

Episodes of diversification and isolation in Island Southeast Asian and Near Oceanian male lineages

Monika Karmin, Rodrigo Flores, Lauri Saag, Georgi Hudjashov, Nicolas Brucato, Chelzie Crenna-Darusallam, Maximilian Larena, Phillip Endicott, Mattias Jakobsson, J Stephen Lansing, et al.

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1 2 3	Article Discoveries
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7 8 9	Episodes of diversification and isolation in Island Southeast Asian and Near Oceanian male lineages
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36	Abstract
37	Island Southeast Asia and Oceania host one of the world's richest assemblages of human
38	phenotypic, linguistic and cultural diversity. Despite this, the region's male genetic lineages
39	are globally among the last to remain unresolved. We compiled \sim 9.7 Mb of Y chromosome
40	sequence from a diverse sample of over 380 men from this region, including 152 first
41	reported here. The granularity of this dataset allows us to fully resolve and date the regional

- 42 Y chromosome phylogeny. This new high-resolution tree confirms two main population
- 43 bursts: multiple rapid diversifications following the region's initial settlement \sim 50 kya, and
- 44 extensive expansions <6 kya. Notably, ~40-25 kya the deep rooting local lineages of C-

45 M130, M-P256, and S-B254 show almost no further branching events in Island Southeast 46 Asia, New Guinea and Australia, matching a similar pause in diversification seen in maternal 47 mitochondrial DNA lineages. The main local lineages start diversifying ~25 kya, at the time 48 of the Last Glacial Maximum. This improved Y chromosome topology highlights localized 49 events with important historical implications, including pre-Holocene contact between 50 Mainland and Island Southeast Asia, potential interactions between Australia and the Papuan 51 world, and a sustained period of diversification following the flooding of the ancient Sunda 52 and Sahul continents as the insular landscape observed today formed. The high-resolution 53 phylogeny of the Y chromosome presented here thus enables a detailed exploration of past 54 isolation, interaction and change in one of the world's least understood regions. 55 56 **Keywords:** Y chromosome, human population genetics, Island Southeast Asia, 57 phylogeography, migration 58

59

60 Introduction

61 Island Southeast Asia (ISEA) and Near Oceania form a diverse interlocking geographical and 62 cultural region with a population of over 400 million people. Comprising the Indonesia, Philippine and Taiwan archipelagoes, ISEA hosts three of the five largest island states in the 63 64 world, with Indonesia alone covering an area equivalent to that from Ireland to the Caspian 65 Sea. Oceania is of course even larger, stretching from the eastern edge of ISEA, to New 66 Guinea, and out to the furthest islands of the remote Pacific. Within Oceania, this study 67 focuses on the Near Oceania region, particularly New Guinea and the Bismarck Archipelago, 68 including their potential connections to Australia. 69 70 Over time, climatic changes have substantially transformed the physical landscape of this 71 region from islands to continents and back. During the Pleistocene, long periods ~65-15

thousand years ago (kya) saw many of today's islands connected into substantial land masses,

- 73 with the two largest called Sunda and Sahul (Figure 1) (Voris 2000). Deep sea trenches
- real around Wallacean Islands kept these land masses separate (Hanebuth et al. 2000), but within

them, lakes and river systems likely served as potential pathways for communication and movement, as they still do today. The Sunda and Sahul continents reached their maximum extent when sea levels were lowest during the Last Glacial Maximum (LGM) ~25 kya. Water levels subsequently rose with bursts of flooding taking place ~14 kya, and the land links between modern islands and mainland Asia were largely drowned by ~9 kya (Hanebuth et al. 2000; Voris 2000).

81

82 Archaeology shows that modern humans reached Australia ~65-52 kya (O'Connell and Allen 83 2015; Clarkson et al. 2017), mainland New Guinea ~49-40 kya (Summerhayes et al. 2010; 84 Groube et al. 1986), with the easternmost fringes of the Bismarck Archipelago being settled 85 soon after, ~45-35 kya (Leavesley et al. 2004; Torrence et al. 2004). Much later during the 86 Holocene (<10 kya), the advent and widespread adoption of farming in mainland Asia 87 triggered influential movements of people. This led to the spread of Austronesian languages 88 and Neolithic practices through ISEA and out into Oceania, starting around 6 kya in Taiwan 89 and reaching eastern Indonesia by ~3.5 kya (Bellwood 2006; Xu et al. 2012; Kirch 2017; 90 Deng et al. 2020; Kusuma et al. 2015; Brucato et al. 2016). Movements within the region, in 91 part stimulated by independent instances of plant cultivation (Denham and Haberle 2015), 92 were also extensive (Hudjashov et al. 2017). The result is that Indonesia and Papua New 93 Guinea today host the richest linguistic diversity in the world, with over 700 and 800 94 languages spoken in those two modern nations. Coupled with this linguistic richness is an 95 astonishing diversity of socio-cultural practices - post marital residence patterns, kinship 96 systems, social norms, and community structures, many of which are only now transitioning 97 away from traditional practices (Godelier 1982; Lansing et al. 2008; Guillot et al. 2013; 98 Tumonggor et al. 2014).

99

Despite this extraordinary human diversity, knowledge of genetic diversity has lagged
substantially behind other global regions. Of particular note is the Y chromosome, whose
phylogeny has been resolved elsewhere in the world (Hallast et al. 2015; Karmin et al. 2015;
Poznik et al. 2016), but is still only partially known in ISEA and Oceania (Mona et al. 2007;
Karafet et al. 2010; Bergström et al. 2016). Despite the trend towards whole genome data
(Hudjashov et al. 2017; Jacobs et al. 2019; Brucato et al. 2021; Larena et al. 2021), the lack

of a robust regional Y chromosome phylogeny has limited the identification and molecular
dating of sex-specific historical processes. By undertaking extensive new regional sampling
and chromosomal-level sequencing, this study presents the first fully resolved regional Y
chromosome phylogeny. Here, we fit newly identified lineages into the broader global
phylogeny of Y chromosome diversity and characterize patterns that reflect the specific
regional history of Island Southeast Asia and Near Oceania.

