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Ge Zhu, Alain Dufresne. Synergistic reinforcing and cross-linking effect of thiol-ene-modified cellulose nanofibrils on natural rubber. *Carbohydrate Polymers*, 2022, 278, pp.118954. 10.1016/j.carbpol.2021.118954 . hal-04098633

**HAL Id: hal-04098633**

**<https://cnrs.hal.science/hal-04098633v1>**

Submitted on 22 Jul 2024

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# 1 Synergistic reinforcing and cross-linking effect of thiol-ene-modified 2 cellulose nanofibrils on natural rubber

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## 7 Abstract

8 To achieve synergistic reinforcing and cross-linking effect across interface between  
9 hydrophilic nanocellulose and hydrophobic rubber, active thiol groups were  
10 introduced at reducing end of CNF while retaining hydroxyl groups on the surface,  
11 thus forming a percolation network in nanocomposites. The nanocomposites were  
12 obtained by casting/evaporating a mixture of dispersed modified CNF and NR in latex  
13 form, in which covalent cross-links were formed between thiol groups and double  
14 bonds of NR via photochemically initiated thiol-ene reaction. Strong interfacial  
15 interaction between NR matrix and end-modified CNF was characterized by Fourier-  
16 transform infrared spectroscopy. The structural and mechanical properties of the  
17 nanocomposites were evaluated by scanning electron microscopy, dynamic  
18 mechanical analysis and tensile tests. Compared to neat NR, the nanocomposite  
19 reinforced with 10 wt% modified CNF showed significantly higher values of tensile  
20 strength (0.33 to 5.83 MPa), Young's modulus (0.48 to 45.25 MPa) and toughness  
21 (2.63 to 22.24 MJ m<sup>-3</sup>).

## 22 **Keywords**

23 Cellulose nanofibril, End modification, Thiol-ene reaction, Interfacial interaction,  
24 Mechanical properties

## 25 **1. Introduction**

26 Polymer nanocomposites consisting of a nanoscale reinforcing filler and polymer  
27 matrix have attracted researchers' great interest in the last few decades (Fu et al.,  
28 2018; Cao, Zhang, Lu, Luo, & Zhang, 2017; Chen, Huang, Gong, Xu, & Mou, 2017).  
29 The nanofiller helps to achieve better properties at lower volume fractions due to their  
30 large specific surface area compared to micrometer size particles. By using nanofillers  
31 (such as silica, carbon nanotubes, clay and graphene) (Cao, Huang, & Chen, 2018;  
32 Cao, Fan, Huang, & Chen, 2019; Jardin, Zhang, Hu, Tam, & Mekonnen, 2020),  
33 stronger reinforcement effects have been achieved in natural rubber (NR) composites.  
34 Among the potential nanofillers, nanocellulose, consisting of nanosized cellulose-  
35 based particles, is an elongated nanomaterial with high aspect ratio and high  
36 crystallinity. It has been widely studied due to its excellent mechanical properties,  
37 biocompatibility, renewability, sustainability and abundance.  
38 NR is one of the most important elastic materials in daily applications due to its  
39 excellent strength, resilience, flexibility and elasticity (Xu, Zheng, Wu, Wang, & Fu,  
40 2019; Liu, Cao, Yuan, & Chen, 2018; Gan et al., 2018). However, unfilled NR  
41 exhibits poor mechanical properties in terms of stiffness, so the incorporation of a  
42 nanofiller into NR matrix is often required (Smyth, García, Rader, Foster, & Bras,

43 2017; Pasquini, de Moraes Teixeira, da Silva Curvelo, Belgacem, & Dufresne, 2010;  
44 Wu, Lu, Zhang, & Zhou, 2015). Smyth et al. (2017) and Pasquini et al. (2010) studied  
45 the reinforcing effect of cellulose nanocrystals (CNCs) on natural rubber and found  
46 that the performance of the obtained nanocomposites mainly depended on the  
47 properties of CNC, since the higher the aspect ratio, the better the reinforcing effect.  
48 Meanwhile, it was found that the formation of a rigid percolation network over a  
49 certain filler content greatly enhanced the reinforcement of the NR matrix (Peng et al.,  
50 2019; Tao, Dufresne, & Lin, 2019)

