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Facile and green synthesis of nitrogen-doped polymer and carbon porous spheres

Amandine Maetz, Luc Delmotte, Georges Moussa, Joseph Denzer, Stephan Knopf, Camelia Matei Ghimbeu*

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The development of green, sustainable and simple synthesis pathways for the design of polymer and carbonaceous materials with well controlled features is of great importance for many fields of applications. Herein, we report a green synthesis method for polymer and carbon particles with well-defined shape and size. This approach involves the use of green precursors, water as solvent, no templates under ambient temperature and pressure conditions, simultaneously. Green polymer resins (phloroglucinol-glyoxylic acid) and a catalyst/nitrogen source (triethylenediamine) are dissolved in water at room temperature resulting in polymer particles which by subsequent thermal treatment transforms in carbon particles. Mainly spherical carbon particles with controlled size from 500 nm to 10 µm were obtained by simply adjusting the experimental conditions, i.e., the synthesis time and the molar ratio between the precursors or solvent. In some conditions, flower-like morphology was obtained as well. The synthesis mechanism from polymer resin spheres formation to their conversion into carbon sphere was determined by several techniques, i.e., ¹³C NMR spectroscopy, SEM, XPS and TPD-MS (temperature programmed desorption coupled by mass spectrometer).

20

1. INTRODUCTION

Nanostructured carbon materials with controlled texture, structure, morphology and surface chemistry witness a large pallet of utilizations such as absorbents, electrodes for batteries and supercapacitors, catalyst, fuel cells or gas sensors ¹⁻⁶. Particularly, nanoporous carbon spheres have considerably attracted attention in the recent years due to their tunable particle size/shape, improved pore accessibly providing faster molecular diffusion and specific structure containing open edges inducing specific reactivity with molecules ^{2:7:8}. Several synthetic approaches have been proposed during the time to design carbon spheres with different particle sizes, tunable

pore size/geometry and graphitization levels. Firstly, chemical vapor deposition (CVD) routs were employed, involving the thermal pyrolysis of hydrocarbons (toluene, benzene, hexane, methane, acetylene etc.) at high temperature (600°C to 1200°C) in the presence or not of a metallic-based catalyst ⁷. Such approach allows to obtain graphitic carbon spheres but uniform particles is difficult to be achieved. In addition, the inconvenient

particles is difficult to be achieved. In addition, the inconvenient including high temperature reactions, toxic and dangerous carbon precursors and sacrificial use of catalyst limited the extensive use of such approach.

Hard-templating pathways involves the use of silica or latex particles which are filled with carbon precursors by wet impregnation or by CVD with hydrocarbon gases. This step is followed by the dissolution of the template with strong acids or bases allowed to obtain well defined carbon spheres with controlled ordered porosity 9:10. The obtained carbon spheres of correspond to the negative replicas of silica/latex spheres. Despite

so correspond to the negative replicas of silica/latex spheres. Despite the good control of carbon spheres characteristics obtained by hard-template, the long synthesis process related to the preparation of hard templates and their dissolution with hazardous HF or NaOH are considered as the main drawbacks of this approach.

More recently, the soft-template route emerged as an alternative approach. Commercially available block copolymer soft templates easily decomposable thermally are being used as pore and structure directing agents together with thermosetting

- 60 polymers resins as carbon source under hydrothermal conditions. Carbon spheres with well controlled mesoporosity were achieved only in few cases and their preparation remains a challenge^{11;12}. Besides, the low carbon yield, high cost and restrained choice of templates are important issues to be overcome.
- 65 Hydrothermal route carbonization route (HTC) in aqueous medium at low temperatures (< 300°C) and under pressure (~1 MPa) was found to be a sustainable and efficient process to transform sugar-based precursors (glucose, fructose, cellulose, biomass etc.) into carbon spheres, without the use of templates 13-

However, such carbons are obtained with low yield and present limited porosity, therefore, supplementary additives or post activation treatments are required in order to adjust the porosity ¹⁶⁻¹⁸

recently achieved by modification of Stöber method ¹⁹;20. Originally developed for the preparation of silica particles by a sol-gel reaction under hydrothermal conditions, it has been demonstrated that this method can be extended to the preparation of monodisperse phenolic-resin polymer spheres and therefore, by thermal decomposition for carbon spheres preparation. Phenolic-resins are of great interest due to their high thermal stability and high carbon yield useful for practical application. Mainly, phenol-formaldehyde based resins are used ²¹⁻²³, although these precursors are highly toxic and/or carcinogen. As alternative to these toxic precursors we have recently proposed green resins based on phloroglucinol and glyoxylic acid in order to prepared mesoporous carbons powders and films ²⁴.

