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# EXTENSIONS OF DISSIPATIVE AND SYMMETRIC OPERATORS

W. ARENDT, I. CHALENDAR, AND R. EYMARD

ABSTRACT. Given a densely defined skew-symmetric operators  $A_0$  on a real or complex Hilbert space  $V$ , we parametrize all  $m$ -dissipative extensions in terms of contractions  $\Phi : H_- \rightarrow H_+$ , where  $H_-$  and  $H_+$  are Hilbert spaces associated with a *boundary quadruple*. Such an extension generates a unitary  $C_0$ -group if and only if  $\Phi$  is a unitary operator. As corollary we obtain the parametrization of all selfadjoint extensions of a symmetric operator by unitary operators from  $H_-$  to  $H_+$ . Our results extend the theory of boundary triples initiated by von Neumann and developed by V. I. and M. L. Gorbachuk, J. Behrndt and M. Langer, S. A. Wegner and many others, in the sense that a boundary quadruple always exists (even if the defect indices are different in the symmetric case).

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## 1. INTRODUCTION

A classical subject in Functional Analysis and in Mathematical Physics is the description of all selfadjoint extensions of a symmetric operator. It was J. von Neumann who gave the first result in this direction (see Section 5). Generalizations of von Neumann's Theorem led to the

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theory of boundary triples which are described in detail in the monographs [8] by V.I. and M.L. Gorbachuk and by K. Schmüdgen [15]. Such boundary triples exist whenever the given symmetric operator has at least one selfadjoint extension. Multiplying by the complex number  $i$ , the problem can be reformulated as follows. Let  $A_0$  be a densely defined skew-symmetric operator on a Hilbert space  $V$ . Describe all extensions  $B$  of  $A_0$  which generate a unitary  $C_0$ -group.

More recently, Wegner [17] used boundary triples to parametrize also all extensions  $B$  of  $A_0$  which generate a contractive  $C_0$ -semigroup (which does not necessarily consist of unitary operators). However, it turns out that for this task the notion of boundary triples is too narrow and does not cover all cases.

In the present article we introduce *boundary quadruples*, a notion with weaker assumptions, which covers all cases. Moreover, we give quite short proofs which might make the new setting attractive.

Let us describe in more details some of the main results. Let  $V$  be a Hilbert space over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ , and let  $A_0$  be a skew-symmetric operator with dense domain. Then  $A_0$  is a restriction of  $A := -A_0^*$ .

A *boundary quadruple*  $(H_-, H_+, G_-, G_+)$  consists of pre-Hilbert spaces  $H_-, H_+$  and surjective linear mappings  $G_- : D(A) \rightarrow H_-$  and  $G_+ : D(A) \rightarrow H_+$  such that

$$(1.1) \quad \langle Au, v \rangle_V + \langle u, Av \rangle_V = \langle G_+ u, G_+ v \rangle_{H_+} - \langle G_- u, G_- v \rangle_{H_-}$$

for all  $u, v \in D(A)$ , with the additional condition

$$(1.2) \quad \ker G_- + \ker G_+ = D(A).$$

It is remarkable that the purely algebraic assumptions (1.1) and (1.2) imply that the mappings  $G_-$  and  $G_+$  are continuous and that the images  $H_-, H_+$  are actually Hilbert spaces. Our main result is the following.

**Theorem 1.1.** *Let  $B$  be an extension of  $A_0$ . The following are equivalent:*

- (i)  *$B$  generates a  $C_0$ -semigroup of contractions;*
- (ii) *there exists a linear contraction  $\Phi : H_- \rightarrow H_+$  such that*

$$D(B) = \{w \in D(A) : \Phi G_- w = G_+ w\} \text{ and } Bw = Aw, \ w \in D(B).$$

Moreover,  $B$  generates a unitary  $C_0$ -group if and only if  $\Phi$  is unitary. In this case we refine the known extension results for symmetric operators in a more general setting.

The literature on boundary triples is very rich. We refer to the references and historical notes in the article [17] by Wegner, the two monographs mentioned above, and also to the monograph of Behrndt, Hassi and de Snoo [6], where extension results are part of an elaborate

theory (cf. [6, Corollary 2.1.4.5]), and we refer also to the articles of Behrndt–Langer [4] and Behrndt–Schlosser [5]. In a previous article [2], the present authors studied extensions of derivations in a quite different spirit, the main motivation being non-autonomous evolution equations. Even though some ideas used here have their origine in [2], the present article is completely self-contained. It is organized as follows. In Section 2 we investigate dissipative operators including a simple proof of Phillips’ Theorem. Boundary quadruples are introduced and investigated in Section 3. Extensions which generate a unitary group are characterized in Section 4. These results can be transferred to extension results for symmetric operators (Section 5). Examples are given in Section 6. Section 7 is devoted to the wave equation. Finally we compare boundary triples as they occur in the literature with boundary quadruples in Section 8.

## 2. DISSIPATIVE AND SKEW-SYMMETRIC OPERATORS

Let  $V$  be a Hilbert space over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ . We first recall the definitions of several notions associated with dissipativity. By an operator  $A$  on  $V$  we always understand a linear mapping, defined on a subspace  $D(A)$  of  $V$ , its domain, which takes values in  $V$ .

**Definition 2.1.** a) An operator  $A$  with domain  $D(A) \subset V$  is closed if its graph  $\mathcal{G}(A) := \{(x, Ax) : x \in D(A)\}$  is closed in  $V \times V$ .

b) An operator  $B$  is an extension of  $A$ , in symbols  $A \subset B$ , if  $D(A) \subset D(B)$  and  $Bx = Ax$  for  $x \in D(A)$ .

c) An operator  $A$  is called closable if there exists a closed operator  $\overline{A}$  such that  $\mathcal{G}(\overline{A}) = \overline{\mathcal{G}(A)}$ . Then  $\overline{A}$  is the smallest closed extension of  $A$ . It is called the closure of  $A$ .

d) An operator  $A$  on  $V$  is called dissipative if

$$\operatorname{Re}\langle Ax, x \rangle_V \leq 0 \text{ for all } x \in D(A).$$

e) An operator  $A$  on  $V$  is called maximal dissipative if  $A \subset B$  with  $B$  a dissipative operator implies that  $A = B$ .

f) An operator  $A$  on  $V$  is called  $m$ -dissipative if  $A$  is dissipative and  $(\operatorname{Id} - A)D(A) = V$ .

We now collect well-known properties to see the link between all the notions defined above.

**Proposition 2.2.** Let  $A$  be an operator on  $V$ .

1) The operator  $A$  on  $V$  is dissipative if and only if

$$(2.1) \quad \|x - tAx\| \geq \|x\| \text{ for all } x \in D(A), t > 0.$$

- 2) If  $A$  is  $m$ -dissipative, then  $D(A)$  is dense in  $V$ .
- 3) If  $A$  is  $m$ -dissipative, then  $A$  is maximal dissipative.
- 4) If  $A$  is dissipative and  $D(A)$  is dense in  $V$ , then  $A$  is closable and  $\overline{A}$  is dissipative.
- 5) If  $A$  is dissipative and closed, then, for all  $t > 0$ ,  $(\text{Id} - tA)D(A)$  is closed.

*Proof.* 1) follows from the equivalence

$$\|(Id - tA)x\|_V^2 \geq \|x\|_V^2 \iff t\|Ax\|_V^2 - 2\text{Re}\langle Ax, x \rangle_V \geq 0,$$

Then, letting  $t \rightarrow 0$ , we prove the well-known characterization of dissipativity.

2) is proved in [1, Proposition 3.3.8].

3) Let  $A \subset B$  where  $B$  is dissipative. Let  $x \in D(B)$ . The surjectivity of  $\text{Id} - A$  implies that there exists  $y \in D(A)$  such that  $x - Bx = y - Ay = y - By$ . Therefore  $B(y - x) = y - x$ . By 1) applied with  $t = 1$ , we get  $x = y$ , and then  $A = B$ .

4) follows from [1, Lemma 3.4.4] and 1).

5) Suppose that  $(\text{Id} - tA)(x_n) \rightarrow y$  for  $(x_n)_n \subset D(A)$ . Therefore  $((\text{Id} - tA)(x_n))_n$  is a Cauchy sequence and by 1)  $(x_n)_n$  is also a Cauchy sequence, which converges to say  $x \in V$ . It follows that  $Ax_n \rightarrow \frac{x-y}{t}$ . Since the graph of  $A$  is closed,  $Ax = \frac{x-y}{t}$  and thus  $y = (\text{Id} - tA)x$ .  $\square$

Phillips [12, Corollary of Theorem 1.1.1] obtained the equivalence between  $m$ -dissipativity and maximal dissipativity on a Hilbert space using the Cayley transform. We give a simple direct proof (see also [17, Theorem 3.1] for still another argument).

**Theorem 2.3** (Phillips). *Let  $V$  be a Hilbert space and  $A$  an operator on  $V$ . Then  $A$  is  $m$ -dissipative if and only if  $A$  is maximal dissipative and  $D(A)$  is dense.*

*Proof.* One implication follows from 3) in Proposition 2.2.

Conversely, if  $A$  is dissipative with dense domain, then, by 4) in Proposition 2.2,  $A$  is closable and  $\overline{A}$  is dissipative. Since  $A$  is maximal dissipative,  $A = \overline{A}$ . By 5) in Proposition 2.2 with  $t = 1$ , we get  $(\text{Id} - A)D(A)$  is closed in  $V$ . Assume that  $R := \text{Ran}(\text{Id} - A) \neq V$ . Let us prove that  $D(A) \cap R^\perp = \{0\}$ . Let  $x \in D(A) \cap R^\perp$ . Then

$$\langle x - Ax, x \rangle_V = 0.$$

Hence  $\|x\|_V^2 = \text{Re}\langle Ax, x \rangle_V \leq 0$  and so  $x = 0$ . Define  $\widehat{A}$  on  $V$  by

$$D(\widehat{A}) := D(A) \oplus R^\perp, \quad \widehat{A}(x + u) = Ax - u \text{ where } x \in D(A), u \in R^\perp.$$

Then  $A \subset \widehat{A}$  and

$$\begin{aligned}
 \operatorname{Re} \langle \widehat{A}(x+u), x+u \rangle_V &= \operatorname{Re} \langle Ax, x \rangle_V + \operatorname{Re} \langle Ax, u \rangle_V - \operatorname{Re} \langle u, x \rangle_V - \|u\|_V^2 \\
 &\leq \operatorname{Re} (\langle Ax, u \rangle_V - \langle u, x \rangle_V) \\
 &= \operatorname{Re} (-\langle x - Ax, u \rangle_V) + \operatorname{Re} (\langle x, u \rangle_V - \langle u, x \rangle_V) \\
 &= \operatorname{Re} (\langle x, u \rangle_V - \overline{\langle x, u \rangle_V}) \\
 &= 0.
 \end{aligned}$$

Thus  $\widehat{A}$  is dissipative. Hence  $D(A) = D(\widehat{A})$ , i.e.  $R^\perp = \{0\}$ . We have shown that  $R = V$ ; i.e.  $A$  is  $m$ -dissipative.  $\square$

By Zorn's lemma, each densely defined dissipative operator has a maximal dissipative extension.

By the Lumer–Phillips Theorem [1, Theorem 3.4.5 and Proposition 3.3.8], an operator  $A$  on  $V$  generates a  $C_0$ -semigroup of contractions if and only if  $A$  is  $m$ -dissipative.

