional case.

The interest in these results are twofold. A first important application of such variational problems is in the numerical analysis of fracture mechanics, and in particular the variational approach to fracture growth popularized in the 90's by G. Francfort and J. J. Marigo, built upon the Mumford-Shah functional of image processing [31, 40]. The original model allows to approach the so-called ‘‘Griffith’’ model, where the cost for opening a crack is proportional to its length or area surface. Its success for predicting realistic fractures is impressive [14], however its physical relevance is still a matter of discussion. More physical models (known as ‘‘cohesive’’ and initially introduced by Barenblatt), consider that for a fracture with a small opening, the cost should rather be proportional (through some non-linear correspondence) to the size of the discontinuity. Mathematically, the study of such models is more tricky. Also, finding reasonable approximations of such energies is a difficult problem (still only partially solved, if one really wants to consider linearized or non-linear elasticity energies). Some approaches need to consider a small non-cohesive term [7, 26]. A more physical term (with slope one when the opening goes to zero) is obtained in [3], which can approach quite general cohesive energies in the scalar setting (and is, to our knowledge, the best and most useful result in this direction so far). It is built as a variant of Ambrosio and Tortorelli's approximation of the Mumford-Shah functional [8]. The variant in [36], built upon finite-differences approximations, lies in between the previous results since it allows to approach a partially cohesive term with an infinite slope for infinitesimal openings.

This latter result is close to the model that we present here, which is however built upon different ideas. Instead of introducing a phase-field [3] or considering finite differences, we penalize the variations of the gradient by a higher order term. This is similar to the two-wells problem which has been studied in the celebrated paper [21] of Conti, Fonseca, Leoni. Our energy could be considered as a special case, where one well is at 0 and the other at infinity, just as [13, 41] are to the standard Modica-Mortola energy¹.

The second motivation is the relation of our result with the long-time behaviour of solutions to the Perona-Malik equation [42], obtained as the formal L^2 -gradient flow of the functional

$$\text{PM}(u) := \frac{1}{2} \int_{\Omega} \log(1 + |\nabla u|^2) dx,$$

and corresponding to the choice

$$\phi(x) = \log(1 + |x|^2), \quad x \in \mathbb{R}^n, \quad (1.4)$$

¹See also [1, 30, 22, 15] for related problems.

and $a = 0$ in (1.2). The Perona-Malik equation therefore reads as

$$u_t = \operatorname{div} \left(\frac{\nabla u}{1 + |\nabla u|^2} \right), \quad (1.5)$$

and it is ill-posed², due to the nonconvexity of ϕ . In order to overcome the backward parabolic character of (1.4), various regularizations have been suggested in the literature (see [9] and references therein); in particular [27] one can consider, for $\varepsilon \in (0, 1]$, the functionals

$$\operatorname{PM}_\varepsilon(u) := \frac{1}{2} \int_{\Omega} \varepsilon^2 |\nabla^2 u|^2 + \log(1 + |\nabla u|^2) \, dx,$$

and take the corresponding gradient flow equations, which amounts to add to the equation (1.5) a fourth order term multiplied by ε^2 ; see also [44]. On the basis of the numerical experiments [9] performed when $n = 1$, one observes various distinct time scales for the regularized equation; in particular, in a slow time scale, the regularized solutions seem to converge to a piecewise constant function with the plateaus suitably evolving in the vertical direction. The functionals F_ν are related to this slow time scale³ setting $\varepsilon^2 := \nu^4 \log(1 + \frac{1}{\nu^2})$ and taking $\frac{\operatorname{PM}_\varepsilon}{\nu \log(1 + \frac{1}{\nu^2})}$. Therefore, one expects that the asymptotic limit as $\nu \rightarrow 0^+$ of the gradient flow of F_ν should shed some light on the behaviour of solutions to (1.5) for large times. This problem has been addressed in [9] when $n = 1$. The n -dimensional case seems much more difficult, and requires a preliminar study of the asymptotic limit of F_ν and of its variational properties, and this is the content of the present paper. The most original part of this paper concerns the proof of the Γ -limsup inequality (Theorem 5.5), which relies on a new density result of simple plateaus functions in the space of special functions with bounded variation with vanishing diffuse gradient part, see Lemma 4.1. Differently with respect to the density theorem in [24], our result is valid without assumptions on the measure of the jump set of the limit functions. This allows us to get a full Γ -convergence result, differently from other related works [4, 39], see Remark 5.6.

The plan of the paper is the following. In Section 2 we introduce the notation and recall some definitions about *SBV* functions and the slicing method. In Section 3 we remind the results of [9] regarding the one-dimensional problem. In Section 4 we state and prove our density result and eventually in Section 5 we prove the equicoerciveness and Γ -convergence theorems.

2 Notation

In what follows $n \geq 1$, $\Omega \subset \mathbb{R}^n$ is a bounded open Lipschitz set, and $\mathcal{A}(\Omega)$ is the class of all open subsets of Ω . We denote by (e_1, \dots, e_n) a fixed orthonormal basis of \mathbb{R}^n , by $|\cdot|$ (respectively $\langle \cdot, \cdot \rangle$) the euclidean norm (respectively the euclidean scalar product) in \mathbb{R}^n . We denote by \mathcal{H}^{n-1} and by dx , the $(n-1)$ -dimensional Hausdorff measure and the Lebesgue measure in \mathbb{R}^n . The open ball of radius $\rho > 0$ centered at $x \in \Omega$ is denoted by $B_\rho(x)$. Throughout the paper, with a small abuse of language, we call sequence a family (u_ν) of functions labelled by a continuous parameter $\nu \in (0, 1]$. A subsequence of (u_ν) is any sequence (u_{ν_k}) such that $\nu_k \rightarrow 0$ as $k \rightarrow +\infty$.

²See for instance [38, 37, 33, 35, 11, 10, 12, 28].

³We refer also to [20] for the study of the slow time behaviour in a related semi-discrete approximation.

2.1 $BV(\Omega)$ and $SBV(\Omega)$ functions

$BV(\Omega)$ is the space of functions $u \in L^1(\Omega)$ having as distributional derivative Du a measure with finite total variation. For $u \in BV(\Omega)$, we denote by S_u the complement of the Lebesgue set of u . That is, $x \notin S_u$ if and only if $\lim_{\rho \rightarrow 0^+} \int_{B_\rho(x)} |u(y) - z| dy = 0$ for some $z \in \mathbb{R}$. We say that x is an approximate jump point of u if there exist $\xi \in \mathbb{S}^{n-1}$ and distinct $a, b \in \mathbb{R}$ such that

$$\lim_{\rho \rightarrow 0} \frac{1}{|B_\rho^+(x, \xi)|} \int_{B_\rho^+(x, \xi)} |u(y) - a| dy = 0 \quad \text{and} \quad \lim_{\rho \rightarrow 0} \frac{1}{|B_\rho^-(x, \xi)|} \int_{B_\rho^-(x, \xi)} |u(y) - b| dy = 0,$$

where $B_\rho^\pm(x, \xi) := \{y \in B_\rho(x) : \pm \langle y - x, \xi \rangle > 0\}$. Up to a permutation of a and b and a change of sign of ξ , this characterizes the triplet (a, b, ξ) which is then denoted by (u^+, u^-, ν_u) . The set of approximated jump points is denoted by J_u . The following theorem holds [6].

Theorem 2.1. *The set S_u is countably \mathcal{H}^{n-1} -rectifiable and $\mathcal{H}^{n-1}(S_u \setminus J_u) = 0$. Moreover $Du \llcorner J_u = (u^+ - u^-) \nu_u \mathcal{H}^{n-1} \llcorner J_u$.*

We indicate by $Du = \nabla u dx + D^s u$ the Radon-Nikodym decomposition of Du . Setting $D^c u := D^s u \llcorner (\Omega \setminus S_u)$ we get the decomposition

$$Du = \nabla u dx + (u^+ - u^-) \nu_u \mathcal{H}^{n-1} \llcorner J_u + D^c u,$$

where \llcorner denotes the restriction. When $n = 1$ we use the symbol u' in place of ∇u , and $u(x^\pm)$ to indicate the right and left limits at x . We let

$$\begin{aligned} SBV(\Omega) &:= \{u \in BV(\Omega) : D^c u = 0\}, \\ GSBV(\Omega) &:= \{u \in L^1(\Omega) : \max(-T, \min(T, u)) \in SBV(\Omega) \quad \forall T \in \mathbb{R}\}, \end{aligned}$$

and

$$X(\Omega) := \{u \in SBV(\Omega) : \nabla u = 0\}.$$

2.2 Slicing

In this section we recall the slicing method for functions with bounded variation. Let $\xi \in \mathbb{S}^{n-1}$ and let

$$\Pi_\xi := \{y \in \mathbb{R}^n : \langle y, \xi \rangle = 0\}.$$

If $y \in \Pi_\xi$ and $E \subset \mathbb{R}^n$, we define the one-dimensional slice

$$E_{\xi y} := \{t \in \mathbb{R} : y + t\xi \in E\}.$$

For $u : \Omega \rightarrow \mathbb{R}$, we define $u_{\xi y} : \Omega_{\xi y} \rightarrow \mathbb{R}$ as

$$u_{\xi y}(t) := u(y + t\xi), \quad t \in \Omega_{\xi y}. \tag{2.1}$$

Functions in $GSBV(\Omega)$ can be characterized by one-dimensional slices (see [15, Thm. 4.1]).

