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# **INFLUENCE OF HEMICELLULOSE CONTENT AND CELLULOSE CRYSTAL**

# **CHANGE ON CELLULOSE NANOFIBERS PROPERTIES**

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### 

**Abstract:** This study aimed to evaluate the properties of cellulose nanofibers (CNFs) with different hemicellulose contents and cellulose II polymorphs. A link was found between these polysaccharides and the properties of CNFs. A decrease in crystallinity (from 69 to 63%) and changes in the crystalline structure of cellulose subjected to an alkaline environment were observed, promoting the partial conversion of cellulose I to cellulose II (from 2 to 42%) and preventing CNFs production at NaOH concentrations higher than 5%. Most treatments showed pseudoplastic fluid behavior, except for the 10% NaOH treatment over 2 hours, which showed Newtonian fluid 25 behavior. The quality index of the reference CNFs (TEMPO-oxidized) was the highest  $(80 \pm 3)$ , 26 followed by that of the 5% NaOH-treated (68  $\pm$  3 and 22% energy savings compared to the 27 untreated sample), and the untreated  $(63 \pm 3)$  samples; and the 10% NaOH treatments had quality 28 indices of  $51 \pm 3$  and  $32 \pm 1$ , respectively.

**Keywords:** Cellulose nanofibers; Cellulose polymorphs; Nanocellulose; Polyose; Shear rheology.

**1. Introduction** 

In recent years, there has been growing interest the production and application of

cellulose nanofibers (CNFs). CNFs are usually obtained from fibers that have native cellulose

polymorphs, known as cellulose I. There are three other types of cellulose polymorphs,

cellulose II, III, and IV. Cellulose I and II are the most studied and most used in industrial

applications. Some works in the literature report that the conversion of cellulose I to cellulose II

hinders the nanofibrillation process [1,2].

Cellulose II can be obtained from native cellulose by mercerization and regeneration processes because it has a more stable structure. However, crystalline structure conversion through these processes results in materials with inferior mechanical properties [2].

One of the essential characteristics of nanocellulose-based materials is their complex rheology. Because of this characteristic, processing is challenging, especially finding the most suitable application according to its produced characteristics, which can vary [3] due to the source of the materials and the method by which the materials were obtained. The deformation of these suspensions at different shear rates is essential in various potential applications [3].

The rheology of an aqueous suspension of nanocellulose is influenced by hydrogen bonding between nanofibrils and water molecules [4], which is directly related to the efficiency and quality of nanofibrillation, where suspensions with high nanocellulose content have a gel-like appearance and consequently a higher viscosity. In comparison, less nanofibrillated materials have a more aqueous appearance and a lower viscosity. The viscosity of nanocellulose materials is an important factor that must be optimized to be low enough to enable the material's processing and high enough to improve the dispersion [5].

The morphological and chemical composition of the raw material affects the rheological and mechanical properties of the obtained cellulose nanomaterial and the quality of the final product [6–8]. The morphological properties of CNFs are dependent on the source and 57 production process. However, CNFs has re-active surfaces, low densities  $(1.54 \text{ g/cm}^3)$  when compared to other materials, and a high number of free hydroxyl groups that contribute to the grafting of various chemicals to reach specific properties [9].

Lignocellulosic biomass is formed by cellulose, hemicellulose, lignin, and other minor components that create a complex matrix structure with natural recalcitrance, resulting from both the intrinsic molecules' nature and their interactions [10]. These components do not occur individually in the cell wall structure but are linked together in the Lignin-Carbohydrate Complex through stable chemical bonds [11].

Hemicellulose is the second most abundant class of hydrophilic polysaccharides found in nature and in the plant cell wall, closely associated with cellulose and lignin in all higher plants, where they represent 20 - 30% of the plant dry mass [12]. These polymers has much lower molar weight when compared to cellulose, and contains a considerable degree of ramifications between its chains, possessing a highly amorphous nature, unlike cellulose, and is more susceptible to chemical hydrolysis under milder conditions [13]. Hemicellulose has a large proportion of hydroxyl groups that are bound in intra- and intermolecular hydrogen bonds, making it more hygroscopic than cellulose, and some of these bonds naturally bind water to cellulose molecules [14].

Due the higher number of free hydroxyl groups, hemicellulose presents a highly hydrophilic nature, and together with its amorphous nature and ramified structure, causes it to swell greatly when in contact with water molecules [15]. The swelling of the hemicellulose also has a strong impact on the cellulose crystal structure, due to a strong bond with the cellulose surfaces [16]. These polysaccharides may have an important role to play in the production of CNFs, for example, Iwamoto et al. [17] demonstrated that hemicellulose acts as an inhibitor of the cellulose microfibrils coalescence, thus contributing to the ease of the nanofibrillation process.

The number of studies regarding CNFs production and applications has increased progressively; hence, it is essential to extend the knowledge of its behavior and the relations between its chemical composition and the performance of derived materials. Therefore, this study aimed to evaluate the effects of the modifications on the properties of eucalyptus CNFs, especially the rheology of those containing different hemicellulose levels and cellulose I/cellulose II polymorph ratios.

**2. Experimental** 

**2.1 Materials** 

Once-dried BHKP (Bleached eucalyptus kraft pulp) donated by Klabin S.A. (Paraná/Brazil), was used throughout this work. All the materials and chemicals were used as received from the manufacturers: deionized water; sodium hydroxide (NaOH) (ACS reagent, 93 ≥98%, Sigma–Aldrich, Brazil); TEMPO (2,2,6,6-tetramethyl-1-piperidinyloxy free radical -C9H18NO) (ACS reagent, ≥98%, Alfa Aesar, Germany); hydrochloric acid (HCl) (ACS reagent,

37%, Neon, Brazil); sodium bromide (NaBr) (ACS reagent, 99%, Synth, Brazil); sodium 96 hypochlorite (NaClO) (ACS reagent,  $\geq 10\%$ , Dinâmica, Brazil); absolute ethyl alcohol (C<sub>2</sub>H<sub>6</sub>O) (ACS reagent, 99%, Sigma–Aldrich, Brazil); 0.5 M cupriethylenediamine solution (ACS 98 reagent, Dinâmica, Brazil) and sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) (ACS reagent, 99%, Sigma–Aldrich, Brazil).