Now we introduce the basic objects of this article, a skew-symmetric operator which can be characterized as follows. We continue to consider the real and complex case simultaneously.

**Proposition 2.4.** *Let  $A$  be an operator on  $V$ . The following assertions are equivalent:*

- (i)  $\operatorname{Re} \langle Au, u \rangle_V = 0$  for all  $u \in D(A)$ ;
- (ii)  $\pm A$  is dissipative;
- (iii)  $A$  is skew-symmetric, i.e.

$$\langle Au, v \rangle_V + \langle u, Av \rangle_V = 0$$

for all  $u, v \in D(A)$ .

*Proof.* (i)  $\iff$  (ii) is obvious.

(iii)  $\Rightarrow$  (i) Take  $u = v$ .

(i)  $\Rightarrow$  (iii) Note that

$$\begin{aligned}
 0 &= \operatorname{Re} \langle A(u+v), u+v \rangle_V \\
 &= \operatorname{Re} (\langle Au, v \rangle_V + \langle Av, u \rangle_V) \\
 &= \operatorname{Re} (\langle Au, v \rangle_V + \langle u, Av \rangle_V).
 \end{aligned}$$

This proves (iii) in the real case. If  $\mathbb{K} = \mathbb{C}$ , then replacing  $u$  by  $\lambda u$  we see that

$$\operatorname{Re} \lambda (\langle Au, v \rangle_V + \langle u, Av \rangle_V)$$

for all  $\lambda \in \mathbb{C}$  and hence  $\langle Au, v \rangle_V + \langle u, Av \rangle_V = 0$  for all  $u, v \in D(A)$ .  $\square$

If  $B$  is a densely defined operator on  $V$ , the adjoint  $B^*$  of  $B$  is defined as follows. For  $v, f \in V$ ,

$$v \in D(B^*) \text{ and } B^*v = f \iff \langle Bu, v \rangle_V = \langle u, f \rangle_V \text{ for all } u \in D(B).$$

Using this definition we see that a densely defined operator  $A_0$  on  $V$  is skew-symmetric if and only if  $A_0 \subset (-A_0)^*$ .

Our aim is to describe all dissipative extensions of such an operator  $A_0$ . It turns out that they all are restrictions of  $(-A_0)^*$ . A proof using Cayley transform is given in [8, Chapter 3, Theorem 1.3 p. 150] and [17, Proposition 2.8]. We give a much shorter direct proof.

**Theorem 2.5.** *Let  $A_0$  be a densely defined skew-symmetric operator and  $B$  a dissipative operator such that  $A_0 \subset B$ . Then  $B \subset (-A_0)^*$ .*

*Proof.* Let  $y \in D(B)$ . Let  $x \in D(A_0)$ . Then

$$\operatorname{Re} \langle Bx, x \rangle_V = \operatorname{Re} \langle A_0x, x \rangle_V = 0.$$

Hence, for  $t > 0$ ,

$$\begin{aligned} 0 &\geq \operatorname{Re} \langle B(x + ty), x + ty \rangle_V \\ &= t \operatorname{Re} \langle Bx, y \rangle_V + t \operatorname{Re} \langle By, x \rangle_V + t^2 \operatorname{Re} \langle By, y \rangle_V. \end{aligned}$$

Dividing by  $t$  and letting  $t \rightarrow 0$ , we get

$$0 \geq \operatorname{Re} \langle A_0x, y \rangle_V + \operatorname{Re} \langle By, x \rangle_V.$$

Since the above inequality holds for  $\pm x$ , it follows that

$$0 = \operatorname{Re} \langle A_0x, y \rangle_V + \operatorname{Re} \langle By, x \rangle_V.$$

If  $\mathbb{K} = \mathbb{R}$ , since  $x \in D(A_0)$  is arbitrary, this implies that  $y \in D(A_0^*)$  and  $By = (-A_0)^*y$ .

If  $\mathbb{K} = \mathbb{C}$ , replacing  $t$  by  $\lambda t$  with  $\lambda \in \mathbb{C}$ , the above argument shows that

$$(2.2) \quad \operatorname{Re} (\bar{\lambda} \langle A_0x, y \rangle_V + \lambda \langle By, x \rangle_V) = 0$$

for all  $\lambda \in \mathbb{C}$  and  $x \in D(A_0)$ . Choosing  $\lambda = 1$  and then  $\lambda = i$ , we get

$$\langle A_0x, y \rangle_V + \langle x, By \rangle_V = 0,$$

and the conclusion follows as in the case  $\mathbb{K} = \mathbb{R}$ .  $\square$

### 3. BOUNDARY QUADRUPLES AND $m$ -DISSIPATIVE RESTRICTIONS

In this section we introduce and study the basic notion of this article. Let  $V$  be a Hilbert space over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$  and let  $A_0$  be a densely defined skew-symmetric operator on  $V$ . Let  $A = (-A_0)^*$ . Then, as a consequence of the definition of the adjoint

$$(3.1) \quad A_0 \subset A.$$

**Definition 3.1.** A boundary quadruple  $(H_-, H_+, G_-, G_+)$  for  $A_0$  consists of pre-Hilbert spaces  $H_-, H_+$  and linear maps  $G_- : D(A) \rightarrow H_-$ ,  $G_+ : D(A) \rightarrow H_+$  satisfying

$$(3.2) \quad \langle Au, w \rangle_V + \langle u, Aw \rangle_V = \langle G_+u, G_+w \rangle_{H_+} - \langle G_-u, G_-w \rangle_{H_-}$$

for all  $u, w \in D(A)$ ,

$$(3.3) \quad \ker G_+ + \ker G_- = D(A),$$

and

$$(3.4) \quad H_- = G_-D(A), \quad H_+ = G_+D(A).$$

We will see below that these assumptions imply that  $H_-$  and  $H_+$  are actually complete and that  $G_-, G_+$  are continuous (with respect to the graph norm on  $D(A)$ ).

Boundary quadruples do always exist. Below are two possible constructions which always work. However, for concrete examples, other choices might be convenient. We let

$$(3.5) \quad b(u, v) = \langle Au, v \rangle_V + \langle u, Av \rangle_V, \quad u, v \in D(A).$$

Then  $b : D(A) \times D(A) \rightarrow \mathbb{K}$  is a symmetric sesquilinear form. We call it the *boundary form* associated with  $A_0$ .

We first show that Condition (3.3) implies the following *interpolation property*.

**Lemma 3.2.** Let  $x_- \in G_-D(A)$ ,  $x_+ \in G_+D(A)$ . Then there exists  $w \in D(A)$  such that  $G_-w = x_-$  and  $G_+w = x_+$ .

*Proof.* There exist  $w_1, w_2 \in D(A)$  such that  $x_- = G_-w_1$ ,  $x_+ = G_+w_2$ . By (3.3),  $w_1 = w_{1-} + w_{1+}$  and  $w_2 = w_{2-} + w_{2+}$  with  $w_{1-}, w_{2-} \in \ker G_-$ ,  $w_{1+}, w_{2+} \in \ker G_+$ . Let  $w = w_{1+} + w_{2-}$ . Then

$$G_-w = G_-w_{1+} = G_-(w_{1+} + w_{1-}) = G_-w_1 = x_-.$$

Similarly

$$G_+w = G_+(w_{1+} + w_{2-}) = G_+w_{2-} = G_+(w_{2-} + w_{2+}) = G_+w_2 = x_+.$$

□

**Remark 3.3.** The interpolation property of Lemma 3.2 is equivalent to (3.3). Indeed, given  $w \in D(A)$  and assuming the interpolation property, we find  $w_1 \in D(A)$  such that  $G_+w_1 = G_+w$  and  $G_-w_1 = 0$ . Thus  $w_1 \in \ker G_-$  and  $w - w_1 \in \ker G_+$ ,  $w = (w - w_1) + w_1 \in \ker G_+ + \ker G_-$ .

Let  $D(A)$  be the Hilbert space equipped with the inner product

$$(3.6) \quad \langle u, v \rangle_{D(A)} := \langle u, v \rangle_V + \langle Au, Av \rangle_V.$$



Then the norm in  $D(A)$  induced by this inner product is the graph norm. We first prove that  $G_-$  and  $G_+$  are automatically continuous for this norm.

**Lemma 3.4.** *The operators  $G_- : D(A) \rightarrow H_-$ ,  $G_+ : D(A) \rightarrow H_+$  are continuous.*

*Proof.* Consider the mapping  $G : D(A) \rightarrow \overline{H_-} \times \overline{H_+}$  given by

$$G(w) = (G_-(w), G_+(w)) \in \overline{H_-} \times \overline{H_+},$$

where  $\overline{H_-}$ ,  $\overline{H_+}$  are the completions of  $H_-$  and  $H_+$  respectively. It suffices to show that  $G$  has a closed graph. For that let  $w_n \rightarrow w$  in  $D(A)$  such that  $G(w_n) \rightarrow (x_-, x_+) \in \overline{H_-} \times \overline{H_+}$ . Note that  $b$  is symmetric and hence automatically continuous as a consequence of the Closed Graph Theorem. Thus

$$\begin{aligned} \langle x_+, G_+v \rangle_{H_+} - \langle x_-, G_-v \rangle_{H_-} &= \lim_{n \rightarrow \infty} (\langle G_+w_n, G_+v \rangle_{H_+} - \langle G_-w_n, G_-v \rangle_{H_-}) \\ &= \lim_{n \rightarrow \infty} b(w_n, v) \\ &= b(w, v) \\ &= \langle G_+w, G_+v \rangle_{H_+} - \langle G_-w, G_-v \rangle_{H_-} \end{aligned}$$

for all  $v \in D(A)$ . Thus

$$\langle x_+ - G_+w, G_+v \rangle_{H_+} + \langle G_-w - x_-, G_-v \rangle_{H_-} = 0$$

for all  $v \in D(A)$ . Let  $z_- \in G_-D(A)$ ,  $z_+ \in G_+D(A)$ . By the interpolation property of Lemma 3.2, there exists  $v \in D(A)$  such that  $z_- = G_-v$ ,  $z_+ = G_+v$ . Thus

$$\langle x_+ - G_+w, z_+ \rangle_{H_+} + \langle G_-w - x_-, z_- \rangle_{H_-} = 0.$$

Passing to limits this remains true for all  $z_- \in \overline{H_-}$ ,  $z_+ \in \overline{H_+}$ .

Choosing  $z_+ = x_+ - G_+w$  and  $z_- = G_-w - x_-$ , it follows that

$$\|x_+ - G_+w\|_{H_+}^2 + \|G_-w - x_-\|_{H_-}^2 = 0.$$

Hence  $x_+ = G_+w$ ,  $x_- = G_-w$ . □

Next we show that  $H_-$  and  $H_+$  are complete. We could deduce it from [2, Proposition 4.10] but we prefer to give a direct proof.

