

Supercritical Angle Fluorescence Microscopy and Spectroscopy

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SAF techniques

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ABSTRACT. Fluorescence detection, either involving propagating or near-field emission, is 48 49 widely being used in spectroscopy, sensing and microscopy. Total internal reflection fluorescence (TIRF) confines fluorescence excitation by an evanescent (near-) field and it is a 50 51 popular contrast generator for surface-selective fluorescence assays. Its emission equivalent, 52 supercritical angle fluorescence (SAF) is comparably less established although it achieves a 53 similar optical sectioning as does TIRF. SAF emerges when a fluorescing molecule is located 54 very close to an interface and its near-field emission couples to the higher-refractive index 55 medium $(n_2 > n_1)$ and becomes propagative. Then, most fluorescence is detectable on the side 56 of the higher-index substrate and a large fraction of this fluorescence is emitted into angles 57 forbidden by Snell's law. SAF as well as the undercritical angle fluorescence (UAF, far-field 58 emission) components can be collected with microscope objectives having a high-enough 59 detection aperture (NA > n_2) and be separated in the back-focal plane (BFP) by Fourier 60 filtering. The BFP image encodes information about the fluorophore radiation pattern, and it 61 can be analysed to yield precise information about the refractive index in which the emitters 62 are embedded, their nanometric distance from the interface and their orientation. A SAF 63 microscope can retrieve this near-field information through wide-field optics in a spatially 64 resolved manner, and this functionality can be added to an existing inverted 65 microscope. Here, we describe the potential underpinning of SAF microscopy and spectro-66 scopy, particularly in comparison with TIRF. We review the challenges and opportunities that 67 SAF presents from a biophysical perspective, and we discuss areas where we see potential. 68 [220 words]

69

70 Significance statement

71 Many processes happen close to or at an interface. Battery electrodes, biofilm growth, 72 transport and signaling across cellular membranes, or phase mixing are just a few examples of 73 such phenomena. While fluorescence-based detection techniques are standard, their surface-74 selective variants require the elimination of bulk background fluorescence to detect near-75 interface molecules in isolation. Near-field techniques usually require specialized instrument-76 tations but there is an optical phenomenon called supercritical-angle fluorescence (SAF) that 77 makes near-field information available in the far field. As SAF can originate from near-78 interface fluorophores only and these molecules emit a highly directional fluorescence, SAF 79 has a unique signature that permits its separation from classical bulk fluorescence. SAF can 80 be captured by a high-numerical aperture objective and imaged in its back-focal plane and it 81 contains not only information about the molecule, but also about its environment. [997 charac.]

82 INTRODUCTION

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SUPERCRITICAL ANGLE FLUORESCENCE¹ (SAF) designates fluorescence directed into emission 84 85 angles forbidden for far-field light. Virtually unknown even among experts only a couple of years ago, 86 SAF is increasingly being used in, fluorescence detection and spectroscopy, but also in near-surface 87 imaging applications and in SAF-based refractometry. A growing body of super-resolution literature 88 combines SAF for nanometer axial fluorophore localization (along the microscope's optical axis) with 89 other techniques that improve the lateral resolution. This Biophysical Review provides an update on 90 the growing field of SAF and it is organized in five sections. In the first section, we review the physics 91 of dipole near-field emission and explain how SAF becomes detectable in the far-field. We argue that 92 SAF can be understood as the optical reciprocal of TIRF with a similar optical section capacity. In 93 section II, we discuss the use of SAF for imaging applications, and we also explore the (at first sight 94 not-so-obvious) interest of combined TIRF excitation and SAF detection. In the third chapter, we shed 95 light on the different experimental strategies to separate under- and supercritical emission components, 96 before section IV gives an overview of recent SAF applications. In the final section V, we try to 97 anticipate future directions of research and we give a subjective perspective on what might be next in 98 biophysical uses of SAF.

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101 I. SUPERCRITICAL ANGLE FLUORESCENCE, A TWIN AND COMPANION TO TIRF

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"If you can see me, I can see you"

OPTICAL RECIPROCITY is key for understanding SAF, or "forbidden-light detection" (1). SAF has been dubbed "evanescence in emission" (2), much as TOTAL INTERNAL REFLECTION fluorescence (TIRF) refers to "evanescence in excitation". Common to either optical phenomenon are near-field interactions of light with a dielectric material. When light hits the interface, the angles of refraction and reflection are governed by SNELL'S LAW, and the transmitted and refracted intensities are given by the Fresnel coef-ficients, **Fig. 1***A* (*top*). For

¹ Terms in SMALL CAPS are explained in the Glossary at the end of this Review Article.

supercritical angles, i.e., $\theta_2 \ge \theta_c = a \sin(n_1/n_2)$ the reflection is total so that $I_{refl} = I_0$ and an 112 113 evanescent wave (EW) is generated just above the boundary as a consequence of energy and 114 momentum conservation. This inhomogeneous surface wave skims the optically rarer medium 115 and it propagates along +x for an incidence from the left as illustrated in panel A. The EW 116 intensity decays exponentially with distance z from the interface with a length-constant δ = $\lambda/[4\pi (n_2^2 \cdot \sin^2(\theta) - n_1^2)^{1/2}]$. For most realistic optical interfaces δ is of the order of $\lambda/4$. Here λ 117 118 denotes the wave-length of light. If a fluorophore is present at a height h above the interface, 119 then the EW excites fluorescence in proportion to $I(h)/I_0 \sim \exp[-h/\delta(\theta)]$, Fig. 1A (bottom). 120 Thus, fluore-scence excitation will be confined to a thin slice of roughly 2δ thickness, as 121 further discussed in refs. (3, 4).