# **2.2 Alkaline treatment of cellulose kraft pulp**

The alkaline treatment was performed under different concentration conditions and reaction times to achieve fibers with different hemicellulose contents. This step was carried out according to Dias et al. [18]. The pulp was treated with 5 and 10 wt% aqueous NaOH solution 104 at 80 °C for 1 and 2 h under continuous stirring (800 rpm). After treatment, the fibers were filtered and repeatedly washed with deionized water until the pH reached neutrality. They were 106 then dried in an air-circulating oven at 50  $\degree$ C for 24 h.

# **2.3 TEMPO-mediated oxidation treatment of cellulose kraft pulp**

This treatment was also performed as a quality reference to compare the CNFs properties obtained after the alkaline treatments. This step was based on the methodology of Fukuzumi et al. [19]. The fibers (1 g) were suspended in water (40 mL) containing TEMPO (0.016 g) and sodium bromide (0.1 g). The NaClO solution (10 mmol) was added to the slurry, and the mixture was stirred at room temperature for three hours, and a pH of 10 was maintained by adding drops of 0.5 M NaOH. Afterward, 100 mL of ethanol was added to quench the reaction, and the system pH was corrected to 7 by the addition of drops of 0.5 M hydrochloric acid (HCl).

# **2.4 Fourier Transformed Infrared Spectroscopy (FTIR)**

To investigate the changes in functional groups of CNFs that the treatments may have caused, FTIR analyses were performed employing an Attenuated Total Reflectance (ATR) FTIR Spectrometer Varian 600-IR Series equipped with a GladiATR from Pike Technologies. The 120 samples were scanned from 4000 to 600 cm<sup>-1</sup> with 32 scans at a resolution of 4 cm<sup>-1</sup>.

# **2.5 Monosaccharide's quantification**

Monosaccharides (glucan, xylan, mannan and galactan) were determined after acid hydrolysis. The samples were hydrolyzed by adding 50 mg of fibers to 0.5 mL of 72 wt% 124 sulfuric acid. The mixture was heated in a water bath at 30  $\degree$ C for 1 h and then diluted to 4 wt% sulfuric acid concentrations with deionized water. The diluted mixture was then heated at 105 °C for 150 min before cooling on ice. An ion chromatography system (Dionex ICS 5000, USA) 127 with a Dionex CarboPac PA1 column at 25  $^{\circ}$ C with a flow rate of 1 mL min<sup>-1</sup> of MQ-water. The detector sensitivity was optimized by post column addition of 200 mM NaOH at a flow rate of  $0.5$  mL min<sup>-1</sup> to perform the analysis.

# **2.6 Cellulose nanofibers (CNFs) production**

Eucalyptus cellulose fibers were immersed in distilled water at 2 wt% consistency for three days to guarantee fiber swelling. Then, they were nanofibrillated by passing the pulp through an ultrafine grinder Supermasscolloider (model MKCA6-2, stone model MKGA6-80, Masuko Sangyo Co., Ltd., Japan). The stone speed was fixed at 1,500 rpm [18]. Five passes through the equipment were necessary to obtain cellulose nanofibers.

# **2.7 Turbidity, visual inspection, and stability of the suspensions**

The turbidity, measured in Nephelometric Turbidity Units (NTU), of the CNFs suspensions was measured with a portable turbidimeter (Aqualytic, AL-250, wavelength 860 140 nm) on a CNFs suspension that was diluted to 0.1 wt% and stirred for 1 min with Ultra Turrax (IKA T-25) at 10,000 rpm. The suspensions were placed in the test locations for photo acquisition. Images were acquired at 0, 10, 30 min and 1, 2, 3, 4, 5, 6, 7, 8, and 24 h. Fiji software was used to estimate the CNFs decantation in the suspensions, and then stability was calculated according to Eq. 1 proposed by Silva et al. [20]:

145 Stability=
$$
\left(\frac{\text{Dispersed}}{\text{Total}}\right) \times 100\%
$$
 (1)

where "Dispersed" is the height corresponding to suspended particles, and "Total" is the height of all the liquid in the recipient location.

#### **2.7 Micro size area (µm²)**

The CNFs suspensions were characterized using a light microscope (Zeiss Axio AX10, Germany). The suspensions were previously diluted to 0.1 wt%, stirred for 1 min with Ultra Turrax (IKA T-25) at 10,000 rpm, and sonicated for five minutes in an ultrasonic bath to obtain a better dispersion. Photographs were taken at 10x magnification and analyzed using Fiji software. The microsized area was obtained by following the methodology described elsewhere [21].

# **2.8 Transmission Electron Microscopy (TEM)**

The morphology of the CNFs was investigated using a Tecnai G2-12 (FEI company, USA) instrument with an accelerated voltage of 80 kV. Sample preparation and TEM configurations followed recommendations described elsewhere [22]. Diameter measurements of CNFs were performed for at least 300 individual structures per image using open-source software biological image analysis Fiji [23].

# **2.9 X-ray diffraction (XRD)**

XRD analyses were performed using a PANalytical X'Pert PRO MPD X-ray 163 diffractometer (Malvern Panalytical, UK) equipped with an X'celerator detector with a Cu-K $\alpha$ 164 source ( $\lambda = 1.5406 \text{ Å}$ ) in the 2 $\theta$  range of 10-40°, with a 0.066° interval at 4°/min step rate. The equipment was operated at a tension of 45 kV and a current of 40 mA.

The theoretical coordinates of native cellulose Iβ (full width at half maximum (FWHM) 167 = 0.1) and cellulose II (FWHM = 0.1) were extracted from crystallography information data (.cif) using the software Mercury 2020.2.0 (CCDC, UK) obtained from the Supplementary Information accompanying the original work Nishiyama et al. [24].

