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### Higher-order expansion of misfit functional for defect identification in elastic solids

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Abstract. In this work, least-squares functionals commonly used for defect identification are expanded in powers of the small radius of a trial inclusion, in the context of time-harmonic elastodynamics, generalizing to higher orders the concept of topological derivative. Such expansion, whose derivation and evaluation are facilitated by using an adjoint state, provides a basis for the quantitative estimation of flaws whereby a region of interest may be exhaustively probed at reasonable computational cost.

**Keywords:** Topological derivative, identification, elastodynamics, asymptotic analysis

**Problem statement.** We consider a reference (i.e defect-free) 3D elastic solid  $\Omega$  characterized by Hooke's tensor  $\mathcal{C}$  and mass density  $\rho$ . The time-harmonic background displacement  $\boldsymbol{u}$  then solves

$$\langle \boldsymbol{u}, \boldsymbol{w} \rangle_{\Omega}^{\mathcal{C}} - \omega^{2}(\boldsymbol{u}, \boldsymbol{w})_{\Omega}^{\rho} = \mathcal{F}(\boldsymbol{w}) \ \forall \boldsymbol{w} \in \mathcal{W}, \quad (1)$$
  
where  $\langle ., . \rangle_{D}^{\mathcal{C}}$  and  $(., .)_{D}^{\rho}$  denote the stiffness and

mass bilinear forms associated to a given domain D characterized by  $(\mathcal{C}, \rho)$ ,  $\mathcal{W} \subset H^1(\Omega)$  is the function space incorporating the relevant essential boundary conditions (if any), the linear form  $\mathcal{F} \in \mathcal{W}'$  defines the applied time-harmonic loading and  $\omega$  is the angular frequency.

Assuming the presence of a defect inside  $\Omega$ , and that we can measure the resulting displacement  $\boldsymbol{u}^{\mathrm{ex}}$  on a surface  $\Gamma$ , we define the least-squares cost functional  $J(\boldsymbol{w})$ , with the elastodynamic displacement  $\boldsymbol{w}$  associated to the given excitation and a known trial defect, by:

$$J(\boldsymbol{w}) = \frac{1}{2} \int_{\Gamma} |\boldsymbol{w}(\boldsymbol{x}) - \boldsymbol{u}^{\text{ex}}(\boldsymbol{x})|^2 dS_{\boldsymbol{x}}$$
 (2)

We now consider a specific trial defect  $B_a = z + a\mathcal{B}$  (Fig 1), centered at  $z \in \Omega$ , of small size a and reference shape  $\mathcal{B}$ . It is a perfectly bonded inclusion filled with a material characterized by its Hooke tensor  $\mathcal{C}^* = \mathcal{C} + \Delta \mathcal{C}$  and mass density  $\rho^* = \rho + \Delta \rho$ . We denote  $u_a$  the displacement in the perturbed domain, and  $v_a = u_a - u$  the displacement perturbation.  $J(u_a)$  admits the

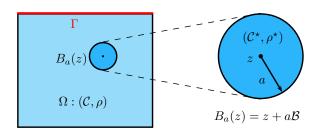


Figure 1: Computational domain and inclusion.

exact expansion about u:

$$J(\boldsymbol{u}_a) = J(\boldsymbol{u}) + J'(\boldsymbol{u}; \boldsymbol{v}_a) + J''(\boldsymbol{u}; \boldsymbol{v}_a, \boldsymbol{v}_a)$$
  
=  $J(\boldsymbol{u}) + \Re \int_{\Gamma} \overline{(\boldsymbol{u} - \boldsymbol{u}^{\text{ex}})} \cdot \boldsymbol{v}_a + \frac{1}{2} \int_{\Gamma} |\boldsymbol{v}_a|^2$ (3)

The goal is now to expand  $J(u_a)$  in powers of a. Similar expansions have been studied in e.g. [2] for rigid obstacles in 3D acoustic media and [5] for holes in 2D elastic bodies.