122 Fluorescence emission has both near- and far-field components. The far-field of a 123 radiating dipole displays the well-known 'laying-eight' angular emission pattern with an 124 intensity maximum perpendicular to the dipole axis, **Fig. 1***B* (*top*). When the molecule rotates, 125 (e.g., in solution) its time-averaged far-field emission will be isotropic. For a dipole located at a height $h >> \lambda$ from the dielectric interface the collected fraction of isotropically emitted 126 fluorescence scales as $\Omega/4\pi = \Phi = \frac{1}{2} [1 - \cos(\theta_{\text{NA}})] = \frac{1}{2} [1 - (1 - (\text{NA}/n_2)^2)^{1/2}]$, where $\theta_{\text{NA}} =$ 127 asin(NA/ n_2). For a NA-1.45 TIRF objective, Φ is of the order of 36%, which means that only 128 129 one third of the total emitted fluorescence is collected, and this despite the large NA of the 130 TIRF objective lens.

In addition to its far-field, dipole emission has a near-field component, too (2). Dipole emission in uniform media has components that decay $\propto 1/r$, $1/r^2$ and $1/r^3$. The exponentially dwindling 'evanescent' component only exists in the presence of an interface between optical media with different indices of refraction. ($h \le \lambda$). The emission is converted by the proximity of the dielectric boundary into a propagating wave, similar to the appearance of a propagating transmitted wave when a second prism is positioned in close proximity above the reflecting

137 interface in the case of frustrated TIR (5). Analogous to the classical 'double-prism' 138 experiment, this propagating wave is directed into angles "forbidden" by Snell's law, and the 139 phenomenon is hence termed "supercritical angle fluorescence" (SAF), Fig. 1B (bottom) (2, 6, 140 7). Only fluorophores located very close to the dielectric boundary can emit SAF. The closer 141 they are, the more of their emission is funneled into supercritical angles making SAF. Its 142 near-exponential distance dependence makes SAF an absolute nanometric axial ruler 143 insensitive to most aberration biases (6, 8-10). In turn, fluorophores distant from the interface 144 cannot emit SAF at all, and their fluorescence is necessarily undercritical, Fig. 1C. Thus, the 145 selective detection of SAF vs. undercritical angle fluorescence (UAF) allows discriminating 146 near-interface fluorophores against those located in the bulk (1).

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148 II. SELECTIVE SAF DETECTION PERMITS THE ACQUISITION OF TIRF-LIKE IMAGES

149 Equally based on near-field/interface interactions, SAF and TIRF produce a similar optical 150 sectioning. SAF collection in the solid-angle range above θ_c renders fluorescence detection 151 surface-selective, much as supercritical fluorescence excitation confines TIRF. In TIRF, light 152 propagating at high incidence angles produces a near-field close to an interface; in SAF, a 153 near-field close to an interface generates high-angle emission. This is the optical reciprocity 154 of TIRF and SAF. The similar axial confinement of both near-field techniques is illustrated in 155 a cell-biological application by the pseudo-color overlay of TIRF and SAF images of green-156 fluorescent protein- (GFP-) expressing mitochondria in cultured cortical astrocytes taken with 157 the same 1.46-NA objective, Fig. 2A (i). TIRF images were acquired upon azimuthal beam 158 spinning (11) at polar beam angles of $\theta = 64$, 68 and 70°, respectively, (green), and the SAF 159 image (shown in *red* pseudocolor) was taken upon EPI-excitation ($\theta = 0$). For TIRF, the 160 precise incidence angle θ determines the EW penetration depth $\delta(\theta)$ (although the 'true' 161 excitation light distribution can be contaminated by unwanted stray light and long-range

162 excitation components (4, 12)). On the contrary, SAF exclusively depends on the axial 163 fluorophore height h, so that the apparent overlap between TIRF and SAF images will 164 generally vary with θ (13). Using Pearson's and Manders' coefficients (14) to quantify, 165 respectively, the amount of co-variance of intensities and the overlap between the 'red' and 166 'green' pixels, we recognize that TIRF and SAF highlight similar structures ($R_{12} \approx 0.8$) but the 167 fraction of pixels that overlap on the segmented SAF image with TIRF pixels (M_2) is steadily 168 diminishing for higher θ , Fig. 2B. This is plausible, because the TIRF excitation volume 169 shrinks with increasing angle and becomes gradually more selective, eventually leading to a 170 thinner optical section than SAF at very high beam angles, Fig. 2A (ii).