The patterns were deconvoluted using the Gaussian function with Magic Plot 2.9 (Magicplot Systems, Russia). For the amorphous halo, the cellulose II pattern with full 172 width at half maximum (FWHM = 9), only varying its intensity, was used, as suggested in the literature [25]. After deconvolution, the crystalline fraction (CF) was calculated from the 174 ratio between the area below all the crystalline peaks and the total area below the curve, 175 determined after deconvolution from Eq. 2:

$$
176 \tCF(\%) = \frac{\sum \text{Area}_{\text{Crystalline Peaks}}}{\sum \text{Area}_{\text{Crystalline Peaks}} + \text{Area}_{\text{Amorphous Halo}}} \times 100 \tag{2}
$$

For each NaOH-treated sample, the cellulose I (CI) and cellulose II (CII) contents were determined. From the sum of the peak area of the same crystal system (∑area for cellulose I and ∑area for cellulose II), the CI and CII percentages were calculated using Eq. 3 and 4, which were proposed in a study by Oudiani et al. [26].

181 
$$
CI(\%) = \frac{\sum \text{Area}_{CI}}{\sum \text{Area}_{CI} + \text{Area}_{CI}} \times 100
$$
 (3)

182 
$$
CII(\%) = \frac{\sum \text{Area}_{CI}}{\sum \text{Area}_{CI} + \text{Area}_{CI}} \times 100
$$
 (4)

183 The crystallite size (CS) of the (200) plane peak was calculated according to Scherrer's 184 equation (Eq. 5):

$$
185 \tD = \frac{K \times \lambda}{\beta \times \cos \theta} \t(5)
$$

186 where D is crystallite size  $(A)$ , K  $(0.9)$  is a constant that refers to crystal shape,  $\lambda$  is the 187 wavelength of the ray used (copper),  $\beta$  is the FWHM of the peak, in radians, and  $\theta$  is Bragg's 188 angle of (200) plane diffraction.

# 189 **2.10 Rheological behavior**

190 The rheological behavior of CNFs suspensions at a 1% wt concentration at 25  $\degree$ C was studied on a HAAKE ReoStress 6000 (Thermo Scientific, Karlsruhe, Germany) rheometer coupled to a HAAKE A10 (Thermo Scientific) thermostatic bath and a universal temperature control system HAAKE UTM Controller (Thermo Scientific brand, Karlsruhe, Germany) using 194 a CC25 DIN Ti concentric cylinder sensor ( $D = 25.0$  mm; Gap = 5.3 mm). The Newton law (Eq. 6), Power-law (Eq. 7), and Herschel-Bulkley (Eq. 8) models were adjusted to the data of the second increasing curve to determine the fluid flow profile and obtain the viscosity. The adjustment of the models was performed by the Statistical Analysis System 9.1.2 statistical package (SAS Institute Inc, USA) using three repetitions:

199  $\tau = \mu \times \gamma$  (6)

200 where τ is the shear stress in (Pa),  $\mu$  is the dynamic viscosity (Pa.s), and γ is the shear rate (s<sup>-1</sup>).  $\tau = K \times \gamma^{n}$ 201  $\tau = K \times \gamma^{n}$  (7)

202 where  $\tau$  is the shear stress in (Pa), K is the consistency index (Pa s<sup>n</sup>),  $\gamma$  is the deformation rate 203  $(s<sup>-1</sup>)$ , and n is the flow behavior index (dimensionless).

$$
204 \qquad \tau = \tau_0 + K \times \gamma^{. \eta} \tag{8}
$$

205 where  $\tau$  is the shear stress in (Pa),  $\tau_0$  is the yield stress in (Pa), K is the consistency index (Pa) 206  $s^n$ ),  $\gamma$  is the deformation rate  $(s^{-1})$ , and n is the flow behavior index (dimensionless).

The apparent viscosity values were evaluated at a shear rate of 100  $s^{-1}$ , which, 208 according to Souza et al. [27], corresponds to a deformation commonly suffered by fluids in 209 industrial pipes due to processes such as pumping and agitation.

# 210 **2.11 CNFs films casting**

Films were formed by casting, in which 60 mL of samples (1 wt%) was sonicated (Sonifier model QR500, Ecosonics, Brazil) at 40% power of the ultrasound for homogenization and later poured onto 15 cm diameter acrylic Petri dishes. The samples were kept in an 214 acclimatized room at  $23 \pm 2$  °C and a relative humidity of 50% until water evaporation. CNFs films were formed after approximately seven days under those conditions.

# 216 **2.12 Transparency at 550 nm (%)**

The transmittance of the CNFs films was measured at a wavelength of 550 nm using a UV spectrophotometer (SP 2000 UV, Bel photonics, Italy) in photometric mode. For each sample, five measurements were performed for samples from at least three different films. The 220 transparency  $(T_{550})$  of the film samples was calculated according to Eq. adapted from the work of Sothornvit et al. [28]:

$$
T_{550} = \frac{(\log 60)}{b}
$$
 (9)

223 where %T is the percent transmittance and b are the film thickness (mm).

#### **2.13 Mechanical properties of CNFs films**

The tensile properties were measured with a tensile testing machine (Instron 3365, USA) equipped with a load cell of 5 kN capacity. The films with nominal dimensions of 100 mm x 15 mm were pulled at a speed of approximately 5 mm/min, starting with an initial separation of 50 mm between clamping jaws. The tensile properties of the samples were determined based on the ASTM Standard Method D 882–12. To measure the film thickness, a 230 digital rapid advance micrometer (0–30 mm) with a resolution of 0.001 mm was used, and ten random measurements were made for each specimen. Six replicates of each film were tested.

# **2.14 Simplified quality index (\*Q.I.)**

Distinct CNFs were evaluated according to the simplified quality index (\*Q.I.) adapted from the work of Desmaisons et al. [21]. In contrast with the original quality index, this adapted simplified version is based on four parameters (turbidity, transparency at 550 nm, Young's modulus, and area of microparticles), which are considered and used for the \*Q.I. calculation according to the Eq. 10:

238  $\ast$  Q. I. =  $(3 \times$  turbidity mark +  $(3 \times$  transparency mark  $)$ 

$$
239 + (3 \times Young's modulus mark) + (1 \times micro size mark)
$$
 (10)

where the marks are calculated from the raw measured values as indicated in the original publication. The resulting Eq. 11 including the raw test values was therefore:

242 
$$
* Q. I = 0.3X_1 + 4.95 \ln(X_2) - 0.108 \times X_3^2 + 3.81 \times X_3 - 2.67 \ln(X_4) + 53.91
$$
 (11)

243 where  $X_1$  is the turbidity (NTU),  $X_2$  is the transparency (%),  $X_3$  is Young's modulus (GPa), and 244  $X_4$  is the micro size area ( $\mu$ m<sup>2</sup>).