Theorem 2.2. *Let $u \in GSBV(\Omega)$. Then for all $\xi \in \mathbb{S}^{n-1}$ we have*

$$u_{\xi y} \in GSBV(\Omega_{\xi y}) \quad \text{for } \mathcal{H}^{n-1} - \text{a.e. } y \in \Pi_\xi.$$

Moreover for such y , we have

$$u'_{\xi y}(t) = \langle \nabla u(y + t\xi), \xi \rangle \quad \text{for a.e. } t \in \Omega_{\xi y}, \quad (2.2)$$

$$J_{u_{\xi y}} = \{t \in \mathbb{R} : y + t\xi \in J_u\}, \quad (2.3)$$

and

$$u_{\xi y}(t^\pm) = u^\pm(y + t\xi) \quad \text{or} \quad u_{\xi y}(t^\pm) = u^\mp(y + t\xi), \quad (2.4)$$

according to whether $\langle \nu_u, \xi \rangle > 0$ or $\langle \nu_u, \xi \rangle < 0$. Finally, for every Borel function $g : \Omega \rightarrow \mathbb{R}$,

$$\int_{\Pi_\xi} \sum_{t \in J_{u_{\xi y}}} g_{\xi y}(t) \, d\mathcal{H}^{n-1}(y) = \int_{J_u} g |\langle \nu_u, \xi \rangle| \, d\mathcal{H}^{n-1}. \quad (2.5)$$

Conversely if $u \in L^1(\Omega)$ and if for all $\xi \in \{e_1, \dots, e_n\}$ and almost every $y \in \Pi_\xi$ we have $u_{\xi y} \in SBV(\Omega_{\xi y})$ and

$$\int_{\Pi_\xi} |Du_{\xi y}|(\Omega_{\xi y}) \, d\mathcal{H}^{n-1}(y) < +\infty,$$

then $u \in SBV(\Omega)$.

3 The one-dimensional case

In this section we briefly record the main results of [9], obtained in dimension $n = 1$, that will be necessary in order to analyze the problem in arbitrary dimension. For $I \subset \mathbb{R}$ a bounded open interval we consider the functional

$$F_\nu(u, I) := \begin{cases} \frac{1}{2} \int_I \left[\nu^3 (u'')^2 + \frac{1}{\nu \phi(1/\nu)} \phi(u') \right] dx & \text{if } u \in H^2(I), \\ +\infty & \text{if } u \in L^1(I) \setminus H^2(I) \end{cases}$$

and

$$\mathcal{F}(u, I) := \begin{cases} \sigma_a \sum_{x \in J_u} |u(x^+) - u(x^-)|^{\frac{2+a}{4-a}} & \text{if } u \in X(I), \\ +\infty & \text{if } u \in L^1(I) \setminus X(I), \end{cases}$$

where $X(I)$ is defined, accordingly to the n -dimensional case, as $X(I) := \{u \in SBV(I) : u' = 0\}$.

Then the following results hold [9, Lemma 3.2 and Section 4].

Lemma 3.1. *There exist $\bar{\nu} > 0$, a decreasing function $\omega : (0, \bar{\nu}) \rightarrow (0, +\infty)$ with $\lim_{\nu \rightarrow 0^+} \omega(s) = 0$, and a constant $C > 0$ such that for any $u \in H^2(I)$ and $\nu \in (0, \bar{\nu})$,*

$$\int_I |u'| \, dx \leq |I| \omega(\nu) + C F_\nu(u, I). \quad (3.1)$$

Theorem 3.2 (Equicoerciveness). *Let $(u_\nu) \subset H^2(I)$ be a sequence satisfying*

$$\sup_{\nu \in (0,1]} F_\nu(u_\nu, I) < +\infty$$

and such that $\int_I u_\nu dx = 0$ for any $\nu \in (0,1]$. Then there exist a function $u \in X(I)$ and a subsequence of (u_ν) weakly converging to u in $BV(I)$.*

Theorem 3.3 (Γ -convergence). *We have*

$$\Gamma(L^1(I)) - \lim_{\nu \rightarrow 0^+} F_\nu(\cdot, I) = \mathcal{F}(\cdot, I),$$

and the same result holds true for the $\Gamma(L^2(I))$ -limit.

We also remind the construction of the recovery sequence in the proof of the $\Gamma - \limsup$ inequality in Theorem 3.3. For any $\eta > 0$ and $s \geq 0$, let

$$Y_\eta(s) := \{ \psi \in H^2((0, \eta)) : \psi(0) = 0, \psi(\eta) = s, \psi'(0) = \psi'(\eta) = 0 \}.$$

Define

$$e_a(s) := \frac{1}{2} \inf \left\{ \int_{(0, \eta)} \left[(\psi'')^2 + |\psi'|^a \right] dx : \eta > 0, \psi \in Y_\eta(s) \right\}.$$

Then it turns out that

$$e_a(s) = \sigma_a s^{\frac{2+a}{4-a}},$$

for a suitable constant $\sigma_a > 0$.

Fix now $b > 0$ and $s > 0$. Let $(\eta, \psi) \in (0, +\infty) \times Y_\eta(s)$ be such that

$$\int_0^\eta \left[(\psi'')^2 + |\psi'|^a \right] dx \leq \sigma_a s^{\frac{2+a}{4-a}} + b.$$

For a function of $X(I)$ of the form $u = s \mathbf{1}_{[0, +\infty)}$, a recovery sequence $(u_\nu) \subset H^2(I)$ is given by

$$u_\nu(x) := \begin{cases} 0 & x < 0, \\ \psi(\frac{x}{\nu}) & x \in [0, \eta\nu], \\ s & x > \eta\nu, \end{cases}$$

in the sense that for every $\delta > 0$ we have that (u_ν) tends to u in $L^1((-\delta, \delta))$ and

$$\limsup_{\nu \rightarrow 0^+} F_\nu(u_\nu, (-\delta, \delta)) = \sigma_a s^{\frac{2+a}{4-a}} + b = \mathcal{F}(u, (-\delta, \delta)) + b,$$

so that in particular $\lim_{b \rightarrow 0^+} \limsup_{\nu \rightarrow 0^+} F_\nu(u_\nu, (-\delta, \delta)) = \mathcal{F}(u, (-\delta, \delta))$. A similar construction can be made for $s < 0$.

4 The n -dimensional case: a density result

Let $\theta : \mathbb{R} \rightarrow \mathbb{R}^+$ be an even lower semicontinuous function, subadditive and nondecreasing on $(0, +\infty)$, and continuous at $t = 0$, such that $\theta(0) = 0$, $\lim_{t \rightarrow 0} \frac{\theta(t)}{t} = +\infty$. Let us notice that the functions $s \rightarrow |s|^{\frac{2+a}{4-a}}$ satisfy these properties.

Define

$$\mathcal{P}(\Omega) := \{u \in GSBV(\Omega) : \nabla u = 0 \text{ in } \Omega\}$$

and for any $A \in \mathcal{A}(\Omega)$ set

$$\mathcal{E}(u, A) := \begin{cases} \int_{J_u \cap A} \theta(u^+ - u^-) d\mathcal{H}^{n-1} & \text{if } u \in \mathcal{P}(A), \\ +\infty & \text{elsewhere in } L^1(A). \end{cases} \quad (4.1)$$

We recall that $\mathcal{E}(\cdot, A)$ is $L^1(A)$ -lower semicontinuous [6, Ex. 5.23].

In this section we want to prove the following result, which is the main technical tool of this paper, and will be used in the proof of Theorem 5.5.

