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Impact of filtering on photonic time-delay reservoir computing

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We analyze the modification of the computational properties of a time-delay photonic reservoir computer with a change of its feedback bandwidth. For a reservoir computing configuration based on a semiconductor laser subject to filtered optoelectronic feedback, we demonstrate that bandwidth selection can lead to a flat-topped eigenvalue spectrum, for which a large number of system frequencies are weakly damped as a result of the attenuation of modulational instability by the feedback filtering. This spectral configuration allows for optimization of the reservoir in terms of its memory capacity, while its computational ability appears to be only weakly affected by the characteristics of the filter.

Photonic reservoir computers (RC) are neuro-inspired architectures with a simplified training process, which offer state-of-the-art performance in various machine-learning benchmark tasks. The rich nonlinear dynamical behaviors in photonic devices, such as laser diodes, result in a non-trivial high-dimensional mapping, which is essential to solve classification and regression tasks correctly. However, the clear dynamical origin leading to a corresponding level of performance is an arduous problem as it strongly depends on the devices’ properties used in the architectures. This study presents an RC system based on a laser diode with filtered optoelectronic feedback. We are interested in how the filter’s time scales interact with those of the laser to create parametric configurations of enhanced computing capability. We base our analysis on fundamental bifurcation theory and eigenspectral properties to elucidate this question. We propose a quantitative measure that characterizes the flatness of the eigenvalue spectrum and its proximity to the imaginary axis, and find it well-correlated with the system’s memory capacity. This allows for optimization of the reservoir computer’s memory capacity.

I. INTRODUCTION

Reservoir computing (RC) is a computational paradigm in the field of machine learning that exploits the nonlinear, high-dimensional response of a recurrent neural network (RNN) to solve complex tasks¹. The RC’s attractiveness stems from a simplified learning process, where only the network’s output-layer interconnection weights are trained using convex optimization². As a result, a drastic reduction in the training complexity is observed with RC compared to typical RNN-based approaches. This salient feature makes RC easier to design and implement in hardware because the precise adjustment of interconnection weights, growing quadratically with the network’s size, is not required anymore. In the last decade, various physical platforms have demonstrated the potential of physical RC³ as fast and energy-efficient computational engine using photonic⁴, electronic⁵, and spintronic devices⁶.

Within the theoretical frameworks of RC, time-delay RC (TDR) was proposed originally as an additional simplification whereby a single dynamical node can virtualize an entire spatiotemporal network in a delayed feedback loop via time-multiplexing⁷. The only downsides are a preprocessing stage to distribute the input data to the various virtual nodes in a feedback loop and a trade-off between speed and scalability. TDRC has been widely studied in photonic systems considering architectures based on lasers with all-optical⁹,10 or optoelectronic (OE) feedback¹¹, optoelectronic oscillators (OEO)¹²,13, and photonic integrated circuits with feedback¹⁴. Their performance and Gb/s processing bandwidth have made them prime technological candidates for telecommunication applications¹⁵,16,17.

Furthermore, using photonic TDR architectures, it is possible to include additional band-pass filtering that will impact the intrinsic node’s dynamics through the nontrivial interplay of timescales. Examples of such an architecture include OEOs and laser diode subjected to OE feedback. The primary expected impact would be a change in memory capacity (MC), which is a measure quantifying the ability of a system to reconstruct past inputs from present RC states. However, the nature of the nonlinear interplay and the proper choice of band-pass characteristics (i.e., resonance frequency, damping) would have to be carefully chosen to enhance the TDRC performance.

In photonic RC systems, the performance evaluation is usually performed using exhaustive search in various 2D parameter planes or advanced model-free optimization techniques (e.g., Bayesian optimization, genetic algorithms, particle swarm optimization)⁸,¹⁹. However, predicting an accurate level of performance for an RC and understanding its origin from a dynamical standpoint remains an open problem, even if, in recent years, we have gained better insight into criti-

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FIG. 1. Schematic setup of the photonic TDRC system based on a semiconductor laser with filtered OE feedback loop. LD, laser diode; PD, photodetector; Amp, amplifier; HPF, high-pass filter, LPF, low-pass filter. Black (red) lines are electric (optical) signals.