**2.15 Statical analysis** 

Quantitative analyses that required repetition were submitted to statistical validation. Tukey's test at 95% significance was applied to investigate whether the averages were significantly different from those of the untreated sample. Statistical analyses were performed using the free software SISVAR version 5.6.

# 250 **3. Results and discussion**

251 To better communicate the results and facilitate the reading of the text, Table S1 252 summarizes each treatment carried out in this study, the materials, and their respective

253 identification codes.

# 254 **3.1 Hemicellulose quantification**

255 Table 1 gives the chemical composition of the eucalyptus fibers before and after the 256 TEMPO and alkaline treatments. All treatments involved removing the hemicellulose from the 257 pulp, although the alkaline treatment was more efficient than the TEMPO treatment.

258

259 **Table 1:** Average and standard deviation of the cellulose and hemicellulose contents of Eucalyptus fibers 260 (F) before and after TEMPO and alkaline treatments. \* Different letters in the same column indicate 261 significant ( $\rho \le 0.05$ ) differences between the samples for Tukey's test. ND = Not detected.

<b>Samples</b>	Cellulose $(\% )$	<b>Xylan</b> $(\%)$	<b>Mannan</b> $(\%)$	Galactan $(\%)$	<b>Total</b> hemicellulose $\mathscr{G}_{\boldsymbol{\mathcal{O}}}$
Untreated F	$83.52 \pm 0.44^{\circ}$	$16.14 \pm 0.20^a$	$0.10 \pm 0.14^{\text{a}}$	$0.04 \pm 0.06^{\circ}$	$16.28 \pm 0.40^a$
<b>TOF</b>	$84.83 \pm 0.45$ <sup>c</sup>	$14.85 \pm 0.01^{\rm b}$	$0.22 \pm 0.30^{\circ}$	ND	$15.17 \pm 0.45^{\rm b}$
Na5 2 F	$89.82 + 0.77^b$	$9.84 \pm 0.52$ <sup>c</sup>	$0.11 \pm 0.16^a$	$0.03 \pm 0.04^{\text{a}}$	$9.98 \pm 0.72$ °
Na10 1 F	$95.77 \pm 1.35^{\circ}$	$4.06 \pm 0.26^{\circ}$	<b>ND</b>	ND	$4.06 \pm 0.26$ <sup>d</sup>
Na10 2 F	$96.58 \pm 1.13^a$	$3.30 \pm 0.11^e$	ND	ND	$3.30 \pm 0.11^e$

262

263 The hemicellulose content decreased from  $16.28 \pm 0.39$  in Untreated F to  $3.30 \pm 0.11$  in 264 Na10 2 F. Higher NaOH concentrations and longer reaction times led to lower hemicellulose contents. Xylan was the major hemicellulosic compound in the fibers, despite treatment. Mannan and galactan were also found in the hemicellulosic fraction, but at extremely low 267 proportions, lower than  $0.22\%$ . In fact, neither was detected in Na10 1 F and Na10 2 F, and galactan was not found in TOF. Hardwood pulp fibers such as those from Eucalyptus contain xylan, which is mainly located on the fiber surface, however, the mannan located between the dense structure of the elementary fibrils is difficult to contact directly in the alkaline solution [29]. The relative xylan content is higher than that in the corresponding inner layers after the kraft cooking process [30], where chemical attack is most efficient, which explains the effect of the treatments.

Hemicellulose presents a higher affinity for water than cellulose. Reducing the xylan content in the CNFs modifies the rheological behavior of the suspension, resulting in a weaker gel structure [31], which may affect the nanofibrillation efficiency.

### **3.2 Fourier Transform Infrared Spectroscopy (FTIR) analysis**

The spectra of CNFs treatments ATR-FTIR analyses are shown in Figure 1. The band 279 representing the O-H stretch band assignment differs between the treatments. In the NA 10\_2\_N and TONFC treatments peaks in this band occur. This indicates the presence of free hydroxyl 281 (OH) in these samples.

# **[Figure 1 here]**

**Fig. 1**. FTIR analysis of treatments and untreated CNFs.

284 The band at 2889 cm<sup>-1</sup> present in the spectrum of TONFC, NA5  $2\text{ N}$ , and NA10  $2\text{ N}$ 285 corresponds to the stretching vibration of CH [32,33]. Just the NA10 1 N treatment had the same behavior in this peak as the untreated one, so this treatment has no CH bonds in this band. Indicating the influence of the treatment on the nanofibrillation process.

The TEMPO-mediated oxidation treatment influences the chemical bonds of the CNFs 289 the most, and it is possible to see the  $1600 \text{ cm}^{-1}$  peak present only in it. The absorption band due 290 to C=O stretching of sodium carboxyl (CooNa) groups at  $1600 \text{ cm}^{-1}$ , was formed during the selective oxidation process of the C6 hydroxyl groups [34]. The treatment of CNFs with TEMPO-mediated oxidation differed in other results as well. The presence of this peak shows that the CNFs are indeed directly influenced by this treatment due to their chemical composition.

295 The peaks from 1154 to  $897 \text{ cm}^{-1}$  are characteristic when analyzing cellulose 296 compounds [35–38]. The peak 1154 cm<sup>-1</sup> is referring to C–O stretching. While the peak 1104 cm<sup>-1</sup> represents the C–H bend. The chemical bond C–O stretching is represented at the peak of 298  $1027 \text{ cm}^{-1}$  of the graph. Both peaks  $1027 \text{ cm}^{-1}$  and  $1154 \text{ cm}^{-1}$  also represent the presence of 299 xylan [39]. And the peak of 897 cm<sup>-1</sup> corresponds to the C–H bend, which represents a type of cellulose I [39,40].