Lemma 4.1 (Density). *Let $u \in \mathcal{P}(\Omega)$ be such that $\mathcal{E}(u, \Omega) < +\infty$. Then there exists a sequence $(u_h) \subset \mathcal{P}(\Omega)$ with the following properties:*

- $\lim_{h \rightarrow 0^+} u_h = u$ in $L^1(\Omega)$;
- $\lim_{h \rightarrow 0^+} \mathcal{E}(u_h, \Omega) = \mathcal{E}(u, \Omega)$;
- J_{u_h} is contained in a finite union of facets of polytopes⁴ for any $h \in \mathbb{N}$. In particular for any $h \in \mathbb{N}$,

$$\mathcal{H}^{n-1}(\Omega \cap \overline{J_{u_h}}) = \mathcal{H}^{n-1}(\Omega \cap J_{u_h}) \quad \text{and} \quad \mathcal{H}^{n-1}(\overline{J_{u_h}}) < +\infty.$$

Proof. Let $\Omega' \supset \supset \Omega$ be an open set and let us denote still by $u \in \mathcal{P}(\Omega')$ an extension of u such that $\mathcal{H}^{n-1}(J_u \cap \partial\Omega) = 0$ (see [32]). We also fix a representative of u defined everywhere. We will need an auxiliary functional \mathcal{E}_0 defined as follows: for any open set $A \subseteq \Omega'$

$$\mathcal{E}_0(v, A) := \begin{cases} \int_{J_v \cap A} \|\nu_v\|_1 \theta(v^+ - v^-) d\mathcal{H}^{n-1} & \text{if } v \in \mathcal{P}(A), \\ +\infty & \text{elsewhere in } L^1(A), \end{cases} \quad (4.2)$$

where, for $\xi = (\xi_1, \dots, \xi_n) \in \mathbb{R}^n$, we let

$$\|\xi\|_1 := |\xi_1| + \dots + |\xi_n|.$$

Again by [6, Ex. 5.23], the functional $\mathcal{E}_0(\cdot, A)$ is $L^1(A)$ -lower semicontinuous.

⁴A polytope is a set whose boundary consists of a finite number of pieces of hyperplanes.

Observe that $\nu \in \mathbb{S}^{n-1}$ implies $\|\nu\|_1 \geq 1$, with equality only if ν coincides with one of the vectors of the orthonormal basis (e_1, \dots, e_n) of \mathbb{R}^n . In particular

$$\mathcal{E}(u, \Omega) \leq \mathcal{E}_0(u, \Omega).$$

From our assumptions on θ , we have that $\mathcal{E}(u, \Omega)$ decreases under truncation. By the lower semicontinuity of $\mathcal{E}(u, \Omega)$, this implies that setting $u_T := \max(-T, \min(T, u))$ for any $T > 0$, then $\mathcal{E}(u_T, \Omega)$ converges to $\mathcal{E}(u, \Omega)$ as $T \uparrow +\infty$. Hence, with no loss of generality, we can assume that $u \in L^\infty(\Omega)$.

We divide the proof into five steps. In the first step we construct a suitable discrete approximation u_ε^y of u , defined on points of a lattice. For any $\delta > 0$ set

$$\Omega^\delta := \{x \in \mathbb{R}^n : \text{dist}(x, \Omega) < \delta\}.$$

Step 1. Let $\varepsilon > 0$ be such that $\Omega_\varepsilon := \Omega^{2n^{1/2}\varepsilon} \subset\subset \Omega'$ and, for any $y \in [0, 1]^n$, set

$$u_\varepsilon^y(x) := u(x + \varepsilon y), \quad x \in \varepsilon \mathbb{Z}^n \cap \Omega'.$$

Define the discrete energy D_ε^y of u_ε^y as

$$D_\varepsilon^y := \varepsilon^{n-1} \sum_{i=1}^n \sum_{x, x+\varepsilon e_i \in \Omega_{\varepsilon/2}} \theta(u_\varepsilon^y(x + \varepsilon e_i) - u_\varepsilon^y(x)). \quad (4.3)$$

where $\Omega_{\varepsilon/2} := \Omega^{n^{1/2}\varepsilon}$. We claim that

$$\int_{(0,1)^n} D_\varepsilon^y dy \leq \mathcal{E}_0(u, \Omega_\varepsilon). \quad (4.4)$$

To show the claim, we will follow some arguments in [34], [17] [18] and [19]. Let us first establish an inequality in one dimension: given a bounded open interval $I \subset \mathbb{R}$, $\varepsilon > 0$ sufficiently small and $v \in \mathcal{P}(I)$, we have

$$\varepsilon^{-1} \int_{I \cap (I-\varepsilon)} \theta(v(t+\varepsilon) - v(t)) dt \leq \sum_{t \in J_v} \theta(v^+(t) - v^-(t)). \quad (4.5)$$

Indeed, for almost any $t \in I \cap (I - \varepsilon)$, using the subadditivity of θ it follows

$$\theta(v(t+\varepsilon) - v(t)) \leq \sum_{s \in J_v \cap (t, t+\varepsilon)} \theta(v^+(s) - v^-(s)).$$

Therefore, using Fubini's Theorem,

$$\begin{aligned} \int_{I \cap (I-\varepsilon)} \theta(v(t+\varepsilon) - v(t)) dt &\leq \int_{I \cap (I-\varepsilon)} \sum_{s \in J_v} 1_{(t, t+\varepsilon)}(s) \theta(v^+(s) - v^-(s)) dt \\ &= \sum_{s \in J_v} \theta(v^+(s) - v^-(s)) \int_{I \cap (I-\varepsilon)} 1_{(t, t+\varepsilon)}(s) dt, \end{aligned}$$

which implies (4.5).

We can now prove claim (4.4). Since

$$\int_{(0,1)^n} D_\varepsilon^y dy = \varepsilon^{n-1} \sum_{i=1}^n \sum_{x, x+\varepsilon e_i \in \Omega_{\varepsilon/2}} \int_{(0,1)^n} \theta(u(x+\varepsilon y+\varepsilon e_i)) - u(x+\varepsilon y) dy,$$

making the variable change $x' := \varepsilon y + x$ (for fixed x) gives

$$\begin{aligned} \int_{(0,1)^n} D_\varepsilon^y dy &\leq \varepsilon^{-1} \sum_{i=1}^n \int_{\Omega_{\varepsilon/2}} \theta(u(x' + \varepsilon e_i) - u(x')) dx' \\ &= \varepsilon^{-1} \sum_{i=1}^n \int_{\Pi_{e_i}} \int_{(\Omega_{\varepsilon/2})_{e_i \zeta}} \theta(u(\zeta + (t+\varepsilon)e_i) - u(\zeta + te_i)) dt d\mathcal{H}^{n-1}(\zeta). \end{aligned}$$

If, for any $i = 1, \dots, n$ and \mathcal{H}^{n-1} -almost every $\zeta \in \Pi_{e_i}$ we define as in Section 2.2, the one-dimensional slice $u_{e_i \zeta}$ as $u_{e_i \zeta}(t) := u(\zeta + te_i)$ for almost each $t \in \mathbb{R}$ such that $\zeta + te_i \in \Omega'$, we get,

$$\int_{(0,1)^n} D_\varepsilon^y dy \leq \varepsilon^{-1} \sum_{i=1}^n \int_{\Pi_{e_i}} \int_{(\Omega_{\varepsilon/2})_{e_i \zeta}} \theta(u_{e_i \zeta}(t+\varepsilon) - u_{e_i \zeta}(t)) dt d\mathcal{H}^{n-1}(\zeta).$$

Hence, using (4.5),

$$\int_{(0,1)^n} D_\varepsilon^y dy \leq \sum_{i=1}^n \int_{\Pi_{e_i}} \sum_{s \in J_{u_{e_i \zeta}} \cap (\Omega_\varepsilon)_{e_i \zeta}} \theta(u_{e_i \zeta}^+(s) - u_{e_i \zeta}^-(s)) d\mathcal{H}^{n-1}(\zeta).$$

Therefore, by (2.5),

$$\int_{(0,1)^n} D_\varepsilon^y dy \leq \sum_{i=1}^n \int_{J_u \cap \Omega_\varepsilon} |\langle \nu_u, e_i \rangle| \theta(u^+ - u^-) d\mathcal{H}^{n-1} = \mathcal{E}_0(u, \Omega_\varepsilon),$$

which is claim (4.4). The proof of *Step 1* is concluded.

