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# Reconciling NMR Structures of the HIV-1 Nucleocapsid Protein (NCp7) using Extensive Polarizable Force Field Free-Energy Simulations

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## Abstract

The Human Immunodeficiency Virus Type 1 nucleocapsid 7 (NCp7) is a multifunctional protein formed by N-terminal and C-terminal domains surrounding two Zn-fingers, linked by a stretch of basic residues, which play a key role in the viral replication. We report the first NCp7 polarizable molecular dynamics (MD) study using the AMOEBA force field complemented by non-polarizable CHARMM simulations. Specifically, we compared the relative free-energy stability of two extreme conformations: a compact one having two aromatic residues from each finger, partially stacked, denoted A; and an unfolded one, with the two residues apart, denoted B. Each of these conformations had been previously experimentally advocated to prevail in solution. We compared their theoretical relative free-energy stability using accelerated MD sampling techniques (Steered MD and Umbrella Sampling) and showed that there was a low free energy difference between them. As A and B do not differ in stability by more than 1-1.5 kcal/mol, they should thus coexist in water solution reconciling earlier NMR experimental findings.

## Introduction

The treatment of AIDS, caused by the Human Immunodeficiency Virus type-1 (HIV-1), has significantly improved by the association of reverse transcriptase and protease inhibitors.<sup>1-3</sup> Toward the development of novel antiviral agents, recalling that multitherapy still generates drug resistance, an alternative strategy is the targeting of viral proteins or nucleic acid sequences, the biological functions of which are ensured by domains which could not be mutated without a complete loss of activity.<sup>4</sup> The HIV-1 nucleocapsid protein NCp7, which plays a critical role at different steps of the retrovirus life cycle, is such a target.<sup>5-7</sup> NCp7 possesses a three-dimensional structure centered around two highly conserved zinc fingers. Site-directed mutagenesis have shown that any modification of this structure leads to a complete loss of HIV-1 infectivity.<sup>8-10</sup>