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II. MODEL

The schematic setup of the proposed photonic TDRC architecture is shown in Fig. 1. The signal detected by the photodiode is amplified and subject to tunable band-pass filtering. This signal is added to or subtracted from the injection current driving the laser, hence providing delayed OE feedback. Feeding the input data to the reservoir is realized by modulating the pump current with the signal derived from the multiplication of the input data by a mask generated from a set of uniformly distributed random numbers as defined in previous work. The impact of different types of temporal masks on the RC performance has previously been investigated in previous work. Dimensionless equations describing the system were developed based on the models previously used for LDs with OE feedback in which high- and low-pass filtering of the photodetected intensity have been included:

\[ I(t) = 2N(t)I(t), \]
\[ I_{FH}(t) = -\tau_{HL}^{-1}I_{FH}(t) + I(t), \]
\[ I_{FL}(t) = -\tau_{L}^{-1}(I_{FL}(t) - I_{FH}(t)), \]
\[ \epsilon^{-1}N(t) = P(1 + \xi M(t)) + \eta I_{FL}(t - \tau) - N(t) - (1 + 2N(t))I(t), \]

where \( I(t) \) is the normalized intensity of the laser field; \( N(t) \) is the carrier density; \( I_{FH}(t) \) is the high-pass filtered intensity signal and \( \tau_{HL} \) is the inverse of the high-pass filter cut-off frequency; \( I_{FL}(t) \) is the low-pass filtered intensity signal and \( \tau_{L} \) the inverse of the low-pass filter cut-off frequency; \( P \) is the pump-above-the-threshold parameter with a modulation function \( M(t) \) for the masked input data and maximum modulation amplitude \( \xi \); \( \eta \) is the feedback strength (either positive or negative); \( \tau \) is the feedback delay time; and \( \epsilon \) is the ratio of the photon to the carrier lifetimes.

We consider the following set of experimentally relevant parameters, which were estimated based on the observations in previous work, for further numerical analysis: \( \epsilon = 0.1; \; \tau_{HL} = 2000; \; \tau = 1000; \; N = 48; \; \xi = 0.1 \). Our choice for the number of nodes stems from the fact that for \( N > 48 \), the MC tends to saturate because the modulation frequency \( 1/\theta \) becomes higher than the relaxation frequency, and system’s response to pump current modulation becomes damped. Using \( N = 48 \) is relevant for the study of the particular metrics \( \text{MC} \) and \( CA \). Time parameters are measured in the units of the photon lifetime \( t_p = 10 \) ps. The set of values \( \tau_L = \{1, 7.5, 10\} \) is explored for the low-pass filter time-scales, corresponding to the physical cut-off frequencies 100, 13.3, 10 GHz. We should note here that the experimentally observed relaxation oscillation frequency \( \sim 5 \) GHz corresponds to 0.05 in the units of the inverse photon lifetime.

III. EIGENVALUE SPECTRUM ANALYSIS

The eigenvalues of a dynamical system provide important information about the response of the system to weak input signals or perturbations applied in different directions of phase space, which is here an infinite-dimensional functional space created by the delayed feedback. The real part of an eigenvalue corresponds to the damping rate of the response to a perturbation while the imaginary part gives the angular frequency of the oscillations in the response. Köster et al. have demonstrated that the eigenvalues of the system’s equilibrium without input, could be helpful to estimate RC performance. Specifically, they introduced the average distance reduction measure, which is analogous to an average decay time of the transients during the symbol input time. In this section, we investigate the variation of the eigenvalue spectrum of the reservoir with respect to the low-pass filtering. The characteristic
The model of Eqs. (1)–(4) accounts for the two filtering elements: high-pass and low-pass. However, the impact of the realistic high-pass filtering in our system is not found pronounced for the chosen parameters as the lower cut-off frequency remains far below, and does not interact with, the system’s key frequencies. The impact of the low-pass filtering is much more pronounced.