In the next step we define a suitable piecewise constant interpolation $\overline{u}_\varepsilon^y$ of u_ε^y having the property that $\mathcal{E}(\overline{u}_\varepsilon^y, \Omega)$ and $\mathcal{E}_0(\overline{u}_\varepsilon^y, \Omega)$ coincide.

Step 2. Let ε and y be as in *Step 1*. Define the function $\overline{u}_\varepsilon^y : \Omega \rightarrow \mathbb{R}$ as

$$\overline{u}_\varepsilon^y(z) := \sum_{x \in \varepsilon \mathbb{Z}^n} u_\varepsilon^y(x) 1_{C_\varepsilon^y(x)}(z), \quad z \in \Omega, \quad (4.6)$$

where $C_\varepsilon^y(x)$ denotes the open coordinate hypercube centered at $x + \varepsilon y$ of side ε , i.e.,

$$C_\varepsilon^y(x) := x + \varepsilon y + (-\varepsilon/2, \varepsilon/2)^n.$$

Clearly $\overline{u}_\varepsilon^y \in \mathcal{P}(\Omega)$ and its jump set is contained in the union of the facets of the hypercubes $C_\varepsilon^y(x)$. Let us prove that

$$(i) \lim_{\varepsilon \rightarrow 0} \int_{(0,1)^n} \|\overline{u}_\varepsilon^y - u\|_{L^1(\Omega)} dy = 0;$$

$$(ii) \quad \mathcal{E}(\overline{u}_\varepsilon^y, \Omega) = \mathcal{E}_0(\overline{u}_\varepsilon^y, \Omega) \leq D_\varepsilon^y.$$

To show (i) and (ii), we assume without loss of generality that all functions are extended to 0 outside Ω' . Making the variables change

$$(x, y) \in \varepsilon \mathbb{Z}^n \times [0, 1]^n \mapsto y' := \varepsilon y + x$$

and then

$$y' \in \mathbb{R}^n \rightarrow \xi = z - y' \quad (\text{for fixed } z),$$

we obtain

$$\begin{aligned} \int_{(0,1)^n} \|\overline{u}_\varepsilon^y - u\|_{L^1(\Omega)} dy &= \int_{(0,1)^n} \int_{\mathbb{R}^n} \left| \sum_{x \in \varepsilon \mathbb{Z}^n} u(\varepsilon y + x) 1_{C_\varepsilon^y(x)}(z) - u(z) \right| dz dy \\ &= \int_{(0,1)^n} \int_{\mathbb{R}^n} \left| \sum_{x \in \varepsilon \mathbb{Z}^n} (u(\varepsilon y + x) - u(z)) 1_{C_\varepsilon^y(x)}(z) \right| dz dy \\ &\leq \int_{(0,1)^n} \sum_{x \in \varepsilon \mathbb{Z}^n} \int_{\mathbb{R}^n} |u(\varepsilon y + x) - u(z)| 1_{C_\varepsilon^y(x)}(z) dz dy \\ &= \varepsilon^{-n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} |u(y') - u(z)| 1_{(-\frac{\varepsilon}{2}, \frac{\varepsilon}{2})^n}(z - y') dz dy' \\ &= \varepsilon^{-n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} |u(z - \xi) - u(z)| 1_{(-\frac{\varepsilon}{2}, \frac{\varepsilon}{2})^n}(\xi) dz d\xi \\ &= \varepsilon^{-n} \int_{(-\frac{\varepsilon}{2}, \frac{\varepsilon}{2})^n} \|u(\cdot - \xi) - u(\cdot)\|_{L^1(\mathbb{R}^n)} d\xi \\ &= \int_{(-\frac{1}{2}, \frac{1}{2})^n} \|u(\cdot - \varepsilon \xi) - u(\cdot)\|_{L^1(\mathbb{R}^n)} d\xi, \end{aligned}$$

which tends to zero as $\varepsilon \rightarrow 0$ by the dominated convergence theorem. This proves (i).

The equality in (ii) follows from the fact that

$$\|\nu_{\overline{u}_\varepsilon^y}(x)\|_1 = 1 \quad \text{for } \mathcal{H}^{n-1} - \text{a.e. } x \in J_{\overline{u}_\varepsilon^y},$$

since the jump of $\overline{u}_\varepsilon^y$ is contained in facets of coordinate hypercubes.

The inequality in (ii) follows from the definition of \mathcal{E}_0 (see (4.2)) and definitions (4.3) of D_ε^y and (4.6) of $\overline{u}_\varepsilon^y$.

From (i) we deduce that there exists a set $A \subset (0, 1)^n$ with $|A| = 1$ and a subsequence $(\varepsilon_k) \subset (0, 1)$ converging to zero such that $\overline{u}_{\varepsilon_k}^y \rightarrow u$ in $L^1(\Omega)$ as $k \rightarrow \infty$ for any $y \in A$.

From *Step 1* and Fatou's lemma we have

$$\int_{(0,1)^n} \liminf_{k \rightarrow +\infty} D_{\varepsilon_k}^y dy \leq \mathcal{E}_0(u, \Omega).$$

Hence there exists a point $\overline{y} \in A$ and a further subsequence (still denoted by (ε_k)) such that in addition there exists the $\lim_{k \rightarrow +\infty} D_{\varepsilon_k}^{\overline{y}}$ and

$$\lim_{k \rightarrow +\infty} D_{\varepsilon_k}^{\overline{y}} \leq \mathcal{E}_0(u, \Omega).$$

Summarizing, we have shown that given $u \in \mathcal{P}(\Omega) \cap L^\infty(\Omega)$, if we set

$$u_k := u_{\varepsilon_k}^{\overline{y}}, \quad k \in \mathbb{N},$$

the sequence $(u_k) \subset \mathcal{P}(\Omega)$ has the following properties:

- (a) $\|u_k\|_{L^\infty(\Omega)} \leq \|u\|_{L^\infty(\Omega)}$ for any $k \in \mathbb{N}$,
- (b) $\lim_{k \rightarrow \infty} \|u_k - u\|_{L^1(\Omega)} = 0$,
- (c) $\limsup_{k \rightarrow \infty} \mathcal{E}_0(u_k, \Omega) \leq \mathcal{E}_0(u, \Omega)$,
- (d) $\mathcal{E}_0(u_k, \Omega) = \mathcal{E}(u_k, \Omega)$ and J_{u_k} is contained in a finite union of facets of coordinate hypercubes for any k .

To prove the theorem, it remains to show that we can replace $\mathcal{E}_0(u, \Omega)$ with $\mathcal{E}(u, \Omega)$ on the right hand side of the inequality in assertion (c).

Step 3. Let $A \subset \mathbb{R}^n$ be a bounded open set with Lipschitz boundary and let $v \in \mathcal{P}(A) \cap L^\infty(A)$ be with $\mathcal{E}(v, A) < +\infty$. Let $(v_k) \subset \mathcal{P}(A)$ be a sequence converging to v in $L^1(A)$ such that $\|v_k\|_{L^\infty(A)} \leq \|v\|_{L^\infty(A)}$ for any $k \in \mathbb{N}$ and $\lim_{k \rightarrow \infty} \mathcal{E}_0(v_k, A) = \mathcal{E}_0(v, A)$. Then

$$\lim_{k \rightarrow \infty} \int_{\partial A} |Tv_k - Tv| \, d\mathcal{H}^{n-1} = 0, \quad (4.7)$$

where Tv_k (resp. Tv) denotes the trace of v_k (resp. of v) on ∂A .

This follows from the fact that, if $v \in \mathcal{P}(A) \cap L^\infty(A)$, then there exists a constant $c > 0$ depending only θ and $\|v\|_{L^\infty(A)}$ such that $\int_B |Dv| \leq c\mathcal{E}_0(v, B)$ for any open set $B \subseteq A$. A careful analysis of [6, Theorem 3.88] (see also [29, Section 5.3]), the $L^1(A)$ -lower semicontinuity of $v \rightarrow \mathcal{E}_0(v, B)$ for any open set $B \subseteq A$ and the fact that $\mathcal{E}_0(v, \cdot)$ is a regular measure, imply (4.7).