51 However, the increase in the mechanical properties of the nanocomposites by adding  
52 nanocellulose was still not very obvious and far from expectation due to inadequate  
53 interfacial interaction between nanocellulose and NR matrix. The nanocellulose  
54 surface contains a large number of hydroxyl groups, so the interfacial compatibility  
55 between hydrophilic nanocellulose and hydrophobic rubber matrix is poor and leads  
56 to aggregation of nanocellulose in the NR matrix. This insufficient interfacial  
57 adhesion between both components does not effectively transfer the stress from the  
58 continuous phase to the filler under mechanical loading. In order to improve the  
59 compatibility and enhance the interfacial adhesion between nanocellulose and the NR  
60 matrix, Parambath Kanoth et al. (2015) introduced sulfhydryl groups (-SH) on the  
61 surface of CNC and achieved reinforcement and cross-linking between the NR matrix  
62 and modified CNC by thiol-ene reaction. Goetz et al. (2009a, 2009b)) used  
63 poly(methyl vinyl ether-co-maleic acid)-polyethylene glycol as a matrix and

64 nanocellulose as a cross-linking agent to prepare cross-linked nanocomposites via the  
65 esterification reaction. The nanocellulose is trapped in the cross-linking network  
66 which prevents its aggregation, and the nanocomposite shows excellent mechanical  
67 properties. Therefore, the dispersion of the nanofiller within the host polymer matrix  
68 and the interfacial interactions between the nanofiller and the matrix are key  
69 properties for improving the properties of the nanocomposite. However, these  
70 strategies consume surface hydroxyl groups which are the basis of the exceptional  
71 reinforcing effect of these nanoparticles via the establishment of a nanofiller  
72 percolating network.

73 Here, we chose high aspect ratio cellulose nanofibrils (CNFs) as reinforcing nanofiller  
74 but also as cross-linking agent after end modification. In order to achieve the  
75 synergistic effect of strengthening and cross-linking across the interface, active thiol  
76 groups (-SH) were introduced at the reducing end of CNF, while retaining the  
77 hydroxyl (-OH) groups on the surface, giving CNFs the possibility to interact with  
78 each other and thus forming a stable percolating network in the nanocomposites. The  
79 nanocomposites were obtained by casting/evaporating a mixture of dispersed  
80 modified CNF and NR in latex form, in which covalent cross-links were formed  
81 between the thiol groups and double bonds of the NR matrix via photochemically  
82 initiated thiol-ene reaction. With this design, it was possible to achieve both the  
83 formation of a percolation network and strong interfacial interactions between the  
84 nanofiller and the NR matrix, which should improve the strength and modulus while

85 retaining the high ductility of the nanocomposites. The strong interfacial interaction  
86 between the NR matrix and the end-modified CNF was characterized by Fourier-  
87 transform infrared (FTIR) spectroscopy. The structural and mechanical properties of  
88 the nanocomposites were evaluated by scanning electron microscopy (SEM), dynamic  
89 mechanical analysis (DMA) and mechanical tensile tests.

## 90 **2. Experimental sections**

### 91 **2.1 Materials**

92 The natural rubber (NR) latex with 60 wt% solid content was supplied by Centrotrade  
93 Deutschland GmbH (Eschborn, Germany). Cellulose nanofibril (CNF) aqueous  
94 suspension (CNF, 3 wt%) was obtained from CTP (Centre Technique du Papier,  
95 Grenoble). It was isolated from strongly refined bleached birch pulp using an  
96 enzymatic pretreatment and a final homogenization step. 6-Amino-1-hexanethiol  
97 hydrochloride (AHH), 2-hydroxy-4-(2-hydroxyethoxy)-2-methylpropiophenone  
98 (Irgacure 2959), 1-[3-(dimethylamino)propyl]-3-ethylcarbodiimide methiodide  
99 (EDC), N-hydroxysuccinimide (NHS), sodium triacetoxyborohydride (Ac), and  
100 sodium chlorite (NaClO<sub>2</sub>) were purchased from Sigma Aldrich. Potassium chloride  
101 (KCl), sodium carbonate anhydrous (Na<sub>2</sub>CO<sub>3</sub>), acetic acid, hydrochloric acid (HCl),  
102 silver nitrate (AgNO<sub>3</sub>), sodium borohydride (NaBH<sub>4</sub>), and sulfuric acid (H<sub>2</sub>SO<sub>4</sub>, 98%)  
103 were purchased from Roth and used as received.