In addition to the toxic precursors often used in the Stöber method, non-aqueous solvents and hydrothermal treatments (60 to 220°C) are required to obtain carbon spheres. Another

important key of the Stöber synthesis is the catalyst employed which will direct the particle morphology and size. Ammonium hydroxide is usually employed in such synthesis but its pungent smell and its toxicity for environment direct researchers to sevaluate novel catalysts. Song et al. 25 proposed the use of urea in this aim. In the present work, trietylenediamine (TEDA) is used for the first time as catalyst and stabilizer for resin polymer/carbon spheres synthesis.

A non-exhaustive literature table gathering several synthesis conditions (type of precursors, solvents, catalysts, synthesis temperature and time) is provided is Table S1(Supporting Information) in order to illustrate these points ^{20-22;25-36}. Although, efforts have been committed to use green carbon precursors, low synthesis temperatures, aqueous solvents or shorter synthesis periods ^{14;34;35}, no synthesis methods gathering all these positive requirements have been reported yet. Moreover, the synthesis mechanisms are rarely studied although they may shed light in the understanding of the formation of such structures and in the design of materials with improved characteristics.

Therefore, we propose a simple, fast and green synthesis strategy to design carbon spheres with controlled particle shape and size. The synthesis involves the use of green resins precursors and TEDA catalyst dissolved in water at room temperature followed 25 by a short aging periods which allows to obtain monodisperse polymer resin and carbon spheres. The particle size can be tuned from 500 nm to 10 µm by varying the synthesis time or molar ratio between the resin and the catalyst. The synthesis mechanism is studied by coupling ¹³C and ¹H NMR spectroscopy, TPD-MS 30 and XPS analysis.

2. MATERIALS AND METHODS

2.1. Chemicals

35 Phloroglucinol (1,3,5-benzentriol, C₆H₆O₃), glyoxylic acid monohydrate (C₂H₂O₃·H₂O) and triethylenediamine, TEDA (C₆H₁₂N₂, also called 1,4-Diazabicyclo [2.2.2] octane or DABCO) were purchased from Sigma-Aldrich and used as received without any further purification.

40 2.2. Material Synthesis

The polymer and carbon porous materials were synthesized *via* a modified Stöber method ²⁰. However, compared to literature, our synthesis involves green precursors, water solvent and room temperature conditions, most of the works being performed under 45 hydrothermal conditions (see Table S1, Supporting Information).

Typically, phloroglucinol (0.82g) and glyoxylic acid (0.72g) were dissolved in water (40 mL) at room temperature (25 °C) and ambient pressure, followed by the addition of TEDA (0.36 g) under stirring. The obtained solution was left aging in static conditions (no stirring) for 24 h at 25°C, a phase separation occurs and the upper layer solution is discarded while the solid polymer product is recovered and dried. In some cases, centrifugation was used to recover the polymer spheres having small sizes.

55 Subsequently, a thermopolymerisation at 80°C for one night followed by another one at 150°C was performed to cross-link the polymer resin framework in the material. The obtained materials were pyrolysed at 600°C (2°C/min) under inert atmosphere (Ar) for 1 hour.

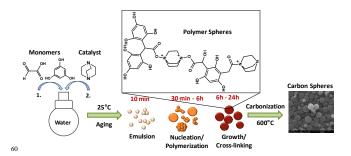


Figure 1: Schematic illustration of the synthesis of polymer and carbon spheres using green precursors and conditions.

The pyrolysis was as well performed on the as-synthesized polymer spheres (without thermopolymerization step at 65 80/150°C) in order to evaluate the necessity of such step. A schematic representation of main synthesis steps is provided in Figure 1 and Figure S1 (Supporting Information).

The influence of several parameters on the particle size and morphology was investigated. Only one parameter was changed on one time while keeping the others unmodified. The water volume investigated was: 10, 40 and 400 mL, the TEDA amount was: 0.09, 0.18, 0.36 and 0.72 g while the synthesis time was: 30 min, 1h, 3h, 6h and 24h.