Step 4. Let μ be a Radon measure on Ω with values in \mathbb{R}^d , $d \geq 1$, with total variation measure $|\mu|$ and $|\mu|(\Omega) < +\infty$. For any $h \in \mathbb{N}$, let us consider a family $\{B_k^h\}$, where B_k^h are nonempty Borel subsets of Ω with $B_{k_1}^h \cap B_{k_2}^h = \emptyset$ when $k_1, k_2 \in \mathbb{N}$ and $k_1 \neq k_2$, and

$$|\mu|\left(\Omega \setminus \bigcup_k B_k^h\right) = 0. \quad (4.8)$$

If in addition

$$\lim_{h \rightarrow +\infty} \sup_{k \in \mathbb{N}} \text{diam}(B_k^h) = 0, \quad (4.9)$$

then

$$|\mu|(\Omega) = \lim_{h \rightarrow +\infty} \sum_k |\mu(B_k^h)|. \quad (4.10)$$

To prove (4.10) it is enough to show that for any $\varphi \in (\mathcal{C}_c(\Omega))^d$ with $\|\varphi\|_{L^\infty(\Omega)} \leq 1$, we have

$$\int_\Omega \langle \varphi, \mu \rangle \leq \lim_{h \rightarrow +\infty} \sum_k |\mu(B_k^h)|.$$

For any $h, k \in \mathbb{N}$ pick a point $x_k^h \in B_k^h$, and define

$$\varphi_h(x) := \sum_k \varphi(x_k^h) 1_{B_k^h}(x), \quad x \in \Omega.$$

Using the uniform continuity of φ and assumption (4.9), we have $\lim_{h \rightarrow +\infty} \|\varphi - \varphi_h\|_{L^\infty(\Omega, \mu)} = 0$. Therefore

$$\int_\Omega \langle \varphi, \mu \rangle = \int_\Omega \langle \varphi_h, \mu \rangle + \int_\Omega \langle (\varphi - \varphi_h), \mu \rangle \leq \sum_k |\mu(B_k^h)| + o(1), \quad (4.11)$$

and (4.10) follows.

In the next (final) step we show that it is possible to replace $\mathcal{E}_0(u, \Omega)$ with $\mathcal{E}(u, \Omega)$ in (c), by suitably modifying the sequence (u_k) .

Step 5. Let $\delta > 0$ and $y \in (0, \delta)^n$. For each $z \in \delta\mathbb{Z}^n$ let us denote by $C_\delta(z)$ the open hypercube $y + z + (0, \delta)^n$. Possibly modifying the choice of the origin y , we can assume that

$$|Du| \left(\Omega \setminus \bigcup_{z \in \delta\mathbb{Z}^n} C_\delta(z) \right) = 0. \quad (4.12)$$

We will apply the construction described in *Steps 1, 2* to each of the sets $\Omega \cap C_\delta(z)$ and then glue together the sequences thus obtained. Notice that it may happen that the set $\Omega \cap C_\delta(z)$ is not Lipschitz (if non empty); however we already know that u is defined on Ω' which strictly contains Ω . Let us define the vector-valued Radon measure μ as

$$\mu(B) := \int_{B \cap J_u} \theta(u^+ - u^-) \nu_u d\mathcal{H}^{n-1}, \quad B \text{ Borel set } \subseteq \Omega.$$

Observe that $|\mu|(B) = \int_{B \cap J_u} \theta(u^+ - u^-) d\mathcal{H}^{n-1}$.

With any hypercube $C_\delta(z)$ we associate a unit vector $\xi_z \in S^{n-1}$ such that

$$\langle \xi_z, \mu(\Omega \cap C_\delta(z)) \rangle = |\mu(\Omega \cap C_\delta(z))|. \quad (4.13)$$

If we consider an orthonormal basis of \mathbb{R}^n having ξ_z as the first vector and if we let $\|\cdot\|_{1,z}$ be the $\|\cdot\|_1$ norm in this basis, from *Steps 1* and *2* we can find a sequence $(u_k^\delta) \subset L^1(\Omega \cap C_\delta(z))$ converging to u in $L^1(\Omega \cap C_\delta(z))$ and satisfying properties (a)-(d) with $\Omega \cap C_\delta(z)$ in place of Ω , and such that

$$\begin{aligned} \limsup_{k \rightarrow \infty} \mathcal{E}(u_k^\delta, \Omega \cap C_\delta(z)) &\leq \int_{J_u \cap C_\delta(z)} \|\nu_u\|_{1,z} d|\mu| \\ &\leq \int_{J_u \cap C_\delta(z)} |\langle \xi_z, \nu_u \rangle| d|\mu| + c \int_{J_u \cap C_\delta(z)} \sqrt{1 - \langle \xi_z, \nu_u \rangle^2} d|\mu| \\ &= \mathcal{E}(u, \Omega \cap C_\delta(z)) + c \int_{J_u \cap C_\delta(z)} \sqrt{1 - \langle \xi_z, \nu_u \rangle^2} d|\mu|, \end{aligned}$$

where $c > 0$ is a constant controlling the euclidean norm of a vector with its $\|\cdot\|_1$ -norm. Using the same construction for all z such that $J_u \cap C_\delta(z) \neq \emptyset$, and gluing together the functions obtained in each hypercube, we construct $u_k \in L^1(\Omega)$ such that

$$\mathcal{E}(u_k, \Omega) = \sum_{z \in \delta\mathbb{Z}^n} \mathcal{E}(u_k, \Omega \cap C_\delta(z)) + \int_{\Omega \setminus \bigcup_{z \in \delta\mathbb{Z}^n} C_\delta(z)} \theta(u_k^+ - u_k^-) d\mathcal{H}^{n-1}. \quad (4.14)$$

We notice that the jump set of the u_k 's consists of the union of the facets of the lattice and of the jump set inside each hypercubes. Recalling (4.12) and *Step 3*, possibly passing to a (not relabelled) subsequence, we can assume that the traces of u_k on both sides of each facet of a hypercube $C_\delta(z)$ converge $|\mu|$ -almost everywhere to the same limit (which is the trace of u) as $k \rightarrow +\infty$, hence the last term on the right hand side of (4.14) tends to zero by the continuity of θ at 0. Therefore there exists $\bar{k} \in \mathbb{N}$ such that, if $k > \bar{k}$, then $\|u_k - u\|_{L^1(\Omega)} < \delta$ and

$$\begin{aligned} \mathcal{E}(u_k, \Omega) &\leq \sum_{z \in \delta\mathbb{Z}^n} \left[\int_{J_u \cap C_\delta(z)} |\langle \xi_z, \nu_u \rangle| d|\mu| + c \int_{J_u \cap C_\delta(z)} \sqrt{1 - \langle \xi_z, \nu_u \rangle^2} d|\mu| \right] + \delta \\ &\leq \mathcal{E}(u, \Omega) + c \sum_{z \in \delta\mathbb{Z}^n} \int_{J_u \cap C_\delta(z)} \sqrt{1 - \langle \xi_z, \nu_u \rangle^2} d|\mu| + \delta, \end{aligned} \quad (4.15)$$

where in the last inequality we made use of (4.13). The proof is therefore achieved if we show that the last sum on the right hand side of (4.15) can be made small for $\delta > 0$ sufficiently small. We have

$$\begin{aligned} &\sum_{z \in \delta\mathbb{Z}^n} \int_{J_u \cap C_\delta(z)} \sqrt{1 - \langle \xi_z, \nu_u \rangle^2} d|\mu| \\ &= \sum_{z \in \delta\mathbb{Z}^n} \int_{J_u \cap C_\delta(z)} \sqrt{(1 - \langle \nu_u, \xi_z \rangle)(1 + \langle \nu_u, \xi_z \rangle)} d|\mu| \\ &\leq \left(\sum_{z \in \delta\mathbb{Z}^n} \int_{J_u \cap C_\delta(z)} (1 - \langle \nu_u, \xi_z \rangle) d|\mu| \right)^{1/2} \sqrt{2|\mu|(\Omega)}. \end{aligned} \quad (4.16)$$

Observing that from (4.13)

$$\int_{J_u \cap C_\delta(z)} (1 - \langle \nu_u, \xi_z \rangle) d|\mu| \leq |\mu|(\Omega) - \sum_{z \in \delta\mathbb{Z}^n} |\mu(\Omega \cap C_\delta(z))|,$$

by *Step 4* we see that the right hand side of (4.16) can be made arbitrarily small if $\delta > 0$ is small enough. This concludes the proof. \square

5 Equicoerciveness and Γ -convergence

Using a slicing argument and Theorem 4.1, the results of Section 3 can be generalized in n -dimensions.