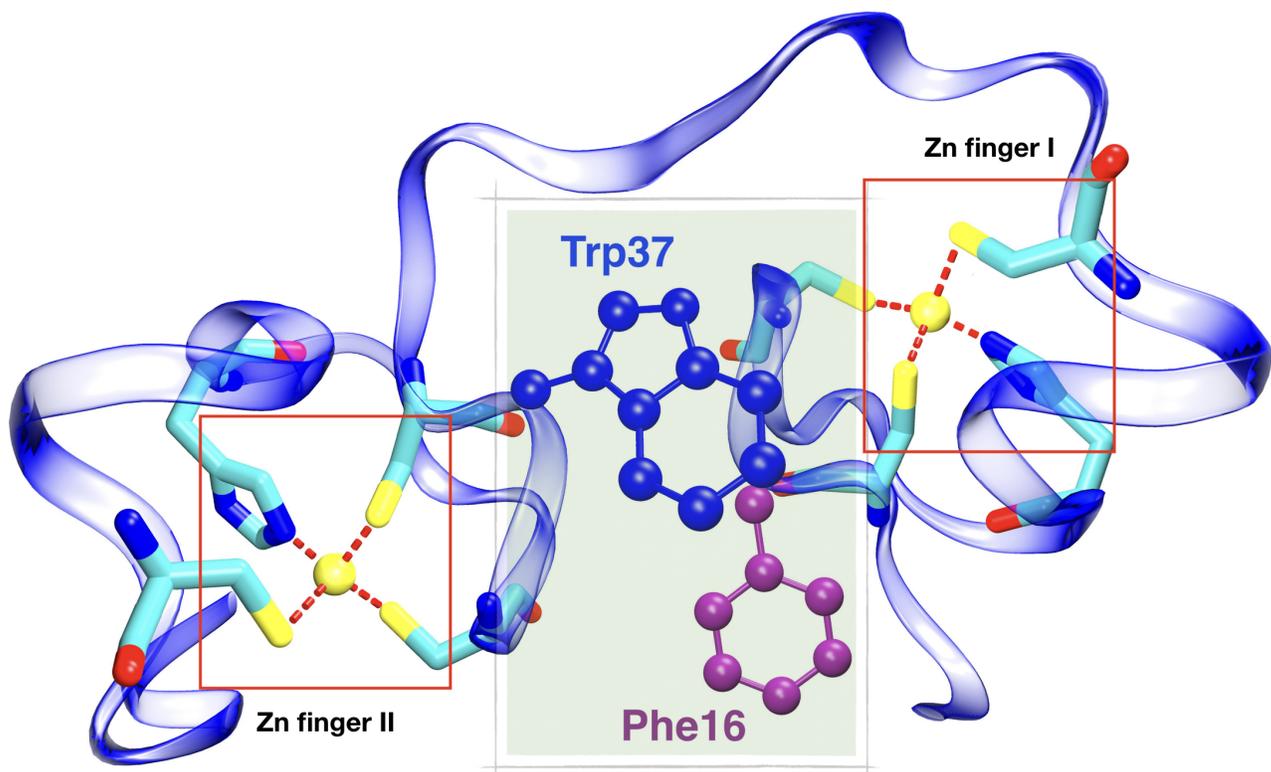


Figure 1: Representation of the NCp7 protein highlighting the two zinc finger domains and the stacking between residues Phe16 and Trp37. Secondary structure in blue is depicted in newribbons. The two Zn(II) are represented by yellow spheres with their respective amino-acids. The structure was extracted from the NMR structure of NCp7 determined in the Roques Laboratory, PDB code: 1ESK.<sup>11</sup>

HIV-1 has several viral protein-encoding genes. The Gag gene is the precursor of four structural proteins of the virions.<sup>12</sup> Among these is p15 which is cleaved by the protease (PR) to give two smaller products: p6 and NCp7.<sup>13</sup> The latter depicted on Figure 1 is a small single-stranded nucleic binding protein of 55 amino acid residues. NCp7 was shown to play a crucial role not only in viral replication, but also during various steps of the virus life cycle. It is involved in viral RNA (RiboNucleic Acid) dimerization and packaging<sup>14</sup> as well as in the initiation of reverse transcription upon interacting with TAR (Trans-Activation Response element) and PBS (Primer Binding Site) sequences of the viral DNA<sup>15,16</sup> Moreover, it protects the retroviral genome against cellular nucleases<sup>17</sup> and interacts with viral proteins

such as Vpr<sup>18</sup> (Viral Protein R) and integrase.<sup>19</sup> Most of these functions are related to its higher affinity for single-stranded nucleic acids and to its structural features. In fact, NCp7 contains flexible N-terminal and C-terminal domains surrounding two highly conserved zinc finger motives CX<sub>2</sub>CX<sub>4</sub>HX<sub>4</sub>C, where X represents any amino acid residue. Each zinc finger motif is able to tightly coordinate one zinc cation with a very high affinity (dissociation constant around 10<sup>-13</sup>). Each Zn<sup>2+</sup> cation is tetrahedrally bound by the Cys thiolate groups and the His sp nitrogen<sup>20,21,22</sup>. This drives the transition from random to domain-ordered conformations around each cation, which could be essential for biological activity.

Site-directed mutagenesis showed two aromatic residues, Phe16 on the first Zn-finger, and Trp37 on the second, to be essential for the activity of NCp7.<sup>8,10,23-27</sup> NMR chemical shift analyses and Nuclear Overhauser effects (NOEs) in the Roques Laboratory had indicated a spatial proximity between the two zinc fingers.<sup>11,23,28-32</sup> and led to the conclusion that Phe16 and Trp37 were spatially close (see Figure 1<sup>32</sup>). However, an opposite conclusion was obtained by the Summers Laboratory.<sup>33</sup>

Owing to the key involvement of both residues in the NCp7-RNA complex domain,<sup>32</sup> we deemed it essential to try and resolve this apparent structural difference. For that purpose, we undertook a detailed computational study on the fully solvated protein (18515 atoms) using both the non-polarizable CHARMM<sup>34,35</sup> and the higher accuracy<sup>36,37</sup> new generation polarizable AMOEBA force field.<sup>38-40</sup> To better focus on the Phe16-Trp37 interactions, enhanced sampling methods were considered with both Steered Molecular Dynamics (SMD) and Umbrella Sampling (US) methods.

# Results and discussions

## 1. Free NCp7 model: a conventional Molecular Dynamics approach in water

This section gathers some observations collected during cMD upon preparing the accelerated sampling simulations. We studied the conformational behavior of NCp7 in solution, particularly the stability of the stacking occurring between Phe16 and Trp37 and its impact on the whole protein conformation. We started from the NMR structure resolved in the Roques Laboratory, where this stacking could be observed (cf Figure 1). In the context of both AMOEBA and CHARMM, it was lost after the first 5 ns. The distance between Phe16 and Trp37 finally fluctuated between 8 and 12 Å.