**Proposition 3.5.** *The spaces  $G_-D(A) =: H_-$  and  $G_+D(A) =: H_+$  are complete.*

*Proof.* a) We show that  $G_-D(A)$  is closed in the completion  $\overline{H_-}$  of  $H_-$ . Let  $x_0 \in \overline{G_-D(A)}$ . Define the continuous linear form  $F : D(A) \rightarrow \mathbb{K}$  by

$$F(u) = \langle G_-u, x_0 \rangle_{\overline{H_-}}.$$

Since  $D(A)$  equipped with the inner product (3.6) is a Hilbert space, there exist  $w_1, w_2 \in V$  such that

$$F(u) = \langle u, w_1 \rangle_V + \langle Au, w_2 \rangle_V$$

for all  $u \in D(A)$ . In fact, by the definition of the graph norm, the mapping  $u \mapsto (u, Au)$  is an isometric isomorphism from  $D(A)$  to the graph  $G(A)$  of  $A$ . By the Hahn–Banach Theorem, the linear form  $(u, Au) \mapsto F(u)$  on  $G(A)$  has an extension to a linear form on  $V \times V$ . This gives us the vectors  $w_1$  and  $w_2$  in  $V$ . In particular,

$$0 = \langle u, w_1 \rangle_V + \langle A_0u, w_2 \rangle_V$$

for all  $u \in D(A_0)$ . Thus  $w_1 = Aw_2$ . Consequently,

$$\begin{aligned} \langle G_-u, x_0 \rangle_{\overline{H_-}} &= F(u) \\ &= \langle u, Aw_2 \rangle_V + \langle Au, w_2 \rangle_V \\ &= \langle G_+u, G_+w_2 \rangle_{H_+} - \langle G_-u, G_-w_2 \rangle_{H_-} \end{aligned}$$

for all  $u \in D(A)$ . In particular,

$$\langle G_-u, x_0 + G_-w_2 \rangle_{\overline{H_-}} = 0$$

for all  $u \in \ker G_+$ . Since, by (3.3),  $G_- \ker G_+ = G_-D(A)$ , it follows that

$$\langle y, x_0 + G_-w_2 \rangle_{\overline{H_-}} = 0$$

for all  $y \in G_-D(A) = H_-$ . Since  $x_0 + G_-w_2 \in \overline{H_-}$ , it follows that  $x_0 + G_-w_2 = 0$ . Hence  $x_0 = -G_-w_2 \in G_-D(A) = H_-$ .

b) Note that  $(H_+, H_-, G_+, G_-)$  is a boundary quadruple for  $-A_0$ . Thus it follows from a) that  $H_+$  is complete.  $\square$

Now we construct an example of boundary quadruples which always exist. This construction relies on the following proposition.

**Proposition 3.6.** *The following decomposition*

$$(3.7) \quad D(A) = D(\overline{A_0}) \oplus \ker(\text{Id} - A) \oplus \ker(\text{Id} + A),$$

where  $\overline{A_0}$  is the (skew-symmetric) closure of  $A_0$ , is orthogonal in the Hilbert space  $D(A)$  equipped with the inner product (3.6).

*Proof.* A partial proof can be found in [17, Lemma 2.5] or [8, Chapter 3, Theorem 1.1, p. 148] or [13, X.1 Lemma]. We give a proof to be as self-contained as possible, and we treat again the real and complex case simultaneously.

a) *Orthogonality for the inner product of  $D(A)$ .* Let  $x_0 \in D(\overline{A_0})$ ,  $x_+ \in \ker(\text{Id} - A)$  and  $x_- \in \ker(\text{Id} + A)$  be given. Then, using  $Ax_+ = x_+$ , we have

$$\langle x_0, x_+ \rangle_{D(A)} = \langle x_0, x_+ \rangle_V + \langle Ax_0, Ax_+ \rangle_V = \langle x_0, Ax_+ \rangle_V + \langle Ax_0, x_+ \rangle_V = 0,$$

since  $(x_0, Ax_0)$  is in the closure of the graph of  $A_0$  and  $A$  is the adjoint of  $-A_0$ . Similarly, using  $Ax_- = -x_-$ , we have

$$\langle x_0, x_- \rangle_{D(A)} = \langle x_0, x_- \rangle_V + \langle Ax_0, Ax_- \rangle_V = \langle x_0, -Ax_- \rangle_V + \langle Ax_0, -x_- \rangle_V = 0.$$

Finally,

$$\langle x_-, x_+ \rangle_{D(A)} = \langle x_-, x_+ \rangle_V + \langle Ax_-, Ax_+ \rangle_V = \langle x_-, x_+ \rangle_V - \langle x_-, x_+ \rangle_V = 0.$$

b) We note the decomposition

$$(3.8) \quad V = \text{Ran}(\text{Id} - \overline{A_0}) \oplus \ker(\text{Id} + A)$$

which is a Hilbert direct sum since  $\overline{\text{Ran}(\text{Id} - A_0)} = \text{Ran}(\text{Id} - \overline{A_0})$  (a consequence of dissipativity) and, denoting by  $\perp(V)$  the orthogonal for the inner product of  $V$ ,

$$\text{Ran}(\text{Id} - A_0)^{\perp(V)} = \ker(\text{Id} - A_0^*) = \ker(\text{Id} + A).$$

Let  $x \in D(A)$ . By (3.8) there exist  $x_0 \in D(\overline{A_0})$  and  $y_0 \in \ker(\text{Id} + A)$  such that

$$(\text{Id} - A)x = (\text{Id} - \overline{A_0})x_0 + y_0 = (\text{Id} - A)(x_0 + \frac{y_0}{2}).$$

Thus  $x - x_0 - \frac{y_0}{2} \in \ker(\text{Id} - A)$  and

$$x = x_0 + (x - x_0 - \frac{y_0}{2}) + \frac{y_0}{2} \in D(\overline{A_0}) + \ker(\text{Id} - A) + \ker(\text{Id} + A).$$

□

**Proposition 3.7.** *We denote by  $G_+$  and  $G_-$  respectively the orthogonal projections on  $\ker(\text{Id} - A)$  and  $\ker(\text{Id} + A)$  in the Hilbert space  $D(A)$  equipped with the inner product (3.6). Then  $(\ker(\text{Id} + A), \ker(\text{Id} - A), G_-, G_+)$  defines a boundary quadruple. Moreover, the selfadjoint operator  $\mathcal{B} : D(A) \rightarrow D(A)$  defined by*

$$\langle \mathcal{B}u, v \rangle_{D(A)} = b(u, v),$$

*satisfies  $\mathcal{B} = G_+ - G_-$ , which is the unique decomposition of  $\mathcal{B}$  as the difference of two positive self-adjoint operators with  $G_+ \circ G_- = 0$  (or equivalently  $G_- \circ G_+ = 0$ ).*

*Proof.* a) Note that, for  $u, v \in \ker(\text{Id} - A)$  or  $u, v \in \ker(\text{Id} + A)$ , we have

$$\langle u, v \rangle_{D(A)} = 2\langle u, v \rangle_V.$$

b) Let  $u, v \in D(A)$ . By a direct verification

$$b(u, v) = 2\langle G_+u, G_+v \rangle_V - 2\langle G_-u, G_-v \rangle_V = \langle G_+u, G_+v \rangle_{D(A)} - \langle G_-u, G_-v \rangle_{D(A)},$$

where we used a). Moreover,  $\ker G_+ + \ker G_- = \{w \in D(A) : w_+ = 0\} + \{w \in D(A) : w_- = 0\} = D(A)$ . Thus the proof of the first assertion is complete.

c) Since  $G_-$  and  $G_+$  are orthogonal projections, we have

$$\langle \mathcal{B}u, v \rangle_{D(A)} = \langle G_+u, v \rangle_{D(A)} - \langle G_-u, v \rangle_{D(A)},$$

which proves that  $\mathcal{B} = G_+ - G_-$ . Since  $\text{Ran}(G_-) = \ker(\text{Id} + A) \subset \ker(G_+)$ , we get  $G_+ \circ G_- = 0$  (and therefore, since  $G_+$  and  $G_-$  are selfadjoint,  $G_- \circ G_+ = 0$ ), which implies that  $(G_+ + G_-)^2 = \mathcal{B}^2$ . Hence  $G_+ + G_- = |\mathcal{B}|$ , denoting by  $|\mathcal{B}|$  the unique positive selfadjoint operator such that  $|\mathcal{B}|^2 = \mathcal{B}^2$ . Therefore  $G_+ = \frac{1}{2}(|\mathcal{B}| + \mathcal{B})$  and  $G_- = \frac{1}{2}(|\mathcal{B}| - \mathcal{B})$  are uniquely determined.  $\square$

Let  $(H_-, H_+, G_-, G_+)$  be a boundary quadruple, which is fixed for the remainder of this section. We will describe all  $m$ -dissipative extensions of  $A_0$  in terms of this quadruple.

Recall that  $A_0$  is closable and that  $\overline{A_0}$  is skew-symmetric again. The domain of  $\overline{A_0}$  may be described by the boundary quadruple as follows.

**Proposition 3.8.** *One has  $D(\overline{A_0}) = \ker G_+ \cap \ker G_-$  and  $\overline{A_0}w = Aw$  for all  $w \in D(\overline{A_0})$ .*

*Proof.* "  $\subset$  " Let  $u \in D(A_0)$ . Then for all  $v \in D(A)$ ,

$$0 = \langle G_+u, G_+v \rangle_{H_+} - \langle G_-u, G_-v \rangle_{H_-}.$$

By Lemma 3.2 there exists  $v \in D(A)$  such that  $G_+u = G_+v$  and  $G_-v = -G_-u$ . Thus

$$0 = \|G_+u\|_{H_+}^2 + \|G_-u\|_{H_-}^2.$$

Hence  $u \in \ker G_+ \cap \ker G_-$ . Since  $G_+$  and  $G_-$  are continuous for the graph norm on  $D(A)$ , it follows that

$$D(\overline{A_0}) \subset \ker G_+ \cap \ker G_-.$$

"  $\supset$  " Let  $w \in \ker G_- \cap \ker G_+$ . Then

$$\langle Aw, u \rangle_V + \langle w, Au \rangle_V = \langle G_+w, G_+u \rangle_{H_+} - \langle G_-w, G_-u \rangle_{H_-} = 0$$

for all  $u \in D(A)$ . Hence

$$\langle w, A_0^*u \rangle_V = -\langle w, Au \rangle_V = \langle Aw, u \rangle_V$$

for all  $u \in D(A_0^*)$ . By [1, Proposition B10, p. 472] this implies that  $w \in D(\overline{A_0})$  and  $\overline{A_0}w = Aw$ .  $\square$

Now we can describe all  $m$ -dissipative extensions of  $A_0$ . Recall that  $H_+ := \text{Ran } G_+$  and  $H_- = \text{Ran } G_-$  are complete. If  $\Phi \in \mathcal{L}(H_-, H_+)$  is a contraction, then we define the operator  $A_\Phi$  on  $V$  by

$$D(A_\Phi) := \{w \in D(A) : \Phi G_- w = G_+ w\} \text{ and } A_\Phi w := Aw.$$

Then clearly  $A_0 \subset A_\Phi \subset A$ .

**Theorem 3.9.** *Let  $B$  be an operator on  $V$  such that  $A_0 \subset B$ . The following assertions are equivalent:*

- (i)  $B$  is  $m$ -dissipative;
- (ii) there exists a linear contraction  $\Phi : H_- \rightarrow H_+$  such that  $B = A_\Phi$ .

To say that  $\Phi : H_- \rightarrow H_+$  is a *contraction* means, by definition, that  $\|\Phi x\|_{H_+} \leq \|x\|_{H_-}$  for all  $x \in H_-$ . Since for  $w \in D(A_\Phi)$ , one has

$$\begin{aligned} 2 \operatorname{Re} \langle A_\Phi w, w \rangle_V &= b(w, w) \\ &= \|G_+ w\|_{H_+}^2 - \|G_- w\|_{H_-}^2 \\ &= \|\Phi G_- w\|_{H_+}^2 - \|G_- w\|_{H_-}^2 \leq 0, \end{aligned}$$

each operator  $A_\Phi$  is dissipative. Before proving Theorem 3.9 we show that  $A_\Phi$  determines  $\Phi$ . More precisely the following holds.

