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# Silicon carbide filters and porous membranes: A review of processing, properties, performance and application

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## ABSTRACT

Silicon carbide (SiC) filters and porous membranes is a growing industry with deployment for gas and liquid separation processes. In view of its importance, the research efforts into the development of SiC filters and membranes are of growing interest around the world. Therefore, this review paper is focused on the latest advancements in SiC and SiC composites used for the preparation of substrates and thin films in filters and membranes. There is a multitude of methods used to prepare filters and membranes of different shapes (tubular, honeycomb, flat sheets and multi-channel), which are influenced by precursor mixture and sintering conditions. In turn, these processing conditions affect porosity and pore size, which affects the transport and separation properties of SiC filters and membranes. SiC particles size and distribution allow for the precise control of pore size in membranes, leading to high gas separation factors. In addition, SiC has strong thermal stability properties that are very desirable for high temperature gas cleaning. Together with gas and liquid transport and separation properties, this review also addresses the potential applications in gas and liquid separation processes, coupled with thermal/chemical stability properties. Future challenges are highlighted towards further research efforts.

## 1. Introduction

Silicon carbide (SiC) production started more than a century ago by heating a mixture of quartz sand as silica (SiO<sub>2</sub>) and powdered coke (carbon) in an iron bowl according to the Acheson process [1]. Since then, other synthesis methods have been developed for the production of SiC nanostructures [2] or macroporous monoliths [3,4]. Furthermore, different processing routes for porous SiC ceramics have been applied to satisfy the associated requirements of porosity, pore size and degree of interconnectivity [5,6]. Porous SiC ceramics can be also produced by adding organic templates [7], sacrificial pore formers [8], direct foaming [9] or reaction sintering [10]. These methods require high

temperatures (≈ 500 °C) if SiC powder is used as a starting material [4, 11].

SiC has been making inroads into filters and porous ceramic membranes applications. Indeed this market segment is mainly dominated by oxide-based porous materials such as alumina (Al<sub>2</sub>O<sub>3</sub>) [12], zirconia (ZrO<sub>2</sub>) [13], titania (TiO<sub>2</sub>) [14] and silica (SiO<sub>2</sub>) [15]. SiC filters and porous membranes have unique characteristics when compared to their oxide counterparts, including high temperature resistance and, in some applications, improved chemical stability. Therefore, SiC filters and membranes are becoming integral part of separation of gas and liquid in processing industries such as wastewater, energy, gas cleaning, gas separation, food and pharmaceutical. Filters stop the passage of particles

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whilst allowing gases to get through; porous inorganic membranes have been used to separate gases or liquids by a mechanism known as molecular size exclusion.

The scope of this review paper is on SiC filters and porous membranes. It starts with a summary of materials and processes used to prepare SiC and SiC composite substrates, including sintering and structural aspects related to pore structure and mechanical properties. The substrates are mainly used as filters whilst the same substrates are also used for the preparation of membranes. Hence, the main processes for coating SiC thin-film top layers are also addressed. Subsequently, this review paper focuses on transport phenomena associated with gas and liquid transport in SiC filters and membranes. The discussion considers gas transport versus structural properties in filters, and Robeson plots in membranes for a few important gas separation processes. Thermal and chemical stability is also addressed in view of the importance of the industrial deployment of SiC filters and membranes. This review paper progresses towards separation process applications and potential challenges. Of particular attention, the industrial production of SiC filter and membranes in different shapes (i.e. tubes, flat sheets, multi-channel and honeycomb) is discussed. Overall, this review paper is focused on the latest advancements in the production, structural properties, challenges and industrial uptake of SiC filters and porous membranes.

## 2. Processing SiC filters and membranes

The majority of the SiC filters and porous membranes reported in the open literature or commercially available are composed of coarse porous substrates (also known as supports) as depicted in Fig. 1, which can be either SiC or SiC composite ceramics. These porous substrates can be used directly as filters or for the deposition of top layers (as thin films) in membranes for separation processes. The porous substrate therefore provides the bulk mechanical strength for industrial application whilst the coating of top layers in membranes provides the required industrial properties for processing liquids and gases.

### 2.1. Substrates

The fabrication processing steps of porous substrates include raw materials synthesis and beneficiation, shaping into green bodies, as well as intermediate and final thermal treatments. These steps are key factors

for developing structure and properties. Table 1 lists a summary of relevant information of SiC substrates reported in the literature. There are a variety of fabrication processes, shapes of substrates, heat treatments and an array of starting powders, polymer precursors, and additives used for the preparation of SiC or SiC composite substrates.

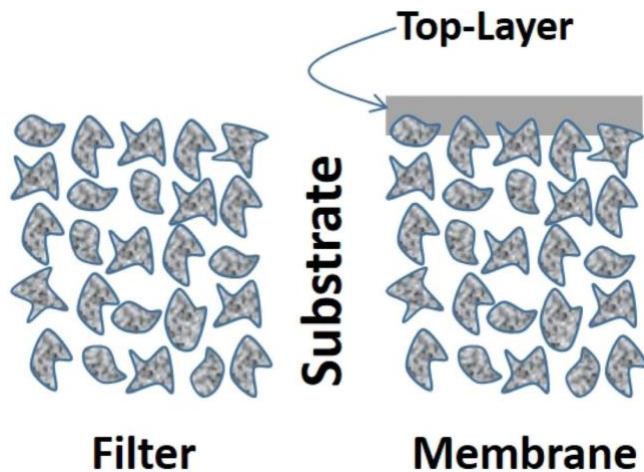


Fig. 1. Idealized schematic of porous substrates and membranes showing a top layer.

Table 1  
Processing parameters of SiC and SiC composite substrates.

Starting powders, polymer precursors and additives (mean particle size in $\mu\text{m}$ )	Shaping process	Shape and size (mm)	Thermal treatments	Ref.
$\alpha$ -SiC (1.0), $\beta$ -SiC (0.03–0.6), $\text{B}_4\text{C}^{\text{a}}$ , Phenolic resin <sup>a</sup> , Carbon black <sup>a</sup> , $\text{Al}_2\text{O}_3^{\text{a}}$ , Oleic acid <sup>b</sup>	Uniaxial pressing @ 117 MPa	Disks (32 $\phi$ )	1950 °C in Ar	[16]
$\beta$ -SiC (0.6)	Uniaxial pressing	Disks	1950 °C in Ar	[17]
$\beta$ -SiC (0.6)	Uniaxial pressing	Tubes (12 outer $\phi$ , 3 thick, 40 long)	1800 °C/3 h in He	[18]
$\beta$ -SiC (0.6)	Uniaxial pressing	Tubes (12 outer $\phi$ , 3 thick, 40 long)	1700 °C/3h in He	[19]
$\alpha$ -SiC, $\alpha$ - $\text{Al}_2\text{O}_3^{\text{a}}$ , Graphite <sup>a</sup>	Uniaxial pressing, machining	Disks (20 $\phi$ $\diamond$ 3)	1350–1500 °C/4 h in air	[20]
$\beta$ -SiC (0.3), $\alpha$ - $\text{Al}_2\text{O}_3$ (0.2) <sup>a</sup>	Uniaxial pressing @ 40 MPa; Cold Isostatic Pressing (CIP) @ 100 or 400 MPa	Disks (20 $\phi$ $\diamond$ 5)	1500–1800 °C/2 h in Ar	[21–23]
$\alpha$ -SiC (0.45), $\beta$ -SiC (1.7), $\text{Al}_2\text{O}_3^{\text{a}}$ , $\text{Y}_2\text{O}_3^{\text{a}}$ , Polyethylene glycol (PEG) <sup>b</sup> , Poly (methylmethacrylate) (PMMA) <sup>f</sup>	Uniaxial pressing @ 50 MPa	Bars (30 $\diamond$ 25 $\diamond$ 3)	1000 °C/4 h, 1950 °C/4 h in Ar	[24]
$\alpha$ -SiC (22), Polyvinyl alcohol (PVA) <sup>b</sup> , Petroleum coke (11) <sup>f</sup>	Uniaxial pressing @ 23 MPa	Bars (50 $\diamond$ 20 $\diamond$ 16) and disks (40 $\phi$ $\diamond$ 10)	1100 & 1300 °C/4 h in air	[25]
$\alpha$ -SiC (22), $\alpha$ - $\text{Al}_2\text{O}_3$ (6) <sup>a</sup> , MgO (4) <sup>a</sup> , Petroleum coke (11), PVA <sup>b</sup>	Uniaxial pressing @ 23 MPa	Bars (47 $\diamond$ 20 $\diamond$ 13) and disks (40 $\phi$ $\diamond$ 10)	1100 °C/4 h, 1350 °C/4 h	[26]
$\alpha$ -SiC (22), $\alpha$ - $\text{Al}_2\text{O}_3$ (6) <sup>a</sup> , Petroleum coke (11), PVA <sup>b</sup>	Uniaxial pressing @ 23 MPa	Bars (50 $\diamond$ 20 $\diamond$ 16) and disks (40 $\phi$ $\diamond$ 10)	1100 °C/4 h, 1500 °C/4 h	[27]
$\beta$ -SiC (0.6), $\beta$ -SiC (0.1–0.2), SiC nanofibers	Uniaxial pressing	Tubes	450 °C/2 h in air; 1700 °C	[28]
SiC	Uniaxial pressing	Disks (25 $\phi$ $\diamond$ 5)		[29]
$\alpha$ -SiC (~100), $\text{ZrO}_2$ (~5) <sup>a</sup> , Activated carbon (~15) <sup>a</sup> , $\beta$ -SiC (2) whiskers <sup>h</sup> , (or Mullite (2) fibers <sup>11</sup> ), PVA <sup>b</sup> , Paraffin <sup>f</sup>	Uniaxial pressing @ 8 MPa	Disks (30 $\phi$ $\diamond$ 3) and strips (50 $\diamond$ 6 $\diamond$ 6)	1450-1550 °C/2–6 h in air	[30,31]
$\alpha$ -SiC (98 or 300), CaO (~5) <sup>a</sup> , $\text{ZrO}_2$ (~5) <sup>a</sup> , Activated carbon (~20) <sup>f</sup> , Mullite (2) fibers <sup>h</sup> , PVA <sup>b</sup> , Paraffin <sup>f</sup>	Uniaxial pressing @ 8 MPa	Disks (30 $\phi$ $\diamond$ 3) and strips (50 $\diamond$ 6 $\diamond$ 6)	1550 °C/ 2–6 h in air, or 500 °C/2 h and 1450 °C/4 h in air	[32,33]
$\alpha$ -SiC (100), Sodium dodecyl benzene sulfonate (SDBS) <sup>a</sup> , $\text{ZrO}_2^{\text{a}}$ , Activated carbon (20), PVA <sup>b</sup> , Water <sup>d</sup>	Uniaxial pressing @ 8 MPa	Disks (30 $\phi$ $\diamond$ 3) and strips (50 $\diamond$ 6 $\diamond$ 6)	1150 °C/4 h in air	[34]
SiC (5–125), Glass frit <sup>a</sup>	Uniaxial pressing @ 30 MPa	Disks (20 or 50 $\phi$ $\diamond$ 10)	850 °C/1 h	[35]