We remind that $F_\nu : L^2(\Omega) \rightarrow [0, +\infty]$ and $\mathcal{F} : L^2(\Omega) \rightarrow [0, +\infty]$ are defined in (1.1) and (1.3), respectively. If $A \in \mathcal{A}(\Omega)$ and $u \in H^2(A)$ we define the localized functional $F_\nu(u, A)$ as

$$F_\nu(u, A) := \frac{1}{2} \int_A \left[\nu^3 |\nabla^2 u|^2 + \frac{1}{\nu \phi(1/\nu)} \phi(|\nabla u|) \right] dx.$$

Similarly, if $u \in X(A) \cap L^2(A)$, we set

$$\mathcal{F}(u, A) := \sigma_a \int_{A \cap J_u} |u^+ - u^-|^{\frac{2+a}{4-a}} d\mathcal{H}^{n-1}.$$

We will need the following lemma on the supremum of a family of measures (see [15]).

Lemma 5.1. *Let $\mu : \mathcal{A}(\Omega) \rightarrow [0, +\infty)$ be a superadditive set function and let λ be a positive measure on Ω . For any $i \in \mathbb{N}$ let ψ_i be a Borel function on Ω such that $\mu(A) \geq \int_A \psi_i d\lambda$ for all $A \in \mathcal{A}(\Omega)$. Then*

$$\mu(A) \geq \int_A \psi d\lambda \quad \forall A \in \mathcal{A}(\Omega)$$

where $\psi := \sup_{i \in \mathbb{N}} \psi_i$.

We start our n -dimensional analysis with the following two results, which are independent of Lemma 4.1.

Theorem 5.2 (Equicoerciveness). *Let $(u_\nu) \subset H^2(\Omega)$ be a sequence satisfying*

$$\sup_\nu F_\nu(u_\nu, \Omega) < +\infty \tag{5.1}$$

and such that $\int_\Omega u_\nu dx = 0$. Then there exist a function $u \in X(\Omega) \cap L^2(\Omega)$ and a subsequence of (u_ν) weakly converging to u in $BV(\Omega)$.*

Proof. By Lemma 3.1, there exist $\bar{\nu} \in (0, 1]$, a decreasing function $\omega : (0, \bar{\nu}) \rightarrow (0, +\infty)$ with $\lim_{\nu \rightarrow 0^+} \omega(s) = 0$ and a constant $C > 0$ such that for every interval $I \subset \mathbb{R}$, every $v \in H^2(I)$ and $\nu \in (0, \bar{\nu})$, inequality (3.1) holds, namely, for $\nu \in (0, \bar{\nu})$,

$$\int_I |v'| dx \leq |I| \omega(\nu) + CF_\nu(v, I).$$

Therefore, if $v \in H^2(\Omega)$ and $\xi \in \mathbb{S}^{n-1}$, by (5.5),

$$\begin{aligned} \int_\Omega |\langle \nabla v, \xi \rangle| dx &= \int_{\Pi_\xi} \int_{\Omega_{\xi y}} |\langle \nabla v(y + t\xi), \xi \rangle| dt d\mathcal{H}^{n-1}(y) \\ &= \int_{\Pi_\xi} \int_{\Omega_{\xi y}} |v'_{\xi y}| dt d\mathcal{H}^{n-1}(y) \\ &\leq \int_{\Pi_\xi} \left(|\Omega_{\xi y}| \omega(\nu) + CF_\nu(v_{\xi y}, \Omega_{\xi y}) \right) d\mathcal{H}^{n-1}(y) \\ &\leq |\Omega| \omega(\nu) + CF_\nu(v, \Omega). \end{aligned} \tag{5.2}$$

Letting $\xi = e_i$ and summing (5.2) over $i \in \{1, \dots, n\}$, we deduce using (5.1), that there exists a positive constant κ such that

$$\sup_{\nu} \int_{\Omega} |\nabla v_{\nu}| \, dx \leq \kappa \sup_{\nu} \left(|\Omega| \, \omega(\nu) + F_{\nu}(v_{\nu}, \Omega) \right) < +\infty. \quad (5.3)$$

Thus if $\int_{\Omega} u_{\nu} \, dx = 0$ then there exist a function $u \in BV(\Omega)$ and a subsequence of (u_{ν}) weakly* converging to u in $BV(\Omega)$. \square

Theorem 5.3 (Γ -liminf). *We have*

$$\mathcal{F}(\cdot, A) \leq \Gamma(L^2(\Omega)) - \lim_{\nu \rightarrow 0^+} F_{\nu}(\cdot, A), \quad A \in \mathcal{A}(\Omega). \quad (5.4)$$

Proof. The proof follows closely [4, Prop. 3.4]. Fix $\xi \in \mathbb{S}^{n-1}$, $u \in H^2(\Omega)$, $A \in \mathcal{A}(\Omega)$ and let as usual $u_{\xi y} : A_{\xi y} \rightarrow \mathbb{R}$ be the slice defined as in Section 2.2, i.e.,

$$u_{\xi y}(t) := u(y + t\xi), \quad t \in A_{\xi y}.$$

Since for almost every $t \in A_{\xi y}$ and \mathcal{H}^{n-1} -almost every y

$$u'_{\xi y}(t) = \langle \nabla u(y + t\xi), \xi \rangle \quad \text{and} \quad u''_{\xi y} = \langle \nabla^2 u(y + t\xi) \xi, \xi \rangle,$$

by Fubini's Theorem and being ϕ nondecreasing,

$$\begin{aligned} & F_{\nu}(u, A) \\ &= \int_{\Pi_{\xi}} \left[\frac{1}{2} \int_{A_{\xi y}} \nu^3 |\nabla^2 u(y + t\xi)|^2 + \frac{1}{\nu \phi(1/\nu)} \phi(|\nabla u(y + t\xi)|) \, dt \right] d\mathcal{H}^{n-1}(y) \\ &\geq \int_{\Pi_{\xi}} \left[\frac{1}{2} \int_{A_{\xi y}} \nu^3 (\langle \nabla^2 u(y + t\xi) \xi, \xi \rangle)^2 + \frac{1}{\nu \phi(1/\nu)} \phi(\langle \nabla u(y + t\xi) \xi, \xi \rangle) \, dt \right] d\mathcal{H}^{n-1}(y) \\ &= \int_{\Pi_{\xi}} F_{\nu}(u_{\xi y}, A_{\xi y}) \, d\mathcal{H}^{n-1}(y). \end{aligned} \quad (5.5)$$

Let now $(u_{\nu}) \subset H^2(A)$ be a sequence converging to u in $L^2(A)$ as $\nu \rightarrow 0^+$. By Fubini's theorem and Fatou's lemma we have $(u_{\nu})_{\xi y} \rightarrow u_{\xi y}$ in $L^2(A_{\xi y})$ for \mathcal{H}^{n-1} -almost every $y \in \Pi_{\xi}$. Hence by (5.5) and Theorem 3.3,

$$\sigma_a \sum_{x \in J_{u_{\xi y}} \cap A_{\xi y}} |u_{\xi y}(x^+) - u_{\xi y}(x^-)|^{\frac{2+a}{4-a}} \leq \liminf_{\nu \rightarrow 0^+} F_{\nu}((u_{\nu})_{\xi y}, A_{\xi y}).$$

Thus, applying once more Fatou's lemma,

$$\int_{\Pi_{\xi}} \left(\sigma_a \sum_{x \in J_{u_{\xi y}} \cap A_{\xi y}} |u_{\xi y}(x^+) - u_{\xi y}(x^-)|^{\frac{2+a}{4-a}} \right) d\mathcal{H}^{n-1}(y) \leq \liminf_{\nu \rightarrow 0^+} F_{\nu}(u_{\nu}, A). \quad (5.6)$$

Let us first show that

$$u \in X(\Omega). \quad (5.7)$$

Let $T > 0$ and set $u_T := \max(-T, \min(T, u))$. By the results of Section 3 we know that $(u_T)_{\xi y} \in X(A_{\xi y})$ and thus by (5.6) and (5.1),

$$\int_{\Pi_\xi} |D(u_T)_{\xi y}|(A_{\xi y}) \, d\mathcal{H}^{n-1}(y) < +\infty, \quad \xi \in \mathbb{S}^{n-1}, \, \mathcal{H}^{n-1} - \text{a.e. } y \in \Pi_\xi.$$

Therefore, by Theorem 2.2 it follows that $u \in GSBV(A)$. By (5.3) we also know that $u \in BV(A)$ and thus $u \in SBV(A)$. Now for every $\xi \in \mathbb{S}^{n-1}$,

$$\begin{aligned} \int_A |\langle \nabla u, \xi \rangle| dx &= \int_{\Pi_\xi} \int_{A_{\xi y}} |\langle \nabla u(y + t\xi), \xi \rangle| \, dt \, d\mathcal{H}^{n-1}(y) \\ &= \int_{\Pi_\xi} \int_{A_{\xi y}} |u'_{\xi y}(t)| \, dt \, d\mathcal{H}^{n-1}(y) \\ &= 0. \end{aligned}$$

Hence $\nabla u = 0$ almost everywhere, so that $u \in X(A)$. This concludes the proof of (5.7).