At this stage these results suggest that NCp7 does not have a single conformation, some of the most stable ones being found after 50 ns simulation. In viral cells, NCp7 is bound by other viral elements (TAR, PBS sequence, Integrase, Vpr), any of which could chaperon an extended-to-folded conformation change. Experimentally, NCp7 in its complex with viral DNA (vDNA) was found to adopt a folded conformation with stacked Phe16 and Trp37 rings<sup>41</sup> (similar observations have been done with the SL2 or SL3 loops of viral RNA<sup>42,43</sup>). In this connection, preliminary (40 ns) AMOEBA simulations of a vDNA-NCp7 complex showed such a conformation to remain stable during the cMD procedure.

The flexibility of unchaperoned NCp7, put forth by NMR and by the present calculations, would enable it to evade simultaneous complexation of both fingers by inhibitors. By contrast, the stabilization of a closed NCp7 conformation upon vDNA binding could have an additional implication. Thus the search for novel anti-NCp7 inhibitors could target a structured NCp7-vDNA complex with recognition sites on both fingers as well as on v-DNA rather than on the sole C-terminal Zn-finger domain as reported previously.<sup>44</sup> It is worth recalling in this connection that clinically active anti-HIV-1 integrase (IN) inhibitors do form a ternary complex with vDNA-bound IN rather than with IN or vDNA alone.<sup>45</sup>

## 2. Reconciling NMR structures using accelerated sampling Molecular Dynamics methods

A first study of the stacking free energy between Phe16-Trp37 using Steered Molecular Dynamics