171 However, grazing beam angles require positioning the focused laser spot very close to 172 the objective's limiting $\theta_{\rm NA}$, which can produce stray excitation and degrade the excitation 173 confinement and image contrast (12). In objective-type TIRF (15), several studies have 174 documented the presence of an unwanted 5-10% of non-evanescent (long-range) excitation 175 component that adds to the localized EW excitation (4, 12, 16, 17). Advantageously, TIRF 176 excitation and SAF detection can be associated to relieve constraints on θ (13). Due to the combined excitation confinement and emission axial filtering, TIR-SAF images display a 177 178 better optical sectioning than TIRF alone at the same θ , as result of suppressing contribution 179 of the unwanted fluorescence from fluorophores located at deeper sample regions. Yet, as 180 only the supercritical part of dipole radiation is being used, SAF images tend to be dimmer. 181 Nevertheless, as a consequence of the efficient background suppression, they have a better 182 signal-to-background ratio (SBR) than TIRF-alone images for the same excitation intensities 183 as seen in a proof-of-concept experiment using tiny fluorescent microspheres as a 184 fluorescence standard, Fig. 2C.

185 Of course, SAF does not require TIRF excitation and SAF detection has been 186 combined with many other excitation geometries, including epi-fluorescence, confocal-spot excitation without (1, 18, 19) and with stimulated-emission depletion (STED) (20). A growing body of spot-excitation and confocal SAF detection is devoted to supercritical fluorescence correlation spectroscopy (FCS) (21-24). Finally, dipole-surface interactions and supercritical-angle detection are not restricted to fluorescence: supercritical-angle luminescence (SAL) detection (25) and supercritical-angle RAMAN (SAR) spectroscopy (26) have been reported.

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194 III. SELECTIVE DETECTION OF SUPER- CRITICAL EMISSION COMPONENTS

195 Whereas TIRF can be detected without specialized optics², **Fig. 3***A*, for SAF to be detected 196 one requires, (*i*), a *detection* NA> n_1 spanning supercritical angles and, (*ii*), a device for 197 angular emission filtering to reject the undercritical emission component that is dominated by 198 fluorophores in the bulk volume.

199 In early implementations, a low-NA central detection aperture and a surrounding high-200 NA parabolic reflector were used to separate under- and supercritical emission components 201 (1, 18, 22, 34). When using the highly corrected imaging optics of a high-NA microscope 202 objective (2, 9, 10, 13), Abbe's sine condition provides an unambiguous relationship between 203 emission angles and radii in the objective's backfocal plane (BFP), providing a rationale for 204 FOURIER-PLANE FILTERING, $r_{\theta} = f_{obj} \cdot n_2 \cdot \sin \theta$. Here, f_{obj} is the objective's focal length, f_{TL}/M , 205 i.e., the ratio of the focal length of the manufacturer tube lens and the objective transversal 206 magnification; see Ref. (27) for the subtleties for very-high NA objectives. One might 207 imagine to block UAF emission by simply placing an appropriately sized opaque disk in the 208 BFP. However, the BFP is located inside the objective lens, Fig. 3B, so that a relay telescope 209 is needed to image the BFP into a CONJUGATE PLANE, Fig. 3C. A caveat is that in either of the 210 geometries shown in panels B and C the effective detection aperture is reduced to a ring, so

 $^{^{2}}$ But TIRF needs a high-NA objective ref. (15) or, alternatively, the external prism ('Kretschmann') geometry for guiding light a supercritical angles to the interface.

that the lower-NA Fourier components are clipped, and only a tiny part of the objectives SPATIAL-FREQUENCY BANDWIDTH is used for image formation. However, while the wide-field image shows a degraded lateral resolution, the point-spread function is not significantly perturbed for confocal-spot detection (**Fig. 3***C*), where a lateral resolution of 226 ± 12 nm vs. 211 ± 9 nm has been reported in the SAF and confocal mode, respectively (18).

Thus, the SAF image obtained by just blocking out UAF has a lower resolution than the corresponding image taken at full aperture (28, 29). The precise positioning of an aperture stop is facilitated by a BERTRAND LENS, **Fig. 3***D*.

219 The spatial-resolution problem is elegantly avoided with virtual SAF (vSAF) detection 220 (30). Here, an iris rather than a central disk is used as an aperture mask, and two images are 221 acquired: one taken at the full NA, and the other with the effective detection aperture stopped down to NA' \approx RI_{sample} (\Leftrightarrow $r_c = f_{obj} \cdot n_1$, where r_c is the critical radius marking the transition of 222 223 UAF to SAF), Fig. 3E. The first image contains both SAF and UAF emission components, the latter contains UAF only. Their difference image, vSAF = (SAF + UAF) - UAF, is a 224 225 'virtual' SAF image, equivalent to the one taken with the ring aperture and containing 226 exclusively the fluorescence components emitted into supercritical angles. However, as two 227 high-NA images are used for image formation, the lateral resolution is maintained. The 228 downside of this image-subtraction approach is that by taking the (possibly small) difference 229 between two (possibly bright) images, the shot noise of the difference on the resulting vSAF 230 image can be much larger than the shot noise in straight SAF. If, in addition, the excitation is 231 not TIRF, then a lot of useless fluorescence will be detected on the UAF image, which only 232 increases the shot noise of the difference. In conclusion, the vSAF method has the advantage 233 of preserving lateral resolution, but the disadvantage in photon statistics. Combined TIR-SAF 234 partially circumvents this problem.

