

# The Dynamics of Chunking in Humans (Homo sapiens) and Guinea baboons (Papio papio)

Laure Tosatto, Joël Fagot, Arnaud Rey

# ▶ To cite this version:

Laure Tosatto, Joël Fagot, Arnaud Rey. The Dynamics of Chunking in Humans (Homo sapiens) and Guinea baboons (Papio papio). Journal of Comparative Psychology, In press. hal-03793865

# HAL Id: hal-03793865 https://cnrs.hal.science/hal-03793865v1

Submitted on 2 Oct 2022

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1	
2	
3	
4	
5	
6	The Dynamics of Chunking
7	in Humans ( <i>Homo sapiens</i> ) and Guinea baboons ( <i>Papio papio</i> )
8	
9	Laure Tosatto <sup>1,2</sup> , Joël Fagot <sup>1,2,3</sup> and Arnaud Rey <sup>1,2</sup>
10	<sup>1</sup> Aix Marseille Univ, CNRS, LPC, Marseille, France
11	<sup>2</sup> Aix Marseille Univ, ILCB, Aix-en-Provence, France
12	<sup>3</sup> Station de Primatologie-Celphedia, CNRS UAR846, Rousset, France
13	
14	
15	
16	Running head: THE DYNAMICS OF CHUNKING
17	
18	
19	
20	
21	
22	Correspondence concerning this article should be addressed to Laure Tosatto,
23	Laboratoire de Psychologie Cognitive, CNRS, Aix-Marseille Université, 3, place Victor Hugo,
24	Case D, 13331 Marseille Cedex 03, France. Email: laure.tosatto@univ-amu.fr

#### Abstract

26 Chunking is an important cognitive process allowing the compression of information 27 in short-term memory. The aim of this study is to compare the dynamics of chunking during 28 the learning of a visuo-motor sequence in humans (Homo sapiens) and Guinea baboons (Papio 29 *papio*). We duplicated in humans an experimental paradigm that has been used previously in 30 baboons. On each trial, human participants had to point to a moving target on a touch screen. 31 The experiment involved the repetition of the same sequence of 9-items over a thousand 32 trials. To reproduce as much as possible the conditions under which baboons performed the task, human participants were tested at their own pace. Results revealed that baboons and 33 34 humans shared similar chunking dynamics: in both species, the sequence was initially parsed 35 into small chunks that became longer and fewer with practice through two reorganization 36 mechanisms (recombinations and concatenations). Differences were also observed regarding 37 the global decrease in response times that was faster and more pronounced in humans compared to baboons. Analyses of these similarities and differences provide new empirical 38 39 evidence for understanding the general properties of chunking mechanisms in sequence 40 learning and its evolution across species.

41 *Keywords:* chunking, sequence learning, comparative cognition

42

43 Chunking is a core cognitive ability that allows the cognitive system to compress 44 information in short term memory, as its capacity is limited (Mathy & Feldman, 2012; Miller, 45 1956). Chunking is defined as the process of associating and grouping several items together into a single processing unit, i.e., a chunk (Gobet et al, 2001; Gobet, Lloyd-Kelly, & Lane, 2016) 46 47 and the emergence of chunks is commonly believed to be rooted in elementary associative processes (i.e., Hebbian learning, Perruchet & Vinter, 2002; Rey et al., 2019). Contrary to what 48 Miller (1956) initially suggested, chunks are limited in storage, and several studies report a 49 50 typical chunk size of 3 or 4 items (Allen & Coyne, 1988; Chase & Simon, 1973; Johnson, 1970). 51 This limitation has implications when one has to process a long sequence of items that appears 52 repeatedly in the same order. In that specific case, processing of the long sequence usually 53 requires to split it into smaller processing chunks, a result that has been reported notably in 54 the field of perceptual-motor sequence learning.

Motor sequence learning is the process by which a specific sequence of movements is 55 56 executed with increased speed and accuracy (Willingham, 1998), and chunking has been 57 considered as the main motor sequence integration mechanism (Diedrichsen & Kornysheva, 2015; Wymbs et al., 2012). Indeed, both human and non-human animals have been shown to 58 59 spontaneously segment sequences in chunks of 3 or 4 items as indicated by long temporal 60 gaps emerging between successive responses and marking chunk boundaries (e.g., in humans, 61 Abrahamse et al., 2013; Bottary et al., 2016; in rhesus monkeys, Scarf et al., 2018; in pigeons, Terrace, 1991). 62

Some studies were also interested in the temporal aspect of chunking and how chunking patterns evolve with practice. Throughout extended practice, chunks were found, in human and non-human primates, to evolve and grow larger as if more compression of information was possible with increasing familiarity with the sequence (e.g., Acuna et al.,

2014; Bera et al., 2021; Ramkumar et al., 2016, Wright et al., 2010). One mechanism proposed 67 68 to account for this growth of chunks is the *concatenation* of chunks (Verwey, 2001; Wright et 69 al., 2010). Concatenation is described as independent chunks being executed more fluidly with 70 practice and with a decrease of the temporal gap between them leading to a single and longer 71 chunk (Abrahamse et al., 2013). However, the detailed dynamics by which this evolution is 72 possible remain unclear and no research has been done in humans to precisely study the 73 evolution of chunks boundaries, trial-by-trial or by grouping trials together at different steps 74 of practice.

In non-human primates (*Macaca mulatta*), Ramkumar et al. (2016) proposed a model of efficiency computation trade-off based on their observations suggesting that to limit the cost of computation, learning new sequences of movements starts with many short chunks. With practice, the execution of short chunks becomes more efficient, which reduces the computation's complexity. This increase in efficiency for short chunks would promote more complex computations leading to the development of longer chunks.