### **3.3 Turbidity, visual inspection, and stability of the CNFs suspensions**

302 As expected, TOCNF showed the lowest turbidity  $(83 \pm 5 \text{ NTU})$ . The Na5\_2\_N 303 treatment promoted less turbidity (359  $\pm$  4 NTU) than the Untreated N treatment (377  $\pm$  3 NTU), suggesting that treatment with a solution of 5% NaOH can increase the degree of nanofibrillation of the material, while Na10\_1\_N and Na10\_2\_N showed the highest values 306 (706  $\pm$  8 and 912  $\pm$  1 NTU, respectively), indicating less nanofibrillation and aggregation of these CNFs. The turbidity is linked to the material's size; if the suspension is only composed of nanoscale materials, the turbidity value is close to zero. However, the presence of non-nanofibrillated material in the suspension tends to increase the turbidity. These results demonstrate the importance of maintaining certain hemicellulose levels to avoid coalescence between the cellulose micro/nanofibrils.

The sedimentation analysis and the percentage stability over time allowed evaluation of the general stability of the aqueous CNFs suspensions (Fig. 2).

**[Figure 2 here]** 

**Fig. 2**. A) Dispersion states of the 0.1 wt.% CNFs suspensions at 0, 10, 30 min, 1, 2, 3, 4, 5, 6, 7, 8, and 316 24 h. Influence of time on CNFs suspension stability in water. 24 h. Influence of time on CNFs suspension stability in water.

TOCNF showed the most stable behavior (97% after 24 h compared to 74% Untreated\_N) among all the samples, corroborating the previous results. Nanoscale cellulose particles are stable due to Brownian motion, which maintains the suspended particles through the interaction of repelling forces, according to Silva et al. [41].

The sedimentation results show a tendency of NaOH to strongly affect the stability of 323 the suspension. After 24 h, the CNFs Na5 2 N showed a stability of approximately 62%, 324 followed by Na10\_1\_N and Na10\_2\_N with 38% and 22% stability, respectively. The stability decrease may be related to two factors: (i) the removal of hemicellulose with the increase in alkali concentration and (ii) partial conversion of cellulose I to cellulose II. Hemicellulose acts as an inhibitor of cellulose coalescence. Therefore, as the removal of hemicellulose increases, the cellulose fibrils tend to agglomerate more, consequently decreasing the suspension stability.



More intense alkaline treatments led to a higher degradation of hemicellulose (xylan) from the fiber structure, forming aggregates of cellulose fibrils and affecting the CNFs network

structure (Figs. 3d and 3e). Furthermore, surface xylan played a significant role as an electrostatic stabilizer in the CNFs dispersions by reducing the cohesion energy between the fibrils, whereas the removal of xylan drastically changed the CNFs dispersion properties [43,44].

Fig. 3 also shows the diameter distribution of CNFs produced under different conditions. With average diameters lower than 30 nm, which make the CNFs potentially useful as reinforcing agents in composites [45], the content of CNFs was approximately 33%, 72%, and 62% for Untreated\_N, TOCNF, and Na5\_2\_N, respectively, and approximately 12% and 367 0% for Na10 1 N and Na10 2 N, respectively. These results indicate that the alkali-treated sample containing approximately 10% hemicellulose (Na5\_2) was the only one that led to better nanofibrillation and individualization of the fibrils. This treatment presented more homogeneous nanofibrils, with 62% of the elements measured within the class of diameter of 15-30 nm, surpassing even the TOCNF treatment that presented 41% of the elements measured within the same class. Dias et al. [18] stated that hemicellulose content in the range of approximately 9 to 12% facilitates cell wall deconstruction.

374 TOCNF showed a slight reduction in hemicellulose content (from  $\sim$ 16% to  $\sim$ 15%), and it was the treatment with the best level of nanofibrillation. However, it is essential to note that, unlike other treatments, TEMPO-mediated oxidation promotes cellulose chemical modification through the selective oxidation of C6 hydroxyl groups by inserting carboxyl and aldehyde groups [19].

The darker regions in Figs 2d and 2e overlap, showing less individualization in these samples. The darker color (purple arrows) indicates a more electrodense region. The beam electrons cannot be transmitted in these regions, meaning that they are thicker than in Figs. 3a, 3b, and 3c.

Figs. 3a (Untreated\_N), 3b (TOCNF), and 3c (Na5\_2\_N) more prominently show that these samples had better-structured CNFs networks (mainly in Figs. 3b and 3c) compared to the others. The more efficient the fibrils' individualization was, the greater the surface area of the material, allowing it to have more sites available to bind with water molecules improving the CNFs network's swelling, contributing to its final gel consistency. According to Pääkkönen et al. [31], the swelling level has a considerable contribution to the gel network's quality, rheological behavior, and dewatering behavior of CNFs suspensions.

# **3.5 X-ray diffraction (XRD)**

Fig. 4 shows that samples Untreated\_N and TOCNF present the typical parallel 392 crystalline structure of cellulose I $\beta$  with peaks at  $2\theta = 14.9^\circ$ ,  $16.4^\circ$ ,  $20.5^\circ$ ,  $22.6$  and  $34.7^\circ$ , corresponding to lattice planes (1-10), (110), (102), (200) and (004), respectively [46]. Sample Na5\_2\_N presents all the mentioned peaks related to cellulose Iβ. However, a displacement of 395 the peak at  $2\theta = 22.6^{\circ}$  can be attributed to the beginning of the formation of an additional peak 396 at  $2\theta = 22^{\circ}$ . It corresponds to the lattice plane (020) of cellulose II, which may indicate the beginning of cellulose mercerization [26].

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# **[Figure 4 here]**

**Fig. 4.** Typical X-ray patterns of Eucalyptus CNFs samples obtained from fiber pulps before and after 402 TEMPO and alkaline treatments. Characteristic peaks and the associated lattice planes are indicated for 402 TEMPO and alkaline treatments. Characteristic peaks and the associated lattice planes are indicated for<br>403 Cellulose IB (black dashed lines) and Cellulose II (red dashed lines), respectively. An amorphous halo of Cellulose I $\beta$  (black dashed lines) and Cellulose II (red dashed lines), respectively. An amorphous halo of cellulose II with an FWHM of 9 is used as a reference for crystalline fraction calculation. 

406 Fig. 4 also shows the partial conversion from native cellulose I $\beta$  to cellulose II on fibers 407 treated with an alkali concentration of 10% (Na10\_1\_N and Na10\_2\_N). The diffraction peaks 408 observed at  $2\theta = 12.2^{\circ}$ , 20.1° and 22.1° correspond to the (1-1 0), (110) and (020) lattice planes of cellulose II, respectively [46].