By Theorem 2.2 (a), we get

$$\liminf_{\nu \rightarrow 0^+} F_\nu(u_\nu, A) \geq \sigma_a \int_{A \cap J_u} |u(x^+) - u(x^-)|^{\frac{2+a}{4-a}} |\langle \nu_u, \xi \rangle| \, d\mathcal{H}^{n-1}(y). \quad (5.8)$$

We now let γ be the superadditive increasing set function defined on $\mathcal{A}(\Omega)$ by

$$\gamma(A) := \Gamma - \liminf_{\nu \rightarrow 0^+} F_\nu(u, A)$$

and we let λ be the Radon measure defined as

$$\lambda := |u(x^+) - u(x^-)|^{\frac{2+a}{4-a}} \mathcal{H}^{n-1} \llcorner J_u.$$

Fix a sequence $(\xi_i)_{i \in \mathbb{N}}$ dense in \mathbb{S}^{n-1} . By (5.8) we have

$$\gamma(A) \geq \int_A \psi_i \, d\lambda, \quad i \in \mathbb{N},$$

where

$$\psi_i(x) := \begin{cases} |\langle \nu_u(x), \xi_i \rangle| & \text{if } x \in J_u \\ 0 & \text{if } x \in A \setminus J_u. \end{cases}$$

Hence by Lemma 5.1,

$$\Gamma - \liminf_{\nu \rightarrow 0^+} F_\nu(u, A) \geq \int_A \sup_i \psi_i(x) \, d\lambda = \mathcal{F}(u, A).$$

□

We next pass to the proof of the Γ -limsup inequality. We start by studying the particular case $u = s1_E$, adapting the proof from [4, Prop 3.5].

Proposition 5.4. *Let $\Omega' \supset \supset \Omega$ be a bounded open set and let E be such that $E = E' \cap \Omega$ where E' is a set of finite perimeter in Ω' such that $\partial E' \cap \Omega'$ is a smooth hypersurface. Then*

$$\Gamma - \limsup_{\nu \rightarrow 0^+} F_\nu(s1_E, A) \leq \sigma_a |s|^{\frac{2+a}{4-a}} \mathcal{H}^{n-1}(A \cap \partial E), \quad (5.9)$$

for all $s \in \mathbb{R}$ and $A \in \mathcal{A}(\Omega)$.

Proof. Let

$$d(x) := \text{dist}(x, \mathbb{R}^n \setminus E') - \text{dist}(x, E'), \quad x \in \mathbb{R}^n,$$

be the signed distance function from $\partial E'$ positive in E' . Take $\delta > 0$ such that $d \in \mathcal{C}^\infty(\overline{V_\delta \cap \Omega})$ where $V_\delta := \{x \in \mathbb{R}^n : |d(x)| < \delta\}$ (see for instance [5]), and recall that $|\nabla d|^2 = 1$ in $V_\delta \cap \Omega$. Let $b > 0$ and (u_ν) be the one-dimensional recovery sequence given in Section 3 converging to $s1_{\{t>0\}}$ in $L^2((-\delta, \delta))$, so that

$$\lim_{\nu \rightarrow 0^+} F_\nu(u_\nu, (-\delta, \delta)) = \sigma_a |s|^{\frac{2+a}{4-a}} + b. \quad (5.10)$$

We next define

$$\tilde{u}_\nu(x) := u_\nu(d(x)), \quad x \in \Omega.$$

Then $\tilde{u}_\nu \in H^2(\Omega)$ and $\tilde{u}_\nu \rightarrow s1_E$ in $L^2(\Omega)$ as $\nu \rightarrow 0^+$. Using the coarea formula, we find

$$\begin{aligned} F_\nu(\tilde{u}_\nu, A) &= \frac{1}{2} \int_{A \cap V_{\eta\nu}} \left[\nu^3 |u_\nu''(d)(\nabla d \otimes \nabla d) + u_\nu'(d) \nabla^2 d|^2 + \frac{1}{\nu \phi(1/\nu)} \phi(|u_\nu'(d) \nabla d|) \right] dx \\ &\leq \frac{1}{2} \int_0^{\eta\nu} \int_{\{x \in A : d(x)=t\}} \left[\nu^3 (u_\nu''(t))^2 + 2\nu^3 |u_\nu''(t)| |u_\nu'(t)| + \nu^3 (u_\nu'(t))^2 \|\nabla^2 d\|_\infty^2 \right. \\ &\quad \left. + \frac{1}{\nu \phi(1/\nu)} \phi(|u_\nu'(t)|) \right] d\mathcal{H}^{n-1} dt \\ &= \frac{1}{2} \int_0^{\eta\nu} \left(\nu^3 (u_\nu''(t))^2 + \frac{1}{\nu \phi(1/\nu)} \phi(|u_\nu'(t)|) \right) \mathcal{H}^{n-1}(\{x \in A : d(x) = t\}) dt \\ &\quad + \nu^3 \int_0^{\eta\nu} \left(|u_\nu''(t)| |u_\nu'(t)| + \frac{1}{2} (u_\nu'(t))^2 \|\nabla^2 d\|_\infty^2 \right) \mathcal{H}^{n-1}(\{x \in A : d(x) = t\}) dt. \end{aligned}$$

We now claim that

$$F_\nu(\tilde{u}_\nu, A) \leq \sup_{t \in (0, \eta\nu)} [F_\nu(u_\nu, (0, \eta\nu)) \mathcal{H}^{n-1}(\{x \in A : d(x) = t\})] + o(\nu).$$

Indeed, since $\lim_{\nu \rightarrow 0^+} F_\nu(u_\nu, (-\delta, \delta)) < +\infty$, we have

$$\sup_\nu \nu^3 \int_{-\delta}^\delta (u_\nu'')^2 dt < +\infty,$$

and by definition of u_ν ,

$$\int_0^{\eta\nu} (u_\nu')^2 dt = \frac{1}{\nu} \int_0^\eta (\psi')^2 dt.$$

Thus by Cauchy-Schwarz's inequality,

$$\nu^3 \int_0^{\eta\nu} |u''_\nu| |u'_\nu| dt \leq \nu \left(\int_0^{\eta\nu} \nu^3 (u''_\nu)^2 dt \right)^{\frac{1}{2}} \left(\int_0^\eta (\psi')^2 dt \right)^{\frac{1}{2}} = O(\nu).$$

We can similarly bound the term $\nu^3 \int_0^{\eta\nu} (u'_\nu)^2 dt \|\nabla^2 d\|_\infty^2$. Finally since ∂E is smooth,

$$\lim_{t \rightarrow 0^+} \mathcal{H}^{n-1}(\{x \in A : d(x) = t\}) = \mathcal{H}^{n-1}(A \cap \partial E).$$

Therefore, using the one-dimensional result in Section 3, we obtain

$$\limsup_{\nu \rightarrow 0^+} F_\nu(\tilde{u}_\nu, A) \leq \sigma_a |s|^{\frac{2+a}{4-a}} \mathcal{H}^{n-1}(A \cap \partial E) + b.$$

Letting $b \rightarrow 0^+$ we obtain the thesis. \square

We can now prove the Γ -limsup, following the proof of [4, Prop 3.6].