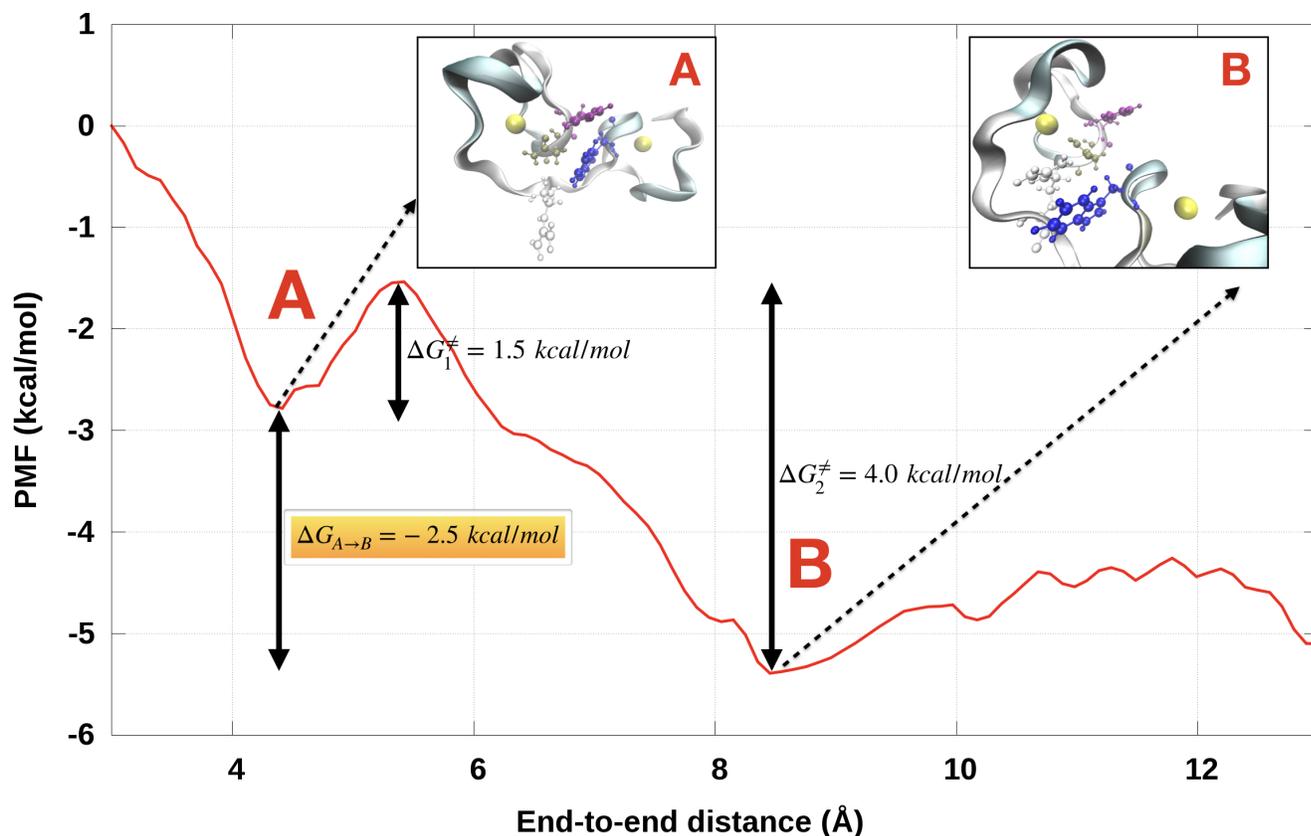


Figure 2: Free energy landscape along the C72(Phe16) – C391(Trp37) RC obtained with Steered Molecular Dynamics with the polarizable AMOEBA force field.  $\Delta G$ ,  $\Delta G_1^\ddagger$  and  $\Delta G_2^\ddagger$  are in kcal/mol. A represents the stacked form of NCp7 and B its unstacked form.

Since stacking was only observed during the first 5 ns in our cMD simulation, and since it governs the proximity between the two Zn-fingers, it is essential to monitor its evolution in the course of a long-time simulation, and the magnitude of the free energy barrier between the stacked (A) and the unstacked (B) conformers. This is enabled by the SMD method.<sup>46,47</sup> SMD was recently implemented in Tinker-HP by Célerse et al.,<sup>48</sup> and has the asset of smaller

Table 1: Free energy difference calculated with the Jarzynski’s Equality (JE) and associated Standard Deviation Work (SDW) for a set of 5/10/15/20 SMD trajectories using the AMOEBA force field. All values are calculated between states A and B obtained on Figure 2 (so when the  $\pi$ - $\pi$  stacking between Phe16–Trp37 is completely broken).

# of trajectories	Jarzynski’s Equality (in kcal/mol)	Standard Deviation Work (in kcal/mol)
5	-3.40	4.44
10	-3.13	3.19
15	-2.69	3.14
20	-2.50	3.11

simulation time costs. In the context of the polarizable AMOEBA potential, upon choosing a straight line as RC (see Figure 4), it enables to induce rare events in a nanosecond MD by applying an external harmonic potential on a set of atoms to pull them along a specific spatial direction. Thus, the pulling work (cf Figure ?? in SI) is calculated and the free energy contribution necessary to pass from the initial to the final state is determined by integrating the work along the simulation time (cf Figure 2). Together with the choice of a suitable RC, the external perturbation applied on the system is the other critical input data. The empirically preset SMD velocity has to be chosen as slow as possible but has to remain in an accessible simulation time. If it is chosen too fast, non-equilibrium effects may contaminate the pulling work and therefore dramatically bias the calculated final free energy. However, there is a means to overcome this theoretical problem upon resorting to the JE..<sup>49,50</sup> However, the difficulty to converge the exponential average requires to use an important number of trajectories to ensure for accuracy, whence a significant increase in terms of simulation time and tasking of computer resources.

We have thus considered a set of 20 independent SMD trajectories with a SMD velocity set to 0.01 Å/ps to induce the transition from A to B. The results are reported in Table 1. PMF provided a first free energy minimum of -2.5 kcal/mol followed by a smooth barrier of +1.5 kcal/mol to partially unstack Phe16 and Trp37 (See Figure 2). This is followed by a continuous energy decrease to reach state B, which in this context, and using AMOEBA, lies 2.5

kcal/mol lower than state A. Furthermore Figure ?? in SI shows that 8 trajectories over 20 have a negative pulling work: this implies that Phe16 and Trp37 could be unstacked without the need for additional external pulling work. Accordingly, NCp7 would display a modest preference for the unstacked form (B). This appears consistent with the previous cMD results showing the stacking to be lost after 5 ns of simulation and not recovered after 100 ns. As depicted on Figure 2 it could be observed that Arg32 does not initially interact with Trp37. It translates and rotates during the SMD simulation to finally interact with it (see movie in Supplementary Information, Arg32 being in white). Asn17 which first stabilizes the stacking by interacting with Trp37, rotates to interact with Phe16. A Phe16/Asn17/Arg32/Trp37 arrangement (see movie in SI) is eventually formed. This arrangement enhances the stabilization of B due to dispersion and long-range polarization effects occurring between these four residues, and could be accountable for the preferential stabilization of B compared to A.

However due to the slow JE convergence (as depicted in Table 1), care must be exercised against a strict quantitative interpretation of such a free energy difference. This has led us to calculate the Standard Deviation Work (SDW) on the 20 independent SMD trajectories to gain insight on the convergence of the JE result, considering that only small SDW values could ensure for reversibility and acceptable convergence of the free energy difference. As depicted in Table 1 SDW decreases upon passing from 5 to 20 trajectories, but at an increasingly slower rate. For 20 trajectories SDW still retains a rather high value of 3.1 kcal/mol. This implies that convergence was not reached and that more than 20 trajectories should be considered in this case at the cost of much more important simulation times.