### 104 **2.2 CNF end modification by sodium triacetoxyborohydride and 6-Amino-1-** 105 **hexanethiol hydrochloride via aldimine condensation**

106 The pH of CNF aqueous dispersions (10 mg/mL) was regulated to 9.2 with Na<sub>2</sub>CO<sub>3</sub>  
107 buffer solution in oil bath at 70°C. NH<sub>2</sub>(CH<sub>2</sub>)<sub>6</sub>SH·HCl (0.3 mmol) and reducing agent  
108 Ac (45 mmol) were dissolved in distilled water and added into the CNF suspension by  
109 magnetic stirring under the protection of nitrogen for 3 days. After reaction, the  
110 dispersion was cooled to room temperature. Then 20 mL of 3 M HCl solution was  
111 added to neutralize the excess reducing agent (Ac), and the neutralized suspension  
112 was dialyzed against distilled water for 5 days. Then the KCl solution was added  
113 under magnetic stirring for 24h. After incubation treatment, the CNF-SH-a suspension  
114 was obtained by dialysis against distilled water to remove electrolytes.

### 115 **2.3 CNF end modification by 6-Amino-1-hexanethiol hydrochloride, EDC, NHS** 116 **via carboxyamine condensation**

117 The end aldehyde groups of CNF were first converted into carboxyl groups (–COOH)  
118 (Arcot, Lundahl, Rojas, & Laine, 2014). NaClO<sub>2</sub> solution (250 mM) was added to the  
119 CNF aqueous suspension (10 mg mL<sup>-1</sup>) at room temperature for 20 h, the pH of the  
120 aqueous suspension was regulated to 3.5 by acetic acid, and it was followed by  
121 dialysis against distilled water to remove excess reactants. The resulting CNF-COOH  
122 suspension with carboxyl ends was used for further modification. The pH of the CNC-  
123 COOH suspension (5 mg/mL) was adjusted to 7.0, then NHS (0.3 mmol) and EDC (3  
124 mmol) were added under the protection of nitrogen. Following, the pH of the  
125 suspension was adjusted to 6.5, and desired amounts of KCl (1 M) solution were  
126 added to increase the ionic strength. Then the carboxyamine reaction started with the

127 addition of  $\text{NH}_2(\text{CH}_2)_6\text{SH}\cdot\text{HCl}$  (3 mmol) under a pH of 9.2 (regulation by 0.1 M  
128  $\text{Na}_2\text{CO}_3$  buffer) at room temperature. After the 2 h incubation, the resulting CNF with  
129 end-thiol (CNF-SH-b) was obtained by dialysis against distilled water to remove the  
130 unreacted reagents.

#### 131 **2.4 Preparation of NR/CNF-x and NR/CNF-SH-x nanocomposites**

132 The nanocomposites were prepared by mixing the two aqueous dispersions and  
133 casting/evaporating. The desired amount of pristine CNF or end-modified CNF-SH  
134 and NR latex was first mixed uniformly. Then the photoinitiator (Irgacure 2959, 2  
135 wt%) was added into the mixture suspension under magnetic stirring for 3 h. Next, the  
136 uniform mixture suspension was exposed to UV irradiation (365 nm) to initiate the  
137 click reaction between NR matrix and CNF-SH under controlled intensity and  
138 distance for 30 min. Finally, the mixture suspension was poured into PTFE molds  
139 (diameter =100 cm) at 45°C overnight to get the nanocomposites by water  
140 evaporation. Two kinds of composites were prepared and codified as NR/CNF-x and  
141 NR/CNF-SH-x with various CNF or CNF-SH contents ( $x = 0, 2, 5, 8, 10, 15$  wt %).  
142 The CNF and CNF-SH contents were based on the total solid content.

#### 143 **2.5 Characterization**

144 Elemental analysis (EA) was performed to trace the content of nitrogen (N %) and  
145 sulfur (S %) elements after modification with elemental analyzer (Elemental Analysis  
146 system, GmbH). X-ray photoelectron spectroscopy (XPS) experiments were carried  
147 out on a Thermo Fisher spectrometer.



