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▶ To cite this version:

Ayelet Julie Uzan, Gal Orenstein, Álvaro Jiménez-Galán, Chris Mcdonald, Rui Silva, et al.. Attosecond spectral singularities in solid-state high-harmonic generation. Nature Photonics, 2020, 14 (3), pp.183-187. 10.1038/s41566-019-0574-4. hal-03005108

HAL Id: hal-03005108 https://cnrs.hal.science/hal-03005108v1

Submitted on 18 Nov 2020 $\,$

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Attosecond spectral singularities in solid-state high-harmonic generation

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November 11, 2018

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Strong field driven electric currents in condensed matter systems open 22 new frontiers in petahertz electronics. In this regime new challenges arise 23 as the role of the band structure and the quantum nature of electron-hole 24 dynamics have yet to be resolved. Here we reveal the underlying attosec-25 ond dynamics that dictates the temporal evolution of carriers in multi-band 26 solid state systems, via high harmonic generation (HHG) spectroscopy. We 27 demonstrate that when the electron-hole relative velocity approaches zero, 28 enhanced quantum interference leads to the appearance of spectral caustics 29 in the HHG spectrum. Introducing the role of the dynamical joint density 30 of states (JDOS) we identify its direct mapping into the spectrum, exhibit-31 ing singularities at the spectral caustics. By probing these singularities, we 32 visualize the structure of multiple unpopulated high conduction bands. Our 33 results open a new path in the control and study of attosecond quasi-particle 34 interactions within the field dressed band structure of crystals. 35

Induced by the strong field interaction, HHG provides a unique spectroscopic scheme to visualize the coherent evolution of petahertz currents inside solids. Since the first observation [1], solid HHG opened a door into the study of the electronic structure and dynamics in crystals [2, 3, 4, 5, 6, 7], multiple band dynamics [8, 9, 10, 11] and complex many-body phenomena [12] in crystalline and amorphous systems [9]. For a moderate field strength the electron-hole dynamics are often described semi-classicaly by a single valence and conduction band of

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the crystal. As we approach the strong field regime, new fundamental questions
arise. What is the role of the band structure in such intense, ultrafast processes?
How will electrons and holes interact on extremely short time scales, when they
are still mutually quantum coherent? These questions pose some of the primary
challenges in the emerging field of strong field interactions in solids.

In this paper we identify the quantum nature of the electron-hole wave-packet 48 in solids, probing its strong-field attosecond dynamics over multiple bands. We 49 observe enhanced quantum interference in the vicinity of Van Hove singularities 50 [13, 14] and resolve the direct link between the dynamical JDOS and the HHG 51 spectrum. Our study applies HHG spectroscopy in MgO, induced by a $\lambda = 1.3 \mu m$ 52 driving laser field. Adding a weak perturbative second harmonic (SH) field mod-53 ulates the internal dynamics, in a close analogy to a lock-in measurement. This 54 scheme allows us to isolate extremely weak signals and identify the contribution 55 of multiple band excitations, covering a spectral range of up to 30 eV. Our results 56 show unequivocally that the internal dynamics related to HHG are dominated by 57 the interband emission [1, 3, 4, 7, 15, 16, 17, 18, 19, 20, 21], which remains the 58 dominant mechanism even when higher conduction bands are involved. We iden-50 tify the mapping between the dynamical JDOS at unique regions in the Brillouin 60 zone (BZ) and the HHG spectrum. At Van Hove singularities, spectral caustics 61 are induced, leading to a strong enhancement of the HHG signal. At these criti-62 cal points, the semi-classical picture fails [22], imprinting the dynamical quantum 63 nature of the strong field interaction on the HHG spectrum. 64

⁶⁵ The interband HHG mechanism can be viewed as a generalized electron-hole ⁶⁶ recollision process [15, 19], described by a semi-classical analysis [22]. Around the peak of the laser field, an electron tunnels from the valence to the conduction band, forming an electron-hole pair. The laser field subsequently accelerates the pair, leading to their recombination and the emission of XUV radiation. This recollision model maps the semi-classical electron-hole trajectories into harmonic energies. The semi-classical analysis is not strictly limited to one conduction band – the strong laser field may excite the electron into higher bands, leading to the generation of complex spectral features in the HHG spectrum [8, 9, 10].