**Proposition 3.10.** *Let  $\Phi_1, \Phi_2 : H_- \rightarrow H_+$  be two contractions. If  $A_{\Phi_1} \subset A_{\Phi_2}$ , then  $\Phi_1 = \Phi_2$ .*

*Proof.* Let  $x \in H_-$ . By Lemma 3.2 there exists  $w \in D(A)$  such that  $G_- w = x$ ,  $G_+ w = \Phi_1(x)$ . Thus  $w \in D(A_{\Phi_1})$ . It follows from the hypothesis that  $w \in D(A_{\Phi_2})$ , i.e.

$$\Phi_2(x) = \Phi_2(G_- w) = G_+(w) = \Phi_1(x).$$

□

*Proof of Theorem 3.9.* Let  $B$  be a dissipative extension of  $A_0$ . Then by Theorem 2.5,  $B \subset A$ . Since

$$0 \geq 2 \operatorname{Re} \langle Bu, u \rangle_V = b(u, u) = \|G_+ u\|_{H_+}^2 - \|G_- u\|_{H_-}^2,$$

one has  $\|G_+ u\|_{H_+}^2 \leq \|G_- u\|_{H_-}^2$  for all  $u \in D(B)$ . Thus  $\Phi G_- u := G_+ u$  defines a contraction from  $G_- D(B)$  to  $H_+$ . Then  $\Phi$  has a contractive extension from  $H_- \rightarrow H_+$  which we still denote by  $\Phi$  (extend first  $\Phi$  to the closure of  $G_- D(B)$  by continuity and then by 0 on  $(G_- D(B))^\perp$ ). From our definition it follows that  $B \subset A_\Phi$ . Proposition 3.10 now shows that the maximal dissipative operators extending  $A_0$  are exactly the operators  $A_\Phi$  where  $\Phi : H_- \rightarrow H_+$  is a linear contraction. Now the claim follows from Phillips' Theorem (Proposition 2.4). □

**Corollary 3.11.** *The mapping  $\Phi \mapsto A_\Phi$  is a bijection between the set of all contractions  $\Phi : H_- \rightarrow H_+$  and the set of all  $m$ -dissipative extensions of  $A_0$ .*

*Proof.* This follows from Theorem 3.9 and Proposition 3.10.  $\square$

Let us consider some special cases.

**Proposition 3.12.** *The following assertions are equivalent:*

- (i)  $\langle Au, v \rangle_V + \langle u, Av \rangle_V = 0$  for all  $u, v \in D(A)$ ;
- (ii)  $G_- = G_+ = 0$ ;
- (iii)  $\overline{A_0} = A$ ;
- (iv)  $-A^* = A$ .

*Proof.* (ii)  $\Rightarrow$  (i) This follows from (3.2).

(i)  $\Rightarrow$  (iv) Property (i) implies that  $-A \subset A^*$ . But  $A^* = -A_0^{**} = -\overline{A_0} \subset -A$ . This proves (iv).

(iv)  $\Rightarrow$  (iii) One always has  $\overline{A_0} = A_0^{**}$  and  $(\overline{A_0})^* = A_0^*$ . Thus (iv) implies that  $\overline{A_0} = A_0^{**} = -A^* = A$ .

(iii)  $\Rightarrow$  (ii) This follows from Proposition 3.8.  $\square$

Proposition 3.12 describes when both operators  $G_+, G_-$  are zero; it turns out that this is independent of the boundary quadruple. Next we consider the case when  $G_+ = 0$ .

**Proposition 3.13.** *Assume that  $G_+ = 0$ . Then  $A$  is the only  $m$ -dissipative extension of  $A_0$ . However, if  $G_- \neq 0$ , then there exists an infinite number of generators  $B$  of a  $C_0$ -semigroup such that  $A_0 \subset B$ .*

*Proof.* One has

$$2 \operatorname{Re} \langle Au, u \rangle_V = \|G_+ u\|_{H_+}^2 - \|G_- u\|_{H_-}^2 = -\|G_- u\|_{H_-}^2 \leq 0$$

for all  $u \in D(A)$ . Thus  $A$  is dissipative. It follows from Theorem 2.5 that  $A$  is maximal dissipative and hence  $m$ -dissipative by Phillips' Theorem. If  $G_- \neq 0$ , then  $D(\overline{A_0}) = \ker G_- \neq D(A)$ . Thus it follows from [11, A-II, Theorem 1.33 p. 46] that  $A_0$  has infinitely many extensions generating a  $C_0$ -semigroup.  $\square$

Thus in the case where  $G_+ = 0$  and  $G_- \neq 0$ , only one of the infinitely many  $C_0$ -semigroups having an extension of  $A_0$  as generator is contractive. Next we consider the case when  $G_- = 0$ .

**Proposition 3.14.** *If  $G_- = 0$ , then  $\overline{A_0}$  is the only  $m$ -dissipative extension of  $A_0$ .*

*Proof.* One has  $H_- = \{0\}$ . Thus  $\Phi = 0$  is the only contraction from  $H_-$  to  $H_+$ . Thus

$$\begin{aligned} D(A_\Phi) &= \{w \in D(A) : 0 = G_+ w\} \\ &= \ker G_+ \\ &= \ker G_+ \cap \ker G_- \\ &= D(\overline{A_0}). \end{aligned}$$

□

As a consequence  $\overline{A_0}$  is also the only extension of  $A_0$  generating a  $C_0$ -semigroup.

We conclude this section by establishing isomorphisms between boundary quadruples.

**Theorem 3.15.** *Let  $(H_-, H_+, G_-, G_+)$  be a boundary quadruple for  $A_0$ . Let  $\tilde{G}_- : D(A) \rightarrow \tilde{H}_-$  and  $\tilde{G}_+ : D(A) \rightarrow \tilde{H}_+$  be linear where  $\tilde{H}_-$  and  $\tilde{H}_+$  are Hilbert spaces. The following assertions are equivalent:*

- (i)  $(\tilde{H}_-, \tilde{H}_+, \tilde{G}_-, \tilde{G}_+)$  is a boundary quadruple;
- (ii) a)  $\tilde{G}_- D(A) = \tilde{H}_-$  and  $\tilde{G}_+ D(A) = \tilde{H}_+$ ;  
b) there exists an isomorphism  $\Psi : H \rightarrow \tilde{H}$  where  $H := H_- \oplus H_+$  and  $\tilde{H} := \tilde{H}_- \oplus \tilde{H}_+$  such that

$$\Psi(G_- u, G_+ u) = (\tilde{G}_- u, \tilde{G}_+ u) \text{ for all } u \in D(A)$$

and

$$\Psi^* \tilde{C} \Psi = C,$$

where  $C(x_-, x_+) = (-x_-, x_+)$  for  $x_- \in H_-$ ,  $x_+ \in H_+$  and  $\tilde{C}(\tilde{x}_-, \tilde{x}_+) = (-\tilde{x}_-, \tilde{x}_+)$  for  $\tilde{x}_- \in \tilde{H}_-$ ,  $\tilde{x}_+ \in \tilde{H}_+$ .

*Proof.* (i)  $\Rightarrow$  (ii) Assume that  $(\tilde{H}_-, \tilde{H}_+, \tilde{G}_-, \tilde{G}_+)$  is a boundary quadruple. Then a) follows from Proposition 3.5. To prove b), consider the mapping  $G := (G_-, G_+) : D(A) \rightarrow H := H_- \oplus H_+$  given by  $G(u) = (G_- u, G_+ u)$  and similarly  $\tilde{G} := (\tilde{G}_-, \tilde{G}_+) : D(A) \rightarrow \tilde{H} := \tilde{H}_- \oplus \tilde{H}_+$ . By Proposition 3.8,  $\ker G = \ker \tilde{G} = D(\overline{A_0})$ . Thus  $G_0 := G|_{D(A_0)^\perp}$  and  $\tilde{G}_0 := \tilde{G}|_{D(A_0)^\perp}$  define two isomorphisms  $G_0 : D(A_0)^\perp \rightarrow H$  and  $\tilde{G}_0 : D(A_0)^\perp \rightarrow \tilde{H}$ . Thus  $\Psi := \tilde{G}_0 G_0^{-1} \in \mathcal{L}(H, \tilde{H})$  is an isomorphism. Since

$$\langle Au, v \rangle_V + \langle u, Av \rangle_V = 0$$

if  $u \in D(\overline{A_0})$  or  $v \in D(\overline{A_0})$ , it follows that  $\tilde{G} = \Psi G$ . Notice that

$$\langle Au, v \rangle_V + \langle u, Av \rangle_V = \langle CGu, Gv \rangle_H = \langle \tilde{C}\tilde{G}u, \tilde{G}v \rangle_{\tilde{H}}$$

for all  $u, v \in D(A)$ , where  $Gv = (G_-v, G_+v) \in H_- \oplus H_+ = H$ . Similarly  $\tilde{G}u$  is written as a vector. Let  $u \in D(A)$ . Then for all  $v \in D(A)$ ,

$$\langle CGu, Gv \rangle_H = \langle \tilde{C}\Psi Gu, \Psi Gv \rangle_{\tilde{H}} = \langle \Psi^* \tilde{C} \Psi Gu, Gv \rangle_{\tilde{H}}.$$

Since  $G$  is surjective, it follows that  $CGu = \Psi^* \tilde{C} \Psi Gu$  for all  $u \in D(A)$ . Consequently,  $C = \Psi^* \tilde{C} \Psi$ .

(ii)  $\Rightarrow$  (i) This is shown by reversing the arguments leading to "(i) implies (ii)".  $\square$

#### 4. UNITARY GROUPS

Let  $V$  be a Hilbert space over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ . In this section we want to determine all extensions of a densely defined skew-symmetric operator which generate a unitary group. We recall the following two well-known facts. An operator  $B$  on  $V$  generates a  $C_0$ -group  $(U(t))_{t \in \mathbb{R}}$  if and only if  $\pm B$  generate  $C_0$ -semigroups  $(U_{\pm}(t))_{t \geq 0}$ . In that case,  $U(t) = U_+(t)$  and  $U(-t) = U_-(t)$  for  $t \geq 0$ . Moreover, if  $B$  generates a  $C_0$ -semigroup  $(S(t))_{t \geq 0}$ , then  $B^*$  generates the  $C_0$ -semigroup  $(S(t)^*)_{t \geq 0}$ . By a *unitary  $C_0$ -group* we mean a  $C_0$ -group of unitary operators. The following is well-known.

**Proposition 4.1.** *Let  $B$  be an operator on  $V$ . The following assertions are equivalent:*

- (i)  $B$  generates a unitary  $C_0$ -group;
- (ii)  $\pm B$  is  $m$ -dissipative;
- (iii)  $D(B)$  is dense and  $-B = B^*$ .

*Proof.* (ii)  $\iff$  (i)  $\pm B$  are  $m$ -dissipative if and only  $\pm B$  generate contractive  $C_0$ -semigroups  $(U_{\pm}(t))_{t \geq 0}$ . This in turn is equivalent to  $B$  generating a contractive hence isometric group; i.e. a unitary  $C_0$ -group.