2.3. Material Characterization

75 The materials morphology was evaluated by scanning electron microscopy (SEM) analysis using a FEI Quanta 400 scanning electron microscope. The particle size was measured using the SEM images and ImageJ software. The textural properties of the materials were investigated with a Micromeritics ASAP 2420 80 instrument using N₂ adsorbate at -196 °C. Prior to the analysis, the samples were out-gassed overnight in vacuum at 300°C on the degassing port followed by 4h out-gassing on the analysis port ³⁷. The BET (Brunauer-Emmett-Teller) surface area (SSA) was calculated from the linear plot in the relative pressure range of 85 0.01-0.05 while the micropore volume (V_{micro}) was determined using the Dubinin-Radushkevich (DR) equation. The total pore volume was obtained at relative pressure P/P₀ of 0.99. The pore size distributions (PSD) were determined from the adsorption branch of nitrogen isotherms using the NLDFT standard slit pore 90 model for carbon materials implemented in SAIEUS software (Micromeritics) 38;39.

The polymer resin structure was studied by solid-state ¹³C and ¹H magic angle spinning (MAS). NMR spectra were obtained at a frequency of 100.6 MHz, 400.13MHz respectively on a Bruker 95 Avance 400 NMR spectrometer. X-ray photoelectron spectroscopy (XPS) was performed with a VG Scienta SES 200-2 spectrometer equipped with a monochromatized Al Ka X-ray source (1486.6 eV) and a hemispherical analyzer. The pass energy was 100 eV. Thermo-gravimetric analysis (TGA) was 100 used to study the mass loss during the polymer spheres carbonization by heating with 2°C min-1 up to 900°C under nitrogen (METTLER-TOLEDO TGA 851e). The type of species evolved during the carbonization of polymer resin were analyzed by temperature programmed desorption coupled with mass spectrometry ^{40;41}. A small quantity of cured resin (~ 5 mg) was introduced in a quartz tube place inside of a furnace and heattreated in vacuum up to 950°C. The gases evolved during the heating process were continuously detected by a mass spectrometer.

3. RESULTS AND DISCUSSION

Polymer and carbon spheres were prepared by a facile and green synthesis approach. Phloroglucinol and glyoxylic acid were dissolved in water followed by the addition of TEDA. A colorless solution is obtained which turned rapidly into a turbid one (few minutes later). After 30 min of reaction a solid product is observed on the bottom of the balloon (Figure 2) and its quantity increases with the increase of the reaction time. This is accompanied as well by the modification of the product color from pale yellow (< 1h), to orange (3-6h) and red-brown (24 h) suggesting more advance polymerization and cross-linking between the precursors with the reaction time proceeding.

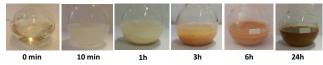


Figure 2: Photos of solution evolution during the time.

15 The recovered phenolic-resin polymer was thermopolymerized and thermally decomposed at 600°C to obtain the carbon materials. Figure 3 shows the evolution of carbon morphology with the synthesis time. In the first stages of the synthesis (10 to 30 min) spherical particles with narrow uniform size of about 500 nm are formed (Figure 3a-b). As the reaction progresses (1 to 6h), these particles tend to merge forming bigger particles with sizes of ~3-4 μm as seen in the *in-set* of Figure 3d. Therefore, agglomerated small particles and large particles coexist (Figure 3c-e). For time periods longer than 6h, mostly large individual 25 particles with uniform shape and size are obtained (Figure 3e-f).

LaMer mechanism ⁴² can be considered to explain the formation of the spheres. This involves nucleation of particles and a growth step. The nucleation proceeds with constant monomer concentration until the monomer concentration falls below the 30 critical level of nucleation (supersaturation level) and the nucleation ends. The resulting nuclei grow uniformly by diffusion of solutes toward the particle surfaces until the final size is attained 42. This mechanism seems to not be valid in this case, in agreement with other works 43;44 where the particles prepared are 35 the result of aggregation of much smaller subunits rather than continuous growth by diffusion of species from the solution towards the surfaces of nuclei. A broad range of size distribution is observed during the growth step, indicating the occurrence of several nucleation events ⁴⁵. The final particle size uniformity 40 could be achieved through a self-sharpening growth process where the small particles grow more rapidly than the larger ones.