235

Alternative geometries do not use commercial objectives at all. As mentioned before, 236 early SAF work used a custom high-NA parabolic ring-mirror (1) surrounding a central 237 undercritical detection (and excitation) optical path instead of a high-NA objective. The same 238 authors later presented a design that integrates separate high-NA and low-NA pathways 239 within a custom objective lens (22), but this objective does not seem to be commercially 240 available. Common to both geometries is that they use a point detection scheme and require 241 sample scanning for SAF-image acquisition (18), similar to the formally equivalent (but 242 rarely used) confocal spot-scanning TIRF geometry (31-33).

243

244 IV. SAF APPLICATIONS: MORE THAN SURFACE-SELECTIVE FLUORESCENCE

245 SAF can be used with simple aperture filtering as a surface-selective fluorescence technique 246 and as an alternative to TIRF-based detection. However, when a BFP image is captured 247 instead of (or in addition to) the sample plane image and the radiation pattern is analyzed, 248 SAF can provide additional information (10). In the following, we present a non-exhaustive 249 list of mostly recent applications and we discuss particularly, how SAF compares relative to 250 various TIRF-based techniques, and we advocate quantitative uses of BFP-image information.

251

SAF applications with aperture filtering 252

253 Near-surface fluorescence. Surface-selective fluorescence detection is straightforward for 254 non-imaging (sensing) applications (34, 35) or in confocal-spot scanning geometries (1, 18, 255 22), because no special requirements are imposed on the illumination optical path. This 256 approach particularly interesting for background rejection either in large-area, multi-spot or 257 multiplexed (multi-well) assays. Indeed SAF is increasingly being used for surface binding 258 assays, the characterization of antibodies or sensitive toxin detection. In an attempt to scale up 259 SAF sensing for even higher throughput, miniaturized parabolic optics have recently been 260 integrated into the bottom of disposable plastic biochips (36) and polymer test tubes (37). A

SAF techniques

truncated cone-shape geometry was shown to parallelize sensing in 256 spots on a microscope-slide sized biochip (38, 39). Yet, while useful for sensing, the large aberrations and missing central cone in these plastic "SAF chips" are prohibitive for imaging applications.

265

A short-sighted microscope. (a), implemented in a scanning geometry combining confocalspot illumination with SAF collection on a point detector (18, 33) SAF is a powerful alternative to TIRF and related excitation-light confining 'highly inclined laminar optical sheet (HILO) techniques. However, while the lateral resolution and axial optical sectioning can of the order of, respectively, 220 and 100 nm (18) the stage-scanning requirement makes image acquisition too slow for imaging near-membrane dynamics in live cells.

272 (b), as a full-field technique either with a resolution-compromising aperture disk (29) 273 or else in the resolution-preserving vSAF variant (13, 30, 40), SAF microscopy is a simple, 274 cheap and less alignment-demanding whole-field alternative to TIRF. Additionally, no laser 275 source is needed. Compatible with a host of illumination schemes and readily implemented on 276 a standard inverted microscope, vSAF imaging is increasingly being used for imaging cell 277 adhesion, cytoskeletal, vesicle- and membrane dynamics (see, however, the above comment 278 on shot noise doubling). Also, we observed in vesicle-tracking experiments using FM1-43 279 labeling that SAF 'magnified' the relative importance of spurious, membrane-resident 280 fluorophores that are more efficiently detected than their vesicular counterparts, only a few 281 tens of nm deeper in the cell. Likewise, when used with epifluorescence excitation, the presence bulk fluorophores can dominate over the fainter SAF signal if $c_{\text{bulk}} >> c_{\text{membrane}}$. 282 283 Thus, some prudence and careful controls are required for quantitative SAF uses and, 284 depending on the specific fluorophore concentration profile, selective SAF detection can even 285 reduce the signal-to-background ratio.

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We advocated the use of SAF in conjunction with TIRF (13), particularly when using 286 TIRF in the prismless, objective-type configuration. Here, SAF can help to remove impurities, 287 288 imperfections and unknowns associated with the passage of the laser beam close to the limiting NA of the objective and those resulting from conjugate image planes inside the 289 290 microscope body (4, 40). Also, contrary to the earlier discussed case of SAF with epifluo-291 rescence illumination, the combined excitation and emission optical sectioning result in a 292 better surface-selectivity of fluorescence detection. Associated to the structured-illumination 293 variant of TIRF, TIRF-SIM (41-45) SAF is expected to provide a true isotropic ~100-nm 294 resolution.