To further investigate the relation between the eigenvalue spectrum profile and the reservoir’s properties, we explore the eigenvalues in the limit of long delay (i.e. $\tau \gg 1$). This approximation, which is consistent with parameter values considered here and in the experimental implementation of OE feedback$^{24}$, allows for an analytic expression for the eigenvalue spectrum, as shown below. Following the approach in Ref. 27, we introduce the scaling

$$\hat{\lambda} = \frac{\lambda_1}{\tau} + i\lambda_0,$$

where $\lambda_0$ and $\lambda_1$ are real numbers. Inserting of the Eq. (6) to the characteristic equation Eq. (5) and taking the leading order approximation in $\tau$, we write the real part $\lambda_1$ of the pseudo-continuous (PC) eigenvalue spectrum as

$$\lambda_1 = J + F,$$

where $J$ defines the part of the spectrum describing the modulational instability caused by the undamping of the laser RO. It reads

$$J = \ln \frac{2\varepsilon P|\eta|}{\sqrt{\varepsilon^2 \lambda_0^2 (1+2P)^2 + (\lambda_0^2 - 2\varepsilon P)^2}}$$

where $\lambda_0$ is the imaginary part of the PC eigenvalue spectrum.

The modulational instability has previously been considered in a semiconductor laser subject to optical feedback in the frame of the Lang-Kobayashi equation$^{27}$.

$F$ depends only on filter characteristics and thus describes the damping of perturbations due to the filtering in the feedback loop; it is given by

$$F = \ln \frac{\tau_H |\lambda_0|}{\sqrt{(1 + \lambda_0^2 \tau_H^2)(1 + \lambda_0^2 \tau_L^2)}}.$$  

As expected, the filtering effect is diminished ($F \to 0$) when the filter high-pass filter cut-off frequency is large ($\tau_H \gg 1$) and low-pass filter cut-off frequency is small ($\tau_L \ll 1$).

The PC spectrum is symmetric with respect to the real axis and independent of the sign of feedback strength $\eta$. The decomposition of the PC spectrum is illustrated in Fig. 3 for the three values of $\tau_L$ considered for Fig. 2. The part $J$, which characterizes the modulational instability, has a characteristic resonant shape reminiscent of an under-damped harmonic oscillator. The bifurcation frequency $\hat{\lambda}_0$, which aggregates the relaxation-oscillation frequency and its damping rate and corresponds approximately to the frequency at which $J$ reaches its maximum, was obtained in$^{24}$ and is given by

$$\hat{\lambda}_0 = \sqrt{\omega_{RO}^2 - \gamma_{RO}^2},$$

where $\omega_{RO} = 2\pi f_{RO} = \frac{1}{2} \sqrt{8\varepsilon P - \varepsilon^2 (1+2P)^2}$ is the RO angular frequency of the free-running laser ($\eta = 0$), and $\gamma_{RO} = \frac{1}{2} \varepsilon (1+2P)$, see$^{25}$.

FIG. 2. Eigenvalue spectra, from top row to bottom: (a,b) $\tau_L = 1$; (c,d) $\tau_L = 7.5$; (e,f) $\tau_L = 10$. The values of $\eta$ and $P$ are such that for every $\tau_L$ the system operates close to a Hopf bifurcation, and with maximal MC: (a) $P = 0.4$, $\eta = -0.61$; (b) $P = 0.4$, $\eta = 0.61$; (c) $P = 0.2$, $\eta = 0.98$; (d) $P = 0.2$, $\eta = 0.98$; (e) $P = 0.1$, $\eta = -0.99$; (f) $P = 0.1$, $\eta = 0.99$. The other parameters are given in the text.

The spectral profile in Fig. 2(c,d) is significantly different and includes a relatively large flat-topped part with a large number of weakly damped eigenvalues having a real part close to the imaginary axis. It has been shown in$^{20}$ that such a spectral profile may indicate good RC performance. The shape of the spectra suggests that there is an optimal value of the low-pass cut-off frequency for an efficient interplay between the intrinsic system’s timescales, namely the relaxation oscillation (RO) frequency $f_{RO}$ and the RO damping rate $\gamma_{RO}$ of the free-running laser.