The influence of the amount of TEDA on the size and shape of carbon spheres was investigated. The TEDA play a double role in the synthesis, i.e., stabilizer in the formation of the particles and ⁴⁵ nitrogen source to incorporate heteroatoms in the particles. For TEDA amounts smaller than 0.09 g (Figure 4a), the particle shape is irregular and the particles tend to merge to form agglomerates. Between 0.18 and 0.36 g of TEDA (Figure 4b-c), the particles present smooth and homogeneous spherical shape and the size slightly increases with the amount of TEDA but they become more homogeneous in size.

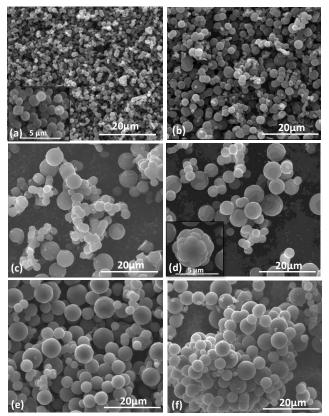


Figure 3: SEM images of carbon spheres obtained using different synthesis times: (a) 10 min, (b) 30 min, (c) 1h, (d) 3h, (e) 6h and 55 (f) 24h; (TEDA quantity: 0.36 g, water volume: 40 mL).

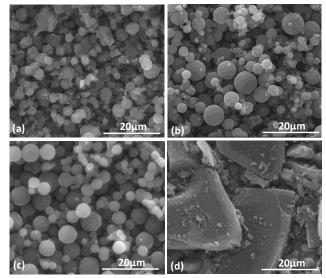
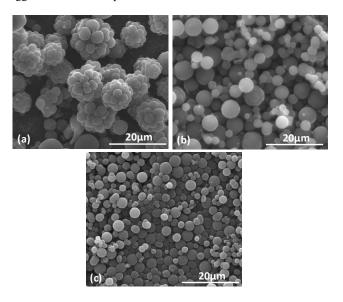


Figure 4: SEM images of carbon spheres obtained using different amounts of TEDA: (a) 0.09 g, (b) 0.18g, (c) 0.36 g and (d) 0.72g; (water amount: 40 mL, synthesis time: 24h).

To better understand the modification of carbon morphology with the TEDA amount, the pH of the solution *vs.* TEDA amount was monitored (see Figure S2, Supporting Information). With the increase of the amount of TEDA form 0.09 g to 0.72 g, the pH significantly increases from 0 to 3.5-4. Therefore, for highly acidic conditions 0 to 1, irregular and agglomerated spheres are formed probably due to the insufficient quantity of positive nitrogen charges delivered by the TEDA at the external part of

the particles. Such charges, localized at the external part of the resin allow to stabilize the morphology and to avoid the agglomeration of the particles.



5 Figure 5: SEM images of carbon spheres obtained using different water volumes: (a) 10 mL, (b) 40 mL and (c) 400 mL; (TEDA quantity: 0.36 g, synthesis time: 24h).

For pH values higher than 3, no spheres are formed. This could be related to the negative effect of such pH on the polymerization of phloroglucinol with glyoxylic acid and on the H⁺ bonding of the resin with the TEDA. As a result, the pH interval range from 1 to 2.5 seems the most convenient one to form uniform spherical particles.

15 The impact of the solvent amount on the sphere formation was evaluated using 10, 40 and 400 mL of water. As noticed in Figure 5, such parameter influences both the morphology and the size of the particles. At low water volumes (10 mL), the particles exhibit a flower-like morphology with average sizes of about 10 μm. The 20 flower particles are composed of "petals" of quasi-spherical particles with 2-3 µm of diameter. When the volume of water is increased to 40 mL, the particles become spherical and their diameter decreases to 5-7 µm (Figure 5b). Further increase in the amount of water to 400 mL has an effect only on the particle size, 25 which reduces to 2-4 µm. The decrease of the particle size with the increase of the water content in a mixture of ethanol/water has been reported by other authors. This behavior may be induced by the decrease of surface tension of the solution, resulting in smaller primary emulsion droplets and finally smaller particle 30 spheres. The formation of carbon flowers may be a result of a saturation of solution with precursors.

The textural properties of the carbon spheres were investigated and typically nitrogen adsorption/desorption isotherms and the pore size distribution are shown in Figure 6.