Define the adjoint field p as the solution of

$$\langle \boldsymbol{p}, \boldsymbol{w} \rangle_{\Omega}^{\mathcal{C}} - \omega^{2}(\boldsymbol{p}, \boldsymbol{w})_{\Omega}^{\rho} = J'(\boldsymbol{u}; \boldsymbol{w}) \ \forall \boldsymbol{w} \in \mathcal{W}.$$
 (4)

We can then compute  $J'(\boldsymbol{u};\boldsymbol{v}_a)$  as

$$J'(\boldsymbol{u};\boldsymbol{v}_a) = -\langle \boldsymbol{p}, \boldsymbol{u}_a \rangle_{B_a}^{\Delta C} + \omega^2(\boldsymbol{p}, \boldsymbol{u}_a)_{B_a}^{\Delta \rho} \quad (5)$$

Expanding  $v_a$  in powers of a is now needed. As we will see,  $J''(u; v_a, v_a)$  requires only the leading contribution of  $v_a|_{\Gamma}$  whereas a higher-order expansion of  $v_a|_{B_a}$  is needed for evaluating (5).

#### Expansion of the solution perturbation.

Following e.g. [3],  $v_a$  solves the integro-differential Lippmann-Schwinger equation:

$$\mathcal{L}_a[\boldsymbol{v}_a](\boldsymbol{x}) = -\langle \boldsymbol{u}, \boldsymbol{G} \rangle_{B_a}^{\Delta C} + \omega^2(\boldsymbol{u}, \boldsymbol{G})_{B_a}^{\Delta \rho} \quad (6)$$

with  $\mathcal{L}_a[\boldsymbol{v}](\boldsymbol{x}) := \boldsymbol{v}(\boldsymbol{x}) + \langle \boldsymbol{v}, \boldsymbol{G} \rangle_{B_a}^{\Delta C} - \omega^2(\boldsymbol{v}, \boldsymbol{G})_{B_a}^{\Delta \rho}$  and  $\boldsymbol{G} = \boldsymbol{G}(\cdot, \boldsymbol{x})$  is the elastodynamic Green's tensor for a unit point force applied at  $\boldsymbol{x}$  and satisfying homogeneous boundary conditions consistent with problem (1) on  $\partial \Omega$ . Substituting the ansatz

$$\mathbf{v}_a(\mathbf{x}) = a\mathbf{V}_1(\bar{\mathbf{x}}) + a^2\mathbf{V}_2(\bar{\mathbf{x}}) + \frac{1}{2}a^3\mathbf{V}_3(\bar{\mathbf{x}}) + \frac{1}{6}a^4\mathbf{V}_4(\bar{\mathbf{x}}) + \boldsymbol{\delta}_a(\mathbf{x}), \qquad \mathbf{x} \in B_a \quad (7)$$

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(with  $\bar{x} := (x-z)/a \in \mathcal{B}$ ) into (6) and expanding the resulting equation in powers of a (in particular using that  $G(\xi, x) = a^{-1}G_{\infty}(\bar{\xi} - \bar{x}) + O(1)$ , with  $G_{\infty}$  denoting the *static* full-space Kelvin fundamental solution) yields a sequence of integral equations for the  $V_j$ . These equations correspond to *elastostatic* problems for the normalized inclusion  $\mathcal{B}$  embedded in an unbounded reference medium, and are solved with the help of Eshelby's equivalent inclusion method [4].

The remainder  $\delta_a$  in (7) solves an integrodifferential equation of the form  $\mathcal{L}_a[\delta_a] = \gamma_a$ . The operator  $\mathcal{L}_a: H^1(B_a) \to H^1(B_a)$  is shown to be invertible with bounded inverse, while  $\gamma_a$ can be estimated as  $\|\gamma_a\|_{H^1(B_a)} = O(a^{11/2})$ . Consequently, there exists a constant C > 0independent of a such that

$$\|\boldsymbol{\delta}_a\|_{H^1(B_a)} \le Ca^{11/2}.$$
 (8)

For  $\boldsymbol{x} \notin B_a$ , plugging (7) in the form  $\boldsymbol{v}_a(\boldsymbol{x}) \approx a\boldsymbol{V}_1(\bar{\boldsymbol{x}})$  into (6) yields the outer expansion

$$v_a(\mathbf{x}) = -a^3 \left[ \nabla \mathbf{u}(\mathbf{z}) : \mathbf{A} : \nabla \mathbf{G}(\mathbf{z}, \mathbf{x}) - \omega^2 \Delta \rho | \mathbf{B} | \mathbf{u}(\mathbf{z}) \cdot \mathbf{G}(\mathbf{z}, \mathbf{x}) \right] + o(a^3), \quad (9)$$

 $\mathcal{A}$  being the elastic moment tensor associated to  $\mathcal{B}$ ,  $\mathcal{C}$  and  $\Delta \mathcal{C}$  [1,3].