81 We have recently conducted a similar study on Guinea baboons (Papio papio) on the role of extended practice in the formation and the evolution of chunks (Tosatto, Fagot, 82 83 Nemeth, & Rey, 2022). Our experiment was presented in freely accessible operant 84 conditioning test systems referred to as Automated Learning Devices for Monkeys (ALDM, 85 Fagot & Bonté, 2010). The task was a serial response time (SRT) task where baboons had to point to a moving target on a touchscreen and were repeatedly exposed to the same sequence 86 87 composed of 9 different locations during 1,000 trials. This study replicated the increase in 88 chunks size throughout the repeated production of the sequence. However, we also observed 89 that the dynamics of chunking was governed by two (instead of one) reorganization 90 mechanisms. Indeed, as in previous studies, we observed *concatenations*, i.e., the process by

91 which the temporal gap between two successive chunks decreases leading to a single and
92 longer chunk. But we also observed a new mechanism, *recombinations*, i.e., the emergence
93 of a new segmentation pattern, such as two chunks of 3 items become a chunk of 4 items
94 followed by a chunk of 2 items.

95 In the present study, we aimed at replicating this study with human participants to 96 compare the dynamics of chunking in both species. We tried to test humans in similar 97 conditions to the ones baboons experienced, using a self-paced task similar to the self-paced 98 access by baboons to the ALDM test systems, and an apparatus as close as possible to the one 99 used in baboons. Indeed, many studies have to adapt typical sequence learning experimental 100 paradigms used in humans (i.e., key-pressing tasks) when testing non-human primates, due 101 to differences in fine motor skills. In the present case, the pointing task used by Tosatto et al., 102 (2022; see also Malassis, Rey & Fagot, 2018; Minier, Fagot & Rey, 2016; Rey et al., 2019) is 103 suitable for both species and has been adapted here from non-human primates to humans. 104 For that purpose, we did not provide explicit instructions to human participants as studies 105 with non-human animals cannot include explicit verbal instructions. With such similar 106 conditions, we expected similar dynamics in the evolution of chunks in human and non-human 107 primates: an initial segmentation into small chunks that should increase in size with practice 108 through concatenation and recombination mechanisms. However, because humans benefit 109 from their language recoding skills, we were also expecting differences in the dynamics of 110 these learning and chunking processes.

111

#### Method

#### 112 Participants

113 In Tosatto et al. (2022), there were thirteen female and five male Guinea baboons 114 (*Papio papio*, age range 2.8–23.7 years). In the present study, 11 human participants

between 18 and 35 years old (*Mean*= 26.5) took part in this experiment (6 women and 5 men) in exchange of a 20€ reward. For practical reasons, they were recruited among PhD candidates, engineers and post-doctorates from our lab so that they could participate to the experiment every day, at will. All participants were right-handed, had no learning disability and normal or corrected-to-normal vision.

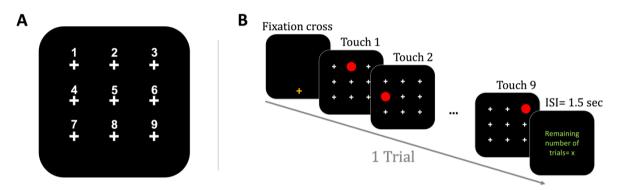
120 Out of these 11 participants, 4 of them were not completely naïve as they had already 121 heard about the content of first author's thesis and the task used with the baboons. However, 122 none of these 4 participants participated in a previous similar study. Moreover, even though 123 they knew about the experimental paradigm, they were not informed of the specific 124 manipulation done in this experiment and especially, about the main feature of this 125 experiment, namely that the same sequence would be repeated 1,000 times. Therefore, they 126 had no reason to pick up this regularity faster than the more naïve participants. The remaining 127 7 participants were either new to the lab or never heard of the studies led in our team. To test 128 if there was a difference between these two groups while performing the experiment, we 129 report in the result section an analysis suggesting that there was no quantitative difference 130 between these two groups.

131 Materials

#### 132 Apparatus and stimuli

The apparatus, task and stimuli were identical to the one used in Tosatto et al. (2022) in baboons. The experiment was controlled by E-prime (Version 2.0, Psychology Software Tools, Pittsburgh, PA, USA) and ran on a HP ProBook 650 G1 computer connected to a 32" liyama touch screen. Figure 1 illustrates the timeline of the experimental trials. The touch screen was divided into nine equidistant predetermined locations represented by white crosses on a black background, virtually labeled as position 1 to 9. A trial began with the

presentation of a yellow fixation cross at the bottom center of the screen. Once pressed, the 139 140 fixation cross disappeared and the nine white crosses were displayed, one of them being replaced by the target, a red circle. When the target was touched, it was immediately replaced 141 by the cross. The next position in the sequence was then replaced by the red circle until it was 142 143 touched, and a new position was displayed. At the end of the sequence, the computer 144 displayed the remaining number of trials to complete the experiment. The time elapsed between the appearance of the red circle and the baboon's (or human's) touch on this circle 145 146 was recorded as the response time (RT).



147

<u>Figure 1</u>: Experimental display and stimulus presentation. A. Display of the 9 equidistant
 predetermined locations (white crosses) virtually labeled as position 1 to 9 (i.e., only the white
 crosses were displayed, not the numbers). B. Example of a single trial. After a first touch on
 the fixation cross, the subject had to touch the red dot when it appeared in each location.

4 - 2

### 153 Design of the sequences

To control for the motor difficulty of the transitions to be produced in the sequence, a random phase of sequence production was first conducted, where 10 human participants performed random sequences of nine positions for 180 trials. Based on these random trials, a baseline measure for all possible transitions from one location to another was computed by calculating mean RTs for each transition (e.g., from position 1 to 9), leading to a 9x9 matrix of mean RTs calculated over the entire group (see Appendix A). Based on these baseline measures, we designed two sequences of nine serial positions for which each transition T was faster (or equally fast) to produce than the next one (i.e.,  $T1 \le T2 \le ... \le T8$ , with T1 being the transition from Position 1 to Position 2 of the sequence). This way, a decrease in RT for a given transition can be interpreted as the anticipation (or learning) that Position *n* is following Position *n*-1. To control for a specific sequence bias, we designed two sequences, each participant being assigned randomly either with Sequence 1 or 2.