The model of Eqs. (1)–(4) accounts for the only non-trivial steady state $I(t) = P$, $I_{FL}(t) = I_{FH}(t) = 0$, $N(t) = 0$ reads

$$[2\varepsilon P(1 + \hat{\lambda}) + \hat{\lambda}(\varepsilon + \hat{\lambda})](1 + \tau_H \hat{\lambda})(1 + \tau_J \hat{\lambda}) - 2e^{-\lambda \tau} \eta e^{P \lambda} \tau_H = 0,$$  

where $\hat{\lambda}$ is an eigenvalue.
The part \( F \), on the other hand, has the typical shape of a low-pass filter. For \( \tau_L = 1 \) (Fig. 3(a)), the cut-off is much larger than the bifurcation frequency and the effect of filtering is minor. For the other two values of \( \tau_L \), the cut-off is lower than \( \lambda_0 \), and filtering modifies significantly the spectrum of the eigenvalues, as observed in Figs. 3(b,c). The flattening of the eigenvalue spectrum results from the interplay between the intrinsic system’s timescales related to \( J \) and \( F \). The term \( F \) provides the perturbation damping resulting from the filtering which can be described by a negative slope, while the part \( J \) has a positive slope determined by the modulational instability. Both slopes can be observed in Fig. 3. The optimal flattening occurs when the two slopes have similar absolute value. As a result, the filter leads to significant modification of the damping timescales, and therefore of the small signal response of the system, manifested by the appearance of a large number of eigenvalues having nearly equal real parts. We conclude that the flattening condition, and therefore, RC properties are fully determined by the modulational instability frequency and the damping rate of the filtering.

As PC spectrum provides an adequate approximation, we use it to further analyze the spectral properties and we define the average distance of the spectrum Eq. (7) to the imaginary axis \( D \), which is illustrated in Fig. 4. It is defined as

\[
D = \frac{1}{\lambda_0^- - \lambda_0^+} \int_{\lambda_0^-}^{\lambda_0^+} \lambda_1 d\lambda_0,
\]

where \( \lambda_0^- > 0 \) and \( \lambda_0^+ > 0 \) are the lower and upper bounds of the averaging interval. As shown below, this quantity is a useful measure of trends in the memory capacity of RC systems and contrary to the measures introduced in Ref. 26, \( D \) does not require to numerically solve the transcendental characteristic equation Eq. (5), which has an infinite number of solutions.

Figure 5 shows the measure \( D \) computed in the \((\eta, P)\) plane for the three values of \( \tau_L \) (1, 7.5, and 10). The dashed region corresponds to where the equilibrium loses its asymptotic stability. We observe that the upper cut-off frequency significantly affects both the contour of the stability region and the measure \( D \). The smallest values of \( D \) are obtained for \( \tau_L = 7.5 \), and consistently to what we had observed in Ref. 11, close to the stability boundary.

In the next part we calculate the memory capacity of the system, and find that the measure \( D \) enables one to trace the effect of the eigenvalue spectral shape on the memory capacity. We propose that for the estimation of memory capacity trends, the measure \( D \) can be an effective addition to the measure proposed in 26, which is based on the the average distance reduction during the input symbol time.
IV. MEMORY CAPACITY

Memory capacity ($MC$) measures the ability of the RC system to reproduce the input at previous delay times, and as such is a measure of the performance of the RC. The reservoir’s $MC$ is calculated as

$$MC = \sum_{m=1}^{\infty} mc_m = \sum_{m=1}^{\infty} \frac{\text{cov}^2(O_i, S_{i-m})}{\sigma^2(S_i)\sigma^2(O_i)}, \quad (12)$$

where $mc_m$ is the memory function, $\sigma^2$ is the variance, $\text{cov}$ is the covariance, $O_i$ is the output data value at $i$-th time-step, $S_{i-m}$ is the input data value delayed by $m$ time-steps.

It is known that optimal performance of RC are usually expected in the vicinity of instability from an equilibrium point, typically a Hopf bifurcation in our laser with OE feedback. As a result, we will analyse the bifurcation structure of our free-running time delay systems to provide a dynamical interpretation of TDRC’s memory capacity. We determine the Hopf bifurcation structure by means of the DDE-Biftool software and we analyze the evolution of the structure depending on the choice of the low-pass cut-off time-scale $\tau_L$.

The results are shown in Fig. 6. We note that the Hopf bifurcation boundaries changes significantly with the variation of the cut-off frequency. The bifurcation has either supercritical or subcritical character, and the first Lyapunov coefficient may substantially change along the boundaries. The ranges of the feedback strength $\eta$ and the pump parameter $P$ for the RC system’s numerical modelling, and used in Fig. 6, are chosen based on the calculated bifurcation boundary for each low-pass filter cut-off time scale $\tau_L$.