 35 The isotherms are of type I (Figure 6a), characterized by an important increase in nitrogen adsorption volume at low relative pressures (P/P $_0$ < 0.1) indicating the formation of microporous carbon spheres and a plateau which tend to increase between 0.1 and 1, suggesting the existence of larger micropores. This is in 40 line with the pore size distribution showing mainly uniform pore size centered around 0.6 nm (Figure 6b) and some larger

micropores comprised between 0.9 and 1.6 nm. The specific surface area and the total pore volume are ranged between 415 and 490 $\rm m^2 \cdot g^{-1}$ and 0.19-0.23 cm³·g $^{-1}$, respectively (Table 1).

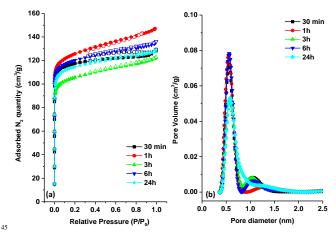


Figure 6: (a) N₂ adsorption/desorption isotherms and (b) their corresponding NLDFT pore size distribution of carbon spheres synthesized under different time periods conditions (TEDA amount: 0.36 g, water amount: 40 mL, synthesis time: 6h).

50 Table 1: Textural properties of carbon materials synthesized under different experimental conditions.

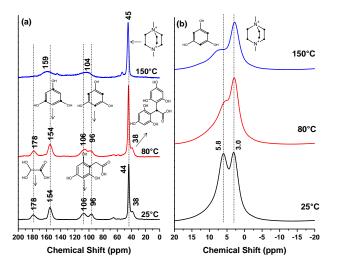
Materials	SSA, m ² ·g ⁻¹	Vt, cm ³ ·g ⁻¹	V _{micro} , cm ³ ·g ⁻¹
30min	466	0.20	0.17
1h	490	0.23	0.20
3h	415	0.19	0.15
6h	471	0.21	0.18
24h	452	0.21	0.19

The formation mechanism of polymer and carbon spheres derived from phloroglucinol-glyoxylic acid resin in the presence of TEDA was never studied and is worth to be investigated. For this aim, the NMR technique was employed to determine the structure of the resins, XPS to study its composition, while the thermal analyses (TGA and TPD-MS) were employed to understand the decomposition of polymer resin spheres and its transformation into carbon spheres.

Figure 7 shows the ¹³C and ¹H CP-MAS (cross-polarization magic angle spinning) NMR spectra of the as-synthesized polymer resins spheres at 25°C, thermopolymerized at 80°C and 150°C, respectively. At 25°C, the ¹³C spectra of polymer spheres exhibit several peaks placed at 178, 154, 106, 96, 44 and 38 *ppm* corresponding to the carbon atoms involved in different moieties of phenolic resins formed by the polymerization reactions between the phloroglucinol and glyoxylic acid in the presence of TEDA. Except the peak from 44 ppm, all the other peaks were observed belong to phloroglucinol/glyoxylic acid resins and described in detail in our previous works ^{21,24}. For clarity reasons they are reported in the *in-set* of the Figure 7a and described briefly herein.

The peak from 176 *ppm* corresponds to carbon atoms of carboxylic acid group of glyoxylic acid or its derivates. The two 75 peaks placed at 154 *ppm and* 96 *ppm* are associated to carbon

atoms in phloroglucinol, i.e., unsaturated aromatic carbons bonded with OH group (154 *ppm*) and to the CH unsaturated bond in the phenyl ring (96 *ppm*), respectively.



5 Figure 7: ¹³C and ¹H NMR spectra for phenolic resin polymer spheres as synthesized at 25°C and thermo-polymerized at 80°C and subsequently at 150°C (TEDA amount: 0.36 g, water amount: 40 mL, synthesis time: 6h).

The presence of those peaks indicates some un-reacted phloroglucinol and glyoxylic acid at this stage of reaction (25°C). However, a part of phloroglucinol reacted with glyoxylic acid by an electrophilic aromatic substitution mechanis ^{21,24} forming the trihydroxy-phenylacetic acid, identified in NMR by the peak placed at 105 *ppm*. The small peak from 38 *ppm* indicates further cross-linking of trihydroxy-phenylacetic acid with phloroglucinol *via* different mechanisms, with the formation of carboxylic acid and/or lactone bridges between the phloroglucinol rings ⁴⁶. The most intense peak noticed at 44 *ppm* is assigned to carbon atoms bonded with positively charged nitrogen of TEDA molecule. TEDA may link to phloroglucinol/glyoxylic acid resin *via* oxygen coming from trihydroxy phenylacetic acid as schematically shown in the *in-set* of Figure 1 and 7. This is evidence that the TEDA is integrated in the backbone of phenolic resin.