[36]

(continued on next page)

**Table 1** (continued)

Starting powders, polymer precursors and additives (mean particle size in $\mu\text{m}$ )	Shaping process	Shape and size (mm)	Thermal treatments	Ref.
SiC (10–65), Glass frit <sup>a</sup> , Polyethylene glycol (PEG) <sup>b</sup> , (PVA) <sup>b</sup>	Uniaxial pressing @ 40 MPa	Disks (25 $\phi$ $\phi$ 3.5)	850 °C/2 h in air	
$\alpha$ -SiC (1.1), $\alpha$ -Al <sub>2</sub> O <sub>3</sub> (6.5) <sup>a</sup> , Y <sub>2</sub> O <sub>3</sub> (0.35) <sup>a</sup> , Graphite (12.9) <sup>f</sup> , PMMA (8) <sup>f</sup> , PVA <sup>b</sup>	Uniaxial pressing @ 23 MPa	Disks (40 $\phi$ ) and bars (50 $\phi$ 20 $\phi$ 16)	850 °C/2 h in air; 1300 °C/2 h in air or 1700 °C/2 h in Ar	[37]
SiC (120), B C (1) <sup>a</sup> , PVA <sup>b</sup>	Uniaxial pressing @ 30 MPa	Bars (65 $\phi$ $\phi$ 8) and disks (30 $\phi$ $\phi$ 5)	2100–2250 °C/1 h	[38,39]
$\alpha$ -SiC (1, 20), $\alpha$ -Al <sub>2</sub> O <sub>3</sub> (1.5) <sup>a</sup> , Y <sub>2</sub> O <sub>3</sub> (0.8) <sup>a</sup>	Hot pressing @ 1800 °C/30 MPa		1800 °C/2h @ 30 MPa	[40]
$\alpha$ -SiC (0.30), Al <sub>2</sub> O <sub>3</sub> (0.17) <sup>a</sup> , Cellulose <sup>b</sup> , Water <sup>d</sup>	Extrusion	Tubes (6 $\phi$ <sub>ext</sub> , 2 thick, 100–600 long)	600 °C/2 h, 1800 °C/2 h in Ar	[41]
SiC, SiO <sub>2</sub> <sup>a</sup>	Extrusion	Honeycomb cut prisms (10 $\phi$ 8 $\phi$ 8)		[42]
$\alpha$ -SiC (4.5, 6.7, 14.5), Kaolin (2.1) <sup>a</sup> , Methyl cellulose (MC) <sup>b</sup> , Water <sup>d</sup>	Extrusion	16 channels Flat tubes (200 $\phi$ 50 $\phi$ 4)	400 °C/4 h; 1200–1500 °C/1 h in air	[43–45]
SiC (0.5, 3, 30), Sodium carboxymethyl cellulose (Na-CMC) or Carbon black (2) <sup>e</sup>	Extrusion	Plaques (1000–1500 $\phi$ 8 $\phi$ 100)	800–1100 °C/3 h; 2200–2300 °C in vacuum	[46,47]
SiC (0.4, 0.6), Polyethersulfone (PES) <sup>b</sup> , N-methyl-2-pyrrolidone (NMP) <sup>d</sup> , Water <sup>f</sup>	Dry-wet spinning @ 2 bar	Hollow fibers (~1.8 outer $\phi$ , ~0.5 thick, ~50 long)	1000–1500 °C/3 h in Ar or N <sub>2</sub> ; 1790 °C/6 h in Ar; 2075 °C/0.75 h in Ar	[48]
$\beta$ ( $\alpha$ )-SiC (0.13), Al <sub>2</sub> O <sub>3</sub> <sup>a</sup> , PVA <sup>b</sup> , Ethylene glycol <sup>b</sup> , Darvan C <sup>c</sup>	Slip casting	Tubes and disks	800 °C/1 h in Ar; 1600 °C in Ar	[49,50]
SiC (0.14), $\alpha$ -Al <sub>2</sub> O <sub>3</sub> (0.14) <sup>a</sup> , Y <sub>2</sub> O <sub>3</sub> (0.08) <sup>a</sup> , Water <sup>d</sup>	Slip casting		1400, 1500, or 1700 °C/2 h @ 39 MPa in Ar	[51]
SiC (5), PEG <sup>b</sup> , MC <sup>b</sup>	Tape casting	Tapes (0.32–0.38 thick)	500 °C/1.5 h; 1500 °C/1 h in N <sub>2</sub>	[52]
$\alpha$ -SiC (0.40), Al <sub>2</sub> O <sub>3</sub> <sup>a</sup> , Y <sub>2</sub> O <sub>3</sub> <sup>a</sup> , Gelatin <sup>i</sup>	Gel/freeze casting @ $\phi$ 10 or $\phi$ 70 °C	Disks (70 $\phi$ $\phi$ 10), tubes, honeycombs	600 °C/2 h, 1800 °C/2 h in Ar	[23,53]
$\alpha$ -SiC (0.5), B <sub>4</sub> C (1) <sup>a</sup> , Carbon black (0.2) <sup>a</sup> , AM $\beta$ MBAM $\beta$ APS $\beta$ TEMED <sup>c</sup> , TMAH <sup>c</sup> , PVP <sup>c</sup> , Ovalbumin <sup>g</sup> , PAA <sup>i</sup>	Gel casting	Foam bricks (110 $\phi$ 70 $\phi$ 25)	1400 °C/0.5 h in vacuum; 2150 °C/1 h in Ar	[54]

Blank spaces correspond to unavailable data.

<sup>a</sup> sintering aid.

<sup>b</sup> binder/plasticizer.

<sup>c</sup> dispersant.

<sup>d</sup> solvent/liquid medium.

<sup>e</sup> pressing aid/lubricant.

<sup>f</sup> pore former.

<sup>g</sup> surfactant.

<sup>h</sup> reinforcing agent.

<sup>i</sup> gel casting additives.

<sup>j</sup> coagulant.

A variable combination of SiC powder composition, particle size and sintering additives has been employed for the preparation of SiC and SiC composite substrates as listed in Table 1. The most common materials are  $\alpha$  and  $\beta$  phases of SiC as  $\alpha$ -SiC and  $\beta$ -SiC. The  $\alpha$ -SiC has a hexagonal crystal phase and is generally formed at temperatures higher than 1700 °C whilst the  $\beta$ -SiC has a cubic crystal phase formed at temperatures below 1700 °C [55]. SiC mean particle sizes varied in the range of 30–300  $\mu\text{m}$ . As a general rule in porous ceramics, the larger the initial particle size, the larger is the pore size formed. In some cases, fine and coarse SiC particles were used in order to produce macroporous ( $d_p > 50$  nm) substrates. This allowed the improvement of packing small and large particles together for the preparation of green ceramic bodies [40], and a suitable technique to control the pore size of substrates. SiC and mullite whiskers were eventually used as reinforcing agents when packed with SiC and/or ceramic oxide particles.

The preparation of pure SiC substrates was limited to a few reports only, as listed in Table 1. The majority of the substrates produced were SiC composites and, apart from boron carbide (B<sub>4</sub>C), the majority of the substrates were mixed with oxide ceramics such as Al<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub>, Y<sub>2</sub>O<sub>3</sub>, MgO, CaO, SiO<sub>2</sub>, mullite and kaolin. These oxides were mainly used as sintering aids for promoting densification through liquid phase sintering, which tend to modify grain boundary and surface energies, thus favoring mass diffusion rates during sintering. Further, these oxides had different particle sizes as compared to the SiC powders, also focusing on pore size control of substrates. Glass frit was employed as an alternative sintering aid for the production of composite substrate, though as a silica-based glass powder, it was also used as a binding agent.

Binders and plasticizers were also needed, such as polyvinyl alcohol (PVA), cellulose-based compounds (methyl cellulose, MC, and carboxymethyl cellulose, CMC), ethylene and polyethylene glycol (PEG).

Binders and plasticizers were commonly added to make pressing and/or extrusion feasible. Binders such as PVA increase the mechanical strength of green bodies [56]. Plasticizers such as PEG reduce viscosity and allow the preparation of flexible ceramic pastes, and are generally used for the preparation of composite and laminar ceramics [57].

In most cases, uniaxial pressing (see Table 1) at room temperature was used to shape the geometry of SiC substrates at pressures between 8 and 117 MPa. The majority of the work reported using uniaxial pressing was for the preparation of disk geometries with varying dimensions of 20–50 mm diameter and 3–10 mm thick. The smaller diameter disk had the lowest thickness and vice-versa. As uniaxial pressing brings the particles together and increase packing density, many of the disk preparation methods contained SiC particles with sintering agents (e.g., oxide ceramics). The uniaxial pressing was also used to prepare bar (also strip) geometries with typical thickness varying from 3 to 16 mm and width/length in the range of 25–65 mm. In order to facilitate uniaxial pressing, lubricants such as oleic acid or paraffin were used as pressing aids.

Many bars and strips contained sintering agents, in addition to binders (such as PVA) and pore formers (such as petroleum coke). Tubes were also produced by the uniaxial method with typical dimensions of 12 mm outer diameter, 3 mm thick and 40 mm long. Tubes required binders and plasticizers in order to maintain the production of green tubular geometries. A few examples for the preparation of SiC composite substrates include the use of cold isostatic pressing (CIP) for better consolidation at the green state in pressure ranges from 100 to 400 MPa. Hot pressing at 1800 °C for 2 h under a pressure of 30 MPa was also performed, for simultaneous substrate shaping and sintering.