Figure 7 shows the 2D maps of the system’s $MC$ in terms of the pump parameter $P$ and the feedback strength $\eta$, close to the bifurcation borders, for the different values of $\tau_L$ along with the Hopf bifurcation borders.

A decrease of the cut-off frequency of the low-pass filter in the feedback loop leads to an increase in $MC$. The maximum values are observed in the vicinity of the Hopf bifurcation border and for the intermediate value $\tau_L = 7.5$. However, we did not find any pronounced dependency of $MC$ on the Hopf bifurcation character (super- or sub-critical). While the filter bandwidth may significantly affect $MC$, the variation of the pump current $P$ and the feedback strength $\eta$ demonstrate a much less significant impact. A similar behavior has been
observed in a RC based on a semiconductor laser and a short external cavity, where $MC$ either remains almost constant or slightly increases with the feedback strength increase$^{30}$. A comparison between Figs. 5 and 7 shows that the area with the largest values of $MC$ for each $\tau_L$ corresponds to the area with the smallest values of measure $D$, further confirming its usefulness as a predictor of the performance of the RC in terms of $MC$. Consistent with observations from Ref. 26, $MC$ is maximized when many of the system’s eigenvalues have real parts close to zero. Of note, the eigenvalue spectra represented in Fig. 2 correspond to the values of $\eta$ and $P$ that maximize $MC$, and thus minimize $D$, for each value of $\tau_L$. The asymmetry with respect to the feedback sign is observed in our 2D maps of $MC$, and the maximum value of $MC$ is obtained for the negative value of feedback strength $\eta$ for each $\tau_L$ (as in Ref. 11).

At the bifurcation border, $MC$ for the negative feedback is on the average 1.3 times larger than for the positive one and the strongest asymmetry is observed for $\tau_L = 7.5$.

Finally, we compute the Pearson correlation coefficient $r(MC, D)$ between the average distance $D$ and $MC$ values obtained from simulation, per the formula

$$r(X, Y) = \frac{\text{cov}(X, Y)}{\sigma(X) \sigma(Y)}. \quad (13)$$

Figure 8 shows the correlation scatter plots $MC$ vs. $D$ for the asymptotically stable parameter ranges, and values of $D$ were computed at grid points where $MC$ was calculated. As the PC spectrum does not depend on the sign of $\eta$, we compare two feedback signs separately. The correlation coefficient varies from $-0.97$ to $-0.95$, confirming the possibility to infer $MC$ properties from the measure $D$.

We examine in Fig. 9 the memory curves, which are the memory functions $m_{mc}$ vs the delayed input steps $m$. We conclude that the maximal $MC$ results from the flattening of $m_{mc}$. The memory depth, which is the delayed input step for which $m_{mc}$ drops to a value close to zero, is also slightly larger for the optimal value of the low-pass cut-off frequency, and, therefore, partly contributes to the maximal $MC$ for $\tau_L = 7.5$. 

**FIG. 7.** 2D maps of the system’s memory capacity, from top row to bottom: (a,b) $\tau_L = 1$; (c,d) $\tau_L = 7.5$; (e,f) $\tau_L = 10$. The magenta lines are the Hopf bifurcation borders. The other parameters are given in the text.

**FIG. 8.** The correlation scatter plots $MC$ vs. $D$ for negative (a) and positive (b) feedback signs. The color corresponds to a value of the low-pass filtering parameter: $\tau_L = 1$ (red); $\tau_L = 7.5$ (green); $\tau_L = 10$ (blue). The Pearson correlation coefficient values $r$ are given in the insets.

**FIG. 9.** The memory curve for negative (a) and positive (b) feedback: $m$ is the number of delayed input steps for $\tau_L = 1$ (red); $\tau_L = 7.5$ (green); $\tau_L = 10$ (blue). The other parameters are the same as in Fig. 2.
V. COMPUTATIONAL ABILITY

Computational ability (CA) accounts for both the ability to separate and sufficiently generalize the input data. We calculated it as a difference between the kernel quality rank $r_{kq}$ and a generalization rank $r_g$, and normalized this value by the number of nodes $N$

$$CA = \frac{r_{kq} - r_g}{N},$$

where $r_{kq}$ is the kernel quality rank, $r_g$ is the generalization rank. The kernel rank $r_{kq}$ demonstrates how linearly independent the reservoir is, i.e., how well the RC can discern various input values. A larger value of $r_{kq}$ means an RC would possess more degrees of freedom available for the linear readouts.