295

296 *Nanometer-axial fluorophore localization.* The steep dependence of the SAF fraction of 297 emitted fluorescence on the axial fluorophore distance h has prompted the use of SAF as a 298 nanometric axial ruler with single-molecule sensitivity in a 150-200 nm range close to the 299 interface (7, 10, 22). 3-D single-molecule localization with nm precision is offered through 300 the combination of dSTORM and SAF (8). Hence, one prominent use of SAF is to remove 301 the traditional imbalance of lateral and axial resolution in super-resolution microscopies by 302 the SAF-based axial single-molecule localization.

We believe that this use of SAF as nanometric axial ruler is particularly powerful, as the SAF/UAF ratio (near-) exponentially depends on the axial fluorophore distance, but not on other (linear) factors, like the local illumination intensity, the fluorophore concentration or detection efficiency across the field-of-view that all cancel out during ratioing. Obvious applications include PALM, STORM, STED and TIRF-SIM microscopies with combined SAF detection.

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310 Fluorescence correlation spectroscopy (FCS). The combined effect of confocal detection and SAF-mediated surface confinement can produce a tiny (attoliter, 10^{-18} l) detection volume. 311 312 This feature has been used for ultrasensitive near-interface diffusion and concentration 313 measurements via FCS (21, 24, 46). Simultaneous UAF and SAF detection permits the 314 synchronous readout of bulk and near-surface mobility and concentration (47) and removes 315 ambiguities resulting from axial motion in non-flat membrane stretches (23). Unlike TIR-316 FCS, SAF - and SAF-UAF-ratioing - has the advantage of being less sensitive to the 317 excitation-light distribution and to define an observation volume through a unique 318 fluorophore property, axial distance. A detailed treatment of the observation volume in a 319 confocal-spot geometry is found in ref. (18).

320

321 SAF applications with BFP imaging and the analysis of the radiation pattern

SAF goes beyond axial optical sectioning when imaging the aperture (pupil) plane of a high-NA objective rather than its field (sample) plane, **Fig. 3***D*. The BFP image contains the fluorophore radiation pattern, which contains fluorophore information (see (10) for a recent overview). Depending on the exact geometry used, this information is derived either from a limited number of fluorophores in the confocal spot (33) or else integrated (averaged) over the entire field of view (40). In addition, how typical aberrations of high-NA objectives influence Fourier-plane images has been studied in detail (27).

329

Imaging molecular orientation. Albeit not called SAF at the time but "single-molecule detection with Fourier-plane image analysis", the dependence of the fluorophore radiation pattern on the orientation of the dipole axis relative to the optical axis has allowed studying molecular orientation (48), rotational dynamics (49, 50) and even nanometric axial movement in single-molecule (9) and single-nanoparticle detection assays (8), using a combination of lateral single-molecule localization and SAF-based axial-distance assays. Backfocal imaging
and spectroscopy have been applied not only to study angular emission patterns of fluorescent
molecules, but also to investigate anisotropic Raman signals from molecules, elastic
scattering, plasmonic scattering and non-linear scattering as well as secondary emission via
optical antennas (see, e.g., (51) for a recent review and literature cited therein).

340

341 Variable-angle SAF spectroscopy. Surface-selective (immuno-) assays often require a wash 342 step prior to read-out due to the otherwise overwhelming signal of the large number of 343 unbound (bulk) fluorescent molecules that dominate over the signal from the molecules of 344 interest, bound to the substrate. TIRF has been the method-of-choice for investigating the 345 population of surface-bound fluorophores in isolation, and variable-angle scans (VA-TIRF) 346 have allowed a 'tomographic' reconstruction of layer thickness, membrane topography, or 347 cell adhesion from fluorophore distance (52-58).

348 SAF is an obvious alternative to VA-TIRF, but how the radiation pattern changes in 349 the presence of surface-bound and bulk fluorophores had not been experimentally studied 350 (59). The van Dorpe lab recently investigated how the collection angle influences the SAF 351 surface sensitivity in the presence of bulk fluorophores. For this, two different fluorophores 352 were used. One was bound to the surface and the other was dissolved in the bulk. Then, 353 measuring the spectrum at discrete points in the back focal plane (BFP) by scanning a small 354 optical fibre along the radial direction, the authors quantified the relative contribution of the 355 two fluorophores using spectral imaging and linear unmixing. While the highest signal-to-356 noise ratio was observed in the region just above θ_c because of the higher signal intensity, the highest signal-to-bulk ratio was observed at much larger angles, at values above 68° for a 357 358 glass/water interface. Thus, for experiments where bulk exclusion is important, increasing the 359 NA enhances the surface sensitivity, however, restricting SAF detection to the outermost

high-NA rig comes at the cost of a decreased signal intensity. Obviously, this study inspires 360 combining emission spectral imaging and spectral BFP image analysis for multi-color studies 361 362 of the basal cell membrane or the nanoscale 3-D organization, e.g., for understanding the 363 nano-architecture of cell adhesion sites and the peripheral multilayered cytoskeleton, which – 364 at present - are typically being studied with variable-angle TIRF. Also, understanding the 365 angular dependence on the sensitivity of a SAF biosensor will allow tuning the collection angles towards specific applications and contribute to designing smaller, more efficient 366 367 devices.