SiC composite plastic forming was mostly carried out by extrusion, resulting typically in tubular geometries with outer diameter of 6 mm, thickness of 2 mm, and lengths between 100 and 600 mm. Honeycomb prisms (10  $\phi$  8 mm) were also manufactured by extrusion, as well as multichannel flat tubes (200  $\phi$  4 mm) or plaques (1000–1500  $\phi$  8  $\phi$  100 mm). Alternatively, hollow fibers (~1.8 mm outer diameter, ~0.5 mm thick, ~50 mm long) were prepared by dry-wet spinning at 2 bar. In the case of hollow fibers, this process is also known as phase



inversion, as water coagulates the polymeric binder [58,59]. The coagulation is essential to maintain the hollow fiber geometry during spinning. Slip and gel casting methods were also used, whilst the latter was aided by gelatin compounds. Process/shape variations were reported for the production of honeycombs by gel/freeze casting and foam bricks (110 to 250 mm) by gel casting.

Thermal treatment temperatures varied from low as 450 °C to as high as 2250 °C. Lower temperatures are generally applied for a pre-sintering conditioning of substrates. However, the majority of the heat treatment temperatures in Table 1 are in excess of 1000 °C. These high temperatures allow for the formation of necks in ceramic particles during sintering, thus strengthening the mechanical stability of the SiC or SiC composite substrate. For instance, SiC particles in composites (with Al<sub>2</sub>O<sub>3</sub> and Y<sub>2</sub>O<sub>3</sub>) required higher sintering temperature (1700 °C). In the case that the sintering temperature was raised from 1700 to 1900 °C, the mechanical strength increased threefold from ~200 to ~600 MPa [60]. Hence, mechanical strength is the reason that many α-SiC-based composite substrates were sintered at temperatures in excess of 1700 °C, though the trade-off is densification, which must be counteracted by the purpose of producing porous substrates.

In order to address the problem of densification at high temperatures, many research groups added pore forming agents into the precursor mixtures such as phenolic resin, polystyrene (PS), poly(methyl methacrylate) (PMMA), poly(acrylic acid) (PAA), petroleum coke, activated carbon, graphite, surfactants or carbon black. In the case that air was used in the thermal treatment at high temperatures, all these pore forming agents are eventually fully burnt off from the matrix of the SiC composites, forming CO<sub>2</sub>. Even the most resistant carbon structure (i.e., graphite) is fully combusted in air at 800 °C [61]. Hence, the voids left by the combustion of carbon-based materials result in porous structures.

In the case of thermal treatment using non-oxidizing atmospheres (i.e., He, Ar or N<sub>2</sub>) many of the volatile compounds in the carbon materials evaporate from the substrate under thermal treatment. For instance, the carbonization (in N<sub>2</sub> and up to 700 °C) of phenolic resins, phenol and other volatile groups [62] leave the material leading the matrix to retain almost pure carbon. As the graphitization of carbon starts at 1700 °C [63], possibly graphite structures are generated in the SiC composites containing carbon pore forming agents for sintering temperatures >1700 °C. Again, the issue of high temperature sintering is densification of the substrate. This problem can be easily addressed by a post-sintering in air (oxidizing atmosphere) up to 800 °C, where any carbon (and/or graphite) retained in the structure of the SiC composite substrates are fully combusted.

In summary, SiC is a very flexible material that can be assembled as a composite with ceramic oxides and can be mixed with a number of additives such as binders, plasticizers and pore forming agents. In addition, the SiC flexibility is reflected in a range of ceramic processing such as uniaxial pressing, extrusion, slip/tape casting and dry/wet

spinning. These ceramic processes permitted the production of a number of geometries/shapes, such as disks, bars, tubes, hollow fibers, multi-channel and honeycomb porous substrates. Heating programs with varying ramp rates and dwell times were used to dry out solvents or desorbed liquids, to burn off organic additives (including pore formers) and for curing/pyrolysis similar to conventional oxide ceramics. Many advantages are conferred by using SiC with other materials, where temperature and heat treatment temperature can deliver desired porosity and mechanical properties.

## 2.2. Filters

Both α-SiC and β-SiC powders were used as starting materials to prepare filters as substrates as listed in Table 1. Substrates containing α-SiC (0.45 μm) resulted in aggregated grains (Fig. 2a) showing the formation of interconnected porous network. It is also observed that the SiC particles of similar size were bonded at the contacting points by well-developed necks. α-SiC (1.7 μm) consisted of large platelet grains formed in-situ from β-SiC powders mixed with a small (~10%) amount of α-SiC powders (Fig. 2b), which tend to reduce the flexural strength due to increased pore sizes [24]. Fig. 2c presents an image of a substrate with an interesting binary pore size formation (large and small pores), also known as hierarchical structure. This SiC substrate was derived from macrocellular preceramic polymers (polycarbosilane and poly(vinyl) silazane) combined with sacrificial porogens (ultra-high molecular weight polyethylene microbeads) [64].

The flexibility of tailoring structures in SiC substrates as filters is further demonstrated in Fig. 3, which shows pore size versus pore volume for high and low values reported in the same publication. It is observed that there is a cluster of results ranging from 0.5 to 100 μm in pore size and 25–73% in pore volume. These results reflect different starting powders/precursors and preparation/processing methods. For instance, the larger the SiC and other particles are, the larger is the pore size and volume. Larger particles also form coarser SiC filters. The use of pore formers, which can be burnt out, also increased these two important structural parameters. By the same token, smaller particle sizes or mixing smaller particles with larger particles resulted in smaller pore sizes and pore volumes. The very large pore sizes (>100 μm) and pore volumes (>90%) are associated with the use of large porogenic agents, whilst the smaller pore sizes (<0.2 μm) and pore volumes (<35%) are formed by the use of very fine powders.

Fig. 4 displays a limited number of flexural strength versus porosity results for SiC or SiC composite substrates available in the literature. The data is very scattered, given a clear indication that the starting powders/precursors used (SiC particle size and reinforcing agents) in addition to the processing and sintering conditions tend to affect the final mechanical properties. Nevertheless, a few trends are clearly observed. The first trend is that for the same sintering temperature, the flexural strength is inversely proportional to porosity. A second trend is that for

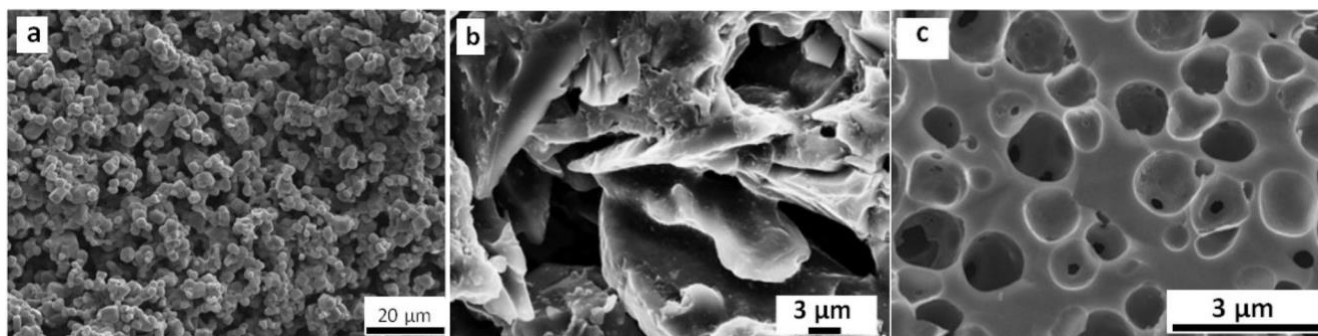


Fig. 2. SEM images (a) α-SiC and (b) α-SiC formed in-situ from β-SiC with 10% α-SiC powders by sintering at 1950 °C [24]; (c) hierarchical SiC using ultra-high molecular weight polyethylene powder (UHMW-PE) containing allyl-hydridopoly-carbosilane (AHPCS) [64].

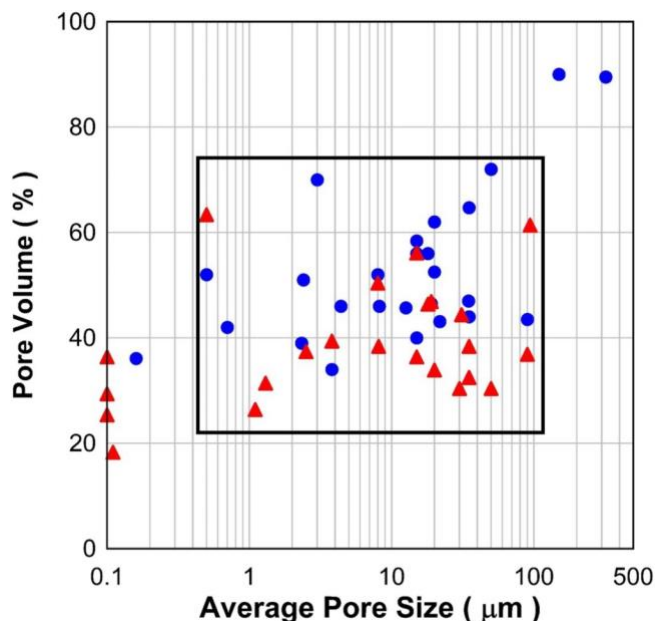


Fig. 3. Pore size versus pore volume of SiC or SiC composite substrates.

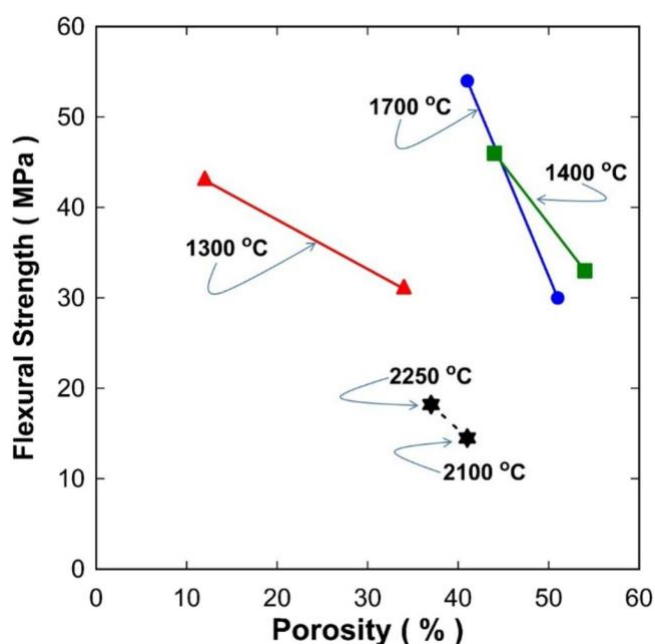


Fig. 4. Flexural strength versus porosity of SiC and SiC composite substrates [37,43,47].

the same material, increasing the sintering temperature decreases porosity resulting in increased flexural strength. These results clearly indicate that increasing the sintering temperature tends to densify porous materials, which in turn increases the bonding between particles and leads to high flexural strength, as reported by Tamari et al. [60] for SiC composite materials.