112

113 **Results and Discussion**

114

115 High resolution Y chromosome lineages for one of the last understudied global regions 116 To develop a fully resolved picture of Y chromosome (chrY) diversity in this region, we 117 extracted chrY sequences from complete human genomes in a set of 152 samples sequenced 118 on the Illumina platform that have not been analyzed before for their chrY diversity. This 119 includes 14 newly reported samples (3 from New Guinea, 7 from Mentawai and 4 from 120 Sumba), 112 men from ISEA (Jacobs et al. 2019) and 26 men from Papua New Guinea 121 (Brucato et al. 2021) (Table S1). Samples were chosen explicitly to fill gaps in earlier 122 geographical coverage and lineage distributions. We then combined this new dataset with 123 previously published chrY sequences from the target region, as well as from broader geographical and phylogenetic contexts. The final global dataset consisted of 795 full chrY 124 125 sequences extracted from complete human genomes or from targeted sequencing, including 126 samples from neighboring East Asia, Mainland Southeast Asia (MSEA) and other world 127 regions (Figure 1, Table S1).

128

129 A fully resolved Y chromosome phylogeny with robust dates

130 Paternal lineages from ISEA and New Guinea typically fall into the major chrY haplogroups 131 C-M130, M-P256, S-B254 and O-M175, respectively sampled here from 77, 50, 66 and 103 132 individuals (Figure 1, Table S1). Distinct sub-lineages of C-M130 and O-M175 that occur in 133 ISEA, New Guinea and Australia largely fall within previously described diversity (Kayser et 134 al. 2001; Karafet et al. 2010; Bergström et al. 2016; Nagle et al. 2016; Bergström et al. 2017). 135 In contrast, M-P256 and S-B254 are typically restricted to New Guinea and neighboring 136 islands and have much less well known phylogenies. We identify new phylogenetic structures 137 and sub-haplogroups, and resolve a substantial number of lineages that were previously

138 classified only as paragroup K*-M526 (Kayser et al. 2006; Bergström et al. 2016; Nagle et al. 139 2016; Bergström et al. 2017). We also provide additional resolution to the rare lineage NO-140 M2313, previously classified as K2a1*-M2313 (Poznik et al. 2016). The result is a fully 141 resolved phylogeny of regional Y chromosome diversity, with all individuals uniquely 142 assigned and no paragroups (Figure 1, Figures S1-S3). Sequence data allows dating of 143 diversifications and expansions. Coalescence times were inferred using the Bayesian 144 algorithms of BEAST (Drummond et al. 2012) and dates were calibrated using previously 145 published split times and clock rates (Table S2) (Karmin et al. 2015).

146

147 Previous studies have highlighted the critical importance of robust filtering due to the 148 complex repeat structure of the Y chromosome (Karmin et al. 2015; Poznik et al. 2016). We 149 employed a series of filters and sequence masks to limit the dataset to high quality variant 150 calls (detailed in Methods and Table S9), resulting in ~9.7 MB of complete chrY sequence 151 per sample. Across the whole dataset, there is an average of 648 (SD = 18) mutations from 152 tips to the common BT node, with a unimodal and non-skewed distribution (Figure S1). Each 153 chrY lineage was assigned to a haplogroup according to the alternating letter/number rules of 154 the Y Chromosome Consortium (Hammer 2002), taking account of additional modifications 155 proposed subsequently to accommodate the volume of variant data from full chrY sequencing 156 (Karmin et al. 2015). Because our study resolves several previously unresolved paragroups, 157 we define a number of new clades in the global Y chromosome tree, and necessarily re-label 158 some previously known clades while keeping as close as possible to historic nomenclature. 159

160 Major radiations around 50 kya reflect first settlement in the region

161 In concordance with previous findings from global chrY phylogenies (Karmin et al. 2015; 162 Poznik et al. 2016), rapid diversification of lineages C-M130, M-P256 and S-B254 indicate 163 human settlement of ISEA, New Guinea and Australia over a very short time frame close to 164 50 kya. These major haplogroups arose in the region with few distinguishing mutations, 165 indicating rapid diversification (Figure 2, Figures S2-S4). Even today many of these branches 166 have limited geographic distributions. Indeed, some remain rare single lineages with no 167 further branching events, reflecting long-term isolation and small population size subsequent 168 to this initial expansion event. Examples include S12-Z41926 in Australia, C9-B68, S2-

- 169 MY68 and S3-MY69 in Indonesia, and M4-MY62 in New Britain (Figure 2, Figures S2-S3).
- 170 In addition, rare lineages F-MY1500 (N = 3), P2-B525 (N = 3) and NO2-MY1533 (N = 5)
- 171 present in MSEA and ISEA show a similar pattern of very early splitting events.
- 172

173 C-M130, M-P256 and S-B254 diversified rapidly and early

174 A small number of C-M130, M-P256 and S-B254 lineages from the early dispersal event 175 dominate the eastern regions of ISEA and Near Oceania, while some are geographically 176 widespread today. Within our extended dataset, eight C-M130 sub-haplogroups arose 177 between 50-40 kya, of which five are found today in ISEA, New Guinea and/or Australia 178 (Figure 1, Figure S2). With 32 individuals carrying C2-M38, this is the lineage with the 179 widest geographical distribution, reaching from the Wallacean Islands to as far east as 180 Polynesia (Figure 1, Figure S2). Lineage C4-MY1088 has been sequenced in six Australian 181 men and is restricted to Australia since its separation from C2-M38 ~45 kya (95% CI: 41.6-182 49.6 kya) (Figure S2, File S1) (Bergström et al. 2016). Sequenced in seven and 35 men, C7-183 B67 and C8-F725 stem from the early dispersal and became distributed more recently across 184 both Sunda and the Wallacean Islands in modern western and eastern Indonesia, respectively 185 (Figure S2).