(i)  $\Rightarrow$  (iii) Let  $B$  be the generator of the unitary group  $(U(t))_{t \in \mathbb{R}}$ . Then  $B^*$  generates the semigroup  $(U(t)^*)_{t \geq 0}$  and  $-B$  the  $C_0$ -semigroup  $(U(-t))_{t \geq 0}$ . Since  $U(-t) = U(t)^*$  for all  $t \geq 0$ , it follows that  $-B = B^*$ . (iii)  $\Rightarrow$  (i) If  $-B = B^*$ , then  $B$  is skew-symmetric and  $G_+ = G_- = 0$  by Proposition 3.12. By Proposition 3.13,  $-B^* = B$  generates a contractive  $C_0$ -semigroup. Applying the same result to  $-B$  instead of  $B$  we deduce that also  $-B$  generates a contractive  $C_0$ -semigroup. Thus  $B$  generates a unitary  $C_0$ -group.  $\square$

Now we describe all generators of a unitary  $C_0$ -group which are extensions of a given skew-symmetric operator.

Recall that  $V$  is a real or complex Hilbert space. Let  $A_0$  be a densely skew-symmetric operator on  $V$  and let  $A = -A_0^*$ . Let  $(H_-, H_+, G_-, G_+)$



be a boundary quadruple for  $A_0$ . We let  $H_- = \text{Ran } G_-$ ,  $H_+ = \text{Ran } G_+$  and if  $\Phi \in \mathcal{L}(H_-, H_+)$  is a contraction, we define  $A_\Phi \subset A$  as before on the domain

$$D(A_\Phi) := \{w \in D(A) : \Phi G_- w = G_+ w\} \text{ by } A_\Phi w := Aw.$$

**Theorem 4.2.** *Let  $B$  be an operator on  $V$  such that  $A_0 \subset B$ . The following assertions are equivalent:*

- (i)  $B$  generates a unitary  $C_0$ -group;
- (ii) there exists a unitary operator  $\Phi \in \mathcal{L}(H_-, H_+)$  such that  $B = A_\Phi$ .

*Proof.* (i)  $\Rightarrow$  (ii) Let  $B$  be the generator of a unitary group. Then  $\pm B$  are  $m$ -dissipative. By Theorem 3.9 there exists a contraction  $\Phi : H_- \rightarrow H_+$  such that  $B = A_\Phi$ . Apply Theorem 3.9 to  $-B$  instead of  $B$  and observe that  $(H_-, H_+, G_+, G_-)$  is a boundary quadruple for  $-A_0$ ; here we just interchanged  $G_-$  and  $G_+$ . Thus we find a contraction  $\Psi : H_+ \rightarrow H_-$  such that

$$D(-B) = \{w \in D(A) : \Psi G_+ w = G_- w\}.$$

Let  $x_- \in H_-$ . We claim that  $\Psi \Phi x_- = x_-$ . In fact, by the Interpolation Lemma (Lemma 3.2) there exists  $w \in D(A)$  such that  $G_- w = x_-$  and  $G_+ w = \Phi x_-$ . Thus  $\Phi(G_- w) = G_+ w$  and so  $w \in D(A_\Phi) = D(B)$ . Hence  $w \in D(-B) = D(B)$ . Consequently,

$$\Psi G_+ w = G_- w \text{ i.e. } \Psi \Phi x_- = \Psi G_+ w = G_- w = x_-.$$

This proves the claim. One similarly shows that  $\Phi \Psi x_+ = x_+$  for all  $x_+ \in H_+$ . Thus  $\Phi$  is unitary.

(ii)  $\Rightarrow$  (i) Assume that  $\Phi : H_- \rightarrow H_+$  is unitary and  $B = A_\Phi$ . In particular

$$D(B) = \{w \in D(A) : \Phi G_- w = G_+ w\} = \{w \in D(A) : G_- w = \Phi^{-1} G_+ w\}.$$

Thus  $-B$  is  $m$ -dissipative by Theorem 3.9. We have shown that  $\pm B$  are  $m$ -dissipative.  $\square$

**Corollary 4.3.** *The following assertions are equivalent:*

- (i) there exists a generator  $B$  of a unitary group such that  $A_0 \subset B$ ;
- (ii)  $H_-$  and  $H_+$  are isomorphic as Hilbert spaces;
- (iii)  $\ker(\text{Id} - A)$  and  $\ker(\text{Id} + A)$  are isomorphic.

*Proof.* (i)  $\iff$  (ii) follows from Theorem 4.2.

(ii)  $\iff$  (iii) follows from Proposition 3.7.  $\square$

## 5. SELFADJOINT EXTENSIONS OF SYMMETRIC OPERATORS

In this section we consider a complex Hilbert space  $V$ . An operator  $S$  on  $V$  is called *symmetric* if

$$\langle Su, v \rangle_V = \langle u, Sv \rangle_V \text{ for all } u, v \in D(S).$$

If  $S$  is densely defined, then  $S$  is symmetric if and only if  $S \subset S^*$ . An operator  $T$  on  $V$  is called *selfadjoint* if  $D(T)$  is dense in  $V$  and  $T = T^*$ .

It is immediate that an operator  $S$  is symmetric if and only if  $iS$  is skew-symmetric.

Selfadjointness can be characterized by Stone's Theorem.

**Theorem 5.1** (Stone). *Let  $T$  be an operator on  $H$ . The following assertions are equivalent:*

- (i)  $T$  is selfadjoint;
- (ii)  $iT$  generates a unitary  $C_0$ -group.

*Proof.* Let  $T$  be densely defined. Then  $(iT)^* = -iT^*$ . Thus  $T$  is selfadjoint if and only if  $(iT)^* = -iT$ . By Proposition 4.1, this is equivalent to (ii).  $\square$

Theorem 5.1 allows us to transport our results from Section 4 to the characterization of all selfadjoint extensions of a symmetric operator. To that aim, let  $S$  be a densely defined symmetric operator on  $V$ .

**Definition 5.2.** A boundary quadruple  $(H_-, H_+, G_-, G_+)$  for  $S$  consists of complex pre-Hilbert spaces  $H_-, H_+$  and linear surjective maps  $G_- : D(S^*) \rightarrow H_-$ ,  $G_+ : D(S^*) \rightarrow H_+$  such that

$$(5.1) \quad \langle S^*u, v \rangle_V - \langle u, S^*v \rangle_V = i (\langle G_-u, G_-v \rangle_{H_-} - \langle G_+u, G_+v \rangle_{H_+})$$

for all  $u, v \in D(S^*)$ , and

$$(5.2) \quad \ker G_+ + \ker G_- = D(S^*).$$

**Proposition 5.3.** A boundary quadruple  $(H_-, H_+, G_-, G_+)$  for  $S$  always exists. Then  $H_-$  and  $H_+$  are complete and  $G_-, G_+$  are continuous if  $D(S^*)$  carries the graph norm.

*Proof.* The operator  $A_0 := iS$  is skew-symmetric and  $-A_0^* = iS^*$ . Let  $(H_-, H_+, G_-, G_+)$  be a boundary quadruple for  $A_0$ . Then

$$\begin{aligned} \langle S^*u, v \rangle_V - \langle u, S^*v \rangle_V &= -i (\langle iS^*u, v \rangle_V + \langle u, iS^*v \rangle_V) \\ &= -i (\langle G_+u, G_+v \rangle_{H_+} - \langle G_-u, G_-v \rangle_{H_-}) \end{aligned}$$

for all  $u, v \in D(S^*)$ . Now the existence follows from Proposition 3.7. Proposition 3.5 says that  $H_-$  and  $H_+$  are complete and Lemma 3.4 that  $G_-, G_+$  are continuous.  $\square$

Let  $(H_-, H_+, G_+, G_-)$  be a boundary quadruple for  $S$ . Then we have the following two results.

**Proposition 5.4.** *The following assertions are equivalent:*

- (i)  $S$  has a selfadjoint extension;
- (ii)  $H_+$  and  $H_-$  are isomorphic, i.e. there exists a unitary operator from  $H_+$  onto  $H_-$ ;
- (iii)  $\ker(i\text{Id} - S^*)$  and  $\ker(i\text{Id} + S^*)$  are isomorphic.

**Theorem 5.5.** *Assume that  $H_+$  and  $H_-$  are isomorphic. Let  $T$  be an operator such that  $S \subset T$ . The following assertions are equivalent:*

- (i)  $T$  is selfadjoint;
- (ii) there exists a unitary operator  $\Phi : H_+ \rightarrow H_-$  such that

$$D(T) = \{w \in D(S^*) : \Phi G_+ w = G_- w\}, \quad Tw = S^* w$$

for all  $w \in D(T)$ .

*Proof of Proposition 5.4 and Theorem 5.5.* Let  $A_0 = iS$ . Then we have  $A = -A_0^* = iS^*$ . Thus Proposition 5.4 follows from Corollary 4.3. Let  $S \subset T$ . Then  $iS \subset iT$ . By Theorem 5.1,  $T$  is selfadjoint if and only if  $iT$  generates a unitary  $C_0$ -group. By Theorem 4.2, this is equivalent to (ii) of Theorem 5.5  $\square$

Specified to the boundary quadruple of Proposition 3.5, Theorem 5.5 is a variant of [13, Theorem X.2]. A boundary triple for  $S$  as defined in [15, Chapter 14] is essentially equivalent to a boundary quadruple in which  $H_+$  and  $H_-$  are isomorphic. Then Theorem 5.5 also follows from the equivalence of (i) and (iii) in [15, Theorem 4.10].

## 6. EXAMPLES

Let  $H$  be a Hilbert space over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$  and let  $-\infty \leq a < b \leq \infty$ ,

$$L^2((a, b), H) := \{u : (a, b) \rightarrow H \text{ measurable; } \int_a^b \|u(t)\|_H^2 dt < \infty\}.$$

Define the Sobolev space

$$H^1((a, b), H) := \{u \in L^2((a, b), H) : \exists u' \in L^2((a, b), H) \text{ such that } -\int_a^b \varphi'(t)u(t)dt = \int_a^b u'(t)\varphi(t)dt \text{ for all } \varphi \in \mathcal{C}_c^\infty((a, b), \mathbb{R})\}.$$

Then  $H^1((a, b), H)$  is a Hilbert space for the norm

$$\|u\|_{H^1}^2 = \|u\|_{L^2}^2 + \|u'\|_{L^2}^2.$$

**Lemma 6.1.** *One has*

- a)  $H^1((a, b), H) \subset \mathcal{C}([a, b], H)$  if  $-\infty < a, b < \infty$ .
- b)  $H^1((a, \infty), H) \subset \{u \in \mathcal{C}([a, \infty), H) : \lim_{t \rightarrow \infty} u(t) = 0\}$  if  $a > -\infty$ .

- c)  $H^1((-\infty, b), H) \subset \{u \in \mathcal{C}((-\infty, b], H) : \lim_{t \rightarrow -\infty} u(t) = 0\}$  if  $b < \infty$ .  
d)  $H^1((-\infty, \infty), H) \subset \{u \in \mathcal{C}((-\infty, \infty), H) : \lim_{t \rightarrow \pm\infty} u(t) = 0\}$ .

This can be proved as in the scalar case, see [7, Theorem 8.2 and Corollary 8.9] and [3, Sec. 8.5] for a vector-valued version.

Here we identify each  $u \in H^1((a, b), H)$  with the unique  $\tilde{u} \in \mathcal{C}([a, b] \cap \mathbb{R}, H)$  such that  $\tilde{u}(t) = u(t)$  a.e. (taking the intersection with  $\mathbb{R}$  is convenient when  $[a, b]$  is not compact). The following integration-by-parts formula holds, see [7, Corollary 8.9 and 8.10].