With the increase of the temperature at 80 and 150°C, we observe 25 progressive evolution of the glyoxylic acid and phloroglucinol peaks towards unique peaks. At 80°C, the 106 and 96 ppm resonance peaks become closer and merge to one single peak at 150°C, suggesting highly substituted phenyl ring and higher cross-linking. In the same way the 178 and 154 ppm peaks related 30 to phloroglucinol and glyoxylic acid did not progress very much at 80°C, but at 150°C they merge as well into a single peak with intermediate position, i.e., 159 ppm. Therefore, at 150°C, all initial precursors reacted to form a cross-linked phloroglucinol/glyoxylic acid/TEDA resin.

The ¹H NMR exhibit mainly two peaks at 25°C, at 5.8 and 3.0 *ppm* which are assigned to protons involved in phenyl ring of phloroglucinol and in TEDA, respectively. By increasing the temperature to 80°C and 150°C, the 5.8 ppm peak intensity significantly decreases indicating highly substituted phloroglucinol and better cross-linking, while the 3.0 ppm peak become wider indicating protons involved in rigid (cross-linked) structures. These observations are in good agreement with the ¹³C

NMR results showing increase cross-linking with the increase of ⁴⁵ the temperature.

The surface chemistry of thermopolymerized polymer spheres and of the derived carbon spheres was analyzed by XPS.

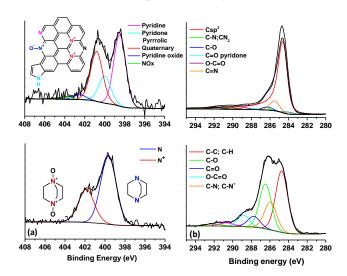


Figure 8: XPS spectra of polymer spheres thermo-polymerized 50 (down) and derived carbon spheres (up): N1s (a) and C1s (b) high resolution deconvoluted peaks; *in-set*: nitrogen groups (TEDA amount: 0.36 g, water amount: 40 mL, synthesis time: 6h).

The XPS survey spectra (not shown here) revealed that the amounts of nitrogen, oxygen and carbon before carbonization are 55 5.3, 22.7 and 72.0 at.%. After carbonization the amounts of nitrogen and oxygen decreases to 3.1 and 7.7 at.%, respectively, while the carbon amount increases to 87.9 at.%.

Figure 8a shows the high-resolution N1s XPS spectra. For polymer spheres the peak show mainly two contributions at 400 eV and 402 eV corresponding to N atoms involved in TEDA and positively charges N⁺ in TEDA, respectively, involved in the phenolic resin (Fig. 7a down). After the thermal treatment of polymer spheres, the obtained carbon spheres present a N1s peak which was deconvoluted into five main components peaks i.e., pyridinic nitrogen (398eV), pyrrolic nitrogen (400eV), quaternary nitrogen (401eV), pyridine oxide (403 eV) and nitrogen oxides (404 eV), as schematically shown in the *in-set* of Fig. 7a (up). This result confirms the incorporation of nitrogen groups into the polymer and carbon framework.

The high-resolution C1s XPS spectra (Figure 8b) of thermopolymerized polymer spheres show two merged peaks placed at 285 and 286 eV which are deconvoluted into five components. The most intense peak is placed at 284.7 and is related to C-C and C-H bonds in polymer resin, the second important contribution is placed at 286.5 and corresponds to C-O bonds, the peak at 285.9 is related to C-N and C-N+ bonds, while two other smaller contributions from 287.7 and 288.8 eV, are assigned to C=O and O-C=O bonds. After carbonization the C1s present only one intense peak at 284.5 eV which is assigned to graphite-like sp2 carbon ⁴⁷. A tail of asymmetric C 1s peak at higher binding energies is originated from the presence of carbon atoms bonded to nitrogen (C=N and C-N) and different oxygen-functional groups (C-OR, C=O and O=C-O). Those oxygen groups are in less quantity than in the polymer spheres, therefore,

85 were removed during the carbonization.