186

Before splitting ~49 kya (95% CI: 45.9-51.3 kya), haplogroups M-P256 and S-B254 (Figure 187 188 2, Figure S3) shared five common mutations (Table S7). Subsequently, both lineages 189 accumulated only a few haplogroup-defining variants, instead rapidly diversifying further. 190 Before ~40 kya, M-P256 split into three lineages and S-B254 into eight (Figure 2, Figure S3). 191 All lineages that we have sampled from this early diversification further split much later, 192 some even after tens of thousands of years. Today, all sampled M-P256 lineages are present 193 in New Guinea and the Bismarck Archipelago, with two lineages restricted to that area. The 194 most numerous lineage, M1-M106 with 46 individuals, also has the widest geographic 195 distribution, with individuals from the Wallacean Islands of eastern Indonesia and the Torres 196 Strait nested within New Guinean diversity (Figure 3, Figure S3, File S2). 197 198 Many of the eight haplogroup S-B254 lineages (Figure 2, inset of Figure 3, Figure S3, File

199 S2, Table S4), which also arose during this same time period of 50-40 kya, are geographically

200 restricted. Of these, four Australian individuals belong to S7'8-FGC38729 and S12-Z41926, 201 while S1-B273 is found in two Philippine men, but S9-P202 and S10-SK1807 are spread widely across eastern Indonesia and New Guinea with 31 and 16 individuals, respectively 202 203 (Figure 3, Figure S3). S4'6-PR495.2, present in 16 men, is mostly specific to New Britain 204 and first split around 30 kya (95% CI: 28.0-33.2 kya), well after the time of first settlement of 205 New Britain (Pavlides and Gosden 1994; Leavesley et al. 2004), and today has sub-lineages 206 distributed as far as Australia and Polynesia. Strictly Australian lineages, such as S7'8-207 FGC38729, diverged around this time, with evidence of only limited later contact (Figure 208 S3). The overall picture \sim 50-40 kya is therefore one of extensive early population movements 209 across the region associated with rapid lineage radiation, in agreement with archaeological 210 evidence showing that early settlers spread quickly across Sahul within a few thousand years 211 (Bowler 2003; Leavesley et al. 2004; Summerhayes et al. 2010; Clarkson et al. 2017).

212

213 A long pause followed initial settlement

214 Regional chrY lineages show a striking lack of new diversity between 40 and 25 kya (Figure 215 2, Figures S2 and S3, Tables S3 and S4). Existing lineages in C-M130, M-P256 and S-B254 216 survived through this gap, but most show no evidence of further radiation during this time. 217 This striking lack of new diversity between 40 and 25 kya is also observed in the regional 218 mitochondrial DNA (mtDNA) lineages (Pedro et al. 2020). ChrY haplogroup S4'6-PR495.2, 219 which is mostly found in New Britain (eastern Papua New Guinea), is the only lineage that 220 split during the hiatus, ~30 kya (95% CI: 28.0-33.2 kya). Noting the limitation that modern 221 sampling may miss lineages that have gone extinct, the observed widespread pause in 222 phylogenetic diversification appears to be characteristic of low population size and persistent 223 population structure during much of the Upper Pleistocene, in agreement with maternal 224 lineage patterns (Pedro et al. 2020), the relatively small numbers of Late Pleistocene 225 archaeological sites identified (Williams 2003; Summerhayes et al. 2017) and the strong 226 territoriality and geographical stability seen in modern ethnographic studies of New Guinea 227 and Indigenous Australian societies (Muke 1993; Sutton 2003). In notable contrast, 228 haplogroup O-M175 on Mainland Asia, which is now the dominating lineage in Eastern 229 Eurasians and comprises more than a quarter of all male lineages in the world (Yan et al. 230 2014), continued to radiate throughout the Pleistocene. About 33 kya (95% CI: 30.6-36.6

231 kya), O-M175 began to diversify rapidly, and during the next ten thousand years, six major 232 lineages arose (Figure 2, Figure S4), which are now spread across East Asia and the Asian 233 mainland. Some of these sub-lineages later swept into Island Southeast Asia and out into the 234 Pacific (Kayser et al. 2006; Karafet et al. 2010). Therefore a distinction exists between 235 Mainland Asia, with extensive population expansions and mobility throughout the Upper 236 Pleistocene, and Island Southeast Asia, New Guinea and Australia, with phylogenies 237 reflecting much smaller population sizes and more restricted male mobility, which may have 238 been enhanced both by geography and local cultural practices.

239

A second bout of diversification 25-15 kya included a split between New Guinean and Australian lineages

242 After 25 kya, major periods of lineage radiation are observed across ISEA, New Guinea and 243 Australia. These lineages stem from different chrY sub-branches arising from northern Sahul 244 diversity (Figure 3, Figure S3) and they dispersed at different times. The most numerous 245 Australian lineage in this sample, C4-M347 (carried by 6 men), diversified between 25 and 246 17 kya, coinciding with a major split ~26 kya (95% CI: 23.2-28.9 kya) in a second Australian lineage S7'8-FGC38729 present in three men (Figures S2 and S3). About 23 kya (95% CI: 247 20.3-25.5 kya), C2-M38 diverged into two lineages, one now found from Wallacea (eastern 248 249 Indonesia) to New Britain, with the other largely radiating within mainland New Guinea itself 250 (Figure S2). M1-M106 split ~21 kya (95% CI: 19.5-23.9 kya) into M1a'g-Page1 and M1h-251 MY6 (42 and 4 men, respectively), and is found today in New Guinea and New Britain, but 252 also radiated westward (Figure 3, Figure S3). The most numerous sublineage in New Guinea, 253 S9-P202, split ~19 kya (95% CI: 17.1-20.5 kya), with subsequent expansion ~15-13 kya 254 creating off-shoots of different sublineages across the Wallacean Islands of Alor, Lembata, 255 Sulawesi and Borneo (Figure 3, Figure S3). Over the same timeframe, C7-B67 split ~17 kya 256 (95% CI: 14.6-19.6 kya) and spread between Borneo, Flores and Lembata (Figure S2), and 257 S10-SK1807 gave rise to descendant lineages in New Guinea, New Ireland and Eastern Indonesia around the same time (95% CI: 15.9-19.6 kya) (Figure 3, Figure S3). Lineages with 258 259 New Guinean origins are mostly found on the Wallacean Islands, with a few in other regions 260 as well.