#### 166 Procedure

167 Participants were informed they were recruited for a self-paced cognitive task and that 168 they would not get any oral or written instructions regarding how to perform the task. The 169 only instructions provided on the touch screen when beginning the experiment were "Touch 170 to start the task". They were informed that they had to produce 1,100 trials to complete the 171 task, and that they had to complete it within three weeks in order to get the financial reward. 172 The task started with a training block of 100 random sequences to help participants familiarize 173 with the device. Unbeknownst to them, they were then assigned with either Sequence 1 (N=5) 174 or Sequence 2 (N=6) and they had to produce it repeatedly for the next 1,000 trials. RTs for 175 each position of the sequence were recorded for all the trials.

176 The computer and touch screen were placed in a corner of the lunchroom of the lab, 177 on a high table, so that they could come and perform some trials standing at any time during 178 office hours. They accessed their own task by touching an icon to their name, and each time they would touch their icon, the system resumed the trial list where the participant left it at 179 180 its previous visit. The system would resume to the home page after 10 seconds of inactivity. 181 As for baboons, if participants touched an inappropriate location (incorrect trial) or failed to 182 touch the screen within 5,000ms after the red circle's appearance (aborted trial), a green 183 screen was displayed for 1,500ms as a marker of failure. Aborted trials were not retained and

therefore presented again, while incorrect trials were discarded. After each trial, a screen indicating the number of trials remaining was displayed for 1.5 second, informing the participants on their progression. That procedure was introduced to implement a reward on every trial that would mimic the food reward received by baboons on every correct trial in Tosatto et al. (2022).

189

#### Results

190 On average, humans required 4.27 days (SD=1.49) to complete the 1,000 trials, with a 191 mean of 234.04 trials per day. Incorrect trials were removed from the dataset (11.11% vs. 192 7.8% for baboons). RTs for each of the nine positions and for the 1,000 trials were divided into 193 10 Blocks of 100 trials. This segmentation was like the one used previously in baboons (Tosatto 194 et al., 2022) in order to directly compare the evolution of sequence learning and the formation 195 of chunks in humans and baboons. RTs greater than 1,000ms were excluded as well as RTs 196 greater than 2.5 standard deviations from the subject's mean per block for each of the nine 197 possible positions (1.82% vs. 24,4% for baboons). This difference in the exclusion of outlier 198 values was due to situations in which baboon's first response was not recorded by the 199 computer, because their hands were dirty. In this situation, they had to touch the screen 200 again, and longer RTs were recorded (that were on average twice longer compared to the first 201 responses).

#### 202 Sequence learning

To get a general index of sequence learning in both species throughout the repeated 1,000 trials, we computed on each trial the average of the 9 RTs collected on each of the 9 positions of the sequence. This evolution of mean RTs for all participants and for each species is presented in Figure 2 (the baboon data are from Tosatto et al., 2022). A repeated measures ANOVA with Block (1-10) as a within factor and Species (Human v. Baboon) as a between

208 factor was computed on these mean RTs for baboons and humans. In both species, the effect of Block was highly significant (Block 1, Mbaboon=452.8, SD=45.3; Block 10, Mbaboon=400.1, 209 210 SD=56.3; Block 1, M<sub>humans</sub>=311.93, SD=63.66; Block 10, M<sub>humans</sub>=166.58, SD=44.36), 211 F(1,1)=170.61, p<.001,  $\eta^2=0.791$ , indicating that mean RTs decreased throughout the blocks 212 of trials and that monkeys and humans learned the sequence. The effect of Species was also 213 highly significant, indicating that humans were faster on average than baboons, F(1,9)=86.88, p<.001,  $\eta^2$ =0.054. Finally, the interaction between Block and Species was also highly 214 215 significant, showing that RTs decreased faster for humans than baboons, F(1,1)=22.1, p<.001,  $n^2 = 0.014$ . 216

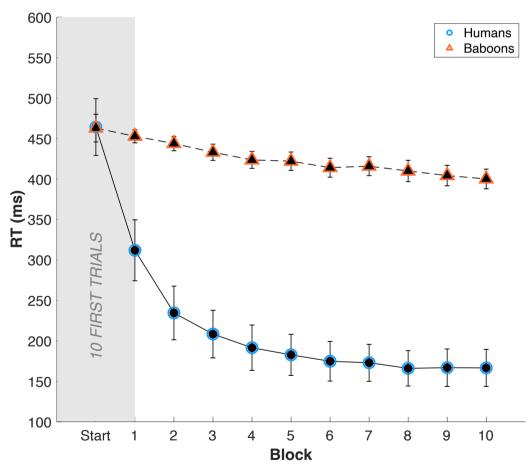
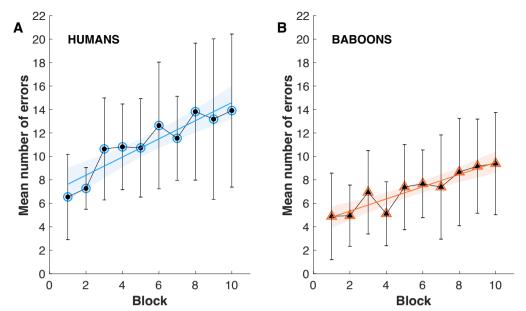


Figure 2: Evolution of the mean RT per Block for humans and baboons. Mean RTs per block for humans (blue circles) and baboons (orange triangles), each block contains 100 trials. The first dot in both curves represents the mean RT for the 10 first trials of the task. The overlap of RTs in the beginning of the task illustrates how potential motor constraints in the two species did not influence the initial RTs, but humans show a stronger increase in speed in the first block of 100 trials.