148 The Zeta potential of CNF and CNF-SH suspensions was measured with Zetasizer  
149 Nano instrument (Malvern). Fourier-transform infrared spectroscopy (FTIR)  
150 measurements were performed on a Perkin Elmer Spectrum 65 spectrometer in the  
151 range 4000-600  $\text{cm}^{-1}$ . Solid-state NMR analysis was performed on a Bruker AVANCE  
152 400 NMR spectrometer with a 4 mm CP-MAS probe at 12 000 Hz spinning speed and  
153 6 s relaxation delays. Thermogravimetric analysis (TGA) curves were recorded from  
154 25°C to 600°C (10°C/min) under nitrogen atmosphere. X-ray diffraction (XRD)  
155 (Bruker, Germany) was performed by Cu  $K\alpha$  radiation at 40 KV. The diffraction angle  
156 ( $2\theta$ ) was varied from 5 to 45° with a rate of 0.02°/s. The Segal method was used to  
157 calculate the crystallinity index from XRD data (Zhu, Xu, Dufresne, & Lin, 2018).  
158 The CNF suspension was negatively stained with a 2 wt% uranyl acetate solution, and  
159 samples were observed with a transmission electron microscope (JEOL JEM 2100-  
160 Plus) at 200 kV. For the CNF-SH suspension, a silver-staining technique was used to  
161 identify the reducing end modification by in situ growth method. The silver nitrate  
162 solution (1 mL, 1 mM) was added to the CNF-SH suspension (10 mL, 1  $\text{mg mL}^{-1}$ )  
163 under magnetic stirring for 30 min. Then sodium borohydride solution (10 mL, 5  
164 mM) was added under magnetic stirring for 24h. The resulting suspension was  
165 prepared for TEM.  
166 The cross-linking density was determined as follows. Five weighed samples ( $m_0$ ) were  
167 each immersed in an airtight container of toluene at room temperature. The swollen  
168 samples were then periodically taken out and immediately weighed on an analytical

169 balance ( $m_1$ ) after wiping up with filter paper until a constant weight was obtained.

170 The cross-linking density ( $\nu$ ) was calculated by the Flory-Rehner equation (Flory &

171 Rehner, 1943; Jiang et al., 2021).

$$172 \quad \nu = -\frac{\ln(1-V_{nr})+V_{nr}+xV_{nr}^2}{V_t(V_{nr}^{1/3}-\frac{V_{nr}}{2})} \quad (1)$$

$$173 \quad V_{nr} = \frac{\frac{m_{nr}}{\rho_{nr}}}{\frac{m_t}{\rho_t} + \frac{m_{nr}}{\rho_{nr}}} \quad (2)$$

174 where  $x$  and  $V_t$  are the interaction parameter and molar volume of toluene ( $x = 0.39$ ,  $V_t$

175 = 106.2 cm<sup>3</sup>/mol), respectively.  $m_{nr}$  and  $\rho_{nr}$  are the weight and density of natural

176 rubber ( $\rho_{nr} = 0.93$  g/cm<sup>3</sup>), respectively.  $m_t$  and  $\rho_t$  are the weight and density of toluene

177 ( $\rho_s = 0.865$  g/cm<sup>3</sup>), respectively.

178 The network structure constructed with CNF in the NR nanocomposites was

179 identified by scanning electron microscopy (SEM) (Quanta 200 FEI). The NR/CNF

180 nanocomposites were put in liquid nitrogen for a few minutes and fractured.

181 The mechanical properties of NR/CNF and NR/CNF-SH nanocomposites were

182 measured in tensile mode (Instron 5567, USA). The measurements were performed at

183 a strain rate of 100 mm/min. The sample dimensions were 18 × 6 × 0.6 mm<sup>3</sup>, and the

184 results were averaged on five measurements. The toughness of the nanocomposites

185 was calculated from the area under the stress-strain curve before rupture.