The generalization rank $r_g$ quantifies the reservoir’s ability to map nonlinearly and consistently distinct inputs to distinct reservoir’s states. For the details on how to evaluate these ranks see Ref. 28. The 2D maps of the kernel rank and the generalization rank are given in Figs. 10 and 11 respectively. Both ranks are maximized near the Hopf bifurcation border and decrease away from it. Overall, kernel rank demonstrates smoother profile and low variability across the parameter plane while the generalization rank shows a sharp decline away from the bifurcation borders. This decline results in the increase of CA.

The 2D maps of systems’ CA in Fig. 12 demonstrate that the change of cut-off frequency of the low-pass filter leads to a small enhancement of CA, with maximal values obtained once again for the intermediate value $\tau_L$. However, contrary to what happens for MC, CA decreases in the immediate vicinity of the Hopf bifurcation borders, as the maximum is obtained at a relatively small, finite, distance from the borders. In addition, the maximal CA value is reached for positive feedback, contrary to the case of MC. Overall, we observe a limited impact of feedback filtering on CA when compared to its effect on MC.

The correlation scatter plots in Fig. 8 confirm a strong linear relationship between MC and $D$ for all the values of $\tau_L$ and both feedback signs. Similar plots for CA in Fig. 13 are significantly different: they do not indicate a general trend for correlation and do not provide any evidence of a sustained linear relationship between CA and $D$. 
In this study, we have shown that altering the low-pass filter cut-off frequency profoundly changes the bifurcation structure and the eigenvalue spectrum of a reservoir computing system based on a laser with optoelectronic feedback. As this frequency is decreased well below the relaxation oscillations frequency, the memory capacity and computational ability tend to increase before decreasing again. Optimum performance is observed for an intermediate value of the cut-off frequency, where a compensation between modulational instability and filtering effects occurs. In this case, the filter leads to significant modification of the damping timescales and therefore of the small signal response of the system manifested by the appearance of a large number of eigenvalues having nearly equal real parts. Interplay between modulational instability and filtering can thus make numerous eigenvalues, well beyond those involved in modulational instability, be just below the imaginary axis and contribute to the memory of the system for different timescales. We find indeed that the reduction of the distance between the pseudo-continuous spectrum and the imaginary axis correlates well with an increase in memory capacity of a time-delay OE feedback reservoir computer. Computational ability can also be tuned with filtering, but the effect is limited. In conclusion, careful design of filtering properties can thus lead to significant improvement in the memory of a reservoir computer and we expect related improvement in memory-intensive tasks.

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FIG. 13. The correlation scatter plots CA vs. D for negative (a) and positive (b) feedbacks. The color corresponds to a value of the low-pass filtering parameter: $\tau_L = 1$ (red); $\tau_L = 7.5$ (green); $\tau_L = 10$ (blue). The Pearson correlation coefficient values $r$ are given in the insets.

VI. CONCLUSION

In this study, we have shown that altering the low-pass filter cut-off frequency profoundly changes the bifurcation structure and the eigenvalue spectrum of a reservoir computing system based on a laser with optoelectronic feedback. As this frequency is decreased well below the relaxation oscillations frequency, the memory capacity and computational ability tend to increase before decreasing again. Optimum performance is observed for an intermediate value of the cut-off frequency, where a compensation between modulational instability and filtering effects occurs. In this case, the filter leads to significant modification of the damping timescales and therefore of the small signal response of the system manifested by the appearance of a large number of eigenvalues having nearly equal real parts. Interplay between modulational instability and filtering can thus make numerous eigenvalues, well beyond those involved in modulational instability, be just below the imaginary axis and contribute to the memory of the system for different timescales. We find indeed that the reduction of the distance between the pseudo-continuous spectrum and the imaginary axis correlates well with an increase in memory capacity of a time-delay OE feedback reservoir computer. Computational ability can also be tuned with filtering, but the effect is limited. In conclusion, careful design of filtering properties can thus lead to significant improvement in the memory of a reservoir computer and we expect related improvement in memory-intensive tasks.