SMD therefore shows A and B to be separated by a free energy difference of 2.5 kcal/mol favoring B. However the high calculated SDW implies that such a difference could actually be lower. Indeed, JE is strongly dependent on the presence of low pulling work trajectories. Thus, the quality of the simulation could be improved by increasing the number of such trajectories, but this would come at the price of a very significantly increased overall simulation

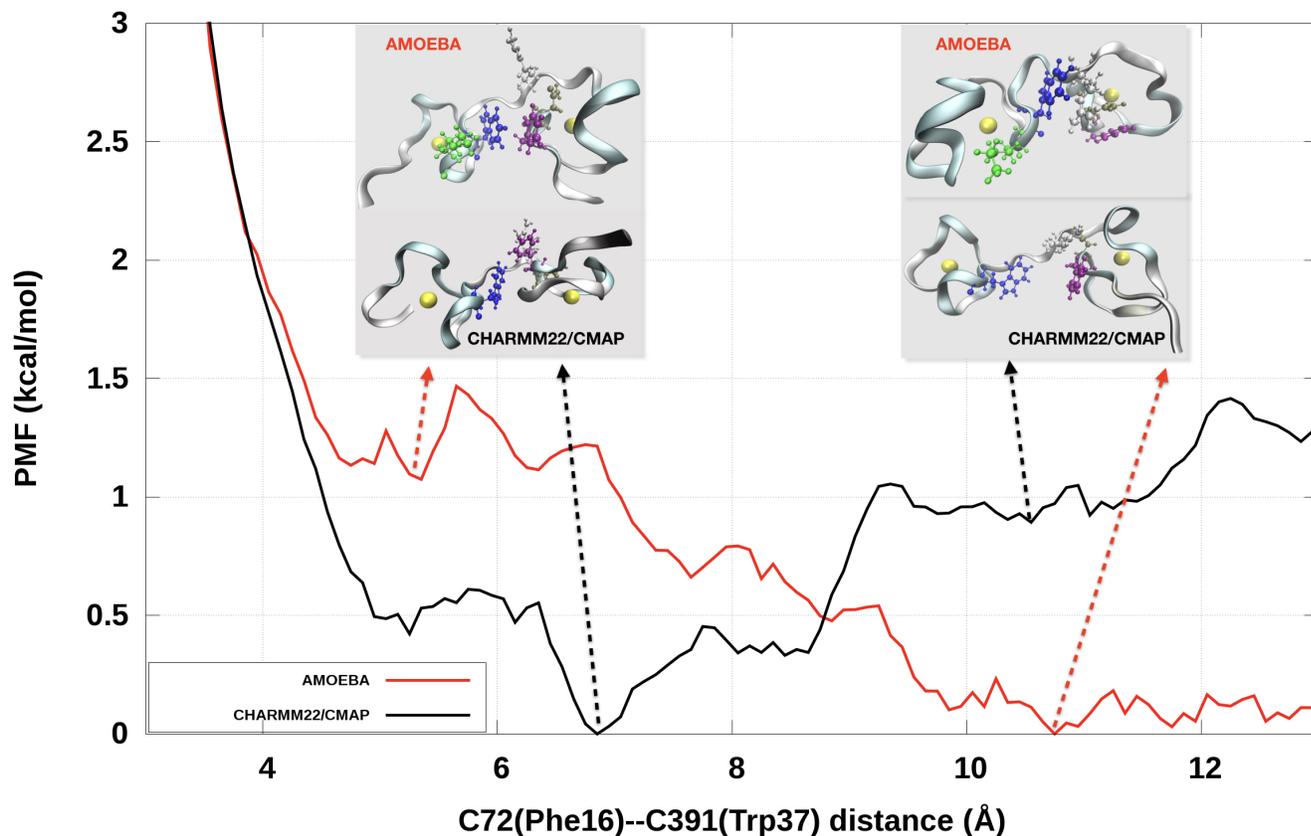


Figure 3: Free energy landscape along the C72(Phe16) – C391(Trp37) reaction coordinate obtained with Umbrella Sampling in CHARMM22/CMAP and AMOEBA. For each PMF, the minima corresponding to the stacked and unstacked forms are assigned with red (AMOEBA) and black (CHARMM) arrows. The corresponding structures are depicted in new ribbon style, zinc cations are in yellow, Phe16 is in purple, Trp37 in dark blue, Arg32 in grey and Asn17 in khaki, and, in the AMOEBA minima, Lys38 in green.

time while the optimal number of these trajectories remains yet to be determined. Therefore, we decided to consider an alternative approach toward a more accurate evaluation of this energy difference since it would not be more than three times as costly as the present SMD calculations.