To better understand the transformation of polymer spheres into carbon spheres, thermal analysis techniques, i.e., TGA and TMD-MS were employed.

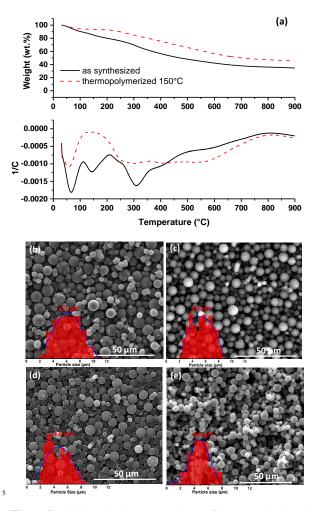


Figure 9: TGA under inert atmosphere of the as synthesized and thermopolymerized at 150°C polymer spheres (a); SEM pictures of as-synthesized (b) and thermopolymerized polymer spheres at 150°C (c) and their corresponding carbon spheres (d and e); *in- set:* particle size distribution; (TEDA amount: 0.36 g, water amount: 40 mL, synthesis time: 6h).

Figure 9 shows the TGA profile under nitrogen of as-synthesized and thermopolymerized at 150°C polymer spheres. For the assynthesized polymer spheres, three main peaks are observed. The 15 first one is placed between 25 and 100°C, with a maximum at 60°C and can be related to physisorbed water on the material. The second peak is ranged between 100 and 200°C, with a maximum at 150°C which may be assigned to water or other species evolved during the polymerization/polycondensation reactions 20 between the phloroglucinol and glyoxylic acid. The third peak is located between 250 and 400°C with a maximum at 300°C and may be attributed to the decomposition of polymer resin. When the polymer spheres are thermopolymerized at 150°C, the peak at 60°C related to physisorbed water is still observed but is less 25 intense while the peak at 150°C is not any longer visible, indicating the well cross-linking of the polymer spheres. The peak at 300°C becomes as well less defined. The yield in carbon of thermopolymerized polymer is about 55 wt.% at 600°C and 45 wt. % at 900°C, which is an advantage of resin precursor. The 30 morphology of the polymer spheres are well conserved, however, shrinkage of particle size during the thermopolymerization step and thermal treatment is observed (Figure 9b-e). The assynthesized polymer particles have an average size of 6.2 µm (inset Figure 9b) which decreases to 5.4 µm during the 35 thermopolymerization step (~ 13 % shrinkage, Figure 9c) and to 4.8 µm during the thermal treatment (~ 12 % shrinkage, Figure 9e), therefore, a total of 25% of shrinkage. These observations are in good agreement with other works 20,25;28;29;34 where shrinking of polymer particle between 12 and 30 % was observed during 40 the carbonization step. The shrinkage rate was found to depend by the polymer composition, structure and thermal annealing conditions. It is worth to note that, if the as-synthesized polymer particles are directly carbonized, the average particle size decreases to 4.6 µm (26 % shrinkage, Figure 9d), thus, the final 45 shrinkage of the particle and their size is rather similar as in the case of thermopolymerized particles.

Therefore, the obtainess of carbon spheres is not conditioned by the thermopolymerization step, even if such step is performed in most of previous works ^{20;21;25;29;30;32;33}. The removal of the thermopolymerization step represents in this case an advantage in term of synthesis time and energy consumption reduction.

More insights on the evolved chemical species during the transformation of polymer spheres into carbon spheres were assessed by TPD-MS. By such technique, the polymer spheres are heat treated under vacuum and the evolved species are monitored by a mass spectrometer. Several species, i.e., CO (*m/z* = 28), CO₂ (*m/z* = 44), H₂ (*m/z* = 2), CH₄ (*m/z* = 16) and HN₃ (*m/z* = 17) are calibrated, allowing their quantitative determination during the material decomposition. Figure 10a, shows the evolution of the experimental pressure (pressure induced during the sample heating due to the evolved gases) and the calculated pressure (calculated taking into consideration only the calibrated gases above mentioned) with the temperature. We note mainly two peaks placed around 300°C and 550°C for both pressures.