368

Verification of high-NA objectives. When the RI of the fluorophore-embedding medium is 369 known (as for air, water, or calibrated sucrose³ or for a glycerol/water mix (60, 61)), the 370 371 calibration of BFP radii via $r_c = f_{obj} \cdot n_1$ on a BFP image and application of Abbe's sine 372 condition allows measuring the outer, bounding radius. This measurement directly provides 373 the effective objective NA_{eff} via $r_{NA} = f_{obj} \cdot n_2 \cdot \sin\theta = f \cdot NA_{eff}$. SAF-based NA measurements are 374 a simple and precise means to measure large NAs > $n_2 \cdot \sin \theta_c$ that are otherwise difficult to 375 measure because of TIR (62). Using this strategy, we found important deviations between the 376 true and specified NA for some objectives (Fig. S2 in Ref. (12)). A reduced effective NA is 377 not only a relevant information prior to buying such expensive objective lenses (e.g., when 378 upgrading from a 1.45 to a 1.49-NA lens), but it is also a factor causing stray excitation in 379 TIRF experiments where a good optical sectioning, i.e., very high polar beam angles θ are 380 sought for.

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³ Degrees Brix (°Bx) is the sugar content of an aqueous solution. One °Bx is 1 g of sucrose in 100 g of solution and represents the strength of the solution as mass %. The °Bx is traditionally used in the wine, sugar, carbonated beverage, fruit juice, maple yrup and honey industries, but the commercial availability of calibrated sucrose solution has also been exploited in science. See, e.g., Ref. (40).

382 SAF refractometry. Conversely, with NA_{eff} known, an interesting and new SAF application is 383 near-surface refractometry (40): as the transition of UAF to SAF depends on the sample RI n_1 384 (but not on n_2), the measurement of the "critical radius" $r_c = f_{obi} \cdot n_1$ on the BFP image allows 385 for a sensitive measurement of the local RI of the fluororphore-embedding medium. The 386 precision of this measurement depends on the quality of the fit of the BFP image (and hence 387 on the available signal, pixel size, and camera sensitivity), and typical RI values with ± 0.001 388 error are being reached. This property has been used – together with diverse fluorophores that 389 we subcellularly targeted to different organelles – for intracellular RI-measurements (40). Of 390 note, even though wide-field SAF refractometry measures the local fluorophore environment, 391 it reports an *average* RI value, $\langle n_1 \rangle$, averaged over all SAF-emitting fluorophores in the 392 field-of-view. In an attempt to achieve spatially resolved RI measurements, a combination of 393 Bessel-beam-spot excitation with confocal intensity and simultaneous SAF-based RI 394 detection through the same high-NA objective has been reported in a recent communication 395 (33).

396

397 Label-free RI-based SAF assay. An interesting variant of this refractometric readout is SAF 398 detection from a fluorophore-coated glass microcapillary (63). Here, the analyte is non-399 fluorescent and its presence sensed indirectly via the RI change. This change in the 400 fluorophore environment (detected as a shift in r_c and hence $\langle n_1 \rangle$) is induced by a medium 401 change or - as shown by the authors – by bacterial growth on the capillary bottom. In the 402 same manner, one can imagine to measure cell adhesion or confluency in unlabeled cell 403 cultures grown on a fluorophore-coated coverslip. In either case, the sensitivity of this label-404 free fluorescent SAF assay will be limited by the fluorescence signal available after 405 prolongued use of the capillary sensor and by the timecourse of photobleaching of the immobilized dye. Conceptually, the technique is reminiscent of nm-scale axial resolution withnon-radiative excitation, (64).

408

409 Incidence-angle calibration in VA-TIRF. BFP imaging of the SAF-ring has been used for 410 calibrating the polar beam angle θ in objective-type TIRF microscopy. Similar to the earlier 411 RI-measurement, the approach is based on a series of θ_c -measurements on BFP images using 412 a glass substrate topped with solvents having different RIs. In one study, a thin homogenous layer of fluorophores in air was used to produce a SAF ring of known radius $r_c^{(air)} = f_{obi}$ that 413 414 was compared to the annular distribution of excitation light in azimuthal beam-spinning TIRF 415 with the fluorescence filter removed (65). Two follow-up studies used a similar strategy but 416 either with a thin layer of organic dye for TIRF-SIM calibration (45) or else with Qdots 417 embedded in PMMA for A-TIRFM (66). In the latter case, the fluorophore layer was topped 418 with solutions of different, known RI (previously measured with an Abbe refractometer) and 419 the laser spot (seen when the emission filter was taken out) steered to these known angles to 420 attain a more precise multi-angle calibration.

421

422 **V. WHAT'S NEXT?**

423 SAF, either used for surface-selective fluorescence detection or – combined with the analysis 424 of BFP images – for imaging the fluorophore radiation pattern, is an active and rapidly 425 expanding area of research. Beyond its early uses in spectroscopy, sensing and studies of 426 single-molecule mobility and rotation, SAF detection plays increasing roles in imaging 427 applications, either with wide-field detection or else as a confocal point-measurement 428 technique with sample scanning. Recent studies emphasized the quantitative analysis of BFP 429 images to extract various fluorophore properties, and ongoing work aims at the real-time analysis of BFP images through rapid feature extraction. Future studies will likely combine an 430

analysis of the radiation pattern with fluorescence-intensity, life-time or anisotropy-basedcontrast, marking the arrival of SAF-based multi-modal microscopies.