224 As mentioned in the Participant section, we conducted an ANOVA on the mean 225 response times computed for each participant, each block and over all positions in order to 226 check if there was a difference between the 7 naïve participants and the 4 less naïve 227 participants in the way they learned the sequence. Block was used as a repeated within-228 participants factor and Group as a between-participants factor. We found no significant effect 229 of Group (F(1,9)=2.46, p=.15), a significant effect of Block (F(1,9)=43.23, p<.001), and more 230 importantly, a non-significant interaction effect of Group x Block (F(1,9)=.83, p=.46). These 231 results suggest that there was no noticeable difference between the naïve and less naïve 232 human participants.

For humans and baboons, we also computed the mean number of errors that were produced for each participant and each block of 100 trials (irrespective of error position). Figure 3 shows that the mean number of errors significantly increased in both species along the experiment (for humans: F(1,8)=45.8, p<.001, Adjusted  $R^2=.83$ ; for baboons: F(1,8)=49, p<.001, Adjusted  $R^2=.84$ ) indicating that they both produced a speed-accuracy trade-off (i.e., the decrease in RTs was accompanied by an increase in the number of errors).

239

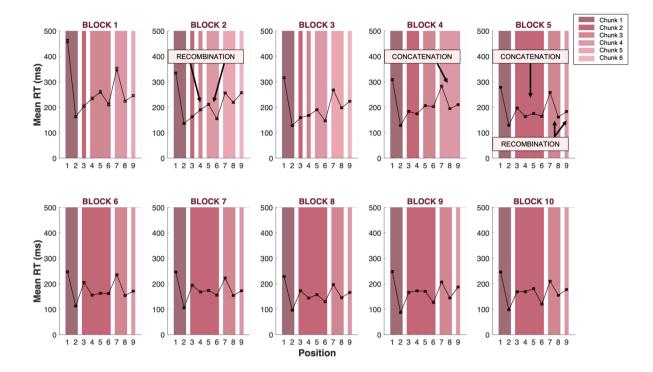


241

<u>Figure 3</u>: Evolution of the mean number of errors per Block for humans and baboons. Mean
 number of errors per block for humans (A) and baboons (B). Solid lines represent linear
 regressions fitted to each dataset and shaded areas represent predicted 95% confidence
 intervals.

#### 247 Evolution of chunks

To study the evolution of the chunking pattern of the sequence, we adopted the same 248 249 method as the one previously used for baboons (i.e., Tosatto et al., 2022). For each 250 participant, the 1,000 trials were divided into 10 blocks of 100 trials. For each participant and 251 each block, mean RTs were computed for each of the 9 positions composing the repeated 252 sequence (see Figure 4 for an illustration this procedure for one participant). For each 253 participant and each block, the following rule was applied systematically to determine the 254 chunking pattern on each block. For each successive positions n and n+1 of the sequence, if 255 the mean RT on position *n*+1 was significantly higher than the mean RT on position *n*, then 256 this difference was supposed to mark a chunk boundary (Kennerly et al., 2004). Statistical 257 significance was assessed through paired-sample t-tests for each pair of successive positions 258 (with a *p*-value of .01). With this procedure, we obtained a chunking pattern for each 259 participant and each block, which allowed us to compute the mean number of chunks and the mean chunk size for each block, and for the entire group of participants (baboons or humans). 260



262 Figure 4: Evolution of the chunking pattern for one participant throughout the task. Mean 263 response times and 95% confidence intervals per block and position for one participant 264 throughout the experiment. The segmentation of blocks used in this figure is 10 Blocks of 100 265 trials. The rule we used to identify chunks (i.e., a significant increase between two successive 266 positions marks a chunk boundary) produced a specific chunking pattern on each block that is 267 represented by segments of graded colors (one for each chunk). For example, in Block 5, the 268 sequence was segmented into 4 chunks, the size of each chunk being in that case: 2-4-2-1. An 269 example of a concatenation is observable between Block 4 and Block 5, where the second 270 chunk (items 3 and 4) and the third chunk (items 5 and 6) from Block 4 are grouped into a 271 single chunk in Block 5 (comprising items 3, 4, 5 and 6). An example of a recombination is 272 observable between Block 1 and Block 2, where the items 4 to 6 are grouped in a single chunk 273 in Block 1 but are later recombined in Block 2 in two chunks (a chunk comprising item 4 and a 274 chunk comprising items 5 and 6).

275

276

In both baboons and humans, we conducted two linear regressions on the mean

277 number of chunks and on the mean chunk size, respectively, using the number of blocks as a

278 predictor (see Figure 5). In baboons, we observed that the number of chunks significantly

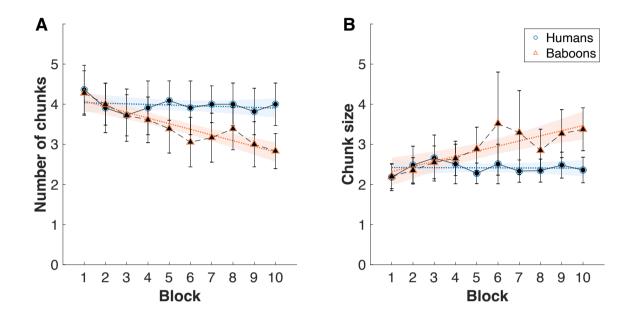
decreased across blocks, F(1,8)=50, p<.001, Adjusted R<sup>2</sup>=.85, and that the average chunk size

significantly increased across blocks, F(1,8)=20.2, p<.01, Adjusted R<sup>2</sup>=.68. In humans however,

the same analyses showed no significant decrease on the mean number of chunks, F(1,8)=.59,

282 p=.46, Adjusted R<sup>2</sup>= -.05, and no significant increase on mean chunk size, F(1,8)=.01, p=.92,

Adjusted R<sup>2</sup>= -.12. A one way ANOVA lead on chunk sizes indicated a significant effect of Species, F(1,27)=4.27, p=.049,  $\eta^2=.054$ , showing a smaller chunk size in humans compared to



285 baboons (*M*<sub>humans</sub>=2.4; *SD*=0.44; *M*<sub>baboons</sub>= 2.9; *SD*= .69).