The crystalline fractions (CFs) were calculated using peak deconvolution and an area-based method because the amorphous component used in the Segal method is substantially influenced by the overlap of crystalline peaks [25]. Table 2 shows the modifications that occurred in the samples after the respective treatments. A higher CF average was found in 414 Untreated N, followed by TOCNF and the alkaline treatments Na5 2 N, Na10 1 N and 415 Na10 2 N.

417 **Table 2:** Average and standard deviation values of the crystalline fraction (CF) and crystallite size (CS)

418 for Eucalyptus CNFs produced under different conditions. Different letters in the same column indicate 419 significant ( $\rho \le 0.05$ ) differences between the samples for Tukey's test.



All the treatments impacted the crystallinity of the cellulose. TEMPO-mediated oxidation preserved the native cellulose I structure of the sample. A slight (nonsignificant) decrease in TOCNF crystallinity was observed. This decrease in crystallinity may indicate that decrystallization of crystalline cellulose presumably occurred to some extent under oxidation 425 [47].

The NaOH concentration also influenced the CF of the samples. As the NaOH concentration increased, CF decreased, probably due to the beginning of cellulose mercerization, inducing irreversible transformation of the native crystalline structure into cellulose II. According to Banvillet et al. [48], the formation of the cellulose II crystalline structure was globally less organized, leading to a decrease in crystallinity.

431 In Na10\_1\_N and Na10\_2\_N, cellulose II formation with antiparallel chains (Fig. 4) resulted in a gradual decrease in the cellulose I crystalline regions to soda cellulose I crystallites (Table 3). Cellulose I with antiparallel chains could absorb more alkaline solution, and then it was converted into cellulose II [26]. Furthermore, the conversion to cellulose II by the alkaline solution made fibrillation more complicated because of the aggregation via the interdigitation of micro/nanofibrils in the fiber's secondary cell wall [1].

437 The untreated sample had a crystallite size of  $3.23 \pm 0.05$  nm (Table 3). The following 438 TEMPO treatment led to an increase in CS  $(3.63 \pm 0.03 \text{ nm})$ . The explanation for this increase is 439 that during TEMPO-mediated oxidation, the intra- and intermolecular repulsion increases with 440 the selective oxidation of hydroxyl groups at C6, leading to an increase in crystallite size.

<sup>420</sup> 

441 TEMPO-mediated oxidation is known to insert negative charges to form strong electrostatic 442 repulsion between cellulose chains in water [49].

443 Na5 2 N also led to an increase in the CS  $(3.49 \pm 0.04 \text{ nm})$ . This increase may be due to the rearrangement in the crystal orientation and formation of some interstitial coalescence during the conversion of the (200) plane to the (020) plane [50]. In contrast, Na10\_1\_N and 446 Na10 2 N showed decreased CS,  $2.78 \pm 0.01$  nm, and  $2.31 \pm 0.01$  nm, respectively. The stronger alkali solution could penetrate the crystalline regions, resulting in a disorder in the fiber crystallites, leading to decreased sizes [26].

## 449 **3.6 Rheological behavior**

450 The rheological behavior of the Eucalyptus CNFs suspensions was investigated at 25

451 °C. The curves of apparent viscosity as a function of shear rate are shown in Fig. 5.

# 452 **[Figure 5 here]**

- 453 **Fig. 5.** Apparent viscosity vs. shear rate for the CNFs suspensions under different conditions.
- 454

455 In Table 3, Newton's Law was adequately adjusted for Na10\_2\_N ( $R^2 \ge 0.9544$ ), while

456 the Power law and Herschel-Bulkley models were adjusted for Untreated ( $\mathbb{R}^2 \ge 0.9963$  and

457 0.9984, respectively), TOCNF ( $R^2 \ge 0.9975$  and 0.9983, respectively), Na5\_2\_N ( $R^2 \ge 0.9941$ 

458 and 0.9957, respectively) and Na10\_1\_N ( $R^2 \ge 0.9883$  and 0.9963, respectively). All the samples

459 presented low square root mean square error (RMSE) values  $(\leq 0.17)$ .

460 **Table 3:** Parameters of the Newton model for EUC10% 2H and power law and Herschel-Bulkley models 461 for Untreated\_N, TOCNF\_N, Na5\_2\_N, and N10\_1\_N.





It was observed that the consistency index (K) was higher for TOCNF. A higher value of the (K) factor may indicate suspensions containing CNFs with a higher aspect ratio, which is expected for TEMPO-oxidized CNFs. The (K) and apparent viscosity seem to be strongly influenced by the hemicellulose content. It can be observed that the value decreases clearly from 469 Untreated N to Na5 2 N and almost reaches zero to Na10\_1\_N. According to Pääkkönen et al. [31], hemicellulose (especially xylan) is responsible for linking a significant amount of water to the fiber/CNFs structure, conferring higher viscosity to the suspensions, thereby increasing the (K) value.

The morphology of the material (each fibril) may be related to the (K) value. Poor 474 nanofibrillated material (Na10\_1\_N and Na10\_2\_N) containing particles with larger dimensions leads to a weak fiber network with lower stiffness, facilitating its breaking and ordering when 476 subjected to shear, thereby decreasing the viscosity [27].

Untreated\_N presented flow index values of 0.34 (Power law) and 0.43 (Herschel-Bukley), while TOCNF presented the lowest values (0.26 and 0.29 for Power Law and Herschel-Bulkley, respectively). Na5\_2\_N presented flow index values of 0.34 and 0.41 (Power Law and Herschel-Bulkley, respectively), and Na10\_1\_N presented (n) values of 0.52 and 0.73 (Power Law and Herschel-Bulkley, respectively).