Theorem 5.5 (Γ -limsup). *We have*

$$\Gamma(L^2(A)) - \limsup_{\nu \rightarrow 0^+} F_\nu(\cdot, A) \leq \mathcal{F}(\cdot, A), \quad A \in \mathcal{A}(\Omega).$$

Proof. Thanks to the density Lemma 4.1, it is enough to prove the Γ -limsup inequality on those functions having a jump set contained in a finite union of facets of polytopes. Accordingly, we take

$$u = \sum_{i=1}^k s_i 1_{C_i},$$

where $k \in \mathbb{N}$, $s_i \in \mathbb{R}$ and $C_i \subseteq A$ are closed polytopes with pairwise empty intersection of their interior parts. We can further assume that all C_i are convex. Set

$$C_{k+1} := A \setminus \bigcup_{i=1}^k C_i$$

and

$$\mathcal{I} := \{(i, j) : i, j \in \{1, \dots, k+1\}, i < j, \mathcal{H}^{n-1}(C_i \cap C_j) \neq 0\}.$$

For $\delta > 0$ let

$$V^\delta := \left\{ x \in A : \text{dist}\left(x, \bigcup_{i=1}^k \partial C_i\right) < \delta \right\}$$

and

$$V_{ij}^\delta := \{x \in A : \text{dist}(x, \partial C_i \cap \partial C_j) < \delta\}, \quad (i, j) \in \mathcal{I}.$$

For any $(i, j) \in \mathcal{I}$ choose functions $g_{ij} \in C_c^\infty(\mathbb{R}^n)$ such that

$$g_{ij} = 1 \text{ on } V_{ij}^\delta, \quad g_{ij} = 0 \text{ on } A \setminus V_{ij}^{2\delta},$$

and

$$\|\nabla g_{ij}\|_\infty \leq \frac{c}{\delta}, \quad \|\nabla^2 g_{ij}\|_\infty \leq \frac{c}{\delta^2},$$

where $c > 0$ is a constant independent of δ , i and j . We now let

$$h_{ij} := g_{ij} \left(\sum_{(l,m) \in \mathcal{I}} g_{lm} + \prod_{(l,m) \in \mathcal{I}} (1 - g_{lm}) \right)^{-1},$$

and

$$h_0 := \prod_{(l,m) \in \mathcal{I}} (1 - g_{lm}) \left(\sum_{(l,m) \in \mathcal{I}} g_{lm} + \prod_{(l,m) \in \mathcal{I}} (1 - g_{lm}) \right)^{-1}.$$

These functions are defined so that

$$h_0 + \sum_{(i,j) \in \mathcal{I}} h_{ij} = 1$$

and

$$h_{ij} = g_{ij} \text{ on } V_{ij}^{2\delta} \setminus \bigcup_{(l,m) \neq (i,j)} V_{lm}^{2\delta} \quad \text{and} \quad h_0 = 1 \text{ on } A \setminus V^{2\delta}.$$

It can be verified that

$$\begin{aligned} \|\nabla h_{ij}\|_\infty &\leq \frac{c}{\delta}, & \|\nabla h_0\|_\infty &\leq \frac{c}{\delta}, \\ \|\nabla^2 h_{ij}\|_\infty &\leq \frac{c}{\delta^2}, & \|\nabla^2 h_0\|_\infty &\leq \frac{c}{\delta^2}. \end{aligned}$$

Let $(u_{ij}^\nu) \subset H^2(\Omega)$ be the recovery sequence constructed as in Proposition 5.4 related to

$$u_{ij} := \begin{cases} s_i - s_j & \text{on } S_{ij}^+ \\ 0 & \text{on } S_{ij}^-, \end{cases}$$

where S_{ij} is the hyperplane containing $C_i \cap C_j$ and

$$S_{ij}^\pm := \{x \in \Omega : x = y \pm t\nu_{ij}, \ y \in S_{ij}, \ t \in \mathbb{R}^+\},$$

with ν_{ij} the unit internal normal to C_i on $C_i \cap C_j$. We fix then $\delta = \eta\nu$ where η is given by the one-dimensional profile. Let also

$$u_\nu := h_0 u + \sum_{(i,j) \in \mathcal{I}} h_{ij} (u_{ij}^\nu + s_j).$$

Then $u_\nu \in H^2(\Omega)$ and $u_\nu \rightarrow u$ in $L^2(\Omega)$ as $\nu \rightarrow 0^+$. Now on $V_{ij}^{2\delta} \setminus \bigcup_{(l,m) \neq (i,j)} V_{lm}^{2\delta}$, $u_\nu = (1 - g_{ij})u + g_{ij}(u_{ij}^\nu + s_j)$ and since on $V_{ij}^{2\delta} \setminus V_{ij}^\delta$, $u_{ij}^\nu = u_{ij}$, we then have on this set $u_\nu = u$ and $\nabla u_\nu = 0$. Thus

$$F_\nu \left(u_\nu, V_{ij}^{2\delta} \setminus \bigcup_{(l,m) \neq (i,j)} V_{lm}^{2\delta} \right) = F_\nu \left(u_\nu, V_{ij}^\delta \setminus \bigcup_{(l,m) \neq (i,j)} V_{lm}^{2\delta} \right) \leq F_\nu \left(u_{ij}^\nu, A \cap V_{ij}^\delta \right). \quad (5.11)$$

On $V_{ij}^{2\delta} \cap V_{lm}^{2\delta}$, we have (remember that $|\nabla u_{ij}^\nu| \leq \|\psi'\|_\infty/\nu$)

$$|\nabla u_\nu| \leq |u| |\nabla h_0| + \sum_{(i,j) \in \mathcal{I}} (|\nabla h_{ij}| |s_j| + |\nabla u_{ij}^\nu|) \leq \frac{C}{\nu},$$

for a suitable constant $C > 0$ independent of ν . Since $\mathcal{H}^{n-2}(C_i \cap C_j \cap C_l \cap C_m) < +\infty$ (where \mathcal{H}^{n-2} is the $(n-2)$ -dimensional Hausdorff measure) we have $|V_{ij}^{2\delta} \cap V_{lm}^{2\delta}| = O(\nu^2)$ and thus

$$\int_{V_{ij}^{2\delta} \cap V_{lm}^{2\delta}} \frac{1}{\nu \phi(1/\nu)} \phi(|\nabla u_\nu|) \, dx \leq C \nu \frac{\phi(C/\nu)}{\phi(1/\nu)}.$$

As $\lim_{\nu \rightarrow 0^+} \frac{\phi(C/\nu)}{\phi(1/\nu)} = C^a$, this shows that

$$\lim_{\nu \rightarrow 0^+} \int_{V_{ij}^{2\delta} \cap V_{lm}^{2\delta}} \frac{1}{\nu \phi(1/\nu)} \phi(|\nabla u_\nu|) \, dx = 0.$$

Similarly,

$$\lim_{\nu \rightarrow 0^+} \int_{V_{ij}^{2\delta} \cap V_{lm}^{2\delta}} \nu^3 |\nabla^2 u_\nu|^2 \, dx = 0$$

and therefore

$$\lim_{\nu \rightarrow 0^+} F_\nu(u_\nu, V_{ij}^{2\delta} \cap V_{lm}^{2\delta}) = 0. \quad (5.12)$$

Eventually on $A \setminus V^{2\delta}$, $u_\nu = u$ and $\nabla u = 0$, thus

$$F_\nu(u_\nu, A \setminus V^{2\delta}) = 0. \quad (5.13)$$

Putting together (5.11), (5.12) and (5.13) we find by Proposition 5.4,

$$\begin{aligned} \lim_{\nu \rightarrow 0^+} F_\nu(u_\nu, A) &\leq \sum_{(i,j) \in \mathcal{I}} \lim_{\nu \rightarrow 0^+} F_\nu \left(u_\nu, V_{ij}^{2\delta} \setminus \bigcup_{(l,m) \neq (i,j)} V_{lm}^{2\delta} \right) \\ &\leq \sum_{(i,j) \in \mathcal{I}} \lim_{\nu \rightarrow 0^+} F_\nu(u_\nu^{ij}, V_{ij}^\delta) \\ &= \sum_{(i,j) \in \mathcal{I}} \lim_{\nu \rightarrow 0^+} F_\nu(u_\nu^{ij}, A) \\ &= \sigma_a \sum_{(i,j) \in \mathcal{I}} |s_i - s_j|^{\frac{2+a}{4-a}} \mathcal{H}^{n-1}(A \cap C_i \cap C_j) \\ &= \mathcal{F}(u, A). \end{aligned}$$

□

Remark 5.6. Differently with respect to the results of [4, 39] (which rely on the density theorem of [24]) using Lemma 4.1 we obtain a full Γ -convergence result. Moreover, we have compactness in BV for the n -dimensional problem which is a missing feature in most of the papers tackling similar problems such as [4, 39].

We conclude by mentioning that our theorems are a first step toward a general approximation theorem in SBV (see the related papers [23, 24, 2, 4]) for free discontinuity functionals having, in general, also a bulk term.

Acknowledgments

The first two authors thank the hospitality of the Mathematisches Forschungsinstitut Oberwolfach, which gave them the opportunity to start this research.

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