286

292

<u>Figure 5</u>: Evolution of chunks in humans and baboons over the whole task. A. Mean number
 of chunks per block in humans (blue circles) and baboons (orange triangles). B. Mean chunk
 size per block of 100 trials in humans (blue circles) and baboons orange triangles). Dotted lines
 represent fitted linear regressions and shaded areas represent predicted confidence intervals.

However, as indicated by the evolution of the mean RT per Block (i.e., Figure 2), the

293 dynamics of sequence learning is very different between humans and baboons. Indeed, 294 baboons appear to a have a linear decrease in mean RTs per trial across blocks, whereas mean 295 RTs in humans decrease rapidly during the first blocks and then, reach a plateau with mean 296 RTs being smaller on average than 200 ms. To take this evolution into account, we used a 297 broken stick linear regression (Quandt, 1960) fitted to the mean RT per trial to determine the slope of the evolution of RTs before and after the plateau (see Supplementary Figure 1). This 298 analysis confirmed a decrease in RTs for humans during the first 300 trials, with a slope 299 coefficient of -.47 and a flatter slope of -.04 later in the task, with a breakpoint after the 299<sup>th</sup> 300 301 trial.

302 Based on this analysis, we looked more closely at the evolution of chunk size during 303 the first 300 trials by dividing the human data in blocks of 20 trials, as well as during the 700 304 last trials. We conducted two linear regressions on these two subsets (i.e., from Trial 1 to 300 305 and from Trial 301 to 1000) on the mean chunk size per Block. Consistent with the results 306 obtained with baboons, we observed a significant increase on mean chunk size, F(1,13)=6.72, 307 p=.02, Adjusted R<sup>2</sup>=.29, in the first subset (i.e., from Trial 1 to 300), followed by a stabilization 308 on mean chunk size in the second subset (i.e., from Trial 301 to 1000), F(1,33)=0.55, p=.46, 309 Adjusted  $R^2$  = -.01 (see Figure 6). To sum up, these results indicate that mean chunk size per 310 block of 20 trials in humans increases in the first 300 trials and stabilizes in the remainder of 311 the task. When interpreted in light of baboon's data, this analysis suggests that learning 312 followed the same path in the two species, corresponding to an increase in mean chunk size 313 and a decrease in the mean number of chunks. However, the learning process was overall 314 much faster in humans (i.e., within the first 300 trials) than in baboons.

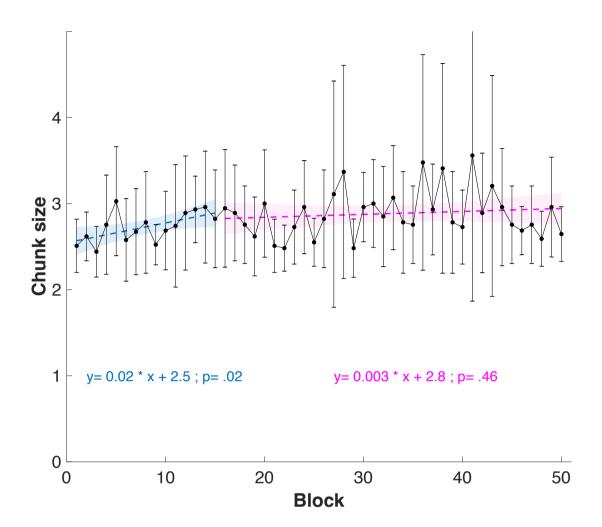


Figure 6: Evolution of mean chunk size in humans over 50 Blocks of 20 trials. Mean chunk size
 per block of 20 trials in humans increases in the first 300 trials (in blue) and stabilizes in the
 remainder of the task (in pink). Dotted lines represent fitted linear regressions and shaded
 areas represent predicted 95% confidence intervals.

320

#### 321 Chunks reorganizations

Tosatto et al. (2022) showed that chunking patterns in baboons are continuously reorganized throughout the experiment following two reorganization mechanisms: concatenations and recombinations. In baboons, these two mechanisms were observed equally often, and this did not change across the experiment. In humans, the same two mechanisms were also observed throughout the task and in all subjects (see Figure 3 for an illustration of each mechanism). To study the distribution of reorganizations, we counted the number of each type of reorganization for each Block of 20 trials and for each subject (see 329 Table 1). A Block by Mechanism ANOVA analysis revealed an effect of Mechanism, the number

330 of concatenations ( $\Sigma_{concatenations}$ = 172) being smaller than the number of recombinations

331 ( $\Sigma_{recombinations}$ = 205), *F*(1,1)= 6.69; *p*= .03,  $\eta^2$ = .003) but no effect of Block (*F*(1,48)= .74; *p*= .91,

332  $\eta^2$  = .02) and no interaction (*F*(1,48)= 1.02; *p*= .44,  $\eta^2$  = .06).

333 <u>Table 1</u>: Sum of concatenations and recombinations per block.

Block	Concatenation	Recombination	Block	Concatenation	Recombination
2	5	7	27 4		6
3	2	7	28	7	3
4	6	4	29	0	7
5	3	1	30	8	2
6	2	5	31	3	4
7	2	4	32	0	4
8	3	3	33	4	1
9	4	5	34	2	6
10	3	3	35	4	4
11	4	6	36	7	3
12	4	2	37	3	6
13	6	2	38	5	3
14	2	6	39	0	7
15	4	5	40	4	3
16	3	6	41	4	4
17	5	4	42	3	6
18	2	3	43	5	4
19	2	4	44	3	3
20	4	0	45	3	4
21	2	7	46	3	3
22	5	4	47	2	4
23	5	2	48	2	4
24	4	5	49	6	3
25	0	5	50	3	7
26	5	4	-	-	-
Total				172	205

334

335

#### Discussion

This study's primary goal was to compare humans and baboons in a similar sequence learning task to analyze the dynamics of the evolution of chunks and the underlying mechanisms shared between species. Tosatto et al. (2022) showed that baboons learn the overall sequence of 9 items as their mean RT decreases throughout blocks of trials, with a mean 53 ms decrease between Block 1 and 10 for all baboons. Additionally, baboons initially segment the sequence into small chunks of items that are reorganized throughout learning via two mechanisms: concatenations and recombinations. Thanks to these reorganizations, chunks become progressively larger and fewer while the sequence is learned.