**Lemma 6.2.** *Let  $u, v \in H^1((a, b), H)$ . Then  $\langle u'(\cdot), v(\cdot) \rangle_H, \langle u(\cdot), v'(\cdot) \rangle_H \in L^1((a, b), H)$  and*

$$\begin{aligned} & \int_a^b \langle u'(t), v(t) \rangle_H dt + \int_a^b \langle u(t), v'(t) \rangle_H dt \\ &= \begin{cases} \langle u(b), v(b) \rangle_H - \langle u(a), v(a) \rangle_H & \text{if } -\infty < a < b < \infty; \\ \langle u(b), v(b) \rangle_H & \text{if } -\infty = a < b < \infty; \\ -\langle u(a), v(a) \rangle_H & \text{if } -\infty < a < b = \infty; \\ 0 & \text{if } a = -\infty \text{ and } b = \infty. \end{cases} \end{aligned}$$

**Example 6.3.** *Let  $V = L^2((a, b), H)$ ,  $D(A_0) = \mathcal{C}_c^\infty((a, b), H)$ ,  $A_0 u = u'$ . Then  $A_0$  is skew-symmetric with dense domain. Let  $B$  be an operator on  $V$  such that  $A_0 \subset B$ .*

- a) *Assume that  $-\infty < a < b < \infty$ . Then  $B$  is  $m$ -dissipative if and only if there exists a contraction  $\Phi \in \mathcal{L}(H)$  such that*

$$D(B) = \{u \in H^1((a, b), H) : \Phi u(a) = u(b)\}.$$

*Moreover  $B$  generates a unitary  $C_0$ -semigroup if and only if  $\Phi$  is unitary.*

- b) *Let  $-\infty = a < b < \infty$ . Then  $B$  is  $m$ -dissipative if and only if  $D(B) = \{u \in H^1((-\infty, b), H) : u(b) = 0\}$ . In that case the semigroup  $(S(t))_{t \geq 0}$  generated by  $B$  is given by  $(S(t)f)(x) = f(x+t)$  if  $x+t \leq b$  and  $(S(t)f)(x) = 0$  if  $x+t > b$ . This  $B$  is the only generator of a  $C_0$ -semigroup which is an extension of  $A_0$ .*  
c) *Let  $-\infty < a < b = \infty$ . Then  $B$  is  $m$ -dissipative if and only if  $D(B) = H^1((a, \infty), H)$ . In that case the semigroup  $(S(t))_{t \geq 0}$  generated by  $B$  is given by*

$$(S(t)f)(x) = f(x+t) \text{ for } x \in (a, \infty), t > 0, f \in V.$$

*There are infinitely many other non-contractive  $C_0$ -semigroups having as generator an extension of  $A_0$ .*

- d)  $-\infty = a, b = \infty$ . Then  $D(\overline{A_0}) = H^1(\mathbb{R}, H)$  and  $\overline{A_0}$  generates a unitary  $C_0$ -group given by  $(U(t)f)(x) = f(x + t)$ .

*Proof.* A boundary quadruple  $(H_-, H_+, G_-, G_+)$  is given by  $H_- = G_-D(A)$  and  $H_+ = G_+D(A)$  where :

$G_+u = u(b)$  and  $G_-u = u(a)$  in the case a),

$G_- = 0$  and  $G_+u = u(b)$  in the case b),

$G_+ = 0$  and  $G_-u = u(a)$  in the case c),

$G_- = G_+ = 0$  in the case d).

Now a) follows from Theorem 3.9, b) from Proposition 3.13, c) from Proposition 3.12 and d) from Theorem 5.5.  $\square$

Next we give an example where arbitrary closed subspaces  $H_1, H_2$  of  $H$  occur as  $G_-D(A)$  and  $G_+D(A)$ .

**Example 6.4.** Let  $H$  be a Hilbert space over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ , and let  $H_1, H_2$  be closed subspaces of  $H$ . We construct a densely defined symmetric operator  $A_0$  on a space  $V$  and  $(H_-, H_+, G_-, G_+)$ , a boundary quadruple, such that  $H_+ = H_2$ ,  $H_- = H_1$ . For that we let  $-\infty < a < c < \infty$ ,

$$V = \{u \in L^2((a, b), H) : u(t) \in H_1, t \in (a, c) \text{ and } u(t) \in H_2, t \in (c, d)\}.$$

This is a closed subspace of  $L^2((a, b), H)$ . Define the operator  $A_0$  on  $V$  by

$$D(A_0) := \{u \in H_0^1((a, b), H) : u(t) \in H_1, t \in [a, c], u(t) \in H_2, t \in [c, b]\}.$$

Thus  $u \in \mathcal{C}([a, b], H)$  and  $u(a) = u(b) = 0$ ,  $u(c) \in H_1 \cap H_2$  for each  $u \in D(A_0)$ . Define  $A_0u = u' \in V$ . Then  $D(A_0)$  is dense since

$$H_0^1((a, c), H_1) \oplus H_0^1((c, d), H_2) \subset D(A_0)$$

and  $H_0^1((a, c), H_1)$  is dense in  $L^2((a, c), H_1)$  and  $H_0^1((c, d), H_2)$  is dense in  $L^2((c, d), H_2)$ . The operator  $A_0$  is skew-symmetric. This is a consequence of Lemma 6.2 since  $\lim_{x \rightarrow c, x < c} v(x) = \lim_{x \rightarrow c, x > c} v(x)$  for all  $v \in D(A_0)$ . Moreover,  $A = -A_0^*$  is given by:

$$D(A) = \{u \in H^1((a, b), H) : u(t) \in H_1, t \leq c \text{ and } u(t) \in H_2, t \geq c\}$$

and  $Au = u'$ .

Define  $G_+, G_- : D(A) \rightarrow H$  by  $G_+u = u(b)$  and  $G_-u = u(a)$ . Then we see that  $(G_-D(A), G_+D(A), G_-, G_+)$  is a boundary quadruple for  $A_0$  and  $G_+D(A) = H_2$ ,  $G_-D(A) = H_1$ . We omit the details of the proof.

Next we consider the second derivative. Let  $-\infty < a < b < \infty$ ,  $V = L^2((a, b), H)$ . By

$$H^2((a, b), H) := \{u \in H^1((a, b), H) : u' \in H^1((a, b), H)\}$$

we define the Sobolev space of second order. It follows from Lemma 6.1 that

$$H^2((a, b), H) \subset \mathcal{C}^1([a, b], H).$$

We define the operators  $G_-, G_+ \in \mathcal{L}(H^2(a, b; H), H \times H)$  by

$$(6.1) \quad G_+ u = \frac{\sqrt{2}}{2}(u(a) - iu'(a), u(b) + iu'(b)) \in H \times H$$

and

$$(6.2) \quad G_- u = \frac{\sqrt{2}}{2}(u(a) + iu'(a), u(b) - iu'(b)) \in H \times H.$$

We define the symmetric operator  $S$  on  $V = L^2(a, b; H)$  by

$$Sv = v'' \text{ and } D(S) := \mathcal{C}_c^\infty(a, b; H).$$

**Theorem 6.5.** *Let  $T$  be an operator such that*

$$\mathcal{C}_c^\infty((a, b), H) \subset D(T) \subset H^2((a, b), H) \text{ and } Tv = v'', \ v \in D(T).$$

*The following assertions are equivalent:*

- (i)  $iT$  is  $m$ -dissipative;
- (ii) there exists a contraction  $\Phi \in \mathcal{L}(H \times H)$  such that

$$(6.3) \quad D(T) = \{v \in H^2((a, b), H) : G_+ v = \Phi G_- v\}.$$

**Theorem 6.6.** *Let  $T$  be an operator on  $V$  such that  $S \subset T$ . The following assertions are equivalent:*

- (i)  $T$  is self-adjoint;
- (ii) there exists a unitary operator  $\Phi \in \mathcal{L}(H \times H)$  such that

$$D(T) = \{v \in H^2((a, b), H) : \Phi G_- v = G_+ v\} \text{ and } Tv = v'', \ v \in D(T).$$

We find the three classical cases.

- a) *Neumann boundary conditions:*  $v'(a) = v'(b) = 0$  for  $\Phi = \text{Id}$ .
- b) *Dirichlet boundary conditions:*  $v(a) = v(b) = 0$  for  $\Phi = -\text{Id}$ .
- c) *Periodic boundary conditions:*  $v(a) = v(b)$ ,  $v'(a) = v'(b)$  for

$$\Phi = \begin{pmatrix} 0 & \text{Id}_H \\ \text{Id}_H & 0 \end{pmatrix}.$$

In each of these three cases the operator  $T$  given by  $Tv = v''$  with the domain (6.3) is selfadjoint.

d) *Robin boundary conditions*:  $(\text{Id}_H - \Phi_1)u(a) = i(\text{Id}_H + \Phi_1)u'(a)$ ,  
 $(\text{Id}_H - \Phi_2)u(b) = -i(\text{Id}_H + \Phi_2)u'(b)$  for

$$\Phi = \begin{pmatrix} \Phi_1 & 0 \\ 0 & \Phi_2 \end{pmatrix}.$$

If  $\Phi_1, \Phi_2 \in \mathcal{L}(H)$  are contractions then the operator  $iT$  with domain (6.3) given by  $iTv = iv''$  is  $m$ -dissipative. If  $\Phi_1, \Phi_2 \in \mathcal{L}(H)$  are unitary, then the operator  $T$  with domain (6.3) given by  $Tv = v''$  is selfadjoint.

For the proof of Theorem 6.5 and Theorem 6.6 we need the following.

**Lemma 6.7.** *Let  $u \in L^2((a, b), H)$  such that  $u'' \in L^2((a, b), H)$ ; i.e.*

$$\int_a^b u(t)w''(t)dt = \int_a^b u''(t)w(t)dt \text{ for all } w \in \mathcal{C}_c^\infty((a, b), \mathbb{R}).$$

*Then  $u \in H^2((a, b), H)$ .*

*Proof.* We fix  $\Psi \in \mathcal{C}_c^\infty((a, b), \mathbb{R})$  such that  $\int_a^b \Psi(t)dt = 1$ . Let  $w \in \mathcal{C}_c^\infty((a, b), \mathbb{R})$ . Then  $\tilde{w} := w - \left(\int_a^b w(t)dt\right)\Psi \in \mathcal{C}_c^\infty((a, b), \mathbb{R})$  and  $\int_a^b \tilde{w}(t)dt = 0$ . Thus  $\varphi(t) := \int_a^t \tilde{w}(s)ds$  defines  $\varphi \in \mathcal{C}_c^\infty((a, b), \mathbb{R})$  and  $\varphi' = \tilde{w}$ ,  $w' = \varphi'' + \left(\int_a^b w(t)dt\right)\Psi'$ . It follows from the hypothesis that

$$\begin{aligned} \int_a^b u(t)w'(t)dt &= \int_a^b u''(t)\varphi(t)dt + \int_a^b w(t)dt \int_a^b u(t)\Psi'(t)dt \\ &= \int_a^b u''(t) \int_a^t w(s)ds - \left(\int_a^b u''(t)\Psi(t)dt\right) \int_a^b w(t)dt \\ &\quad + \int_a^b w(t)dt \int_a^b u(t)\Psi'(t)dt \\ &= \int_a^b \int_s^b u''(t)dt w(s)ds + \int_a^b cw(s)ds, \end{aligned}$$

where  $c = -\int_a^b u''(t)\Psi(t)dt + \int_a^b u(t)\Psi'(t)dt$ . Since  $w \in \mathcal{C}_c^\infty(a, b; \mathbb{R})$  is arbitrary, this implies that  $u \in H^1((a, b), \mathbb{C})$  and

$$-u'(s) = c + \int_s^b u''(t)dt \text{ for almost all } s.$$

Thus  $u' \in H^1((a, b), H)$  and consequently  $u \in H^2((a, b), \mathbb{C})$ . □