262 The prevailing view of regional history is of two major human movements – the initial 263 settlement (Clarkson et al. 2017; O'Connell et al. 2018; Summerhayes et al. 2010; Leavesley 264 et al. 2004) and the arrival of Austronesian speakers 3-3.5 kya (Bellwood 2004; Bellwood 265 2006), implicitly suggesting that little occurred in this region during the Upper Pleistocene. 266 However, the more resolved chrY tree with denser sampling uncovers a far more complex 267 paternal demographic history, consistent with some of the newer studies in genetics (Gomes 268 et al. 2015; Pedro et al. 2020; Purnomo et al. 2021), linguistics (Schapper 2017) and 269 archaeology (Summerhayes et al. 2007, 2017; Bellwood 2019). In particular, the increase in 270 genetic lineage diversification correlates well with increasing population interactions and 271 population sizes postulated from the archaeological record around 25-20 kya, based on 272 animal, plant and object (e.g., obsidian) translocation between Northern Sahul regions, 273 changes in the subsistence economy, increasing occupation of Highland New Guinea, and 274 settlement of distant islands (e.g., Manus), all of which suggest population size increases and 275 connection of local dynamics to larger regional networks (Leavesley et al. 2004; Specht 276 2005; Summerhayes et al. 2007, 2017).

277

278 We see these connections within a number of haplogroups. In S-B254, the split within the 279 S4'6-PR495.2 lineages of New Britain occurred ~30 kya (95% CI: 28.0-33.2 kya), whereas 280 S5'6-FT17887 diversified first ~23 kya (95% CI: 20.9-25.3 kya) and then ~16 kya (95% CI: 281 14.4-17.7 kya) (Figure 3, Figure S3). Its sister lineage, S4-P315, appears to have been 282 isolated for some time, only diverging ~18 kya (95% CI: 15.9-20.3 kya) when it split between 283 the New Britain and Queensland (Australia) groups. A much more recent split ~3.6 kya (95% 284 CI: 2.7-4.7 kya) is also seen between men from these two locations (Figure 3, Figure S3). 285 Hence, despite perceptions of long-term isolation between New Guinea and Australia 286 (Bergström et al. 2016; Nagle et al. 2016), joint analyses of chrY from Papua New Guinea (Vernot et al. 2016) and Australia (Bergström et al. 2016) reveals phylogenetic relationships 287 288 between lineages from New Britain and Australia that are much older than previously 289 thought. This phylogenetic connection is beyond simply the Torres Strait region and is 290 similar to that seen in autosomal data (Brucato et al. 2021). The coalescence date suggests an 291 upper estimate of the Late Pleistocene for possible contact between these regions (Figure 3, 292 Figure S2).

294 While no archaeological evidence supports direct contact between New Britain and Australia, 295 the Torres Strait Islands (between New Guinea and Australia) may have served as a bridge 296 between these two regions. Indeed trading and intermarriage has been reported between 297 Torres Strait Islanders and Indigenous Australians (Beckett 1987), and some New Guinean 298 lineages (mtDNA Q1, P2 and P3, and chrY M1c6-Z42300) are shared by men with Torres 299 Strait ancestry (Figure S3) (Nagle et al. 2017; Pedro et al. 2020). This genetic affinity 300 between Bismarck Islanders and Indigenous Australians may also result from genetic 301 exchanges pre-dating the divergence between these populations during the postglacial period 302 (18-10 kya) (Figure 3, Figure S3) (Nagle et al. 2017; Pedro et al. 2020; Brucato et al. 2021). 303 More recent contact was also possible during the rapid spread of the Lapita Cultural 304 Complex, which originated in the Bismarck Archipelago 3.3 kya (Denham et al. 2012), 305 spread to the south Papuan Coast 2.9 kya (David et al. 2019), and may have subsequently 306 influenced islands off the Queensland coast by 2.5 kya (Lilley 2019).

307

Also during this time period (25-15 kya), the sub-lineages of haplogroup O-M175 continued
to radiate (Figure 4, Figure S4). Sub-lineages of O3-F525, today widely distributed on
Mainland Asia and ISEA split ~25 kya (95% CI: 18.9-28.3 kya), including the formation of
the major branch O3a'j-P164, which includes the later expansion of the two most numerous
paternal lineages found in Han Chinese (Yan et al. 2014). Between ~18-15 kya, expansions
and splits occurred within several lineages in parallel, notably O1-M119, O4-Page59, O6L127.1, O3a'b-F450, O3f'h-M2055 and O3i'j-N6 (Figure 4, Figure S4, Table S5).