Given that these applications all rely on BFP image analysis we expect deep-learning to have an impact similar to other fields of microscopic image analysis. Artificial intelligence (AI) based BFP image analysis will boost information-extraction from the narrow highintensity ring characteristic for SAF. Fast feature recognition – particularly when multiple emitters or multi-color emitters are present - and adaptive aperture filtering are only two aspects that will benefit from AI, in a manner similar to exploiting the chromatic dependence of PSF shapes from different emitters imaged on a grayscale camera (67).

The relative ease of use, reduced complexity and (compared to TIRF) low price of instrumentation required for *v*SAF is most likely to increase its dissemination of the for routine biological microscopy. A '*v*SAF-module' that can be fitted between the microscope exit port and an existing EMCCD or sCMOS camera is now commercially available (by the French start-up Abbelight – non-related to the authors), and makes the technique accessible for non-expert users.

Finally, in as much as SAF does not depend on how fluorescence was excited but represents an intrinsic fluorophore property depending solely on its distance from the interface, we foresee a growing use of SAF in microscope calibration and standardization applications (4).

- 450
- 451

452 List of abbreviations

453	AI	-	artificial intelligence
454	BFP	-	back-focal plane
455	EMCCD	-	electron-multiplying charge-coupled device
456	EW	-	evanescent wave

457	FCS	-	fluorescence correlation spectroscopy
458	FWHM	-	full width at half maximum
459	HILO	-	highly inclined laminar optical (sheet)
460	NA	-	numerical aperture
461	PCC	-	Pearson's correlation coefficient
462	PMMA	-	poly(methyl methacrylate)
463	PSF	-	point spread function
464	RI	-	refractive index
465	SAF	-	supercritical angle fluorescence
466	SBR	-	signal-to-background ratio
467	sCMOS	-	scientific complementary metal oxide sensor
468	STED	-	stimulated emission depletion microscopy
469	TIR(F)	-	total internal reflection (fluorescence)
470	UAF	-	under-critical angle fluorescence
471			

472

473 Glossary

APERTURE (OR BACKFOCAL) PLANE – in a multi-lens system, a plane conjugate to the aperture,
in a light microscope, the set of conjugate aperture planes comprises the exit pupil, objective
back-focal plane, condenser front focal plane and the lamp filament. (see also field plane for
the other set of conjugate planes including the sample plane and detector).

BERTRAND LENS – an optical device used in aligning the various optical components of a light
microscope. It allows observation of the back focal plane of the objective lens and its
conjugated focal planes.

481

FOURIER FILTERING – refers to modulating or clipping in frequency space; in SAF, it refers to
 shaping the SPATIAL FREQUENCY BANDWIDTH of the microscope by selectively attenuating,

484 blocking or enhancing certain segments of the (conjugate) back-focal plane by an aperture485 mask.

486 NUMERICAL APERTURE (NA = $n \cdot \sin \theta_{NA}$) – Magnitude related to the maximal angle of 487 collection or excitation of an optical element.

488 (OBJECTIVE) BACK-FOCAL PLANE (BFP) – Effective rear focal plane of the assembly of lens

489 composing the objective. For most objective lenses, it is located inside the objective and

490 hence only accessible by generating an intermediate image in a conjugate plane.

491 OPTICAL RECIPROCITY – (for all practical grounds here) principle that describes how a ray of
492 light and its reverse follow the same optical path.

493 RAMAN (SCATTERING) – Inelastic scattering of a photon when it encounters matter.

494 SNELL'S LAW – A law from geometrical optics that describes how a ray of light is reflected 495 and/or transmitted when it encounters an interface between two different refractive-index 496 media, $n_i \cdot \sin \theta_i = const$.

497 SPATIAL-FRENQUENCY BANDWIDTH - Range of frequencies that is transmitted by the system.
498 In an optical (or imaging) system this bandwidth is inversely related to the spatial optical
499 resolution. See also Object transfer function and Point Spread Function.

500 SUPERCRITICAL ANGLE FLUORESCENCE (SAF) – Fluorescence that propagates trough a higher-501 index medium (compared to the emission medium) at 'forbidden' angles higher than the 502 critical angle.

503 TOTAL INTERNAL REFLECTION (TIR) – Complete bouncing back of a beam from a transparent 504 interface (i.e., no transmitted light) that can occur when a light impinges at an interface from 505 the higher- (n_2) to the lower-refractive index side (n_1), at an angle larger than a certain critical 506 angle, $\theta_c = a \sin(n_2/n_1)$.