### **A more accurate and quantitative procedure to evaluate the free energy difference between A and B forms: Umbrella Sampling**

To overcome the slow SMD convergence we decided to resort to the Umbrella Sampling (US) procedure, known to be more robust. It is widely used for enhanced sampling simulations<sup>51</sup> as

it can ensure a better convergence to the right free energy value. It is however more expensive in terms of computational time compared to SMD but enables to accurately reproduce a PMF along one or more chosen RC(s). With both AMOEBA<sup>39,52,53</sup>) and non-polarizable CHARMM22/CMAP<sup>34,35,54</sup>), we have recomputed the PMF with the same RC as for SMD. The results are reported on Figure 3.

Both force fields favor a non-stacked conformation. CHARMM displays a smooth free energy well at 6.5 Å with an unstacked conformation stabilized by a cation- $\pi$  interaction between Phe16 and Arg32. This interaction is not observed in AMOEBA with which Arg32 interacts with Trp37 in the AMOEBA B minimum at 10.5 Å. State A with AMOEBA has a RC coordinate of 5.5 Å. It is strongly similar to the corresponding SMD one (found at 4.5-5 Å). There is however a very small barrier ( $< 0.5$  kcal/mol) separating it from final state B, while by contrast it was separated by 1.5 kcal/mol in the SMD simulation. In fact, Figure ?? in SI shows a 1.5 kcal/mole barrier to be present in AMOEBA US simulations with 1 ns/window, but this magnitude is reduced upon increasing the simulation time, to 2 or 3 ns/window. Therefore it could be concluded that the energy barrier found in SMD could be due to limited sampling along the 4.5 - 8 Å interval. In AMOEBA state A, Lys38 has a cation- $\pi$  interaction with Trp37. This in turn enhances the Trp37-Phe16 interaction. Such a Lys38-Trp37-Phe16 complex was not observed with CHARMM. AMOEBA state B, found with an RC of 10.5 Å, is 1-1.5 kcal/mol more stable than state A. It is stabilized by the same Phe16/Asn17/Arg32/Trp37 arrangement as in the previous SMD simulations. Such an arrangement is not found with CHARMM. This shows the importance of including the dispersion and polarization effects in such simulations.

According to Figure 3, the free energy difference between A and B is in between only 1 and 2 kcal/mol with both force fields, fully consistent with the AMOEBA SMD results giving a corresponding 1-1.5 kcal/mol difference. However it has to be stressed that while AMOEBA and CHARMM agree about the magnitude of the free energy difference between A and B, they do not give the same structural interpretation. Indeed CHARMM favors A with stacked

Phe16 and Trp37, while AMOEBA shows A to be a higher free energy local minimum (see Figure 4). Such a result validates the Summers’<sup>33</sup> hypothesis according to which a Phe16 and Trp37 close contact could exist as some NMR interknuckle interactions were detected but remained only transitory. Our computations show that overall, switching from A to B appears energetically easy for free NCp7 in water in a biologically short time scale. NMR studies are based on observations averaged in timescales of about a millisecond. Our results show that since both A and B are energetically close, they could both coexist in solution and be detected by NMR, especially as slightly different experimental conditions could shift the balance between A or B. This reconciles the conclusions drawn by the Roques and the Summers Laboratories.

## Methods

### PDB entries

The initial structures used in this work are based on the available NMR solution structures of NCp7<sub>12–53</sub> (PDB ID: 1ESK).<sup>11</sup> All Zn-binding cysteine residues (Cys15, Cys18, Cys28, Cys36, Cys39, and Cys49) were considered to be in the anionic form and both Zn-binding histidines (His23 and His44) were neutral. The Tinker 8 package was used to prepare the initial system and to analyze the sequences<sup>55</sup>