The flow index (n) depends on the structural properties of the entire suspension [51] and indicates the degree of non-Newtonian characteristics of the material. The values for all CNFs samples (except Na10\_2\_N) in the Power law and Hershel-Bulkley models point to pseudoplastic fluid behavior, presenting values lower than 1. Similar behavior was reported by Naderi et al. [52] when investigating the viscosity of softwood pulp and reported by Souza et al. [27] when studying the rheological behavior of Pinus, Eucalyptus, and cocoa shell CNFs. The decrease in viscosity is characteristic of pseudoplastic fluids as the shear rate applied to the fluid increases (Fig. 5). This phenomenon is due to the state of order of the material present in the stable solution, which is disordered, and, as shear is applied, it starts to become organized, decreasing the viscosity.

The (K) values can also be linked as an indicator of the viscosity of the suspension, while the (n) values can be related to the shear-thinning behavior of the suspension. Thus, highly viscous suspensions would yield larger (K) values, while (n) values would decrease as the suspensions become more thinning [53].

The rheology of nanocellulose suspensions is dependent on the structure, degree of dispersion, degree of nanofibrillation, and interactions between the nanocellulose and the solvent or matrix material [54]. The presence of a significant amount of surface hydroxyl groups makes them easily dispersed in water and provides fluids with shear-thinning rheology and thixotropy, even at low concentrations [55]. Rheological behavior characterization is essential for understanding nanocellulose applicability to the use of nanocellulose-containing products and processing issues related to coating, extrusion, molding, etc. [6].

Na10\_2\_N presented almost Newtonian flow, and the difference from the other treatments may be due to the low efficiency of nanofibrillation. Fig. 3 shows that there was no good deconstruction of the fiber cell wall in Na10\_2\_N, so there was no efficient individualization of nanoscale fibrils, leading to the absence of a gel-like aspect. Geng et al. [56] found that without reaching the gel-like point, the behavior of CNFs suspensions is almost Newtonian.

The majoritarian presence of large particles may have contributed to this behavior, as observed by Marchessault et al. [57], who studied the viscosity of cellulose microcrystal suspensions and found that particle size influenced the rheological behavior of suspensions, 512 showing Newtonian behavior. The low concentration (1%) of this suspension (Na10\_2\_N) also contributed to this behavior.

Due to its gel-like behavior, CNFs can be applied in the paper industry as a coating layer on paper and paperboards. Coating processes such as bars and extrusion have the advantages of high flexibility, absence of adhesive, and low production cost compared to a laminated system [58].

The rheological behavior of the CNFs may be correlated to the efficiency of nanofibrillation and the morphology of the material, which explains the lower viscosity of the CNFs of Na10\_2\_N; they have more non-nanofibrillated fibers than other CNFs. This discrepancy may result in the lack of a web-like network, which could facilitate breaking and ordering when the CNFs are exposed to shear force [27]. When nanofibrillation is effective, the surface area increases, and therefore, more interactions between fibril aggregates occur, leading to higher flow resistance of the suspension [59,60].

Two different types of behaviors can be observed in cellulose fiber suspensions: at lower shear rates, the pulp suspension is a Newtonian fluid, and at higher shear rates, pulp behavior is a non-Newtonian fluid [61].

528 Fig. 6 shows the apparent viscosity of CNFs at  $100 s<sup>-1</sup>$  under different conditions as a function of hemicellulose content. When the hemicellulose content in the CNFs increased, the 530 apparent viscosity also increased, demonstrating a direct correlation ( $R<sup>2</sup> = 0.9966$ ) between 531 these two parameters. The hemicellulose content of Na5\_2\_N decreased by approximately 39% compared with that of Untreated\_N, and the apparent viscosity decreased by approximately 533 52% at a shear rate of 100 s<sup>-1</sup>. The hemicellulose content of Na10 1 N and Na10 2 N 534 decreased by 75% and 80%, respectively, whereas the apparent viscosity at shear rate at 100 s<sup>-1</sup> decreased 92% and 98%, respectively.

# **[Figure 6 here]**

**Fig. 6.** The relationship between apparent viscosity and hemicellulose contents in the different CNFs after nanofibrillation. \* EUC TEMPO was not considered in the correlation because, unlike the other fibers, it was a chemically modified fiber. Schematic representation showing how hemicellulose influences the separation of cellulose microfibrils. A) Representation of cellulose nanofibrils composed of crystalline and amorphous domains, both formed by ordered and disordered regions of cellulose chains; B) fibers with higher hemicellulose content being cut during nanofibrillation and being easily individualized; and C) nanofibrils from fibers with a low hemicellulose content are more difficult to individualize due to the coalescence between microfibrils.

However, in TOCNF, substitution by aldehyde and carboxyl groups in C6 caused the nanofibrils to create strong electrostatic repulsions with each other, allowing a greater number

of bonds with water molecules. This bonding resulted in a significant increase in the viscosity of 549 the suspension (210%), despite the slight loss of hemicellulose ( $\sim$ 7%) due to oxidation in an alkaline environment.

Hemicellulose plays an essential role in the nanofibrillation of wood pulp because its presence impedes microfibril coalescence due to its ability to bind directly to cellulose microfibrils through hydrogen bonds [62]. These results indicate that a higher hemicellulose content supports CNFs individualization (as shown in Fig. 6) because of the increase in viscosity, implying the formation of a complex network of CNFs. In contrast to cellulose, which is more rigid than the other cell wall components, the behavior of hemicellulose is more plastic, and hemicellulose can be plasticized, reducing the cohesion forces between the microfibrils [63].

The viscosity of polymers and their solutions and suspensions is an essential transport property that involves their process and applications. The rheological behavior of Untreated\_N, TOCNF, and Na5\_2\_N suspensions indicates that these can be applied in processes where viscosity is a determinant, such as coating on the paper surface.

During the coating process, the viscosity of the paper coating is relatively low at high shear rates. After being transferred to the paper surface, CNFs in the coating form a highly 565 viscous layer when the shear force ends [64]. Na10 1 N and Na10 2 N, due to their low viscosity, may be applied as reinforcement agents, where the viscosity is not a limiting factor.

567 The viscosities of the Na10 1 N and Na10 2 N samples at a shear rate of 100 s<sup>-1</sup> are extremely low (8 mPa.s and 2 mPa.s, respectively), indicating that they have more free water in the suspension and would not be effective as rheological modifiers in the present conditions. This free water in greater quantities can harm the healing process of a cement system.

#### **3.7 Mechanical performance of the CNFs films**

Table 4 shows the average and standard deviation values of the mechanical properties of different CNFs films.