Cost functional expansion. Substituting (7) into (5) and (9) into (3),  $J(u_a)$  is finally found to have an expansion of the form:

$$J(\boldsymbol{u}_a) = J_6(a, \boldsymbol{z}) + o(a^6)$$
(10)  
with 
$$J_6(a, \boldsymbol{z}) = J(\boldsymbol{u}) + a^3 \mathcal{T}_3(\boldsymbol{z}) + a^4 \mathcal{T}_4(\boldsymbol{z})$$
$$+ a^5 \mathcal{T}_5(\boldsymbol{z}) + a^6 \mathcal{T}_6(\boldsymbol{z}),$$

the  $o(a^6)$  estimate resulting from (8) and (9).

The  $\mathcal{T}_{j}(z)$  are found to be given in terms of (i) the background field  $\boldsymbol{u}$  and its derivatives at  $\boldsymbol{z}$ , (ii) the adjoint field  $\boldsymbol{p}$  and its derivatives at  $\boldsymbol{z}$ , (iii)  $\boldsymbol{\mathcal{A}}$  and other elastic moment tensors that involve the material parameters, the shape  $\boldsymbol{\mathcal{B}}$  and the angular frequency  $\omega$ , and (iv) the complementary part of  $\boldsymbol{G}$ , i.e.  $\boldsymbol{G} - \boldsymbol{G}_{\infty} = (\boldsymbol{G}_{\infty,\omega} - \boldsymbol{G}_{\infty}) + \boldsymbol{G}_{C}$ , where  $\boldsymbol{G}_{\infty,\omega}$  is the elastodynamic full-space fundamental solution and  $\boldsymbol{G}_{C}$  accounts for the boundedness of  $\Omega$ . In particular,  $\mathcal{T}_{3}(\boldsymbol{z})$  is the well-known topological derivative:

$$\mathcal{T}_3(\boldsymbol{z}) = -\big[\boldsymbol{\nabla}\boldsymbol{u}\!:\!\boldsymbol{\mathcal{A}}\!:\!\boldsymbol{\nabla}\boldsymbol{p} - \omega^2\Delta\rho|\mathcal{B}|\boldsymbol{u}\!\cdot\!\boldsymbol{p}\,\big](\boldsymbol{z}).$$

Moreover,  $\mathcal{T}_4(z) = 0$  for any centrally-symmetric shape  $\mathcal{B}$ . The complementary part  $G_{\infty,\omega} - G_{\infty}$  (known analytically) is involved in  $\mathcal{T}_5(z)$  and

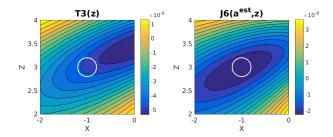


Figure 2:  $\mathcal{T}_3(z)$  and  $J_6(a^{\text{est}}, z)$  plotted in the (XZ) plane around the obstacle (in white).

 $\mathcal{T}_6(\boldsymbol{z})$ , while  $\boldsymbol{G}_C$  appears in  $\mathcal{T}_6(\boldsymbol{z})$  only. Since the exact computation of  $\boldsymbol{G}_C$  would require solving an elastodynamic problem on  $\Omega$  for each trial location  $\boldsymbol{z}$ , we plan to use an approximation method to save computational time.

Closed-form formulae for the  $\mathcal{T}_j$  can be obtained when  $\mathcal{B}$  is spherical (for which case we provide explicit expressions) or ellipsoidal.

**Identification.** Following [2], estimates of the location  $z^{\text{est}}$  and size  $a^{\text{est}}$  of the real defect can then be sought as minimizers of  $J_6(a, z)$ , with z spanning a predefined sampling grid. This entails computing the  $\mathcal{T}_j(z)$  over the sampling grid and minimizing  $a \mapsto J_6(a, z)$  for each z, the latter step being very fast and straightforward

A preliminary example is set in free space (so that  $G_C = 0$ ) for a spherical scatterer of radius  $0.1\lambda_S$  illuminated by a plane P-wave travelling along the positive x-direction, with a discrete array of displacement sensors lying behind the scatterer. The above procedure yields the size estimate  $a^{\text{est}} \approx 0.105\lambda_S$ ; moreover, the estimated location  $z^{\text{est}}$  is found to be very close to the true center of the scatterer. The contour plot of  $J_6(a^{\text{est}}, z)$  (Fig 2) shows improved localisation (relative to the topological derivative  $\mathcal{T}_3(z)$ ) for this partial-aperture configuration.

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