### 2.3. Top layer coating for filters and membranes

Table 2 lists representative information on the preparation of SiC filters and membranes, where top layers are coated on porous substrates. The majority of the methods used to deposit top layers on substrates were slip casting or dip coating, though spray coating was also reported.

Table 2  
SiC top layer processing parameters for SiC filters and membranes.

Starting powders, polymer precursors and additives (mean particle size in $\mu\text{m}$ )	Coating	Thickness ( $\mu\text{m}$ )	Thermal treatments	Ref.
$\beta$ -SiC (0.03), Acetone <sup>e</sup>	Slip casting		1950 °C in Ar	[16]
SiC, Allyl-hydridopoly-carbosilane (AHPCS) <sup>d</sup> , Hexane <sup>e</sup>	Dip coating		400 °C/1 h; 600–1600 °C/2 h in Ar	[17]
SiC, AHPCS <sup>d</sup> , Acetone <sup>e</sup> , Hexane <sup>e</sup>	Slip casting, Dip coating	~2	400 °C/1 h; 750 °C/2 h in Ar; 450 °C/2 h in air	[18]
SiC, AHPCS <sup>d</sup> , Acetone <sup>e</sup> , Hexane <sup>e</sup> , Polystyrene (PS) <sup>f</sup>	Slip casting, Dip coating	~7	400 °C/1 h; 750 °C/2 h in Ar; 450 °C/2 h in air	[19]
$\beta$ -SiC (0.1–0.2), SiC nanofibers, AHPCS <sup>d</sup> , Toluene <sup>e</sup> , Hexane <sup>e</sup> , PS <sup>f</sup>	Slip casting, dip coating	~10	400 °C/1 h; 750 °C/2 h in Ar; 450 °C/2 h in air	[28]
$\alpha$ -SiC(0.4), AHPCS <sup>d</sup> , Hexane <sup>e</sup> , Tetradecane <sup>e</sup>	Dip coating	8–22	400 °C/1 h; 750 °C/2 h in Ar	[29]
SiC (10), MC <sup>b</sup> in water <sup>e</sup> , SiC whiskers; CaO <sup>g</sup> , ZrO <sub>2</sub> <sup>g</sup> , Mullite (1), TL-56NQ	Spray coating @ 0.2–0.3 MPa	125–200	1150–1250 °C/2 h in air; 1350–1550 °C/4 h in Ar	[32]
SiC (22), B <sub>4</sub> C (1) <sup>a</sup> , PVA <sup>b</sup> , Tetramethylammonium hydroxide (TMAH) <sup>c</sup> , Water <sup>e</sup>	Dip coating	~100	2200–2250 °C	[38, 39]
SiC (0.55 $\mu\text{m}$ ), Water <sup>e</sup> , Iso-propyl alcohol (IPA) <sup>e</sup> , PVA <sup>b</sup> , PEG <sup>c</sup> , Darvan CN <sup>c</sup>	Dip coating	5–30	900 to 1300 °C/1 h	[44, 45]
SiC (0.5 and 3 $\mu\text{m}$ ), Na-CMC <sup>b</sup> , Poly(acrylic acid) (PAA) <sup>e</sup>	Dip coating	60	1900–2000 °C in vacuum	[46]
SiC (0.5 and 3 $\mu\text{m}$ ), Na-CMC <sup>b</sup> , PAA <sup>e</sup>	Spray coating	60	1850–1950 °C/1 h in Ar	[47]
$\beta$ ( $\alpha$ )-SiC (0.13 $\mu\text{m}$ ), Al <sub>2</sub> O <sub>3</sub> <sup>a</sup> , PVA <sup>b</sup> , Ethylene glycol <sup>b</sup> , Darvan C <sup>c</sup>	Slip casting	~50	800 °C/1 h in Ar; 1400–1500 °C in Ar	[49]
Poly(dimethylsilane) (PMS) <sup>d</sup> , p-Xylene <sup>e</sup>	Dip coating		460 °C/14 h in Ar; 200 °C/1 h in air; 300–950 °C in Ar	[50]

<sup>a</sup> sintering aid.

<sup>b</sup> binder/plasticizer.

<sup>c</sup> dispersant.

<sup>d</sup> pre-ceramic polymer.

<sup>e</sup> solvent/liquid medium.

<sup>f</sup> pore former.

<sup>g</sup> defoaming agent.

In the simplest case, SiC powder was dispersed in water forming a coating suspension. Variations of the precursor solution in Table 2 included the addition of dispersant (Darvan and TMAH), binder (PVA, PEG, ethylene glycol, CMC) or an alternative liquid medium (PAA). Preceramic polymers, such as allyl-hydridopoly-carbosilane (AHPCS) or poly(dimethylsilane) (PMS) dissolved in an appropriate solvent, were also used for top-layer coating. These additives were added to coating solution to adjust rheological parameters.

Subsequently to coating, the coated substrates were exposed to heat treatment to provide a good adherence of the top layers with the substrate, whilst conferring the required pore size of the sintered top layer for separation processes. In the case of SiC top layers, the sintering temperatures are generally similar or lower than those applied for the substrates (1150–2000 °C in Ar, air or vacuum). In the case of polymer-

derived SiC ceramics (i.e., AHPCS), lower temperatures were required for cross-linking and pyrolysis, typically in the range of 750–1600 °C (in Ar). The primary pyrolysis of AHPCS leads to the formation of SiC. Any residual carbon can be removed in a final air oxidation heat treatment at lower temperatures (~800 °C).

Upon sintering, the top layer attains the required pore size control enabling the membrane to separate gas/liquid generally by size exclusion, though sorption/diffusion can also affect the separation of molecules. In the case of slip-cast or dip-coating methods, the thickness of the top layers ranged from 0.15 to 100 μm, though thicker layers of 125–200 μm were attained by the spray coating method. SiC membranes were prepared in the asymmetric configuration as depicted in Fig. 5a, showing a top layer (thin film) coated on a coarse porous substrate. A general rule dictates that the top layer should be as thin as possible to reduce the resistance for the permeation of gas/liquid molecules. In other words, the thinner the top layer is the higher is the flux and vice-versa. Therefore, the thinner films in Table 2 are generally associated with SiC membranes, whilst the thicker films are those used for special applications as filters. For instance, the top layers containing SiC whiskers or those prepared using spray drying generated thicker films (>60 μm), which were used for air filtering and soot filtering/catalysis in diesel engines.

Many of the reports involved the preparation of top layers containing two or more coated layers, where each layer is coated and sintered before adding another layer and successive sintering. This practice of multi top layer coating and sintering [68] follows a principle of reducing coating defects on thin films, which are susceptible to defect formation due to porous substrate surface roughness, environmental dust and other factors. Hence, high quality membranes are generally prepared in clean rooms to avoid environmental dust [69], whilst substrates are sanded to deliver very smooth surfaces for the coating of top layers. SiC nanofibers (Fig. 5b) and ceramic oxides (e.g. mullite, zirconia and calcium oxide) were also added to some of the coating mixtures, aiming at controlling either shrinkage or porosity during thermal treatment.

SiC hollow fiber membranes were produced through wet-dry spinning. Hollow fibers generally have external diameters below 1 mm. Hence, this geometry is desirable since it allows for high aspect ratio in engineering applications, where a large number of hollow fibers can be packed in a single volume, thus delivering a high surface area ratio per volume. However, brittleness and weak mechanical strength are generally associated with ceramic hollow fibers. It is noteworthy that the SiC hollow fiber structural formation is characterized by macrovoids (finger-like pores) at the inner shell and a sponge-like porous region closer to the outer shell (Fig. 5c). This structure is derived from the phase inversion process imbibed during the wet-dry spinning of hollow fibers [70,71]. Essentially, the hollow fibers require a polymeric binder and an organic solvent mixed with the SiC powders as a precursor solution. Upon spinning, the precursor contacts the non-solvent (water) liquid through the inner shell of the hollow fibers [72,73]. This causes a fast solvent and non-solvent exchange resulting in the formation of finger-like pores. Once the hollow fiber reaches a coagulation bath

(generally water), then the outer shell of the hollow fiber is solvent lean, so the solvent and non-solvent exchange is minor and the structure tends to be sponge-like.

SiC is also a flexible processing material for the production of top layers with dimensions as thin as 2 μm and up to 200 μm as listed in Table 2. The SiC precursor solutions for the preparation of top layers were as simple as SiC powders dispersed in water, or contained a series of additives such as binders and sintering agents, in addition of whiskers. Further processing flexibility translated into preparing top layers by slip casting, dip coating, spray drying and dry-wet spinning. After heat treatment, SiC top layers confer the final properties for separation processes as filters and/or membranes.

### 3. Gas and liquid transport and separation properties

#### 3.1. SiC filters

Filters are generally used for filtering particles from gas streams whose performance is measured using Darcy's permeability. In its simple form, Darcy's equation states that:

$$Q^{1/4} = \frac{kA\Delta P}{\mu L} \quad (\text{Eq. 1})$$

where  $Q$  is the flow rate ( $\text{m}^3 \text{s}^{-1}$ ),  $k$  is Darcy's permeability ( $\text{m}^2$ ),  $A$  is the cross section area of the filter ( $\text{m}^2$ ),  $\Delta P$  is the pressure drop (Pa) along the length  $L$  (m) of the filter for a fluid with a dynamic viscosity  $\mu$  (Pa s). In other words,  $k$  is a mass transfer coefficient, which depends on the intrinsic structural properties of filters.