A fundamental aspect of strong-field induced tunneling is the localization of the excited electron-hole wave-packet in the BZ around the minimum band gap. As the wave-packet evolves under the influence of the laser field, it dynamically probes a narrow stripe of the BZ along the field's polarization, as illustrated in figure 1e. Such localization is a key property of HHG spectroscopy – enabling the visualization of the band structure with unique angular resolution.

Resolving the angular dependence of the HHG spectrum is extremely challenging – the HHG signal drops rapidly when the polarization is rotated off the main axes of the MgO crystal [23]. Such suppression becomes even more significant when the harmonics are produced from higher conduction bands [24]. In order to fully reveal the electron-hole dynamics, both its angular dependence as well as the contribution of multiple bands, we introduce an advanced measurement scheme that enables us to resolve and isolate the weak HHG signals.

Enhancing the detectability of weak signals by subjecting them to a known temporal modulation is a common practice in a wide range of applications, also known as lock-in measurement. We induce such a modulation by adding a weak SH field polarized perpendicular to the fundamental field's polarization. So far

this scheme has been applied to probe the internal dynamics in gas phase HHG 91 [25] (and references within) and interband currents in ZnO [15]. Scanning the 92 two-color delay leads to a periodic modulation of the HHG spectrum with four 93 times the fundamental laser's frequency. Fourier analysis isolates the oscillating 94 component, resolving the extremely weak HHG signals, buried in a large exper-95 imental background. In addition, XUV emission from higher conduction bands 96 can be highly susceptible to the two-color delay [26], resulting in an enhanced 97 signature in the oscillating component. Figures 1a, 1b and 1c compare the Fourier 98 amplitudes of the modulated HHG signals and the spectra averaged over the two 99 color delay for crystal orientations of 0° , 33° and 45° , respectively (see figure 1d). 100 Clearly, the averaged spectrum is accompanied by large background noise and is 101 dominated by harmonic 17 (\sim 16.2 eV) for 33° and 45° and harmonics 17 and 19 102 $(\sim 18.1 \text{ eV})$ for 0°. Resolving the oscillating spectrum by Fourier analysis shows a 103 dramatic enhancement of the signal over the noise. This measurement exhibits the 104 appearance of new spectral components, which were so far hidden in the averaged 105 spectrum. 106

The oscillating spectra reveal that for all crystal angles, the spectrum extends 107 beyond the first band edge ($\sim 18 \text{ eV}$), indicating the strong contribution of higher 108 conduction bands. The most important observation is associated with the angular 109 dependence of the measurement – the oscillating spectrum varies drastically and 110 surprisingly for different angles exhibiting enhanced features and structures over 111 a wide spectral range. We identify three distinct structures: enhanced harmonics 112 around 16-17 eV extending to 18 eV at 0°, an increased signal in the 20.5-22.5 eV 113 region at 33° and a clear spectral feature at 23.5-26 eV, narrowing at 45° . These 114

features can not be associated with the spectral response of the dipole coupling between the bands, due to their moderate variation with energy (see SI). What is the origin of the enhancement mechanism in these spectral regions and what information does it provide?

We start by analyzing the enhancement at 23.5-26 eV. This observation is strik-119 ing – clearly, this spectral region originates from a high conduction band excitation 120 associated with a low population transfer. Furthermore, while the oscillating spec-121 trum changes dramatically with the crystal orientation, this feature remains robust. 122 Such observation suggests that it originates from areas of the BZ which are orien-123 tation independent. These areas can be found around the Γ point of the BZ which 124 is common to all crystal orientations. Figures 2a and 2b describe the band struc-125 ture for crystal orientations of 0° and 45° , respectively. Indeed, we find two high 126 conduction bands intersecting the Γ point at an energy of 24.57 eV. At the Γ point 127 these bands, as well as any band, have a critical point, $\nabla_{\mathbf{k}} E_c(\mathbf{k}) = \nabla_{\mathbf{k}} E_v(\mathbf{k}) = 0$, 128 such that the gradient of the energy gap is zero as well: 129