In the present study, we asked human participants to perform the self-paced sequence 344 345 learning task administered to baboons by implementing very similar experimental conditions. 346 We first found that mean RTs per block decreased significantly faster for humans than for 347 baboons. Humans learnt the sequence more rapidly and reached a plateau performance after 348 the third block (i.e., 300 trials), with a mean 166 ms decrease between Block 1 and 10. This 349 larger difference could be explained by a difference in general learning skills between human 350 and baboons. Indeed, it has been suggested that there are both quantitative and qualitative 351 differences in learning among animals, with different species learning at different rates 352 (Bitterman, 1965; 1975). It has also been shown that more trials are required to master the 353 same sequential memory task in other species compared to humans (e.g., Ghirlanda et al., 354 2017). Moreover, a fundamental difference between humans and baboons is the use of 355 language and verbal recoding (also called explicit-declarative cognition, Smith and Church, 356 2018), which could mediate the difference in learning rates. Humans can indeed recode the 357 task verbally and explicitly notice that the same sequence is repeatedly presented. A verbal 358 encoding of the sequences might affect their processing, as suggested by Rey et al. (2019) who 359 also found processing differences between humans and baboons in a pointing task of 9-items, 360 but with a much more complex sequence structure (3 subsequences of 3 items were here 361 randomized to form on each trial a different sequence of 9 items). In this study, both baboons

and humans were able to extract local regularities but only humans managed to learn the
 underlying complete global structure of the 9-item sequences, an ability hypothesized to be a
 by-product of human explicit-declarative skills.

365 Analogously, in our study, humans may perform the task more explicitly than baboons: 366 the small but significant decrease in RT in baboons indicate that they are still tracking the 367 target after 1,000 trials. They are able to predict the next target or the few next targets that 368 are part of the same chunk, but may be unable to completely extract the structure of the 9-369 items sequence or may need more trials to do so. Humans, however, show a fast and strong 370 decrease in RTs, and appear to switch from a purely pointing task, in which they track a target, 371 to a task where they perform a motor sequence from memory. This evolution is consistent 372 with the predictions of the Dual Processor Model (Verwey, 2001), in which a cognitive 373 processor plans the structure of actions towards a goal and a motor processor executes the 374 movements appropriate to the goal. In this view, while performing a familiar sequence, the 375 processing load weighting on the cognitive processor is reduced and there is a greater 376 involvement of the motor processor. Verbal reports from our human participants also indicate 377 that they were all conscious that the stimuli formed a repeated sequence. However, most 378 participants were unable to verbalize specific strategies used to perform the task. One 379 participant mentioned that she spontaneously had a rhythm associated with a melody in mind 380 while performing the sequence but could not remember if she had used the melody to 381 segment the sequence while learning, or if this melody strategy appeared after the sequence 382 was learned.

Another factor influencing the evolution of mean RTs is related to the evolution of accuracy in participants, i.e., the presence of a speed-accuracy trade-off. Indeed, it is wellknown that, during the automatization of a task, the speed gain results in a decrease in

386 accuracy, which is observed in many cognitive tasks (e.g., sequence learning, Vekony et al., 387 2020; decision making, Standage et al., 2015; see Heitz, 2014 for a review). Here, we observe 388 that the mean RTs decrease throughout the task but this is at the cost of an increase of errors. 389 One might think it is an odd outcome that practice leads to more errors, but it certainly results 390 from a shallower processing of the overall sequence and an increased speed in performance. 391 This trade-off is present in both species, but the decrease in RTs and increase in errors are 392 more pronounced in humans indicating that, while baboons increase in speed throughout the 393 task, they are also maintaining a more accurate performance. The different pattern of speed 394 accuracy trade-off in the two species could be explained by the different goals towards which 395 each species is performing the task. Indeed, reward is given after each trial for both species 396 but the outcome after an error is slightly different for baboons and humans. Baboons get a 397 food reward if the trial is correctly executed but no reward and a green screen after an error. 398 Baboons are therefore more motivated to execute the sequence correctly and this accuracy 399 goal comes at the cost of speed. On the other hand, humans get an indication of their 400 progression after each trial as a reward, which could orient their goal towards the end of the 401 task and motivate them to prioritize speed over accuracy. Even in the case of an error, humans 402 seemingly get the same aversive stimulus as baboons, a green screen that increases the time 403 until the next trial. But they can quickly understand that failed trials are not presented again 404 as they are aware of their progression in the task. Therefore, an error in the human 405 experiment does not cost the human their reward, whereas in the baboon experiment, it does, 406 as the reward, i.e., food, is lost.

These differences in the evolution of mean RT also seem to impact the evolution of chunks, which is our second main result. We initially used the same segmentation of blocks (i.e., 100 trials per block) to compare the evolution of chunks in the two species. While the

410 literature has reported an increase in chunks size in some cases in humans, we could not find 411 it here and it was also very different from the dynamics observed in baboons. However, this 412 was done before considering the difference in learning rates between these species, and 413 closely looking at the mean RT in humans informed us on their general learning of the 414 sequence, which was strongly related to the dynamics of chunking (Sakai et al., 2003). We 415 found that the main sequence learning processes occurred during the first 300 trials as 416 performance then reached a plateau in speed, and by segmenting these trials in finer blocks, 417 we observed the same dynamic of increase in chunk size as in baboons. This suggests that 418 sequence learning processes rely on the same general associative learning mechanisms in 419 both humans and baboons, but differ in their temporal course due to the way humans and 420 baboons performed the task. It is also worth noting that the mean chunk size is becoming 421 larger in baboons compared to humans throughout the task. While we were unable to 422 determine the reason for this difference, we can hypothesize that humans may not need to 423 compress the information as much as baboons to memorize this sequence, allowing them to 424 hold more small chunks in working memory, rather than producing larger chunks. In this view, 425 it would be interesting to confront humans to longer sequences in future experiments, as a 426 sequence more challenging for their working memory may lead them to form larger chunks.