Fig. 6a shows Darcy's permeability versus porosity of SiC or SiC composite filters. It is observed that as the porosity increases so does Darcy's permeability. This is shown in Fig. 6a as a narrow band of results. The low dispersion of values within this narrow band is generally due to structural variations of the filters in addition to experimental variations. The increase of Darcy's permeability with porosity is associated with the reduction of resistance for the flow of air or nitrogen. Hence, the smaller the porosity the higher is the resistance to flow resulting in lower Darcy's permeability and vice-versa. However, a number of results (see circle in Fig. 6a) show Darcy's permeability at least one order of magnitude higher for the same porosity. These results are unusual and, therefore, Darcy's permeability was plotted versus pore size. Fig. 6b also shows that Darcy's permeability increases as a function of the pore size, although there is a significant variation, as results are scattered by up to two orders of magnitude. Nevertheless, Fig. 6b (see circle) shows that the filters with the unusual high Darcy's permeability indeed had large pore sizes. This explains that filters with high porosity and large pore size will deliver high Darcy's permeability. Darcy's permeability in Fig. 6 ranged from  $\sim 10^{13}$  to  $\sim 10^{10} \text{ m}^2$ . These values are in line with those reported by Innocentini et al. [74,75] for filters, and comparable to their oxide ceramic counterparts. The pore size of filters is generally high, so filters are used for particle separation gas

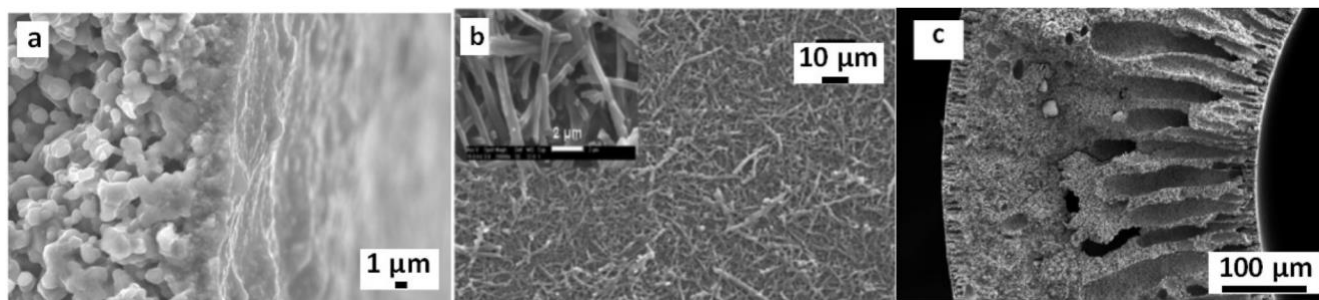


Fig. 5. SEM images of SiC porous microstructures: (a) asymmetric membrane with a top layer [67]; (b) top layer containing SiC nanofibers [28]; and (c) SiC hollow fiber [48].



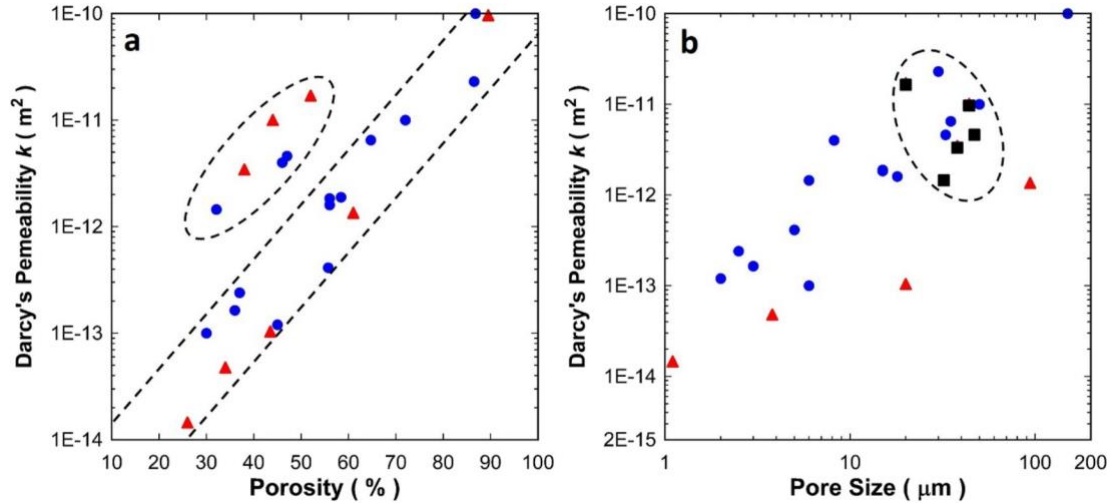


Fig. 6. Darcy's permeability versus: (a) porosity and (b) pore size [20,23–27,31,35,36,38–40,53,54,64,65].

streams reaching filtration efficiencies as high as ~99%.

### 3.2. SiC membranes for gas separation

SiC membranes are asymmetric structures, where the SiC top layer has the capabilities to separate gases by molecular exclusion, a mechanism also known as molecular sieving. As such, the SiC top layer is a limiting factor and the transport of gases follows Fick's law. As gas adsorption in SiC generally complies with Henry's law, Fick's law can be simplified to:

$$J = P \Delta p \quad (\text{Eq. 2})$$

where  $J$  is the flux ( $\text{mol m}^{-2} \text{s}^{-1}$ ),  $P$  is the mass transfer coefficient ( $\text{mol m}^{-2} \text{s}^{-1} \text{Pa}^{-1}$ ) for a  $\Delta p$  pressure gradient (Pa) applied across the membrane. The mass transfer coefficient  $P$  is the most used parameter to compare membranes, as it is a pressure-normalized parameter.

Another excellent yardstick to compare the performance of membranes is a gas selective factor ( $S_{A/B}$ ), also known as permselectivity. This factor is generally used for comparing the permeation of pure or single gases (A or B) through the membrane as set out in Eq. (3). In this case, if adsorption has low coverage, the permeance of the gas with the smaller kinetic diameter ( $d_{k,A}$ ) is higher than that of the gas with the larger kinetic diameter ( $d_{k,B}$ ), so  $P_A > P_B$ .

$$S_{A/B} = \frac{P_A}{P_B} \quad (\text{Eq. 3})$$

Fig. 7 displays a Robeson plot of SiC membranes showing the upper bound for He/Ar. It is observed that a few results with very large permeances ( $> 1 \times 10^{-5} \text{ mol m}^{-2} \text{s}^{-1} \text{Pa}^{-1}$ ) and low permselectivity He/Ar values around 2. This shows the major trade-off between production (permeance) and quality (permselectivity) in membrane technology. Generally, high permeance results in low permselectivity and vice-versa. Nevertheless, high permeance values in porous inorganic membranes reflect large pore sizes, so the resistance to gas permeation reduces. As a result, the pore sizes ( $d_p$ ) are larger than the kinetic diameter of the gases,  $d_p > d_{k,A} > d_{k,B}$ , so SiC membranes are unable to efficiently separate gases.

In some cases, high permeance and low selectivity can be also associated with low quality membranes, due to pin-hole defects and/or micro-cracks. A common technique to reduce thin film defects in the production of high quality membranes is multi top-layer coating. However, by adding more top layers, the thickness of the membrane increases. As gas fluxes are inversely proportional to the thickness of membrane, the penalty of adding more top layers is lower flux or

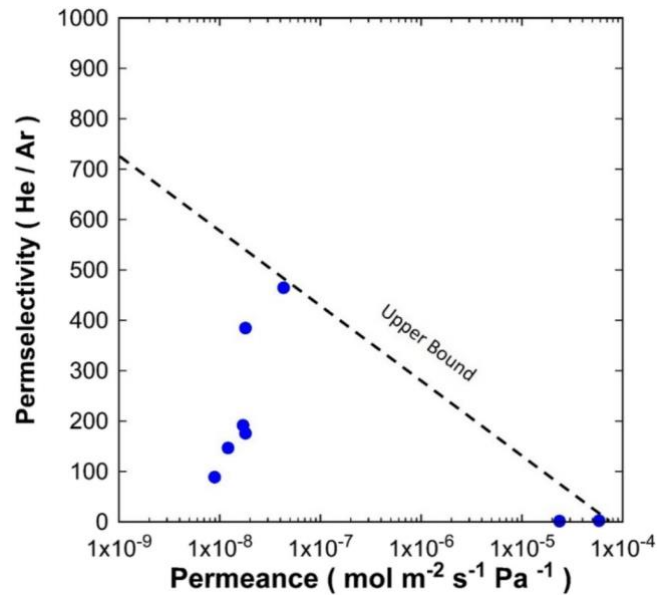


Fig. 7. Robeson plot of He/Ar permselectivity versus He permeance of SiC membranes [18,19,28,66,67].

permeance, though a major advantage is high permselectivity. This has been demonstrated by Tsotsis group [67] for SiC asymmetric membranes reporting that He permeance decreased from  $\sim 4.5 \times 10^{-3}$  to  $\sim 3.0 \times 10^{-8}$  and  $\sim 7.0 \times 10^{-9} \text{ mol m}^{-2} \text{s}^{-1} \text{Pa}^{-1}$  for the first, second and third SiC top layer, respectively. By the same token, He/Ar permselectivity increased significantly from 75 to 120 and 350.

Fig. 7 also shows a series of SiC membranes delivering high He/Ar selectivities between 89 and 465 for He permeances between  $8.9 \times 10^{-9}$  and  $4.3 \times 10^{-8} \text{ mol m}^{-2} \text{s}^{-1} \text{Pa}^{-1}$ . These results are also in line with a large number of high quality silica (oxide) membranes reported in the literature for gas separation [76–78]. These SiC membranes are of high quality and are generally characterized by a porous matrix in the region of ultra micropores ( $d_p \sim 3 \text{ \AA}$ ). In this case, the kinetic diameter of He ( $d_{k,He} \sim 2.6 \text{ \AA}$ ) is smaller than the SiC membrane average pore size and the kinetic diameter of Ar ( $d_{k,Ar} \sim 4 \text{ \AA}$ ), so  $d_{k,He} < d_p < d_{k,Ar}$ . This is the reason that He permeates preferentially through the SiC membrane, resulting in high permeance, whilst Ar permeation is very small and delivering low permeance.

Another important aspect of these high quality SiC membranes is that



the permeation of the smaller gases increases with temperature while the permeation of the large gases decreases or remains constant with temperature. As a result, permselectivity increases with temperature as recently demonstrated by Naserifar et al. for SiC membranes [79]. In principle, the mass transfer coefficient ( $P \sim DK$ ) is affected by increasing the temperature, as diffusivity  $D$  tends to increase and gas adsorption  $K$  tends to decrease as a function of temperature. However, when the pore sizes are too small, the larger gas Ar needs more energy than the smaller gas He to access and diffuse through the small pores. In this case, the permeation of the gases are proportional to  $e^{-(E_a+Q_{st})/RT}$ , a mechanism referred to as activated transport. The activated transport model has been adapted from Fick's law by Barrer [80] for transport through micropores as follows:

$$J_x = \frac{K_0 D_0}{K} \frac{dp}{dx} e^{-\frac{E_a + Q_{st}}{RT}} \quad (\text{Eq. 4})$$

where  $J_x$  is the flux ( $\text{mol m}^{-2} \text{s}^{-1}$ ) through the membrane,  $D_0$  is a temperature independent proportionality constant associated with energy of diffusion ( $E_a$ ),  $K_0$  is a temperature independent proportionality constant associated with the isosteric heat of adsorption ( $Q_{st}$ ),  $E_{act}$  is an apparent activation energy ( $\text{kJ mol}^{-1}$ ),  $R$  the gas constant ( $\text{J mol}^{-1} \text{K}^{-1}$ ) and  $T$  the absolute temperature (K).