$$\nabla_{\mathbf{k}} \left(E_c(\mathbf{k}) - E_v(\mathbf{k}) \right) = \nabla_{\mathbf{k}} \varepsilon_g(\mathbf{k}) = 0 \tag{1}$$

where **k** is the lattice momentum, E_c is the conduction band and E_v is the valence band. At the critical points, where $\nabla_{\mathbf{k}}\varepsilon_g(\mathbf{k}) = 0$, the JDOS of a crystalline solid becomes singular. These singularities are known as Van Hove singularities and most commonly arise in the analysis of optical absorption and reflection spectra [27, 28]. In contrast to these measurements, performed over long time scales, HHG in bulk crystal is attributed to the sub-cycle electronic dynamics where the electron and hole wave-packets remain mutually coherent. In addition, these wave-packets, which are initiated by tunneling, are localized in the BZ. As a result, the harmonic emission can not be understood by merely counting the total number of available states throughout the BZ. Instead, the quantum nature of the singularity has to be considered at specific **k** regions. During such short time scales, we can view the singularities as points where the relative velocity of the electron and hole wave-packets is zero. This results in enhanced interference effects, encoding dynamical quantum information into the HHG spectrum.

The quantum expression for the interband currents can be written as [19, 22]

$$\mathbf{j}_{er}(\omega) = \omega \int_{B.Z.} d^3 \mathbf{k} \iint_{ot} \mathbf{g}(\mathbf{k}, t', t) \exp^{-iS(\mathbf{k}, t', t) + i\omega t} dt dt'$$
(2)

$$S(\mathbf{k}, t', t) = \int_{t'}^{t} \varepsilon_g(\mathbf{k} - \mathbf{A}(t) + \mathbf{A}(\tau)) d\tau$$
(3)

where A is the vector potential and ω the harmonic energy. $g(\mathbf{k}, t', t)$ represents 145 the slowly varying term compared to the fast oscillating exponential containing 146 the semi-classical action, $S(\mathbf{k}, t', t)$. Under these conditions we can use the sta-147 tionary phase approximation (SPA) for $\mathbf{j}_{er}(\omega)$ which defines the semi-classical 148 mapping between harmonic energy and recolliding electron and hole trajectories. 149 The interband current for a single stationary solution as obtained from the SPA, is 150 proportional to $\sqrt{|\hat{\mathbf{S}}''(\mathbf{k}_{st}, t'_{st}, t_{st})|}^{-1}$, where $\hat{\mathbf{S}}''(\mathbf{k}_{st}, t'_{st}, t_{st})$ is the Hessian matrix 151 of the function $S(\mathbf{k},t',t)$ at the stationary points. This derivation directly links the 152 HHG spectrum and the band structure (for a detailed derivation see SI): 153

$$I(\omega) \propto |\mathbf{j}_{er}(\omega)|^2 \propto \omega^2 \left| \sum_{\mathbf{k}_{st}} \frac{\tilde{\mathbf{g}}(\mathbf{k}_{st}, t'_{st}, t_{st}) e^{-iS(\mathbf{k}_{st}, t'_{st}, t_{st})}}{\sqrt{|\nabla_{\mathbf{k}} \varepsilon_g(\mathbf{k}_{st})|}} \right|^2 \tag{4}$$

where $\varepsilon_q(\mathbf{k}_{st})$ is the energy difference between electron and hole at the time of rec-154 ollision, which defines the harmonic energy, and \tilde{g} accounts for all pre-exponential 155 terms. The expression for the spectral intensity strongly resembles that of the 156 JDOS, except it is weighted by the exponent of the semi-classical action, there-157 fore, it is associated with a *dynamical JDOS*. This exponent gives the quantum 158 phase and amplitude associated with each trajectory. Due to strong field tunnel-159 ing, the quantum amplitude strongly attenuates with increasing $|{\bf k}_{st}^{\perp}|,~{\bf k}_{st}^{\perp}$ being 160 the component of \mathbf{k}_{st} perpendicular to the laser's polarization. Such attenuation 161 expresses the fact that HHG indeed originates from a narrow stripe of the BZ, pro-162 viding the significant angular dependence of the spectrum. Clearly this mapping 163 becomes singular at the extrema of the energy gap as described by equation (1). 164