186 Successive tensile tests were carried out to investigate the energy dissipation of

187 nanocomposites using Instron 5567. At the beginning of each experiment, the sample

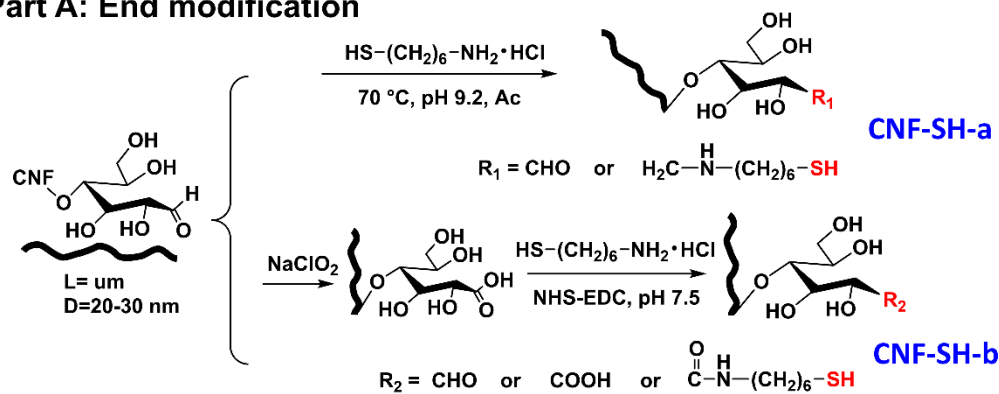
188 was first stretched under a load of 0.5 N. Then, the experiment consisted in stretching

189 the sample up to a given elongation  $\Delta L_1 = 10$  mm (cycle 1), then releasing the force  
190 down to 0.5 N, and stretching again up to  $\Delta L_2 = 2\Delta L_1$  (cycle 2). This procedure was  
191 repeated with increasing elongation  $\Delta L_i = i\Delta L_1$  until the rupture of the sample. The  
192 tensile modulus  $E_i$  and the shrinkage  $r_i$  were determined for each successive cycle.  
193 Dynamic mechanical analysis (DMA, TA, USA) was carried out from -100 to 100 °C  
194 at 5 °C min<sup>-1</sup> in tensile mode. The strain amplitude and frequency were 0.05% and 1  
195 Hz, respectively.

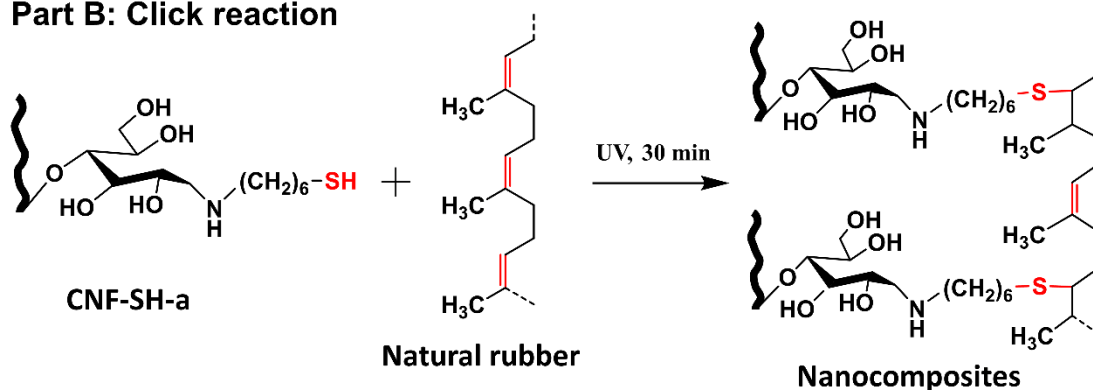
### 196 **3. Results and discussion**

197 The main objectives of this work were the preparation of reducing end modified CNF  
198 and the development of nanocomposites by reactive compounding between reducing  
199 end modified CNF and NR matrix. As shown in Scheme 1, the aim of the reducing  
200 end modification was to introduce active thiol groups (-SH) at the reducing ends of  
201 CNF, while being able to retain the surface hydroxyl groups (-OH). Subsequently,  
202 covalently cross-linked nanocomposites were prepared by click reaction between the -  
203 SH groups on the end modified CNF-SH and unsaturated bonds (-C=C-) of the NR  
204 matrix via UV radiation.