507

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694 **FIGURE LEGENDS**

695 FIGURE 1. Evanescence in excitation and emission. (A), top, we assume for the purpose of this paper 696 a planar dielectric interface separating two homogenous media having different refractive indices 697 (RIs), $n_2 > n_1$. Snell's law $n_1 \cdot \sin(\theta_1) = n_2 \cdot \sin(\theta_1)$ governs the angles of refraction at a dielectric interface. For a glass/water interface $(n_2 > n_1)$, light impinging at the interface from the side of the 698 699 denser medium 2 is totally reflected beyond a critical angle of incidence, $\theta_c = a \sin(n_2/n_1)$. Total 700 reflection creates an inhomogeneous, propagating evanescent wave (EW) right above the dielectric 701 boundary in medium 1, bottom. S is the Pointing vector, indicating the direction of energy flux. (B), 702 fluorescence emission of near-interface dipoles. Far-field emission pattern from a radiating dipole 703 located far from the interface (fluorophore height $h >> \lambda$) showing the familiar "laying-8" pattern. 704 Molecular rotation (arrow) in solution often results in an isotropic fluorescence emission (dashed 705 circle). The collected fraction of fluorescence hence depends on the solid angle captured by the 706 NUMERICAL APERTURE (NA) of the objective. On the contrary, for $h \leq \lambda$, fluorescent specimen does 707 not emit fluorescence isotropically, but approximately 2/3 of the fluorescence is emitted into the 708 higher-index medium. Of this emission, the main part is directed to solid angles above the critical 709 angle. On the contrary, already for $h = \lambda/2$, fluorescence above the critical angle is decreased 710 dramatically. Hence, like TIRF, supercritical angle fluorescence (SAF) is suited to discriminate 711 between molecules at or near to surfaces and other fluorophores in the bulk. (C), provided the 712 detection NA of the collection optics is sufficiently large (NA > n_1), supercritical (SAF) and 713 undercritical fluorescence emission components (UAF) can be collected simultaneously and separated 714 in the in the objective backfocal plane (BFP). SAF and UAF can be distinguished as they travel at 715 different NAs (i.e., radii in the BFP), which can be used for aperture filtering (see main text).

716

717 FIGURE 2. SAF detection of TIRF-like images and combined TIR-SAF acquisition with improved 718 contrast. (A), TIRF (green) and (epi-excited) SAF (red) images of EGFP-expressing mitochondria in a 719 cultured cortical astrocyte. For TIRF the polar beam angle was $\theta = 64, 68$ and 70° as indicated. (B), 720 Evolution of Manders' co-localization coefficient M₁ for SAF (image 1, red) and of Pearsons 721 correlation coefficient (PCC, *black*, see Ref. (14)). TIRF and SAF highlight similar structures ($\langle R_{12} \rangle =$ 722 0.79 ± 0.01). Unlike PCC, M₁ measures the amount of TIRF pixels coinciding with SAF pixels 723 following thresholding of each channel. TIRF and SAF images are similar for intermediate beam 724 angles (lower EW penetration depths), before TIRF becomes more discriminative at very high θ . 725 However, this object-based analysis obscures the gain in sensitivity by SAF. (C), Contrast of TIRF-726 alone and combined TIR-SAF images. Images of yellow-green emitting 93-nm diameter beads upon 727 488-nm excitation and the FWHM and Michelson contrast ('visibility') calculated as $C_{\rm M} = (I_{\rm max} - I_{\rm max})$ $I_{\min}/(I_{\max} + I_{\min})$. Pixel size was 103 nm, $t_{exp} = 50$ ms. Symbols are measured intensity mean $\pm SD$ from 728

four independent measurements. Through lines are weighted Gaussian fits. SBR was 4.92 ± 0.16 , $C_{\rm M}$ = 0.71 and FWHM = 293 ± 2 nm for TIRF alone; vs. SBR = 14.4 ± 1.1, $C_{\rm M}$ = 0.88, FWHM = 311 ± 1 nm for TIR-SAF. Thus, while no large difference was observed between FWHMs, the combined optical sectioning of TIRF excitation and SAF detection almost tripled contrast (×2.93).

733

734 FIGURE 3. Microscope geometries for TIRF and SAF detection. (A), typical optical layout for 735 prismless ('through-the-objective') type evanescent-wave excitation. In the simplest case, an expanded 736 laser beam is focused in an eccentric position in the BFP of a high-NA objective. ex - excitation light, 737 obj - objective lens, dic - dichroic mirror, TL - tube lens, sCMOS - scientific complementrary metal-738 oxyde sensor. (B), hypothetical selective SAF detection with an opaque aperture mask in the objective 739 BFP. (C), practical implementation of SAF detection with an aperture mask placed in a conjugate 740 aperture plane, produced by a '4f' relay telescope. Like the arrangement shown in panel C, this 741 scheme results in a resolution loss due to the ring aperture and clipping of central rays. (D), insertion 742 fo a Bertrand lens (BL) allows BFP (or "Fourier-plane") imaging. (E), virtual SAF, vSAF 743 configuration, in which an iris stop is used instead of an opaque disk and the SAF image is calculated from the difference of two images taken with the iris open and stopped down to $NA' = n_1$, respectively. 744 745 *Inset* images at the bottom represent the fluorescence radiation patterns observed by imaging the BFP 746 of the objective in the various configurations.



Oheim et al. Fig. 1

Α





Oheim et al. Fig. 3