Fig. 8 shows the Robeson plot for the separation of  $\text{H}_2$  to several gases. The kinetic diameter of  $\text{H}_2$  ( $d_k \approx 2.89 \text{ \AA}$ ) is smaller than the other gases  $\text{CO}_2$  (3.3  $\text{\AA}$ ),  $\text{N}_2$  (3.64  $\text{\AA}$ ),  $\text{CH}_4$  (3.8  $\text{\AA}$ ) and  $i\text{-C}_4\text{H}_{10}$  (4.5  $\text{\AA}$ ). Although the number of results available are limited, all the permeances are close and varying between  $5 \times 10^{-9}$  and  $1 \times 10^{-8} \text{ mol m}^{-2} \text{s}^{-1} \text{ Pa}^{-1}$ . As the difference in size of the kinetic diameter of these gases ( $d_k > 3 \text{ \AA}$ ) is very small, these small differences do not justify the large variations in permselectivity ranging from 20 ( $\text{H}_2/\text{N}_2$ ) to 80 ( $\text{H}_2/i\text{-C}_4\text{H}_{10}$ ), 90 ( $\text{H}_2/\text{CH}_4$ ) and 97 ( $\text{H}_2/\text{CO}_2$ ). It should be noted that the  $\text{H}_2/\text{CO}_2$  and  $\text{H}_2/\text{CH}_4$  vary from  $\sim 30$  to 90 and  $\sim 40$  to 97, respectively. These differences in permselectivity are directly attributed to the differences in temperature-dependent adsorption as the mass transfer coefficient  $P \sim DK$ . Similar to SiC derived carbon [81], silica [82,83] and zeolites [84,85],  $\text{CO}_2$  and hydrocarbons have certain chemical affinity to SiC, thus demonstrating the effect of gas adsorption, especially at low temperatures. In other words, adsorption enhances permeation of gas molecules such as  $\text{CO}_2$

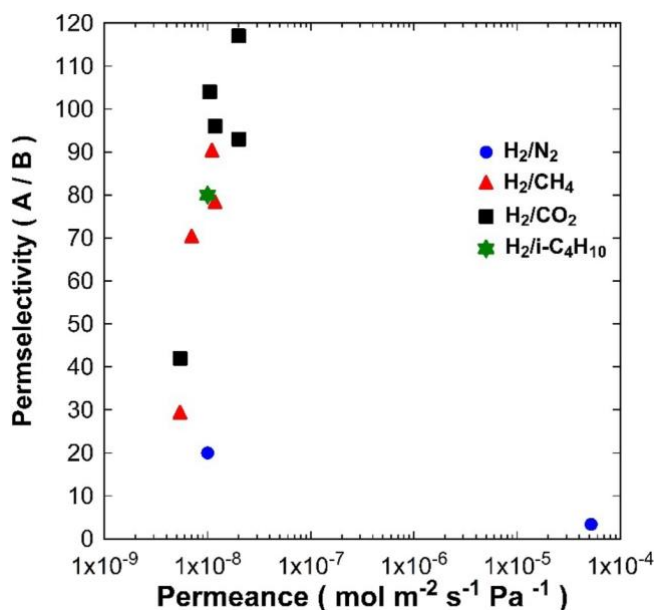


Fig. 8. Robeson plot of A/B permselectivity versus  $\text{H}_2$  permeance of SiC membranes for  $\text{H}_2/\text{CH}_4$  [18,19,28],  $\text{H}_2/\text{CO}_2$  [18,19],  $\text{H}_2/\text{N}_2$  and  $\text{H}_2/i\text{-C}_4\text{H}_{10}$  [50].

and hydrocarbon through the pores of SiC membranes at low temperatures, resulting in low selectivities of  $\text{H}_2/\text{CO}_2$  and  $\text{H}_2/\text{CH}_4$ .

### 3.3. SiC membranes for liquid separation

SiC membranes have been produced mainly for ultrafiltration (UF) and microfiltration (MF) applications. The pore sizes for UF ( $1 < d_p < 100 \text{ nm}$ ) and MF ( $100 < d_p < 500 \text{ nm}$ ) are larger than the membranes used in gas separation ( $d_p \sim 3 \text{ nm}$ ). Nevertheless, Fick's law is also applicable for the transport of liquids through SiC membranes, which can be simplified (Eq. (2)), where the flux equals to the mass transfer coefficient times the pressure gradient applied through the membrane ( $J = P \Delta p$ ). This equation shows that the flux of liquids through the membrane increases proportionally as a function of the pressure

gradient. Hence, membrane area can be reduced in liquid membrane processing plants by simply increasing the feed pumping pressure. The mass transfer coefficient ( $P$ ) is generally reported in  $\text{L m}^{-2} \text{h}^{-1} \text{bar}^{-1}$ .

Fig. 9 displays water permeance values for commercial SiC membranes together with those reported in the literature. It is observed that commercial SiC membranes deliver higher water permeance than those reported by research groups for the same pore size. This clearly indicates that the ceramic industry has optimized the industrial production of SiC membranes with fine controls in the preparation of precursor solutions, in addition to extrusion processes and sintering. The limited results in Fig. 9 show a trend that increasing the pore size of the membranes directly increases water permeance. Apart from small variation in UF for commercial tubes, this trend is consistent for MF. The variations in UF could be associated with thickness of the top layers and porosity of the substrate.

SiC membranes in liquid processing allow for water permeation whilst selectively blocking other molecules and/or compounds such as solids and grease. The liquid separation industry is very broad, so depending on the process used (UF or MF) there is an array of molecules/compounds reported in literature being separated quite efficiently ( $>75\%$ ). In these processes, water permeates through the SiC membrane while the retentate stream concentrates solid particles, grease and other compounds.

## 4. Thermal and chemical stability

A fundamental issue and a constant challenge for the broader use of

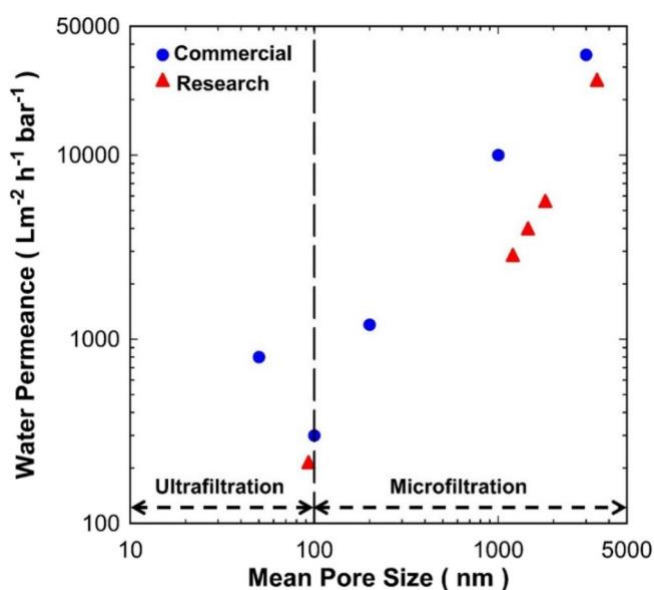


Fig. 9. Water permeance versus mean pore size for SiC membranes commercially available [84–86] and reported by several research groups [43,44,47].

filters and membranes is their stability during operation, particularly at harsh conditions (high temperature/pressure and presence of steam). Carbide-based materials are known to withstand high temperatures and may be designed to mechanically resist to pressure drops. Furthermore, thermal shock resistance may be also an advantage of non-oxide ceramics. Nevertheless, many envisaged applications of SiC filters and membranes, eventually including catalytic activity, are usually carried out at high temperature in the presence of gas streams containing water.

SiC may suffer either active or passive oxidation [89–91]. The oxidation behavior depends on the oxidizing species, temperature, total pressure and partial pressure of the oxidant. Passive oxidation occurs at high oxygen partial pressures and very high temperatures, and a protective SiO<sub>2</sub> layer is formed according to:



Alternatively, active oxidation is observed at low oxygen partial pressures and high temperatures. In this case, a non-protective oxide film is formed due to formation of SiO vapor:



Any transformation of SiC to silicon oxide (SiO<sub>2</sub>) is a concern in porous materials. The major reason is that there is a structural rearrangement, so pore sizes and pore volumes change. In turn, this affects fluxes/permeance, and very importantly permselectivity. This is a common problem in SiO<sub>2</sub> membranes containing silanol (Si–OH) groups, which are unstable in the presence of water [90–93]. Many targeted applications for SiC membrane involves industrial gas separation, which contain water as in humid or steam condition. Ciora et al. [17] reported that SiC membranes were stable to high-temperature air oxidation, though failed when exposed to high-temperature steam. Elyassi et al. [18] tested SiC membranes under mild conditions of equimolar mixture of H<sub>2</sub>O (steam) and He at 200 °C, and reported that the membranes lasted 21 days.

A common approach to improve hydrothermal stability is to embed metal oxide with the thin-film matrix, a technique widely used for the preparation of SiO<sub>2</sub> membranes [94,95]. Fukushima et al. [21,22,96] used this principle by adding Al<sub>2</sub>O<sub>3</sub> to SiC in the production of substrates, which were tested for hydrogen production by steam reforming of methane at 600 and 1000 °C, 4 atm and H<sub>2</sub>O/N<sub>2</sub> (3:1) molar ratio. At 600 °C, the alumina-doped support showed mass gain of 1.3 mg cm<sup>-2</sup>, while the non-doped support showed mass gain of 0.7 mg cm<sup>-2</sup>. In the doped support, pore growth was observed due to coalescence of oxidized fine particles. In contrast, the pore size of the supports without alumina was slightly reduced, due to thin silica layer formed on SiC particles. At 1000 °C, SiC was almost completely converted to dense SiO<sub>2</sub>, due to the viscous flow sintering of silica under water vapor.