**Remark 6.8.** *Lemma 6.7 is false in higher dimensions. Indeed, there exists  $u \in \mathcal{C}(\overline{\mathbb{D}}) \cap \mathcal{C}^2(\mathbb{D})$  such that  $\Delta u = 0$  but  $u \notin H^1(\mathbb{D})$ , where  $\mathbb{D}$  is the open unit disc in  $\mathbb{R}^2$ . See [3, Example 6.68], a famous example due to Hadamard.*

*Proof of Theorem 6.5 and 6.6.* It follows from Lemma 6.7 that

$$D(S^*) = H^2((a, b), H)$$

and  $S^*u = u''$  for all  $u \in H^2((a, b), H)$ . For  $u, v \in H^2((a, b), H)$ , integrating by parts yields

$$\begin{aligned} b(u, v) &:= i(\langle S^*u, v \rangle_V - \langle u, S^*v \rangle_V) \\ &= i\left(\int_a^b \langle u''(t), v(t) \rangle_H dt - \int_a^b \langle u(t), v''(t) \rangle_H dt\right) \\ &= i(\langle u'(b), v(b) \rangle_H - \langle u'(a), v(a) \rangle_H - \langle u(b), v'(b) \rangle_H) + \\ &\quad i\langle u(a), v'(a) \rangle_H \\ &= \langle G_+u, G_+v \rangle_{H \times H} - \langle G_-u, G_-v \rangle_{H \times H}, \end{aligned}$$

where  $G_-, G_+ \in \mathcal{L}(H^2((a, b), H), H \times H)$  are defined by (6.1) and (6.2). This is a computation that we omit. We show that

$$(6.4) \quad \ker G_- + \ker G_+ = H^2((a, b), H).$$

Let  $u \in H^2((a, b), H)$ . Choose  $\psi, \phi \in \mathcal{C}^2([a, b], H)$  such that

$$\phi(a) = -iu'(a), \quad \phi'(a) = iu(a), \quad \phi(b) = \phi'(b) = 0$$

and

$$\psi(b) = -iu'(b), \quad \psi'(b) = iu(b), \quad \psi(a) = \psi'(a) = 0.$$

Then

$$\frac{1}{2}(u + \phi - \psi) \in \ker G_+ \text{ and } \frac{1}{2}(u - \phi + \psi) \in \ker G_-.$$

Thus the sum  $u$  is in  $\ker G_- + \ker G_+$ . Now, Theorem 6.5 is a direct consequence of Theorem 3.9, and Theorem 6.6 follows from Theorem 5.5.  $\square$

## 7. THE WAVE EQUATION

In this section we treat the wave equation in terms of quadruples. We are most grateful to Nathanael Skrepek who informed us on the papers [10, 9] by Kurula and Zwart, where boundary triples are used for similar, but different results. We refer to [16] for further results on the Maxwell equations. Let  $\Omega \subset \mathbb{R}^d$  be a bounded, open set with Lipschitz boundary. We consider the skew-symmetric operator  $A_0$  on  $V := L^2(\Omega) \times L^2(\Omega)^d$  given by

$$D(A_0) = \{(u_1, u_2) : u_1 \in \mathcal{C}_c^\infty(\Omega), u_2 \in \mathcal{C}_c^\infty(\Omega)^d\}$$

and

$$A_0(u_1, u_2) = (\operatorname{div} u_2, \nabla u_1).$$



Then  $A := (-A_0)^*$  is given by

$$D(A) = H^1(\Omega) \times H_{\text{div}}(\Omega), \quad A(u_1, u_2) = (\text{div } u_2, \nabla u_1),$$

where  $H_{\text{div}}(\Omega) := \{u \in L^2(\Omega)^d : \text{div } u \in L^2(\Omega)\}$ . By  $\Gamma := \partial\Omega$  we denote the boundary of  $\partial\Omega$  and by  $L^2(\Gamma)$  the Lebesgue space with respect to the surface measure. There exists a unique operator  $\text{tr} \in \mathcal{L}(H^1(\Omega), L^2(\Gamma))$  such that  $\text{tr } u = u|_\Gamma$  if  $u \in H^1(\Omega) \cap \mathcal{C}(\overline{\Omega})$ . We write  $u_\Gamma := \text{tr } u$  for all  $u \in H^1(\Omega)$ , and call  $u_\Gamma$  the *trace* of  $u$ . Then  $\ker \text{tr} = H_0^1(\Omega)$ , the closure of  $\mathcal{C}_c^\infty(\Omega)$  in  $H^1(\Omega)$ .

The space  $H^{1/2}(\Gamma) := \text{tr } H^1(\Omega)$  is a Hilbert space for the following norm. Let  $g \in H^{1/2}(\Gamma)$ . Then there exists a unique  $u \in (\ker \text{tr})^\perp = H_0^1(\Omega)^\perp$  such that  $u_\Gamma = g$ . We let  $\|g\|_{H^{1/2}(\Gamma)} := \|u\|_{H^1(\Omega)}$ . Thus

$$\|g\|_{H^{1/2}(\Gamma)} = \inf\{\|v\|_{H^1(\Omega)} : v \in H^1(\Omega), \text{tr } v = g\}.$$

Let  $u \in H_{\text{div}}(\Omega)$ . Then

$$\int_\Omega \text{div } u \bar{v} + \int_\Omega u \cdot \overline{\nabla v} = 0$$

for all  $v \in H_0^1(\Omega)$ . Thus there exists a unique functional  $\nu \cdot u \in H^{-1/2}(\Gamma) = H^{1/2}(\Gamma)'$  defined by

$$\langle \nu \cdot u, v_\Gamma \rangle := \int_\Omega \text{div } u \bar{v} + \int_\Omega u \cdot \overline{\nabla v},$$

for all  $v \in H^1(\Omega)$ . Here  $\nu$  stands symbolically for the outer normal (which is a function in  $L^\infty(\Gamma)$ ). If  $u \in H^1(\Omega)$  such that  $\Delta u \in L^2(\Omega)$ , then  $\nabla u \in H_{\text{div}}(\Omega)$  and we let  $\partial_\nu u := \nu \cdot \nabla u \in H^{-1/2}(\Omega)$ . Thus

$$\langle \partial_\nu u, v_\Gamma \rangle = \int_\Omega \Delta u v + \int_\Omega \nabla u \cdot \nabla v$$

for all  $v \in H^1(\Omega)$ .

Denote by  $R : H^{-1/2}(\Gamma) \rightarrow H^{1/2}(\Gamma)$  the Riesz isomorphism defined by

$$\langle \varphi, v_\Gamma \rangle_{H^{-1/2}, H^{1/2}} = \langle R\varphi, v_\Gamma \rangle_{H^{1/2}}$$

for all  $\varphi \in H^{-1/2}(\Gamma)$ ,  $v \in H^1(\Gamma)$ .

It is well-known and easy to see that the continuous, linear mapping

$$(7.1) \quad u \in H_{\text{div}}(\Omega) \mapsto R(\nu \cdot u) \in H^{1/2}(\Gamma)$$

is surjective. Now we can formulate the main result of this section.

**Theorem 7.1.** *Let  $H_- = H_+ := H^{1/2}(\Gamma)$  and define*

$$G_-, G_+ : D(A) = H^1(\Omega) \times H_{\text{div}}(\Omega) \rightarrow H^{1/2}(\Gamma)$$

by

$$G_+(u_1, u_2) = \frac{1}{2}u_{1\Gamma} + R(\nu \cdot u_2) \text{ and } G_-(u_1, u_2) = \frac{1}{2}u_{1\Gamma} - R(\nu \cdot u_2).$$

Then  $(H_-, H_+, G_-, G_+)$  is a boundary quadruple for  $A_0$ .

Let  $\Phi \in \mathcal{L}(H^{1/2}(\Gamma))$  be a contraction. We define the domain of the operator  $B_\Phi$  on  $V = L^2(\Omega) \times L^2(\Omega)^d$  by

$$D(B_\Phi) = \{(u_1, u_2) \in H^1(\Omega) \times H_{\text{div}}(\Omega) : \Phi(G_-(u_1, u_2)) = G_+(u_1, u_2)\},$$

where  $G_-(u_1, u_2) = \frac{1}{2}u_{1\Gamma} - R(\nu \cdot u_2)$  and  $G_+(u_1, u_2) = \frac{1}{2}u_{1\Gamma} + R(\nu \cdot u_2)$ .

**Corollary 7.2.** *Let  $B$  be an operator on  $V$  such that  $A_0 \subset B$ . The following assertions are equivalent:*

- (i)  $B$  is  $m$ -dissipative;
- (ii) there exists a contraction  $\Phi \in \mathcal{L}(H^{1/2}(\Gamma))$  such that  $B = B_\Phi$ .

In view of Theorem 3.9, Corollary 7.2 is a direct consequence of Theorem 7.1.

*Proof of Theorem 7.1.* It follows from the definition of  $\nu \cdot u_2$  for  $u_2 \in H_{\text{div}}(\Omega)$  that for  $u = (u_1, u_2)$ ,  $v = (v_1, v_2) \in D(A) = H^1(\Omega) \times H_{\text{div}}(\Omega)$ ,

$$\begin{aligned} b(u, v) &= \langle \nu \cdot u_2, v_{1\Gamma} \rangle_{H^{-1/2}, H^{1/2}} + \langle \nu \cdot \overline{v_2}, \overline{u_{1\Gamma}} \rangle_{H^{-1/2}, H^{1/2}} \\ &= \langle R(\nu \cdot u_2), v_{1\Gamma} \rangle_{H^{1/2}(\Gamma)} + \langle u_{1\Gamma}, R(\nu \cdot v_2) \rangle_{H^{1/2}(\Gamma)} \\ &= \langle G_+u, G_+v \rangle_{H^{1/2}(\Gamma)} - \langle G_-u, G_-v \rangle_{H^{1/2}(\Gamma)}. \end{aligned}$$

It remains to show that  $G := (G_-, G_+) : D(A) \rightarrow H^{1/2}(\Gamma) \times H^{1/2}(\Gamma)$  is surjective.

Let  $h_-, h_+ \in H^{1/2}(\Gamma)$ . Using (7.1) we find  $u_1 \in H^1(\Omega)$  such that  $u_{1\Gamma} = h_- + h_+$ , and  $u_2 \in H_{\text{div}}(\Omega)$  such that  $R(\nu \cdot u_2) = \frac{1}{2}(h_+ - h_-)$ . Thus

$$G_+(u_1, u_2) = \frac{1}{2}u_{1\Gamma} + R(\nu \cdot u_2) = \frac{1}{2}(h_+ + h_-) + \frac{1}{2}(h_+ - h_-) = h_+$$

and

$$G_-(u_1, u_2) = \frac{1}{2}u_{1\Gamma} - R(\nu \cdot u_2) = \frac{1}{2}(h_+ + h_-) - \frac{1}{2}(h_+ - h_-) = h_-.$$

By Remark 3.3,  $(H_-, H_+, G_-, G_+)$  is a boundary quadruple.  $\square$

We want to express Corollary 7.2 in terms of a well-posedness result. Let  $B := B_\Phi$  where  $\Phi : H^{1/2}(\Gamma) \rightarrow H^{1/2}(\Gamma)$  is a contraction. We consider the wave equation

$$(7.2) \quad \ddot{u} = \Delta u.$$

For our purposes we call  $u$  a *weak solution* if  $u \in \mathcal{C}(\mathbb{R}_+, L^2(\Omega))$  and

$$\frac{d^2}{dt^2} \langle u(t), v \rangle_{L^2(\Omega)} = \langle u(t), \Delta v \rangle_{L^2(\Omega)}$$

for all  $v \in \mathcal{C}_c^\infty(\Omega)$ .