Finally, our third main result deals with the mechanisms underlying the evolution of chunks, namely concatenations and recombinations. In this study, we found that the same mechanisms found in baboons were present in humans, with a significant predominance of recombinations over concatenations. As it was suggested for baboons (Tosatto et al., 2022), the presence of concatenations suggest that when two chunks are stable, they could be concatenated more easily into one. On the other hand, recombinations would be a way to make the chunking pattern evolve by testing a better combination of chunks, both easier to

434 perform from a motor point of view and easier to integrate in memory. In this view, it is 435 possible that motor chunks could be more flexible in humans, hence leading to a greater 436 number of recombinations. More specifically, it appears that reorganizations do not 437 completely stop after the chunk size has stopped evolving (we found no significant effect of 438 Block on reorganizations). This could indicate that humans are still trying to optimize their 439 motor performance after chunks have reached an optimal size, which again corroborates the 440 idea that motor processes are dominant in the last part of the task when the sequence is very 441 familiar, and the only stake is a fast performance.

442 Overall, these data provide new evidence on the dynamics of chunking in human and 443 non-human primates during sequence learning, the features of these dynamics that are 444 shared by these species, and the specificity of human performances. This is, to our knowledge, 445 the first attempt at comparing humans and non-human primates in the closest conditions 446 possible in a sequence learning task and at implementing a completely self-paced task in 447 humans. The fact that both species share the same chunking dynamics during the initial phase 448 of learning suggest that these associative learning mechanisms have a long evolutionary 449 history that certainly precedes the emergence of both species.

450

451

#### Acknowledgements

453 This work was supported by the BLRI Labex (ANR-11-LABX-0036), Institut Convergence 454 ILCB (ANR-16-CONV-0002) and the CHUNKED ANR project (#ANR-17-CE28-0013-02). The funders had no role in study design, data collection and analysis, decision to publish, or 455 456 preparation of the manuscript. We are grateful to Stéphane Dufau and Jean-Baptiste Melmi 457 for their help in programming the self-paced experiment. We also thank Guillem Bonafos for 458 his useful suggestions on statistical analyses. 459 **Open Practices Statements** Data from the experiment in humans are available on Open Science Framework at 460 461 https://osf.io/pnv43/?view only=e9774b7201944064ba4623dd0ba22721 and data from the experiment in baboons are available on the same platform at https://osf.io/xcw95/. 462 463 **Conflict of Interest** 464 LT, JF, and AR declare that they have no conflict of interest. **Ethics Statement** 465 466 All procedures in the present experiments involving human participants were 467 performed in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Declaration of Helsinki and its later amendments or 468 469 comparable ethical standards. Written consent was obtained from all participants in this study. 470

472	References
473	Abrahamse, E., Ruitenberg, M., De Kleine, E., & Verwey, W. B. (2013). Control of automated
474	behavior: insights from the discrete sequence production task. Frontiers in Human
475	Neuroscience, 7, 82.
476	Acuna, D. E., Wymbs, N. F., Reynolds, C. A., Picard, N., Turner, R. S., Strick, P. L., Grafton, S. T.,
477	& Kording, K. P. (2014). Multifaceted aspects of chunking enable robust algorithms.
478	Journal of Neurophysiology, 112(8), 1849 1856.
479	Allen, P. A., & Coyne, A. C. (1988). Age differences in primary organization or processing
480	variability? Part I: An examination of age and primary organization. Experimental Aging
481	Research, 14(3), 143-149.
482	Bera, K., Shukla, A., & Bapi, R. S. (2021). Motor chunking in internally guided sequencing. <i>Brain</i>
483	Sciences, 11(3), 292.
484	Bitterman, M. E. (1965). Phyletic differences in learning. American Psychologist, 20(6), 396.
485	Bitterman, M. E. (1975). The comparative analysis of learning. <i>Science</i> , 188(4189), 699-709.
486	Bottary, R., Sonni, A., Wright, D., & Spencer, R. M. (2016). Insufficient chunk concatenation
487	may underlie changes in sleep-dependent consolidation of motor sequence learning in
488	older adults. Learning & Memory, 23(9), 455-459.
489	Chase, W. G., & Simon, H. A. (1973). Perception in chess. <i>Cognitive Psychology</i> , 4(1), 55-81.
490	Diedrichsen, J., & Kornysheva, K. (2015). Motor skill learning between selection and
491	execution. Trends in Cognitive Sciences, 19(4), 227-233.
492	Fagot, J., & Bonté, E. (2010). Automated testing of cognitive performance in monkeys: Use of
493	a battery of computerized test systems by a troop of semi-free-ranging baboons (Papio
494	papio). Behavior Research Methods, 42(2), 507-516.