The chemical stability of SiC substrates was also investigated under the exposure of acid or alkaline solutions. Das et al. [37] reported that SiC was stable in the pH range of 3–10 at 90 °C for 10 days, though unstable at pH 1 due to formation of surface siloxane groups (Si–O–Si), whilst SiC dissolved rapidly with the formation of Na<sub>2</sub>SiO<sub>3</sub>/NaOH when exposed to NaOH (pH 11). Bukhari et al. [45] also found that SiC samples slightly degraded when exposed to acids solutions for up to 60 days at room temperature. Han et al. [33] doped SiC substrates CaO and ZrO<sub>2</sub> and exposed them to solutions of 20 wt% H<sub>2</sub>SO<sub>4</sub>, 0.5 wt% HF and 1 wt% NaOH, respectively, at 80 °C for 96 h. The mass loss was less than 1% for all tests, suggesting that metal oxide SiC samples were stable during these short time tests of approximately 4 days. Kim et al. [36] exposed glass-bonded SiC membranes to pH solutions of 3 or 11 for 63 days at room temperature. The flexural strengths of the samples gradually decreased from ~80 MPa to ~60 and ~50 MPa with the exposure to acidic or basic solutions respectively.

The effect of thermo-cycling has been investigated by several research groups. Han et al. [30] tested SiC porous filters from 30 to 800 °C and reported that the mechanical strength decreased from ~10 to ~4

MPa after 6 cold-hot cycles for non-reinforced SiC samples. By the same token, the mechanical strength of the reinforced samples reduced from ~28 MPa to ~20 MPa which became stable at this value after 18 cycles. This demonstrates the effect of reinforcing agents listed in Table 1. For instance SiC whiskers played a supporting role in hindering the crack propagation between the SiC grains [97,98] and retained the bending strength of 14.5 MPa after 60 cold-hot cycles (room temperature to 800 °C) [31]. SiC porous substrates were exposed to severe thermal cycling by quenching at 800 to 20 °C in air and water. Micro-cracks appeared under fast thermal stress acting, and under the more severe water quenching (Fig. 10) the mechanical strength decreased sharply during the first 10 cycles from 23 to 12.5 MPa [99]. Under less severe air cooling, the mechanical strength also reduced though to a higher value of 17.5 MPa. Nevertheless, the mechanical strength remained stable from 10 to 60 cycles for both air cooling and water quenching.

Overall SiC substrates have shown to possess stable thermal stability. Even under severe thermal stress, SiC substrates were able to maintain good mechanical strength for sixty quenching cycles. These results suggest that effects at moderate thermal cycling will likely to be minimal. SiC substrates also delivered moderate chemical stability under acidic and alkaline conditions (3 < pH < 10). However, lower pH (< 3) or higher pH (> 10) caused irretrievable structural changes and SiC substrates were no longer stable. Nevertheless, steam together with moderate temperatures (> 200 °C) has caused serious problems demonstrating that SiC substrates are unstable under these operating conditions.

## 5. Separation process applications and challenges

### 5.1. Gas separation

SiC membranes are desirable for gas separation as the pore size can be tailored for molecular sieving applications, so the smaller gas can diffuse through the membrane pores and the larger gas diffusion is hindered to some extent. SiC membranes reached very high He/Ar selectivities of values close to 500 as shown in Fig. 6, a very high separation factor indeed. However, He/Ar separation has no significant industrial application, though separations of H<sub>2</sub>/CO<sub>2</sub> are attractive as H<sub>2</sub> is a feed gas used in the petrochemical industry, particularly derived from the water gas shift reaction [100]. In industrial applications, SiC membranes could be used in two types of H<sub>2</sub> production set ups as depicted in Fig. 11.

The first set up (Fig. 11 a) shows the SiC membranes separating H<sub>2</sub>/

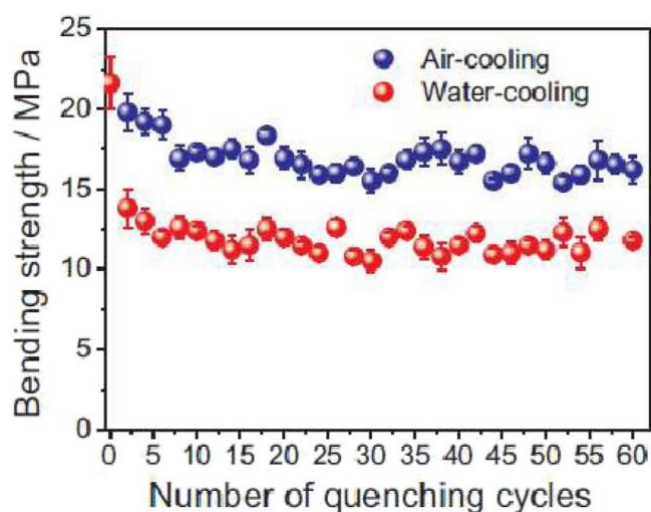


Fig. 10. Thermal stability of SiC porous substrates: bending strength after cyclic thermal shock at a quenching temperature of 800 °C [33].

CO<sub>2</sub> at the back end of the low temperature water gas shift reactor. The advantage here is that H<sub>2</sub> is separated from the feed stream at moderately high temperatures (~250 °C), so there is no need to cool down the feed stream to separate gases using conventional separation technologies such as pressure swing adsorption (PSA). Hence, the benefits are energy savings, which translate into lower operational costs (Opex). In the second set up (Fig. 11b), the SiC membranes operate as membrane reactors at the high temperature and low temperature shift reactors. As the water gas shift reaction ( $H_2 + H_2O \rightarrow 2H_2 + CO_2$ ) is equilibrium limited, by separating H<sub>2</sub> by the SiC membrane at the same time that is H<sub>2</sub> produced in the reactor, the reaction shifts to high CO conversion [101,102]. This makes the reactors smaller, which translates into lower capital costs (Capex), whilst reducing the operational costs (Opex) as water is a cheap feedstock for H<sub>2</sub> production.

In all cases, SiC membranes deliver high H<sub>2</sub>/CO<sub>2</sub> permselectivities (>90) [18] and could be used in the H<sub>2</sub> production industry. The challenge here is that inevitably the feed stream operates at high temperature and pressure, and contains steam. To date studies investigating SiC membranes showed membrane failure under these harsh conditions. Hence, there is no case yet to support the deployment of SiC membranes as membrane reactors. The same arguments are also applied for the SiC membrane in the first set up. However, it is possible to condense the water and provide an almost dry stream for H<sub>2</sub> separation. In this case, SiC membranes have to compete against traditional gas separation technologies.

An upcoming gas processing industry of interest is He/N<sub>2</sub> separation [103]. Helium stocks have reduced recently around the world and new He sources are being considered due to the importance of this noble gas in several applications. One major example is the separation of He from natural gas using membranes (Fig. 12). This separation can be either He/N<sub>2</sub> or He/CH<sub>4</sub>. Although the concentration of He is low in natural gas [104], generally ranging from 0.01 to 7.0% [105], the recovery of He from these stocks is now becoming economically attractive. As CH<sub>4</sub> concentration is likely to be very low at the back end of the natural gas processing plant, currently there is limited number of publications for He/N<sub>2</sub> permselectivity using SiC membranes and best results are 21. This separation factor is moderate though superior to polymeric membranes as reported by Scholes and Ghosh [106] based on poly(trimethylsilylpropyne) (~2), polyethylene (~7.8) and poly(ethylene-co-propylene) (<6.5). Nevertheless, He/N<sub>2</sub> permselectivity of several polymeric membranes such as aromatic polyimide (74) [107] and inorganic membranes such as silica cobalt membrane (~1000) [108]. These results show that SiC membranes require major improvements to separate He/N<sub>2</sub> to be competitive against other inorganic

membranes.

Particle filtering using SiC is an established industry with two potential filtering set ups as depicted in Fig. 13a. The first set up is a conventional gas filtering process where particles are retained in the feed side of the filter, thus forming a particle cake. There are many applications such as filtering dust from air in industrial processes involving the emission of particulates. For instance, Wei et al. [32] prepared SiC whisker top layer coating on SiC supports, resulting in significant reduction of dust particles (0.3 μm) concentration from 250 to 0.12 mg m<sup>-3</sup> and exhibiting filtration efficiency as high as 99.95%. In another example, Dey et al. [27] reported that SiC filters delivered good performance with fractional collection efficiency of >99% of airborne NaCl particles.

The second set up involves coating the filter with a catalyst. In this case, the filter allows substance A to permeate whilst substance B is catalytically transformed into substances C and D. Upon catalytic reaction, substances C and D permeate through the filter. For instance, Sandra et al. [42] coated SiC filters with Ce/Fe/Pt-based catalyst, which was effective in converting CO and hydrocarbons (C<sub>3</sub>H<sub>6</sub> and C<sub>3</sub>H<sub>8</sub>) in the presence of H<sub>2</sub> into CO<sub>2</sub> and H<sub>2</sub>O. A second example is using honeycomb ceramic filters made of SiC (Fig. 13b) for diesel particulate filters (DPFs) in the automotive industry. Diesel engines have been facing stringent regulations due to the health risk of soot emission, in addition to environmental issues associated with air quality. SiC filters can operate at high temperatures and are thermal shock resistance. These key properties of SiC filters meet the requirements to burnout the collected soot [109]. Essential to catalytic filters is the high aspect ratio (i.e., high surface area per volume). This allows honeycomb shaped filters to be excellent contactors for catalytic reactions.

## 5.2. Liquid separation

SiC filters and membranes for liquid separation is now an established industry. All the top ceramic manufacturers around the world are commercially producing SiC filters and membranes. In view of flexibility of processing, SiC filters and membranes are available in different shapes, pore sizes, length and diameters. Fig. 14 shows examples of commercial system such as tube assembled in a stainless steel tubular module, multi-channel flat sheets assembled in a plate-like battery module and multi-channel tubular SiC membranes. The major advantage of multi-channel arrangement in a single flat sheet or tubular membrane is the increase in the aspect ratio, as the surface area significantly increases per volume.

Owing to low temperature operation, SiC membranes are becoming

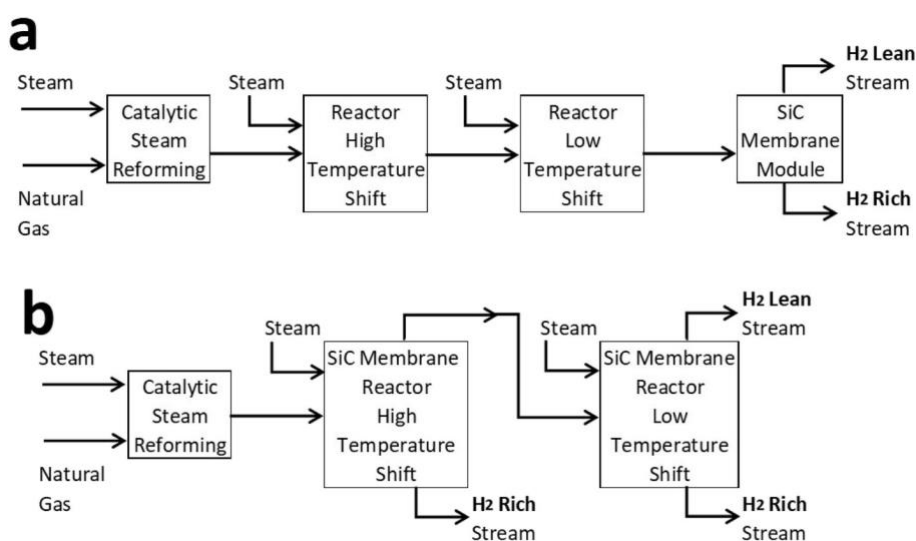


Fig. 11. Simplified block flow diagrams of natural gas steam reforming with (a) SiC membranes and (b) SiC membrane reactors.