**Corollary 7.3.** *Let  $(u_{01}, u_{02}) \in D(B)$ . Then there exists a unique  $u \in \mathcal{C}^1(\mathbb{R}_+, L^2(\Omega)) \cap \mathcal{C}(\mathbb{R}_+, H^1(\Omega))$  such that*

$$(7.3) \quad \ddot{u} = \Delta u \text{ weakly}$$

$$(7.4) \quad u(0) = u_{01}, \dot{u}(0) = \operatorname{div} u_{02} \text{ and}$$

$$(7.5) \quad \Phi \left( \frac{1}{2} u(t)_\Gamma - R \left( \nu \cdot u_{02} + \partial_\nu \int_0^t u(s) ds \right) \right) = \frac{1}{2} u(t)_\Gamma + R \left( \nu \cdot u_{02} + \partial_\nu \int_0^t u(s) ds \right).$$

Note that by (7.3),

$$\Delta \int_0^t u(s) ds = \dot{u}(t) - \dot{u}(0) = \dot{u}(t) - \operatorname{div} u_{02} \in L^2(\Omega).$$

Therefore  $\partial_\nu \int_0^t u(s) ds \in H^{-1/2}(\Gamma)$ .

*Proof.* We first prove the existence of a solution. Let

$$w(t) := (u_1(t), u_2(t)) := T(t)(u_{01}, u_{02}).$$

Then  $w \in \mathcal{C}^1(\mathbb{R}_+, L^2(\Omega) \times L^2(\Omega)^d) \cap \mathcal{C}(\mathbb{R}_+, D(B))$  and  $\dot{w}(t) = Bw(t)$  for  $t \geq 0$ . Thus

$$u_1 \in \mathcal{C}^1(\mathbb{R}_+, L^2(\Omega)) \cap \mathcal{C}(\mathbb{R}_+, H^1(\Omega)),$$

$$u_2 \in \mathcal{C}^1(\mathbb{R}_+, L^2(\Omega)^d) \cap \mathcal{C}(\mathbb{R}_+, H_{\operatorname{div}}(\Omega))$$

and

$$\dot{u}_1(t) = \operatorname{div} u_2(t), \dot{u}_2(t) = \nabla u_1(t).$$

Thus, for  $v \in \mathcal{C}_c^\infty(\Omega)$ ,

$$\begin{aligned} \frac{d^2}{dt^2} \langle u_1(t), v \rangle_{L^2(\Omega)} &= \frac{d}{dt} \langle \dot{u}_1(t), v \rangle_{L^2(\Omega)} = \frac{d}{dt} \langle \operatorname{div} u_2(t), v \rangle_{L^2(\Omega)} \\ &= - \langle \nabla u_1(t), \nabla v \rangle_{L^2(\Omega)^d} = \langle u_1(t), \Delta v \rangle_{L^2(\Omega)} \\ &= - \frac{d}{dt} \langle u_2(t), \nabla v \rangle_{L^2(\Omega)}. \end{aligned}$$

Thus  $u_1$  is a weak solution of (7.3). Since  $T(0)(u_{01}, u_{02}) = (u_{01}, u_{02})$ , (7.4) holds. Since  $(u_1(t), u_2(t)) \in D(B)$  we have

$$\Phi \left( \frac{1}{2} u_1(t)_\Gamma - R(\nu \cdot u_2(t)) \right) = \frac{1}{2} u_1(t)_\Gamma + R(\nu \cdot u_2(t)).$$

Observe that

$$\nu \cdot u_2(t) = \nu \cdot \left( u_{02} + \int_0^t \nabla u_1(s) ds \right) = \nu \cdot u_{02} + \partial_\nu \int_0^t u_1(s) ds.$$

This shows that  $u := u_1$  satisfies (7.5).

To prove the uniqueness, let  $u$  be a solution of (7.3), (7.4) and (7.5). Define  $u_1 = u$  and  $u_2(t) = \int_0^t \nabla u(s) ds + u_{02}$ . Then  $w(t) = (u_1(t), u_2(t)) \in D(B)$ ,  $w \in \mathcal{C}^1(\mathbb{R}_+, L^2(\Omega) \times L^2(\Omega)^d)$  and  $w$  satisfies (7.3), (7.4) and (7.5). Thus  $w(t) = T(t)(u_{01}, u_{02})$ .  $\square$

**Remark 7.4.** Let  $u_{01} \in H_0^1(\Omega)$ ,  $u_{02} = 0$ ,  $(u^1(t), u^2(t)) := T(t)(u_{01}, 0)$ ,  $w(t) := \int_0^t u^1(s) ds$ . Then  $w \in \mathcal{C}^2(\mathbb{R}_+, L^2(\Omega)) \cap \mathcal{C}^1(\mathbb{R}_+, H^1(\Omega))$ ,  $w(t) \in D(B)$ ,  $\dot{w}(t) = \Delta w$ ,  $w(0) = 0$ ,  $\dot{w}(0) = u_{01}$ . Since the semigroup is contractive,

$$E(t) := \|\dot{w}(t)\|_{L^2(\Omega)}^2 + \|\nabla w(t)\|_{L^2(\Omega)}^2 = \|u_1(t)\|_{L^2(\Omega)}^2 + \|u_2(t)\|_{L^2(\Omega)}^2$$

is decreasing. If  $\Phi$  is unitary, then  $E(t)$  is constant, the sum of kinetic and potential energy.

## 8. RELATION TO THE LITERATURE

In the monographs [8] by V. I. and M. L. Gorbachuk and [15] by Schmüdgen, boundary triples are used to parametrize selfadjoint extensions of a densely defined symmetric operator. Wegner [17] uses them to investigate  $m$ -dissipative extensions of a densely defined skew-symmetric operator, as we do in the present article. However we use using boundary quadruples. We now explain the relation of our results to those of Wegner and those presented in the two monographs [8] and [15].

We start with a general algebraic property of triples.

**Lemma 8.1.** Let  $W$  be a vector space,  $H$  a pre-Hilbert space and

$$G_-, G_+, G_1, G_2 : W \rightarrow H \text{ linear mappings.}$$

a) Then

$$(8.1) \quad G_- = \frac{\sqrt{2}}{2}(G_2 - G_1), \quad G_+ = \frac{\sqrt{2}}{2}(G_2 + G_1),$$

if and only if

$$(8.2) \quad G_1 = \frac{\sqrt{2}}{2}(G_+ - G_-), \quad G_2 = \frac{\sqrt{2}}{2}(G_+ + G_-),$$

b) If (8.1) ( $\Longleftrightarrow$  (8.2)) holds, then

$$(8.3) \quad \langle G_1 u, G_2 v \rangle_H + \langle G_2 u, G_1 v \rangle_H = \langle G_+ u, G_+ v \rangle_H - \langle G_- u, G_- v \rangle_H$$

for all  $u, v \in W$ .

c) Let  $H_- = G_- W$ ,  $H_+ = G_+ W$ ,  $H_1 = G_1 W$ ,  $H_2 = G_2 W$ . Then

$$(G_-, G_+) : W \rightarrow H_- \times H_+ \text{ is surjective}$$

if and only if

$$(G_1, G_2) : W \rightarrow H_1 \times H_2 \text{ is surjective.}$$

Recall from Section 3 that

$$(8.4) \quad (G_-, G_+) : W \rightarrow H_- \times H_+ \text{ is surjective if and only if}$$

$$(8.5) \quad \ker G_- + \ker G_+ = W.$$

The proof of Lemma 8.1 is straightforward.

Now let  $A_0$  be a skew-symmetric operator on  $V$  with dense domain. Let  $V$  be a complex Hilbert space. Following Wegner [17, Definition 4.1], a *boundary triple*  $(K, \Gamma_1, \Gamma_2)$  for  $A_0$  consists of a Hilbert space  $K$  and linear mappings  $\Gamma_1, \Gamma_2 : D(A) \rightarrow K$  such that

$$(8.6) \quad -\langle Au, v \rangle_V - \langle u, Av \rangle_V = \langle \Gamma_1 u, \Gamma_2 v \rangle_H + \langle \Gamma_2 u, \Gamma_1 v \rangle_H$$

for all  $u, v \in D(A)$  and

$$(8.7) \quad (\Gamma_1, \Gamma_2) : D(A) \rightarrow K \text{ is surjective.}$$

Let  $W = D(A)$ ,  $H = K$ ,  $G_1 = \Gamma_2$ ,  $G_2 = \Gamma_1$  and define  $G_+, G_-$  by (8.1). Then  $(H, H, G_-, G_+)$  is a boundary quadruple (in the sense of our definition) with  $G_- W = G_+ W = H$ .

By Theorem 3.9 an operator  $B \supset A_0$  is  $m$ -dissipative if and only if there exists a contraction  $\Phi \in \mathcal{L}(H)$  such that

$$D(B) = \{w \in D(A) : \Phi G_- w = G_+ w\} \text{ and } Bw = Aw$$

for all  $w \in D(B)$ . It is straightforward that  $\Phi G_- w = G_+ w$  if and only if

$$(\text{Id} + \Phi)\Gamma_1 w + (\text{Id} - \Phi)\Gamma_2 w = 0.$$

So we refind [17, Theorem 4.2].

In contrast to boundary quadruples, boundary triples in the sense of Wegner do not always exist. In fact the following holds.

**Proposition 8.2.** *Given a densely defined skew-symmetric operator  $A_0$  on  $V$ , the following assertions are equivalent:*

- (i) *there exists a boundary triple for  $A_0$ ;*
- (ii)  *$\ker(\text{Id} - A)$  and  $\ker(\text{Id} + A)$  are isomorphic;*
- (iii)  *$A_0$  has an extension which generates a unitary  $C_0$ -group;*

- (iv) if  $(H_-, H_+, G_-, G_+)$  is a boundary quadruple, then  $G_+W$  and  $G_-W$  are isomorphic, where  $W = D(A)$ .

*Proof.* (iv)  $\Rightarrow$  (i) By assumption there exists a unitary operator  $U : G_+W \rightarrow G_-W$ . Let  $K = G_-W$ ,  $\Gamma_- = G_-$ ,  $\Gamma_+ = UG_+$ . Then  $(\Gamma_-, \Gamma_+) : W \rightarrow K \times K$  is surjective and

$$\begin{aligned} \langle Au, v \rangle_W + \langle u, Av \rangle_W &= \langle G_+u, G_+v \rangle_H - \langle G_-u, G_-v \rangle_H \\ &= \langle \Gamma_+u, \Gamma_+v \rangle_K - \langle \Gamma_-u, \Gamma_-v \rangle_H. \end{aligned}$$

Now using Lemma 8.1 we obtain  $\Gamma_1, \Gamma_2 : W \rightarrow K \times K$  such that (8.6) and (8.7) holds.

(i)  $\Rightarrow$  (iv) This follows from the lines following (8.7).

(ii)  $\Longleftrightarrow$  (iii)  $\Longleftrightarrow$  (iv). This is Corollary 4.3.  $\square$

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