## Part A: End modification



## Part B: Click reaction



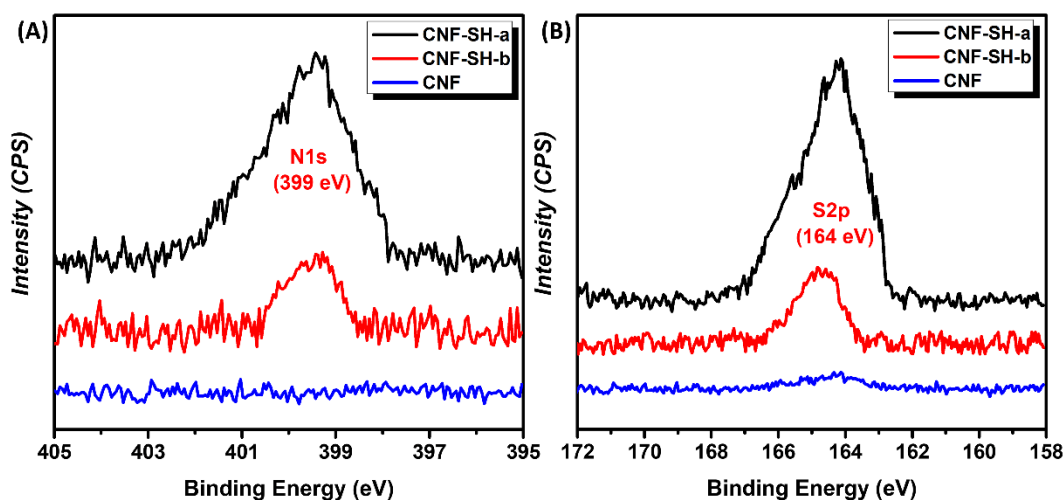
205

206 **Scheme 1** Reducing end modification of CNF and preparation of nanocomposites.

### 207 3.1 Analysis of reducing end modification of CNF

208 In this study, two strategies were designed to achieve end modification of CNF,  
209 including aldimine condensation (CNF-SH-a) and carboxyammine condensation (CNF-  
210 SH-b), as shown in Scheme 1 (Part A). For the chemical reaction mechanism of CNF-  
211 SH-a, the active end aldehyde groups were easily nucleophilically attacked by the  
212 primary amino group, inducing aldimine condensation. This reaction initially formed  
213 a covalent linkage through the generation of an unstable -C=N- group, which was  
214 then reduced by Ac to form a stable -C-N- group, resulting in the CNF-SH-a terminal  
215 modification. Regarding the chemical reaction mechanism for CNF-SH-b, the CNF  
216 end aldehyde group was oxidized to carboxyl group by NaClO<sub>2</sub> solution, and then