**Table 4:** Average and standard deviation values of tensile strength, Young's modulus, and elongation at 575 break of the nanostructured films from CNFs under different conditions. \*It was impossible to perform

576 the test on EUC10% 2H because it was not possible to form films for this treatment. Different letters in 577 the same column indicate significant ( $\rho \le 0.05$ ) differences between the samples for Tukey's test.

<b>Samples</b>	<b>Tensile strength</b> (MPa)	<b>Young's modulus</b> (GPa)	<b>Elongation at</b> break (%)
Untreated N	$41.5 \pm 5.5^{\rm b}$	$3.8 \pm 0.8$ <sup>bc</sup>	$1.8 \pm 0.4^{\circ}$
<b>TOCNF</b>	$54.5 \pm 5.5^{\circ}$	$8.5 \pm 0.9^{\rm a}$	$1.2 \pm 0.3^b$
$Na5_2_N$	$45.9 \pm 6.5^{ab}$	$4.2 \pm 0.5^{\rm b}$	$1.7 \pm 0.3^{\text{a}}$
Na10 1 N	$24.9 \pm 6.4^{\circ}$	$3.0 \pm 0.6^{\circ}$	$1.1 \pm 0.2^b$
Na10 2 $N^*$	-	-	-

578

TOCNF had higher averages of tensile strength and Young's modulus, whereas 580 Na10\_1\_N had lower averages. Untreated N and Na5\_2\_N presented intermediate averages and did not differ from each other. This result can be attributed to the reduction of empty spaces in the interfaces between nanofibrils, promoted by the increase in the highly individualized 583 nanofibril surface area. However, CNFs Na10 1 N (24.9  $\pm$  6.4 MPa) exhibited lower nanofibrillation efficiency. A higher free volume between fibrils may have resulted in a reduction in the crystallinity index, thereby decreasing the tensile strength and Young's modulus (3.0 GPa) of fibrils themselves [65].

587 Another factor that explains the decrease in the mechanical properties of the CNFs 588 Natural 1 N films is the partial conversion of cellulose I to cellulose II. In the literature, cellulose 589 II has a lower Young's modulus than cellulose I [66].

The hemicellulose content can also influence the mechanical properties of films, as 591 shown in the previous section. Na10 1 demonstrated a lower hemicellulose content ( $\sim$ 4%) than Untreated (~16%), TOCNF (~15%), and Na5\_2 (~10%). When the hemicellulose content declined drastically, the mechanical properties of the Na10\_1 sample film may have decreased. The superiority of samples with a higher hemicellulose content on mechanical properties may be due to many hydroxyl groups that increase the hydrogen bond energy density [67]. Furthermore, the presence of more xylan contributes to the adhesion between CNFs in the dried state, leading to an improvement in the strength of the CNFs films [68].

598 The elongation at break averages of Untreated N and Na5 2 N were similar. 599 Na10 1 N presented a lower average, although it was similar to TOCNF. In the case of 600 Na10\_1\_N (1.1  $\pm$  0.2%), the decrease may indicate that hemicellulose is essential for plastic

601 deformation of CNFs films because of their amorphous nature [69].

Excessive removal of hemicellulose caused coalescence between fibrils, impeding the formation of an interconnected network resulting in low nanofibrillation (Fig. 3D). As already reported, these polymers inhibit the coalescence between microfibrils, facilitating cell wall delamination.

# 606 **3.8 Effect of modifications on quality of cellulose nanofibers**

607 The CNFs produced after the different treatments were evaluated by the simplified

608 quality index (\*Q.I.) proposed by Desmaisons et al. [21], obtaining the values shown in Table 5.

609 **Table 5:** Simplified quality indices of CNFs produced by different treatments. Different letters in the 610 same column indicate significant ( $\rho \le 0.05$ ) differences between the samples for Tukey's test.



611

The TOCNF treatment obtained the highest score in the quality index. It was observed that the Na5\_2\_N treatment obtained a quality index score statistically equal to that of the Untreated\_N sample. However, it promoted economical energy consumption during nanofibrillation, reflecting the efficiency of this treatment in reducing the recalcitrance of the fibers, facilitating its deconstruction into smaller structures. The quality index score for the 617 Untreated N sample was similar to those obtained by Espinosa et al. [70] (59.5  $\pm$  5.5)), while 618 the Na10 1 N treatment obtained a lower value than that found by Banvillet et al. [48] (54.0  $\pm$ 619 2.8)).

620 The Na10 2 N treatment resulted in the lowest score, demonstrating the influence of 621 the reaction time of the alkaline treatment. As already discussed in previous sections, this 622 behavior may be associated with the hemicellulose content in the samples, which facilitates the

deconstruction of the fiber cell wall, as shown in the results of the microsized area, where the treatment with less hemicellulose showed a larger area of non-nanofibrillated particles.

The NaOH treatments, in general, promoted increased transparency of the samples compared to Untreated\_N, which can be attributed to the disorder caused by the alkaline treatment in the cellulose structure during the conversion from the native form to cellulose II and to the increased presence of amorphous structures [71].

**4 Conclusions** 

NaOH treatments under different conditions promoted changes in fiber properties and the crystalline structure of cellulose. The partial removal of hemicellulose to a range close to 10% and the coexistence of cellulose I and cellulose II polymorphs (in low quantity) facilitated the production of CNFs. Hemicellulose influenced the rheological behavior of the CNFs because its removal reduced the formation of complex networks between nanofibrils because it has free hydrogen bonding sites that allow for cross-linking between cellulose chains. It also influenced their mechanical performance; the higher removal impaired the entanglement of the nanofibrils, weakening the structure of the films.

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#### **Conflict of interest**

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- The authors declare that they have no conflicts of interest.

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880

CooNa 2889 **O-H stretch**  $\overline{8}$ Transmittance (a.u) 1600 Untreated\_N **TONFC** Na5 2 N Na10\_1\_N Na10\_2\_N 3000 4000 1500 1000 3500 Wavenumber  $(cm<sup>-1</sup>)$ 









Diameter range (nm)







Unit cell of cellulose Iß



Unit cell of cellulose II





Fiber with higher hemicellulose content

Fiber with lower hemicellulose content