- Ghirlanda, S., Lind, J., & Enquist, M. (2017). Memory for stimulus sequences: a divide between
  humans and other animals? *Royal Society Open Science*, 4(6), 161011.
- Gobet, F., Lane, P. C., Croker, S., Cheng, P. C., Jones, G., Oliver, I., & Pine, J. M. (2001). Chunking
  mechanisms in human learning. *Trends in Cognitive Sciences*, 5(6), 236-243.
- Gobet, F., Lloyd-Kelly, M., & Lane, P. C. (2016). What's in a name? The multiple meanings of
  "Chunk" and "Chunking". *Frontiers in Psychology*, *7*, 102.
- 501 Heitz, R. P. (2014). The speed-accuracy tradeoff: history, physiology, methodology, and 502 behavior. *Frontiers in Neuroscience*, 8, 150.
- 503 Johnson, N. F. (1970). The role of chunking and organization in the process of recall.
- 504 In *Psychology of Learning and Motivation* (Vol. 4, pp. 171-247). Academic Press.
- Malassis, R., Rey, A., & Fagot, J. (2018). Non-adjacent dependencies processing in human and
  non-human primates. *Cognitive Science*, 42(5), 1677-1699.
- 507 Mathy, F., & Feldman, J. (2012). What's magic about magic numbers? Chunking and data 508 compression in short-term memory. *Cognition*, *122*(3), 346-362.
- 509 Miller, G. A. (1956). The magical number seven, plus or minus two: Some limits on our capacity
- 510 for processing information. *Psychological Review*, 63(2), 81.
- 511 Minier, L., Fagot, J., & Rey, A. (2016). The temporal dynamics of regularity extraction in non-512 human primates. *Cognitive Science*, 40(4), 1019-1030.
- 513 Perruchet, P., & Amorim, M.-A. (1992). Conscious knowledge and changes in performance in
- 514 sequence learning : Evidence against dissociation. *Journal of Experimental Psychology:*
- 515 *Learning, Memory, and Cognition*, 18(4), 785 800.
- 516 Perruchet, P., & Vinter, A. (2002). The self-organizing consciousness. Behavioral and Brain
- 517 *Sciences*, 25(3), 297-330.

- 518 Ramkumar, P., Acuna, D. E., Berniker, M., Grafton, S. T., Turner, R. S., & Kording, K. P. (2016).
- 519 Chunking as the result of an efficiency computation trade-off. *Nature Communications*,
  520 *7(1)*, 1-11.
- 521 Rey, A., Minier, L., Malassis, R., Bogaerts, L., & Fagot, J. (2019). Regularity extraction across
- 522 species: Associative learning mechanisms shared by human and non-human primates.
  523 *Topics in Cognitive Science*, 11(3), 573-586.
- 524 Sakai, K., Kitaguchi, K., & Hikosaka, O. (2003). Chunking during human visuomotor sequence
  525 learning. *Experimental Brain Research*, *152*(2), 229-242.
- 526 Scarf, D., Smith, C. D., Jaswal, V. K., Magnuson, J. S., & Terrace, H. (2018). Chunky Monkey?
- 527 The spontaneous temporal chunking of simultaneous chains by Humans (Homo
- 528 Sapiens) and rhesus monkeys (Macaca mulatta). In A. Ibáñez-Contreras & B. 529 Hernandez-Godinez (Eds), *Studies of Rhesus Monkeys and their Behaviors* (p. 39-58).
- 530 Nova Science Publishers.
- 531 Smith, J. D., & Church, B. A. (2018). Dissociable learning processes in comparative 532 psychology. *Psychonomic Bulletin & Review*, *25*(5), 1565-1584.
- Standage, D., Wang, D. H., Heitz, R. P., & Simen, P. (2015). Toward a unified view of the speedaccuracy trade-off. *Frontiers in Neuroscience*, 9, 139.
- 535 Terrace, H. S. "Chunking during serial learning by a pigeon: I. Basic evidence." Journal of
   536 Experimental Psychology: Animal Behavior Processes 17.1 (1991): 81.
- Tosatto, L., Fagot, J., Nemeth, D., & Rey, A. (2022). The evolution of chunks in sequence
  learning. *Cognitive Science*, 46(4), e13124.
- Vékony, T., Marossy, H., Must, A., Vécsei, L., Janacsek, K., & Nemeth, D. (2020). Speed or
   accuracy instructions during skill learning do not affect the acquired knowledge.
   *Cerebral Cortex Communications*, 1(1), tgaa041.

- 542 Verwey, W. B. (1996). Buffer loading and chunking in sequential keypressing. *Journal of* 543 *Experimental Psychology: Human Perception and Performance*, *22*(3), 544.
- 544 Verwey, W. B. (2001). Concatenating familiar movement sequences: The versatile cognitive 545 processor. *Acta Psychologica*, *106*(1-2), 69-95.
- 546 Willingham, D. B. (1998). A neuropsychological theory of motor skill learning. *Psychological*547 *Review*, 105(3), 558.
- Wright, D. L., Rhee, J. H., & Vaculin, A. (2010). Offline improvement during motor sequence
  learning is not restricted to developing motor chunks. *Journal of Motor Behavior*, 42(5),
  317-324.
- Wymbs, N. F., Bassett, D. S., Mucha, P. J., Porter, M. A., & Grafton, S. T. (2012). Differential
  recruitment of the sensorimotor putamen and frontoparietal cortex during motor
  chunking in humans. *Neuron*, *74*(5), 936-946.

### 554 Appendix A

<sup>555</sup> Mean response times over 10 participants for each of the 72 possible transitions calculated

1 <sup>st</sup> position in Transition	2 <sup>10</sup> position in Transition								
	1	2	3	4	5	6	7	8	9
1	-	524	527	574	457	499	549	559	566
2	567	-	535	534	465	491	531	517	591
3	564	507	-	537	439	514	525	513	615
4	573	499	516	-	440	482	578	519	551
5	571	511	514	535	-	486	534	508	561
6	577	500	516	525	456	-	533	498	585
7	589	506	542	530	431	491	-	505	568
8	576	535	534	522	438	473	532	-	557
9	575	523	522	543	431	483	534	513	-

556 from 180 random trials.

557

Note. All transitions are in milliseconds (ms) and correspond to the time elapsed between the

disappearance of the red circle from the 1<sup>st</sup> position of the Transition and the participant's touch on the 2<sup>nd</sup>
position of the Transition.