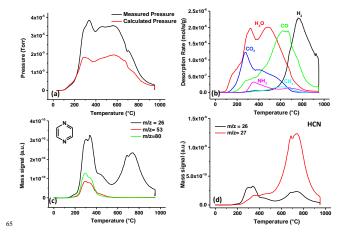


Figure 10: TPD-MS desorption spectra of polymer spheres thermo-polymerized at 150°C (a) evolution of measured and calculated pressures, (b) desorption profiles of calibrated gases and (c), (d) desorption profiles of non-calibrated gases. (TEDA amount: 0.36 g, water amount: 40 mL, synthesis time: 6h).

The experimental pressure curve is higher in intensity than the calculated pressure, implying the evolvement of supplementary gaseous species from the material during heating than the calibrated ones. For more details, the calibrated gases desorption

profiles vs. the temperature can be seen in Figure 10b. The most intense peaks are corresponding to H₂O, CO, CO₂ and H₂, while smaller contribution of CH₄ and NH₃ is detected as well.

The CO_2 peak is very large, comprised between 200 and 600°C. 5 The CO_2 formation is usually attributed to the decomposition of acidic groups such as carboxylic (~200-300°C) and/or anhydride groups (> 300°C)^{41;48}. The latest one decomposition is accompanied by the evolution of CO gas as well. The CO peak is placed between 200 and 800°C and corresponds at low temperature to the decomposition of acidic groups such anhydrides as mentioned above, and at higher temperatures to the decomposition of more basic groups such as phenol, quinones, lactones and ethers $^{37;48;49}$.

The water peak presents two maxima at 300°C and 450°C which may be related to the *in-situ* reactions between functional groups during the TPD-MS experiment. The first peak, may be linked to the desorption of water formed due to the reaction between two neighbor carboxylic groups (-COOH) of glyoxylic acid with the formation of anhydrides groups which subsequently decomposes at higher temperature (450°C) with the formation of water and concomitantly of CO and CO₂. This suggests the cross-linking and the further decomposition (carbonization) of polymer spheres. A high intense peak of H₂ is noticed for temperatures higher than 600°C due to the structural organization of carbon through C-H bond cleavage. Smaller amounts of CH₄ and NH₃ are released during this process but in smaller extent.

Besides these gases, other species are observed by MS. Firstly, we investigate the existence of mass signals coming from TEDA (Figure S3a, Supporting Information), and no peaks related to this 30 molecule were found. However, peaks with m/z = 26, 53 and 80 were detected (Figure 10c) which were found to correspond to pyrazine (Figure S3b, Supporting Information). This means that TEDA transformed into pyrazine at around 200°C, by eliminating ethane molecules, observed as well at this temperature is small 35 quantities. A closer look to the peaks of pyrazine (Figure 10c) show the presence of a secondary peak for the mass m/z = 26 at around 700°C, which do not correspond to pyrazine but rather to the hydrogen cyanide (HCN). This compound present 2 main mass signals at m/z = 26 and m/z = 27 (Figure S3c, Supporting Information), and it can be clearly confirmed with the peak at m/z= 27, that the peak at 600°C belongs to HCN. Therefore, the removal of nitrogen from the carbon structure when increasing the temperature well above 600°C occurs.

Conclusions

45 A simple and sustainable synthesis approach to design polymer and carbon spheres was developed. Such approach employs green concepts, i.e., green precursors and catalysts, water as solvent and room temperature conditions, therefore, easily scalable and answering to practical and industrial requirements. Particles with 50 uniform shape and size that could be tuned between 500 nm and 10μm were obtained by varying the synthesis conditions. The surface charges, surface tension and polymerization rate are some of the key factors influencing the particle size and morphology. The carbon particles are microporous and exhibit specific surface 55 areas up to 490 m²·g¹¹ and nitrogen in their structure. Insights on the synthesis mechanism of polymer and carbon spheres were assessed be coupling several techniques. The structure of polymer resin spheres and its evolution with the temperature was determined by ¹³C NMR and XPS while their decomposition

60 behavior along with the evolved species during the carbon sphere formation was monitored by TPD-MS.

The as-designed materials can be easily functionalized with other heteroatoms and/or metallic nanoparticles and further employed for a wide range of applications.

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Notes and references

Université de Strasbourg, Université de Haute Alsace, Institut de Science des Matériaux de Mulhouse, CNRS UMR 7361, 15 rue Jean Starcky, 68057 Mulhouse-France.

75 *Fax: +33 389 60 87 99; Tel: +33 389 60 87 43; E-mail: camelia.ghimbeu@uha.fr

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