316 An explosion of activity occurred at the Pleistocene-Holocene boundary 15-10 kya

317 The sea level began rising ~14 kya with flooding bursts over the next five millennia leading

to the current island landscape (Hanebuth et al. 2000; Voris 2000). During this time of major

- 319 geologic changes, the autosomal genetic data indicates a marked increase of expansion and
- 320 diversification in the Philippines (Larena et al. 2021), gene flows between islands in the
- 321 region (Brucato et al. 2021), and the maternal mtDNA data from Sahul and Wallacea shows
- 322 population expansion (Pedro et al. 2020). Similarly, archaeological data suggest a strong

323 population increase from the Holocene transition onwards, as part of a longer process that 324 started in the late Pleistocene (25-20 kya) and culminated with the Austronesian dispersal across the Northern Sahul region (Williams 2003; Specht 2005; Bellwood 2006; Donohue 325 326 and Denham 2010; Summerhayes et al. 2007, 2017). We also see a marked increase in the 327 radiation of chrY lineages, coupled with extensive mobility across the region. Haplogroup S-328 B254 shows splits in New Britain between S4b-MY103 and S4c-Z41921, with the lineage 329 later shared between men from New Britain and Queensland (Figure 3, Figure S3). 330 Haplogroup M-P256 lineages in New Guinea and New Britain also diversified during this 331 time. Between ~14 and 9 kya, the most numerous and widely spread sub-branch M1a'g-332 Page1 expanded rapidly within New Guinea (M1b-MY39, M1c-B256, M1e'f-Z43413) and 333 New Britain (M1g-P87), with some lineages spreading west to eastern Indonesia (M1e-334 MY19, M1a-MY26) (Figure 3, Figure S3). Within haplogroup C-M130, ~13-10 kya, a series 335 of divergences within C2a-M208 in New Guinea gave first an offshoot to Wallacea and then 336 formed the lineages that, much later, spread out into the Pacific (Figure S2) (Cox et al. 2007). 337 Within the few Australian lineages, a split in C4-MY1088 in Queensland occurred ~11 kya 338 (95% CI: 9.2-13.0 kya) (Figure S2).

339

340 During this time, haplogroup O-M175 diversification patterns are similar to those of other 341 chrY haplogroups in the region. Between 14-10 kya, major splits occurred in O1a-F31, O2-342 PK4 and many other O-M175 lineages, some of which are shared between Mainland and 343 Island Southeast Asia. Of particular note, O3f-M7 diversified ~15 kya (95% CI: 11.2-17.4 344 kya), giving rise to branches found on Mainland Asia, Taiwan and the Philippines, with the 345 latter two lineages diverging from each other ~10 kya (95% CI: 7.6-13.0 kya) within O3f-Y26403. O3f-M7 occurs widely across western Indonesia at low frequency today, and had 346 347 previously been thought to reflect connections with mainland China during the historic era 348 (Karafet et al. 2010). Although sampling remains low, the current O3f-M7 phylogeny 349 suggests a potentially much earlier upper limit to the movement of people carrying this 350 lineage, either in the Late Pleistocene or starting ~15 kya (Figure 3, Figure S4, Table S5). 351 Older connections between Mainland Asia and western Indonesia were also recently shown 352 by high levels of pre-Neolithic East Asian ancestry in autosomal genetic data of the young 353 female buried ~7.3 kya at Leang Panninge cave in Sulawesi (Carlhoff et al. 2021).

355 Holocene dispersals carried few external lineages from Mainland East Asia

356 The sea had risen to near its current level by 8-7 kya, leading to the creation of rich coastal 357 floodplains (Terrell et al. 2011), and rising temperatures gradually led to the higher altitudes 358 of New Guinea being more suitable for permanent human occupation (Summerhays et al. 359 2017). Indigenous food plant cultivation in the highlands of New Guinea began ~10 kya 360 (Hope and Haberle 2005; Denham and Haberle 2015), coinciding with the expansion seen in 361 M1-Page1 and various S-B254 sub-lineages (Figure 3, Table S4). However, the Holocene 362 story is in many ways dominated by haplogroup O-M175. From 10-2 kya, many expansion 363 events occurred within hg O-M175 (Figure 4, Figure S4), including the rise of the most 364 numerous paternal lineages in the world today (Yan et al. 2014). Within Island Southeast 365 Asia, O3i-B451 and O2a2-F789 stem from this time of major haplogroup O-M175 expansion. However, the lineage with the greatest impact was O1-M119, which arose ~33 kya (95% CI: 366 24.5-36.9 kya), diverged into sublineages ~17 kya (95% CI: 12.3-19.3 kya), but underwent a 367 368 striking period of radiation during the mid-Holocene (Figure 4, Figure S4, Table S5).

369

370 O1-M119 contains three main sublineages: O1a-F31, found in 35 men from across Mainland 371 Asia, ISEA and in New Guinea; O1b-F819 found in nine men from the Philippines, Sunda 372 and the Wallacean islands; and O1c-M110 found in 16 men from the Philippines, western 373 Indonesia and New Guinea. O1a-F31 radiated rapidly between ~8-4 kya, with a sub-branch in 374 Taiwan and Malaysia separating ~4 kya (95% CI: 2.7-4.9 kya). O1b-F819 lineages in the Philippines and Indonesia split ~9 kya (95% CI: 7.0-11.0 kya), but radiated rapidly ~4 kya 375 376 (95% CI: 3.1-5.0 kya). Today, O1c-M110 is found only within Island Southeast Asia and 377 New Guinea, where it shows evidence of rapid expansion 6-5 kya (Figure 4, Figure S4, Table 378 S5). We note the limitation that all molecular dates have some level of uncertainty (Tables 379 S3-S5), and not all known O1-M119 diversity is included here (Sun et al. 2021). 380 Nevertheless, the striking radiations observed are characteristic of a diverse population of 381 Mainland Asian origin moving into Island Southeast Asia and expanding rapidly. Due to their 382 timing and current geographical distribution, these genetic lineages are typically associated 383 with Austronesian-speaking peoples and Neolithic practices, which spread over a period of 384 time, starting around 6 kya in Taiwan and reaching eastern Indonesia and New Guinea ~3.5

kya, before subsequently moving out into Oceania (Bellwood 2006; Karafet et al. 2010; Xu et
al. 2012; Kirch 2017; Deng et al. 2020).