### Conventional MD simulations

All conventional MD (cMD) simulations (100 ns) were performed using the massively parallel software Tinker-HP<sup>56</sup> that allows for large scale simulations using all types of force fields. They were carried out with the polarizable AMOEBA force field<sup>39,52,53,57</sup> and non-polarizable CHARMM22/CMAP force field.<sup>34,35,54</sup> The minimum distance from NCp7 to the nearest box edge was set to 10 Å. Each simulation cell has 60 Å each side, and contains a total of 18515 atoms. Chlorine ions were added at the box limits to neutralize the total charge of the

system. Before performing cMD simulations, the energy of each starting system was minimized then heated up gradually to 298K. For every 20 K interval, 100 ps of NPT ensemble were generated. The systems were then equilibrated for 250 ps under the NPT ensemble. During these steps, only water molecules were relaxed. Subsequently, energy minimization of the whole system was realized using the steepest descent algorithm. Production cMD simulations was then performed using the RESPA multistep integrator with a 2 fs time step. Temperature and pressure were kept constant using Bussi’s thermostat<sup>58</sup> coupled to Berendsen’s barostat.<sup>59</sup> The Smooth Particle Mesh Ewald (SPME) method was employed to treat the long-range electrostatic interactions with a grid size of  $60 \times 60 \times 60$  Å. The real space cutoff was set to 7 Å, while the van der Waals (vdW) cutoff was set to 9 Å with a long-tail correction. A convergence criterion of  $10^{-5}$  kcal/mol coupled to the Always Stable Predictor Corrector (ASPC)<sup>60</sup> was used for the polarization contribution.

To prevent unphysical Zn(II) decoordination when using non-polarizable force field, hard restraints ( $500 \text{ kcal/mol.Å}^2$ ) were added between cysteines (Cys15, Cys18, Cys28, Cys36, Cys39, and Cys49) / histidines (His23 and His44) and their bound zinc cations. For consistency they were retained for the AMOEBA simulations despite the better stability of the simulations.<sup>52</sup> Such constraints do not affect the studied mechanisms but should prevent structural denaturation during the cMD simulations. At their outcome, two distinct conformations were characterized: stacked (denoted as A) having an interaction between Phe16 and Trp37; and unstacked (denoted as B). Free energy computations were subsequently undertaken to study the respective stability of the two conformations.

## Steered MD simulations

SMD<sup>46,47</sup> is a simple free energy method which enhances the sampling of rare events, namely those occurring in a microsecond or millisecond frame. It can drive a conformational transition along a specific reaction coordinate (RC) in only a few nanosecond simulation. All SMD computations were carried out using Tinker-HP<sup>48,56</sup> using the NPT ensemble, a con-

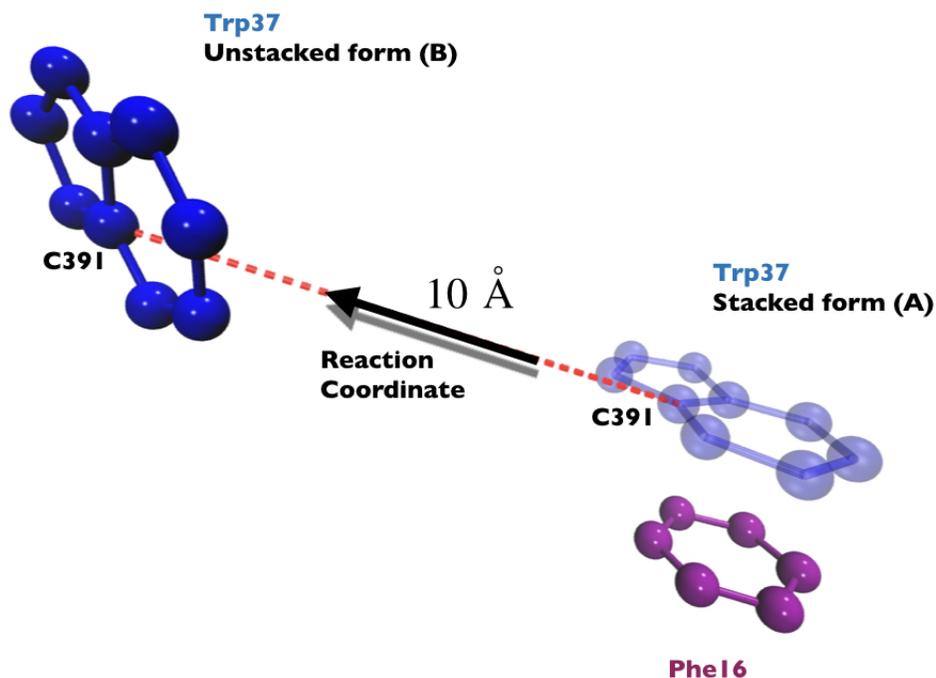


Figure 4: Representation of the chosen reaction coordinate (RC) for all the SMD simulations. Both structures (A) and (B) obtained from cMD simulations have been aligned along the Phe16 residue, and the RC was then chosen as the distance between the C391(Trp37) from the stacked form and the C391(Trp37) from the unstacked form.