The singularity in the mapping between harmonic energy and electron-hole 165 trajectories can be described within the framework of caustics [29]. Caustics 166 are universal phenomena in nature that link processes observed in many differ-167 ent branches of physics. Previous studies identified the appearance of caustics in 168 gas phase HHG [29, 30], where they reveal the quantum nature of the process in 169 a regime where classical analysis fails. Figure 1e illustrates the origin of spec-170 tral caustics in condensed matter systems, when the electron-hole wave-packet 171 has zero relative velocity at the extrema of the band gap. We find that spectral 172 caustics reveal the rich, quantum, spatio-temporal nature of electronic dynamics 173 in solids, dictated by the direct link between the band structure and strong field 174 interaction. 175

The enhancement at 23.5-26 eV can be identified as a spectral caustic originating from the Γ point. Figures 2a, 2d present the band structure for crystal

orientations of 0° and 45° , respectively. Another energy band intersecting the Γ 178 point can be found at 18.8 eV (figure 2d), leading to a robust spectral feature near 179 18 eV as can be seen in figures 1a-c. While the crossing of energy bands at the 180 Γ point will always result in singularities, spectral caustics can be found at other 181 points in the BZ as well. Along the high symmetry axes of the crystal, 0° and 182 45°, each band-gap consists of several critical points where $\nabla_{\mathbf{k}}\varepsilon_g(\mathbf{k}_{st}) = 0$. In 183 figure 2 we show how these points are directly imprinted in the experimentally 184 resolved HHG spectrum. Figures 2b, 2e describe the gradient of each band gap as 185 a function of the band gap energy, for crystal orientations of 0° and 45° , respec-186 tively. Figures 2c, 2f present the measured oscillating spectra at these angles. The 187 highlighted lines in figures 2c, 2f emphasize the energy points where the gradi-188 ent is zero and the dynamical JDOS becomes singular according to equation (4). 189 Indeed, caustics dominate the brightest features in the HHG spectrum, leading to 190 a dramatic spectral focusing and enhancement of the weak signal associated with 191 higher conduction bands excitation. 192

While the singularities are observed along the high symmetry axes of the crys-193 tal, figure 3a shows that enhanced spectral features are observed at other angles 194 as well. Their origin can be understood through the dynamical JDOS, by looking 195 at the gradient of the different band gaps, $\nabla_{\mathbf{k}} \varepsilon_q(\mathbf{k})$. Figures 3b and 3c present 196 2D images of the gradients of bands 5 and 7. In both bands we can identify a 197 pronounced valley at specific \mathbf{k} values and across a large angular range. Along 198 these valleys $\nabla_{\mathbf{k}} \varepsilon_g(\mathbf{k})$ is small, leading, according to equation (4) to a local spec-199 tral enhancement in the HHG signal. Specifically, the gradient valley at band 5 200 is mapped to a pronounced spectral enhancement around 16-17 eV, while the val-201

ley in band 7 leads to the enhanced spectral feature in the 20.5-22.5 eV region,
marked by the violet and cyan areas, respectively. Importantly, their observation
is robust with the crystal orientation, mapping the angular variation of the gradient
valleys.

Understanding the mapping between the band structure and the HHG spectrum 206 allows us to study the fundamental dynamical properties of strong field dressed 207 phenomena in solids [11, 31]. Such effects play an important role when the band 208 structure becomes degenerate. In the vicinity of these regions the intricate inter-209 play between the strong field dressing and the orientation dependent band cou-210 plings can have a dramatic effect on the electronic dynamics. At the Γ point of 211 MgO there is a two band degeneracy at 24.6 eV (bands 9 and 10, plotted in green 212 and in red), protected by the crystal symmetries. Taking a closer look at the asso-213 ciated caustic we find that our measurement encodes a signature of such a strong 214 field mechanism. The enhancement observed at the high energy end of the spec-215 trum, 23.5-26 eV, is robust with crystal orientation, as one would expect from a 216 feature originating from the Γ point. However, the spectral shape of this feature 217 shows a striking angular dependence, where the peak shifts from harmonic 25 at 218 0° to 26 at 45° , exhibiting complex spectral structures at intermediate angles (see 219 dashed line in figure 3a). By rotating the crystal we change the couplings and 220 field dressing of these two bands, imprinting the intricate underlying strong field 221 dynamics into the angular dependence of the HHG spectrum. 222