387

388 Holocene expansions in indigenous Y chromosome lineages

389 While arrivals from Mainland Asia have been the traditional focus of mid-Holocene studies 390 in Island Southeast Asia, we identify a series of simultaneous radiations in lineages with 391 long-standing local connections in C-M130, M-P256 and S-B254. In addition, C8-F725 392 seeded many new lineages in a short time period between ~6.5-5 kya, including radiating into 393 the Philippines, western Indonesia (Borneo and Mentawai) and the Wallacean islands of 394 eastern Indonesia (Figure S2). A second wave of expansions occurred around ~4-3 kya when 395 lineages in Borneo split from their neighbors in Malaysia or Sulawesi. Local inter-island 396 radiations on Flores and Mentawai also began ~2.5-1.5 kya (Figure S2). In haplogroup 397 M1a'g-Page1, multiple lineages experienced radiations between 7.5-2 kya (downstream of 398 M1c-B256, M1b-MY39, M1a-MY26 and M1g-P87), mostly within New Guinea, Wallacea 399 and the Torres Islands (Figure 3, Figure S3). In haplogroup S-B254, the previously 400 mentioned split within S4c-ZZ41912 also appeared between Queensland (Australia) and New 401 Britain ~3.6 kya (95% CI: 2730-4719 kya) (Figure 3, Figure S3). Many of these expansions 402 and population movements that occurred after ~4 kya were likely triggered by the 403 introduction of agriculture from Austronesian-speaking cultural communities (Deng et al. 404 2020; Alam et al. 2021), perhaps coupled in some places with the growing influence of local 405 Papuan agricultural practices that had commenced as early as ~10 kya (Denham and Haberle 406 2015).

407

408 **Population mobility and lineage expansion has continued to the present**

409 Of course, Y chromosome lineage evolution continues, with local expansions observed across

410 nearby islands within the last two millennia. On Flores, sub-lineages that shared a common

- 411 ancestor as far back as 49 kya expanded rapidly and locally within the past few thousand
- 412 years (such as C8-F725, C7-B67 and M1c6-Z42300). Similar patterns of recent expansion are
- 413 seen in western Indonesia (C8-F725, O3i-B450), eastern Indonesia (M1a3-MY33, O3i-B452)
- 414 and New Guinea (C2a-M208), including the concomitant expansion of this same C2 lineage
- 415 into and within Polynesia. Many of the fundamental driving forces observed during the

416 earlier periods of Island Southeast Asia, New Guinea and Australian history have therefore417 continued right up to the present.

418

419 Conclusions

420 Phylogenetic relationships of the major paternal genetic lineages of ISEA and Near Oceania 421 are among the last in the world to be resolved. Analyzing ~9.7 Mb of Y chromosome 422 sequence from a geographically and culturally diverse set of men has enabled us to resolve 423 and date the phylogeny from this region. Two well-known population expansions are 424 confirmed, with multiple rapid diversifications between ~50-40 kya reflecting rapid early 425 settlement, and lineages expanding ~6-5 kya indicating extensive movements and interactions. Interestingly, in the period ~40-25 kya, between these major bursts of Y 426 427 chromosome diversity, we observe almost no branching events, with old lineages persisting 428 but not diversifying. A similar pause in diversification is apparent in maternal mtDNA 429 lineages from the region (Pedro et al. 2020). Starting from the last glacial maximum and 430 intensifying in the subsequent warming period, multiple diversification events follow the flooding of the Sunda and Sahul continents as the insular landscape we see today formed 431 432 (Voris 2000) and local populations dispersed.

433

434 The improved Y chromosome topology also highlights more localized events with important 435 historical implications. O3-M7 lineages from Taiwan and the Philippines diverged from 436 mainland Asian groups ~15 kya, earlier than previously thought (Karafet et al. 2010). This 437 coalescence date provides an upper limit to the movements of people, possibly supporting the 438 growing view from other evidence of early movements between MSEA and ISEA well 439 predating the Neolithic period (Karafet et al. 2010; Trejaut et al. 2014; Vallée, Luciani and 440 Cox 2016). Despite the scarcity of chrY sequence data from Australia (Bergström et al. 441 2016), we find that some Australian lineages are nested within New Britain diversity, 442 indicating that Australia experienced at least some interaction with the Papuan world. These 443 and other contacts emphasize the potential of less common chrY lineages to illuminate the 444 subtleties of regional contacts. The resolved phylogenetic framework of the Y chromosome

presented here will thus enable future exploration of isolation, interaction and change in oneof the world's least understood regions.

- 447
- 448

449 Materials and Methods

450 Human subjects

451 To develop a fully resolved picture of Y chromosome diversity in this region, we sequenced 452 14 new male samples (Figure 1, Table S1) on the Illumina platform, and combined these with 453 26 chrY sequences from Papua New Guinea (Brucato et al. 2021) and 112 chrY sequences 454 from Island Southeast Asia (Jacobs et al. 2019) that were not previously analyzed for their Y 455 chromosome diversity. We then combined this new dataset with all available chrY sequences 456 from the region, supplemented with samples from a broader geographic and phylogenetic 457 context taken from previously published full Y chromosome studies on neighboring East 458 Asia, Mainland Southeast Asia and other world regions (1000 Genomes Project Consortium 459 et al. 2010; Drmanac et al. 2010; PGP 2014; Carmi et al. 2014; Karmin et al. 2015; 460 Bergström et al. 2016; Ilumäe et al. 2016; Malaspinas et al. 2016; Mallick et al. 2016; Poznik 461 et al. 2016; Vernot et al. 2016; Zheng-Bradley et al. 2017; Hudjashov et al. 2018; Bergström 462 et al. 2020). The final dataset comprised 795 samples (Table S1).