stant temperature of 298 K and a constant pressure of 1 bar enforced by the Bussi thermostat coupled to the Berendsen barostat. Periodic boundary conditions (PBC) were applied with use of the SPME method. A grid size of  $60 \times 60 \times 60$  Å, with an Ewald cutoff of 7 Å and a vdW cutoff of 9 Å and a long-tail correction were used. The AMOEBA force field and a Velocity Verlet integrator with a timestep of 1 fs were used for all simulations.

Starting points for each SMD simulations were taken from a snapshot extracted from the preceding cMD simulation. The chosen reaction coordinate (RC) should enable to pass from structure A to an unstacked form (structure B). The latter was chosen according to the procedure described on Figure 4. During the SMD simulations the aromatic carbons of Phe16 were kept fixed to prevent fictitious motions of the protein, and a harmonic potential was

applied on the C391 of Trp37:

$$h(r, \lambda(v, t), t) = \frac{k}{2}(\epsilon(r) - \lambda(v, t))^2 \quad (1)$$

with  $k$  the spring constant chosen equal to 7.00 kcal/mol.Å<sup>2</sup>,  $\epsilon(r)$  the RC related to the steered atom position  $r$  at time  $t$ , and  $\lambda(v, t)$  an external parameter corresponding to the external perturbation undergone by C391(Trp37) such as  $\lambda(v, t) = \lambda_0 + v \times t$  with  $v$  the SMD velocity applied on C391(Trp37) chosen to be equal to 0.01 Å/ps and  $t$  the time of the simulation. A second spring  $k_2$  equal to 7.00 kcal/mol.Å<sup>2</sup> (transverse to  $k_1$ ) was chosen to avoid large fluctuations far from the RC. Avoiding large fluctuations far from the RC enables us to consider free energy profiles as the Potential of Mean Force (PMF) of the system along the RC according to the stiff spring approximation. 20 independent SMD trajectories were performed and randomized by different initial set of velocities. Each simulation was computed during 1 ns, corresponding to a total simulation time of 20 ns. The pulling work was then calculated for each trajectories and the free energy difference between the initial and the final states was estimated by using the Jarzynski Equality (JE). Energy results with PMF are discussed in the results part of this study. Figures related to the SMD trajectories, namely force profiles in function of the simulation time and all the individual pulling works in function of the end-to-end distance are available in the Supplementary Information (SI).

## **Umbrella Sampling**

The SMD methodology relates to the convergence of the exponential average of JE, and is strongly dominated by rare trajectories characterized by low pulling work values. Therefore it is impossible to be exactly sure that we obtain a sufficient number of trajectories to claim that the SMD results are converged. Keeping this reservation in mind, we decided to complete our SMD interpretations and provide more accurate insights in terms of free energy difference by considering the Umbrella Sampling (US) method.<sup>51</sup> The RC was chosen to be

the same as the SMD one and was divided into 20 windows, ranging from 3 to 13 Å, successive windows being separated by a width of 0.5 Å to ensure for an efficient sampling along the RC. Figures related to the US convergence and showing all the umbrellas from each window are available in SI. In each window, a harmonic restrain of 10 kcal/mol Å<sup>2</sup> was applied, according to the US methodology. Both polarizable AMOEBA and non-polarizable<sup>39,52,53,57</sup> CHARMM22/CMAP force-fields<sup>34,35,54</sup> were considered.

For each window and with each force-field, the initial simulation parameters were the same as for the SMD. The only difference is that the Phe16 aromatic carbons were released and free to move while they were kept fixed in the previous SMD simulations. This provides more flexibility to the system during the simulations. Each window was computed during 3 ns, which corresponds to a simulation time of 60 ns for each force-field, whence a total simulation time of 120 ns. The first 100 ps of each window were not taken into account to reconstruct the PMF as they correspond to the equilibrium step of the system within its window. Finally, the free energy profile was reconstructed by combining all the windows using the Weighted Histogram Analysis Method (WHAM).<sup>61</sup> While our SMD simulations took only 20 ns in AMOEBA, US needed a more important simulation time, three times larger. This confers robustness in terms of quantitative free energy analysis and could be useful to check the SMD convergence.

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## **Competing interest**

The authors declare no competing interests.

## **Additional information**

Supplementary information is available for this paper at <https://doi.org/10.>

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