Our results establish the fundamental connection between the electronic structure of the crystal and the strong field process. This study reveals how strong field attosecond metrology serves as an extremely sensitive probe of ultrafast dy-

namical quantum interference between electron-hole wave-packets in solids. We 226 identify the important role of the dynamical JDOS, dictated by the strong field 227 nature of the interaction. As the dynamical JDOS becomes singular, the trajec-228 tory picture that governs the interband HHG process fails, giving rise to spectral 220 caustics. These findings provide a unique insight into the dressing of the band 230 structure by the strong laser field. The mapping between the HHG spectrum and 231 the band gaps serves as unequivocal evidence to the dominant role of interband 232 emission over numerous conduction bands. Looking forward, our study will form 233 the framework for a large range of attosecond scale phenomena, opening a new 234 path in the study of quasi-particle interactions within the field dressed band struc-235 ture of crystals. It will allow the study of ultrafast dynamics such as electron-hole 236 interactions, leading to the formation of excitons, or electron-electron-phonon in-237 teractions. Furthermore, the control over sub-cycle electronic currents will play 238 a role in the establishment of compact solid state XUV sources, as well as in the 239 field of petahertz electronics. 240

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Figure 1: **HHG spectroscopy in MgO**. **a**, **b**, **c** normalized oscillating HHG spectrum (cyan) and the normalized averaged spectrum (violet) in logarithmic scale for orientations **a** 0°, **b** 33° and **c** 45°. The two spectra are vertically shifted to allow better visibility. **d** the crystal is oriented to angle θ with respect to the fundamental field's polarization (red arrow) while the second harmonic (blue arrow) is orthogonally polarized. **e** Schematic description of enhanced quantum interference, leading to spectral caustics. The white, cyan and blue wave-packets are snapshots of the combined electron-hole wave-function **at three different times**, probing the band gap of band 10 (figure 2**d**) along the laser polarization (red line). The modulated white and blue snapshots capture the wave-packet where the electron-hole relative velocity is non-zero. At the extremum of the gap, where the relative velocity is zero (cyan snapshot), enhanced interference leads to the emission of a bright spectral caustic (illustrated by the red interference pattern).



Figure 2: Spectral caustics at singular points of the dynamical JDOS. The band structure along the 0° ($\Gamma - X$) (**a**) and 45° ($\Gamma - K$) (**d**) orientations, respectively. The dashed line is the valence band and the colored lines are the conduction bands which are dipole coupled to the valence band. The gray bands indicate the existing bands which are not dipole coupled. **b** and **e** plot $\nabla_{\mathbf{k}}\varepsilon_g(\mathbf{k})$ along 0° and 45°, respectively. The energies for which $\nabla_{\mathbf{k}}\varepsilon_g(\mathbf{k}) = 0$, where singularities in the dynamical JDOS occur, are highlighted by corresponding colored bars on the oscillating spectra **c** (0°) and **f** (45°), emphasizing their link to the spectral caustics.



Figure 3: HHG spectral enhancement at high dynamical JDOS valleys. a the oscillating spectrum for $\theta = 10^{\circ}, 20^{\circ}, 30^{\circ}, 40^{\circ}$. Two enhanced spectral structures which persist over many orientations are marked by violet and cyan. These spectral structures originate from high dynamical JDOS. The dashed red line marks the spectral caustic between 23.5-26 eV highlighting its angular dependence. **b** and **c** are the 2D gradients of the band gap for bands 5 and 7, respectively. The arrows show valleys of low $\nabla_{\mathbf{k}}\varepsilon_g(\mathbf{k})$, i.e. high dynamical JDOS, corresponding to the enhanced spectral features in **a**. The valley in **b** corresponds to the structure marked by violet and **c** to the one in cyafts