463

464 Ethics

465 The donors of all samples provided written informed consent and all experiments were

466 performed in accordance with the relevant guidelines and regulations of collaborating

467 institutions. Collections in Indonesia followed protocols for the protection of human subjects

468 established by the Eijkman Institute Research Ethics Commission (EIREC#90), Nanyang

469 Technological University (IRB-2014-12-011) and the University of Arizona. Permission to

470 conduct research in Indonesia was granted by the State Ministry of Research and Technology

- 471 (RISTEK). Collections in Papua New Guinea were approved by the Medical Research
- 472 Advisory Committee of Papua New Guinea (National Department of Health) under research
- 473 ethics clearance MRAC 16.21 and by the French Ethics Committees (Committees of
- 474 Protection of Persons CPP 25/21_3, n°SI:21.01.21.42754). Permission to conduct research in

- 475 Papua New Guinea was granted by the National Research Institute of Papua New Guinea476 (permit 99902292358).
- 477

478 **Data availability**

The complete Y chromosome sequences analyzed here for the first time have been deposited

- 480 in the European Genome-Phenome Archive under accession numbers EGAS00001006028
- 481 and EGAS00001006025.
- 482

483 Sequencing, mapping and genotyping

484 All newly generated chrY sequences were generated using the Illumina technology (Illumina,

- 485 San Diego, CA, USA) on the HiSeq instrument (PCR-free protocol) with 30x genome-wide
- 486 coverage. We employed a series of filters and sequence masks to limit the dataset to high
- 487 quality variant calls, resulting in 9.7 MB of complete chrY sequence per sample. We used the
- 488 same processing pipeline for all Illumina data. FASTQ files were mapped with BWA-MEM
- 489 v0.7.12 (Li and Durbin 2009) to the human reference hs37d5
- 490 (http://ftp.1000genomes.ebi.ac.uk/vol1/ftp/technical/reference/phase2_reference_assembly_s
- 491 equence). Read duplicates were removed with Picard v2.12.0
- 492 (http://broadinstitute.github.io/picard/) and the remaining unique reads were realigned around
- 493 known indels, followed by base quality score recalibration (BQSR) using GATK v3.8 (Poplin
- 494 et al. 2018). Variant calling was performed with GATK HaplotypeCaller in haploid mode.
- 495 All-sites VCF files were filtered with bcftools v1.9 (Li 2011). The Illumina data and
- 496 previously filtered data from the Complete Genomics technology (Table S1) were merged
- 497 with GATK CombineVariants v3.8 (Poplin et al. 2018). We extracted the effective overlap
- 498 between the two datasets by masking out all positions with a 5% or higher proportion of
- 499 missing genotypes in either the Illumina or Complete Genomics datasets. We additionally
- 500 excluded regions with poor mappability as described previously (Karmin et al. 2015; Poznik
- 501 et al. 2016), resulting in a total of 9.7 Mb of analyzed chrY sequence. Variant positions used
- 502 for phylogenetic reconstruction in each haplogroup are listed in Tables S6-S8.
- 503

504 **Phylogeny reconstruction and dating**

505 For the complete dataset of 795 samples, a maximum likelihood (ML) tree was constructed 506 with GTRCAT using 200 rapid bootstrap inferences followed by a thorough ML search 507 executed by RaXML v8.0.0 (Stamatakis 2014) (Figure 1 and S1). All identified variants for 508 shared nodes were annotated to the ML trees and curated manually (Tables S6-S8). We then 509 used the software package BEAST v1.7.5 (Drummond et al. 2012) to simultaneously 510 reconstruct phylogenies and estimate coalescence times. We ran three separate lineage-based 511 analyses for haplogroups C-M130, MS-PR2099 and O-M175. For each haplogroup we used 512 the same parameters: a Bayesian skyline coalescent tree prior, the general time reversible 513 (GTR) substitution model with gamma-distributed rates, a relaxed lognormal clock and the 514 piecewise-constant coalescent model with the number of groups set to 10. For each 515 haplogroup, four parallel runs with different random number seeds were performed. Each run 516 had at least sixty million chains logged every 3000 steps. The results were visualized and 517 checked for effective sample size above 200 using Tracer v1.4. Four parallel runs were 518 combined with LogCombiner and the initial 25% discarded as burn-in. Coalescence time 519 estimates were computed with normally distributed age priors from a previously published phylogeny that had used a mutation rate of 0.74×10^{-9} (95% CI: $0.63 - 0.95 \times 10^{-9}$) per base per 520 521 year (Karmin et al. 2015). The standard deviation (SD) was set to cover the published 522 confidence intervals of the calibration nodes (Table S2). For haplogroups MS-PR2099 and O-523 M175, we used an extended set of samples to provide the broader global structure of the 524 phylogeny and the same two samples from haplogroup C-M130 as the outgroup (Figure S2 525 and S4). The BEAST analyses of MS-PR2099 had 154 individuals and 17621 variants 526 (Figure S3, Table S7), whereas O-M175 had 259 individuals and 19743 variants (Figure S4, 527 Table S8). For both phylogenies, the calibration point for coalescence age estimates was set 528 for the GT-F1329 node with an age of 51557 years (95% confidence interval [CI]: 50206-529 52917) (Karmin et al. 2015) and a SD of ± 1355 in the BEAST analyses. The phylogeny of 530 haplogroup C-M130 was rooted with two samples from J-M304 (Figure S2) and consisted of 531 131 individuals with 9977 variant positions (Table S6). The calibration point was set to the 532 root of haplogroup C-M130 with an age of 50865 years (95% CI: 49191-52699) (Karmin et 533 al. 2015), with SD set to ±1835 in BEAST. We used Newick Utilities (Junier and Zdobnov 534 2010) for tree processing, statistical analyses and plotting was conducted in R4.1.2 (R Core

535	Team, 2014) with the package ggplot2 (Wickham, 2009). All trees were visualized using
536	FigTree v1.4.4 (http://tree.bio.ed.ac.uk/software/figtree/).