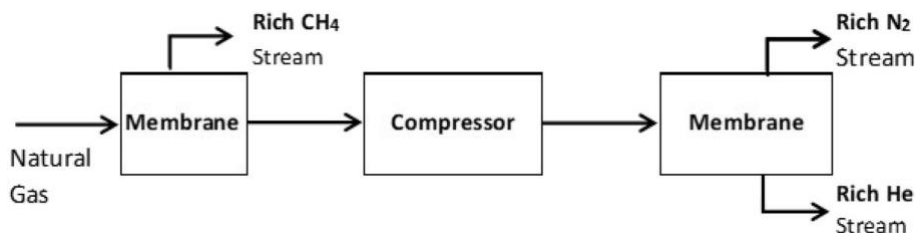


Fig. 12. Simplified block flow diagram of He/N<sub>2</sub> separation from natural gas.

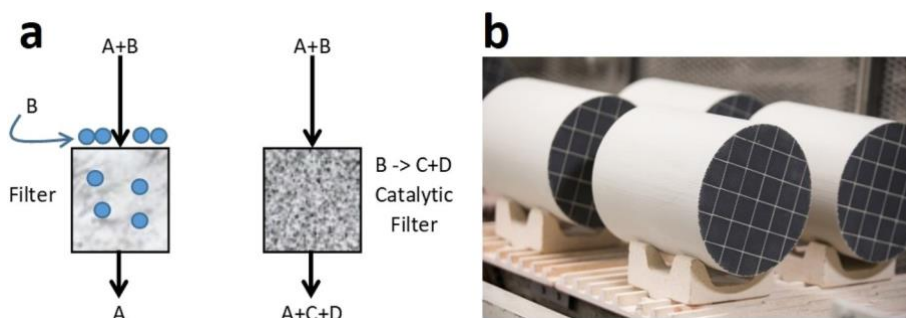


Fig. 13. (a) Simplified schematic of filters and catalytic filters, and (b) image of SiC diesel particulate filters (DPFs) [110]. Figs. b reprinted under copyright permission by Ibsiden.

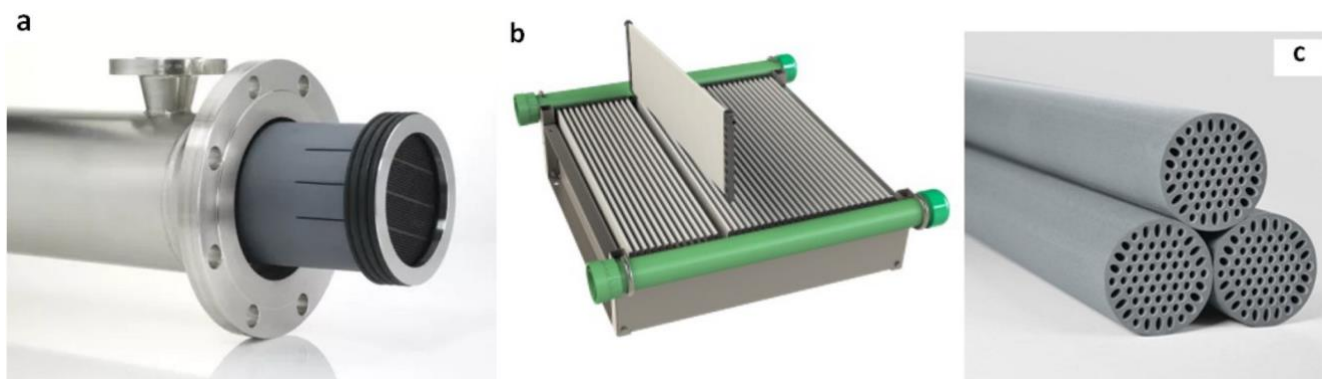


Fig. 14. SiC membranes for wastewater treatment (a) as tube assembled in a stainless steel module [86], (b) flat sheet membrane assembly [87] and (c) multi-channel tube [88]. Figs. a, b and c reprinted under copyright permission by Alsys, Cembrane and Saint-Gobain, respectively.

ideal for liquid processing, particularly in wastewater treatment plants [111]. Das et al. [37] reported that SiC membranes ( $1.3 < d_p < 2.4 \mu\text{m}$ ) delivered good microfiltration efficiency by removing 89–93% of chemical oxygen demand (COD), 77–86% of oil/grease and 88.4–92% of total suspended solids (TSS). Bakishi et al. [112] employed commercial cross-flow multi-channels tubular SiC membranes and reported reduced total oil and grease (TOG) from 86 to 92 ppm (feed wastewater) to 12 ppm (permeate), and fully removal of acid producing bacteria (APB).

In view of its capability of to remove oil from wastewaters, SiC membranes are finding a niche application in the food industry. For instance, SiC membranes were applied efficiently to treat wastewaters from olive oil [113] and sunflower oil [114] industries. In another example, commercial SiC membranes [88] were used for immobilization and biocatalytic efficiency improvement of enzyme (alcohol dehydrogenase) during multiple reaction cycles [115]. SiC membranes were also assembled in a bioreactor for the microfiltration of fermentation feed [116].

SiC membranes have also been tested by the petroleum industry which pressurizes oil reservoirs with sea water to increase oil recovery. Stringent environmental regulations require that oil companies operating sea oil platforms separate sea water from recovered oil before

disposal of sea water back into the sea. This means that membrane filtration has become a viable alternative to conventional oil/water separation processes involving hydro cyclones, induced gas flotations units, micro-flotation and walnut shell filters [117]. SiC membranes are available for microfiltration and ultrafiltration, and are designed for removal of oil droplets and oil-emulsions [118], thus attractive for de-oiling water produced by oilfields.

### 5.3. Gaps and challenges

Although the body of work reported in the open literature for SiC filters and membranes is substantial indeed, there are several gaps that warrant further research. The most noticeable gap is the lack of studies addressing fouling of SiC membranes. All membranes are susceptible to fouling irrespectively of polymeric or inorganic membranes. Fouling investigations should consider long term studies (>1000 h) of target applications to provide some meaningful data in addition to cleaning cycles and strategies. Fouling studies could be accompanied by designing surface patterns to avoid fouling in tandem with computer fluid dynamic (CFD) modeling and validation.

The second noticeable gap is the lack of long-term investigation of



SiC membranes. There are a few limited studies presented in the literature. However, to get the confidence of the gas/liquid separation process industry, there is a need of long-term operation as a proof-of-concept. To some extent, the commercial availability of SiC filters and membranes is a testament that the ceramic industry has already conducted extensive long term testing on their products. Nevertheless, these applications are limited to ultra and microfiltration membranes, and high temperature gas filters. In the case of gas separation, long-term studies of SiC membranes operating under harsh conditions are warranted.

Although SiC filters and membranes are mechanically stable including under severe thermal shocking, their chemical stability warrants further improvement. Within a reasonable pH operation, SiC filters and membranes are stable, but at very low or very high pH their stability is greatly reduced. This problem can be easily addressed by dosing the pH in separation process. However, a more serious problem is the instability of SiC membranes for gas separation under harsh steam and temperature conditions. This is a problem that cannot be addressed by the industrial gas separation industry, unless lower temperatures or water condensers are used. These process solutions negate the central idea and the potential advantages of using SiC membranes for the separation of industrial humid gas streams at high pressures and temperatures.

Nevertheless, the old adage of cost is a major challenge for SiC membranes. Ceramic membranes for wastewater treatment cost in the range of 100–1000 USD/m<sup>2</sup>, while the price of polymeric membranes is in the range of several tens USD/m<sup>2</sup> [119]. Although ceramic membranes are more expensive, which influences capital cost (Capex) their development is relative recent for the last three decades as compared to polymeric membranes for the last six decades. The costs of ceramic membranes have been reducing in the last decade and the price may continue to decrease as industrial deployment increase coupled with a combination of economy of scales and improvements in manufacturing methods. Therefore, ceramic membranes have become more cost competitive and are likely to continue the downward trend in price for the same reasons as polymeric membranes did in the past. The other side of the coin in cost analysis is the process operation cost (Opex). Ceramic membranes are less fragile than polymeric membranes, have a longer and possibly indefinite life, and can withstand heavy pollutant and solid loads, vigorous backwash and a variety of chemical types and concentrations [120]. Hence, the Opex for ceramic membranes may be lower due to reduced replacement frequency, reduced mechanical/electrical engineering works and reduced shutdown costs.

## 6. Conclusions and Outlook

There is a large body of research work available in the open literature on the processing of SiC and SiC composite filters and membranes. There is a good understanding on how to control pore sizes and porosity in addition of processing a variety of shapes ranging from discs, tubes, flat sheets, honeycomb and multi-channel filters and membranes. The industrial production of SiC filters and membranes by major worldwide ceramic companies gives confidence of the potential uptake of SiC filters and membranes for industrial applications. The SiC filter industrial segment is strong particularly for high temperature gas cleaning and diesel particulate filters. Indeed the thermal stability of SiC is a major advantage. SiC membranes are making inroads into the liquid separation processes, particularly industries that required UF and MF membranes. There is an array of industrial process with potential application in wastewater, food, pharmaceutical in oil/water separation. SiC membranes are envisaged to have a great potential for gas separation. However, their chemical stability for operating at moderate to high temperatures in the presence of steam is a concern. This is the main hindrance for the deployment of SiC membranes due lack of reliability for operating at harsh conditions. Overall, many of the applications of SiC filters and porous membranes are directed to technologies to provide

a better life quality, particularly in large urban areas. These include water and wastewater treatment, as well as air pollution control through catalytic reactions and/or particulate filtering. SiC faces several challenges though its ultimate goal is driven research towards environmental benign technologies.

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