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- 547

548 Author Contributions: M.K. and M.P.C designed the project; M.K., R.F., L.S. and G.H.

549 analyzed data; N.B., C.C.-D., S.L., H.S., M.G.L. and F.-X.R. conducted field work,

550 performed community engagement, and provided samples; N.B., M.L., P.E., M.J., M.G.L.,

551 M.M. and F.-X.R. contributed interpretations; M.K. and M.P.C wrote the paper.

- 552
- 553

554 Competing Interest Statement: The authors declare no conflicts of interest

555

556 **Supplemental Information**

558	Supplemental Table	S 1	Samples in the study
559		S2	Calibration points
560		S3	Haplogroup C-M130 coalescence ages
561		S4	Haplogroup MS-PR2099 coalescence ages
562		S5	Haplogroup O-M175 coalescence ages
563		S6	Variants in haplogroup C-M130
564		S 7	Variants in haplogroup MS-PR2099
565		S 8	Variants in haplogroup O-M175

566		S9	Method details from mapping to masking
567			
568			
569	Supplemental Figu	res S1-S	83
570	Figure		
571	S1. Distribut	ion of tl	he number of mutations from terminal tips to the common BT
572	node on the l	ML tree	of 795 individuals
573	S2. Dated phylogenetic tree of haplogroup C-M130		
574	S3. Dated phylogenetic tree of haplogroup MS-PR2099		
575	S4. Dated ph	ylogene	etic tree of haplogroup O-M175
576			
577	Supplemental Files	S1-S4	
578	Supplemental File	S1. P	hylogeny of haplogroup C-M130 in FigTree format
579		S2. P	hylogeny of haplogroup MS-PR2099 in FigTree format
580		S3. P	hylogeny of haplogroup O-M175 in FigTree format
581		S4. W	whitelist of chrY regions in bed format
582			

583 Figure Legends

584 Figure 1. Paternal lineages from Island Southeast Asia and Near Oceania in the context 585 of the global Y chromosome phylogeny. Maximum Likelihood tree of 795 Y chromosome 586 sequences. Colors in the target region correspond to the geographic origin of the samples 587 (numbers shown on the map): dark blue – western Indonesia and the Malay peninsula 588 (Sunda); light green – Eastern Indonesia (Wallacean Islands), yellow – Philippines and 589 Taiwan; brick orange – New Guinea and the Bismarck Archipelago (Northern Sahul); dark 590 green – Australia (Southern Sahul); violet – Mainland Southeast Asia; pink – East Asia; 591 bright blue – Pacific islands; gray – other world regions. The approximate maximum extent 592 of the Sunda and Sahul land masses are shown on the map in light blue. Yellow boxes 593 highlight lineages from the studied region. The root and the deepest splits have been 594 shortened for better fit on the figure.

595

596 Figure 2. Region-specific lineages of C-M130, M-P256 and S-B254 diversified rapidly 597 and early in contrast to later-arriving O-M175. Dated schematic Y chromosomal 598 phylogenies of haplogroups C-M130 (N=129), F-MY1500 (N=3), M-P256 (N=52), NO2-599 MY1503 (N=5), O-M175 (N=230), P-B252 (N=3) and S-B254 (N=73). Black - samples 600 from Island Southeast Asia (ISEA) and Near Oceania; gray - Mainland Asia and Eurasia. 601 Lineages with coalescence dates more recent than ~25 kya have been collapsed to emphasize 602 the early branching events and the long pause ~40-30 kya in many of the local lineages 603 within haplogroups M-P256, S-B254 and C-M130, in contrast to the mainland Asian lineages 604 of haplogroups O-M175 and C3-M217. The rare lineages of F-MY1500, P2-B252 and NO2-605 MY1503 all show early branching events, although their rarity prevents further conclusions 606 about their later diversification history. Triangles are proportional to sample size, and 607 background colors denote time windows as framed in the text. All full or target-captured 608 chrY sequences available to us from ISEA, Oceania and Australia are included. Haplogroups 609 G-M201, P1-M45, N-M231 are represented by only a few lineages; the main structures 610 within C3-M217 and some O-M175 subgroups from other well-sampled global regions are 611 represented, but thinned to a smaller number of individuals.

613 Figure 3. Contacts between Northern Sahul and neighboring areas within the MS-

614 **PR2099 lineages.** The basic structure of haplogroup MS-PR2099 lineages is shown in the

615 upper inset; circles denote sub-lineages that show evidence of contact between Northern

616 Sahul and other regions, and are illustrated in more detail in the main figure. Colors on the

617 tree and map denote the geographic origin of individuals. Light green boxes show westward

618 paternal gene flow from Northern Sahul mostly to the Wallacean Islands, but also beyond;

619 darker green boxes denote contact between New Britain and Australia. Light brick brown

620 boxes show likely back migration to New Guinea from Wallacea. Light teal represents

621 lineages from Pacific islands. For more details, see Figure S3 and Table S1.

622

623 Figure 4. Haplogroup O-M175 sub-lineages in Island Southeast Asia, New Guinea and

624 **Oceania**. A number of distinct sublineages (black) of O-M175 have penetrated from the

625 Asian mainland into ISEA and beyond, and are likely associated with the expansion of

626 Austronesian speakers. Most of these lineages coalesce ~6-5 kya within subsets of the wider

627 Asian diversity, except for O1b-F819 and O3f-M7 sublineages, which have earlier

628 diversification times (details in Figure S4 and Table S1). In contrast, lineage NO2-MY1506,

629 found today on Sunda and Sulawesi, split very early from its South Asian sister lineage.

630

632 Figure 1



















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