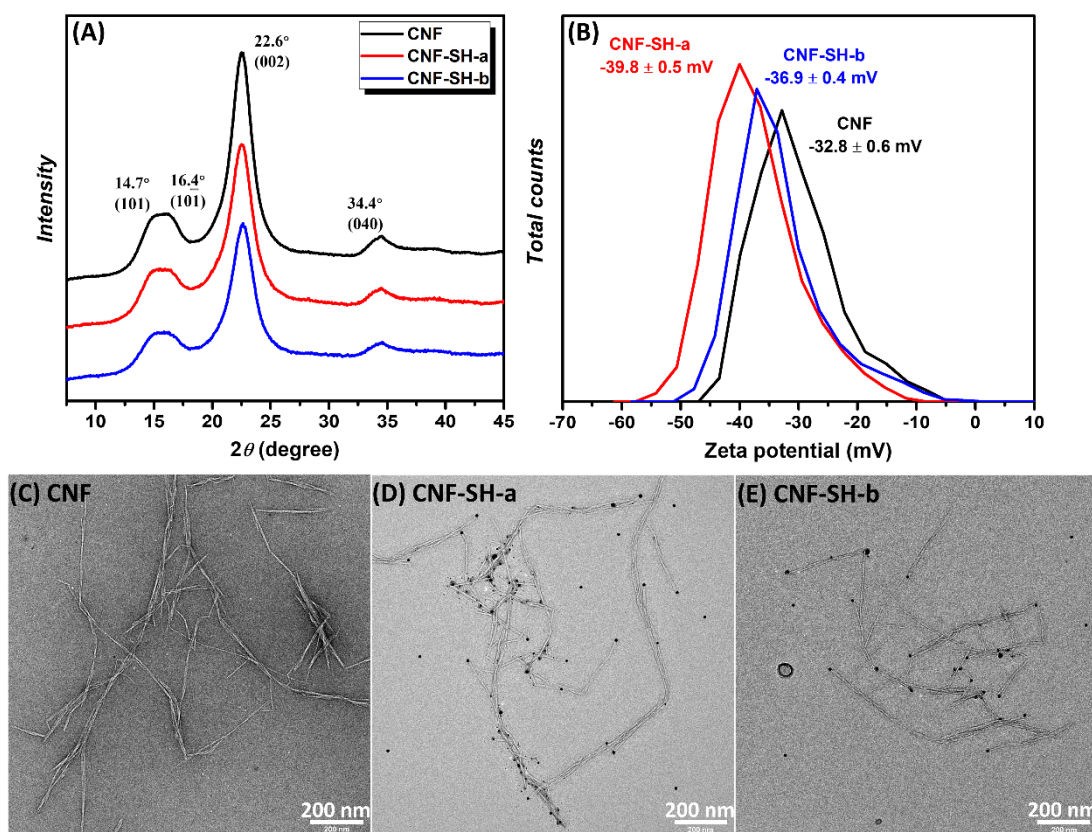
217 combined with EDC catalyst to form O-acylisourea active intermediate, which was  
218 converted into active NHS-CNF-ester product under NHS catalysis. Finally, the  
219 nucleophilic attack by the primary amino group and the cleavage of NHS-CNF-ester  
220 occurred to obtain end modified CNF-SH-b.



221  
222 **Fig. 1** XPS spectra for CNF, CNF-SH-a and CNF-SH-b: (A) N1s and (B) S2p spectra.

223 Compared to surface modifications, the end modification of CNF has relatively few  
224 active sites. XPS and elemental analysis were used to track the changes in sulfur and  
225 nitrogen elements. As shown in Fig. S1, the typical characteristic signals of O1s (534  
226 eV) and C1s (287 eV) can be observed in the XPS spectrum for CNF before and after  
227 end modification. At the same time, characteristic peaks of N1s (399 eV) and S2p  
228 (164 eV) were observed in the end-modified CNF-SH spectrum, which is consistent  
229 with the results of elemental analysis (Table S1), which proved that the thiol group (-  
230 SH) was successfully grafted to the reducing end of CNF. It is worth noting that  
231 elemental analysis results show that the nitrogen and sulfur content in CNF-SH-a was  
232 higher than that of CNF-SH-b; the peak intensity for N1s and S2p for CNF-SH-a in

233 the XPS characteristic element spectrum was also stronger than that of CNF-SH-b  
234 (Fig. 1A and 1B), indicating that CNF-SH-a has a higher grafting efficiency than  
235 CNF-SH-b.



236  
237 **Fig. 2** (A) XRD spectrum and (B) Zeta potential for CNF before and after  
238 modification; TEM images for (C) pristine CNF, negatively silver nanoparticle-  
239 stained (D) CNF-SH-a and (E) CNF-SH-b.

240 The crystalline properties and zeta potential value for CNF before and after end  
241 modification were investigated. As shown in Fig. 2A, the XRD pattern for pristine  
242 CNF and modified CNF (CNF-SH-a and CNF-SH-b) showed typical cellulose I  
243 crystalline characteristic peaks. According to Segal formula (Zhu, Chen, Wu, & Lin,  
244 2019), the crystalline index for pristine CNF, CNF-SH-a and CNF-SH-b was 72.8%,

245 66.8% and 65.7%, respectively, which indicates that the end modification process did  
246 not significantly impair the original crystalline structure of CNF. Zeta potential  
247 measurements were used to prove the stability of the suspension before and after  
248 modification. It is considered that a zeta potential value lower than -30 or over 30 mV  
249 provides adequate repulsive force to achieve colloidal physical stability (Joseph &  
250 Singhvi, 2019). As shown in Fig. 2B, end-modified CNF-SH-a and CNF-SH-b  
251 maintained a high zeta potential absolute value (-39.8 mV and -36.9 mV,  
252 respectively), which shows its good dispersibility ability.

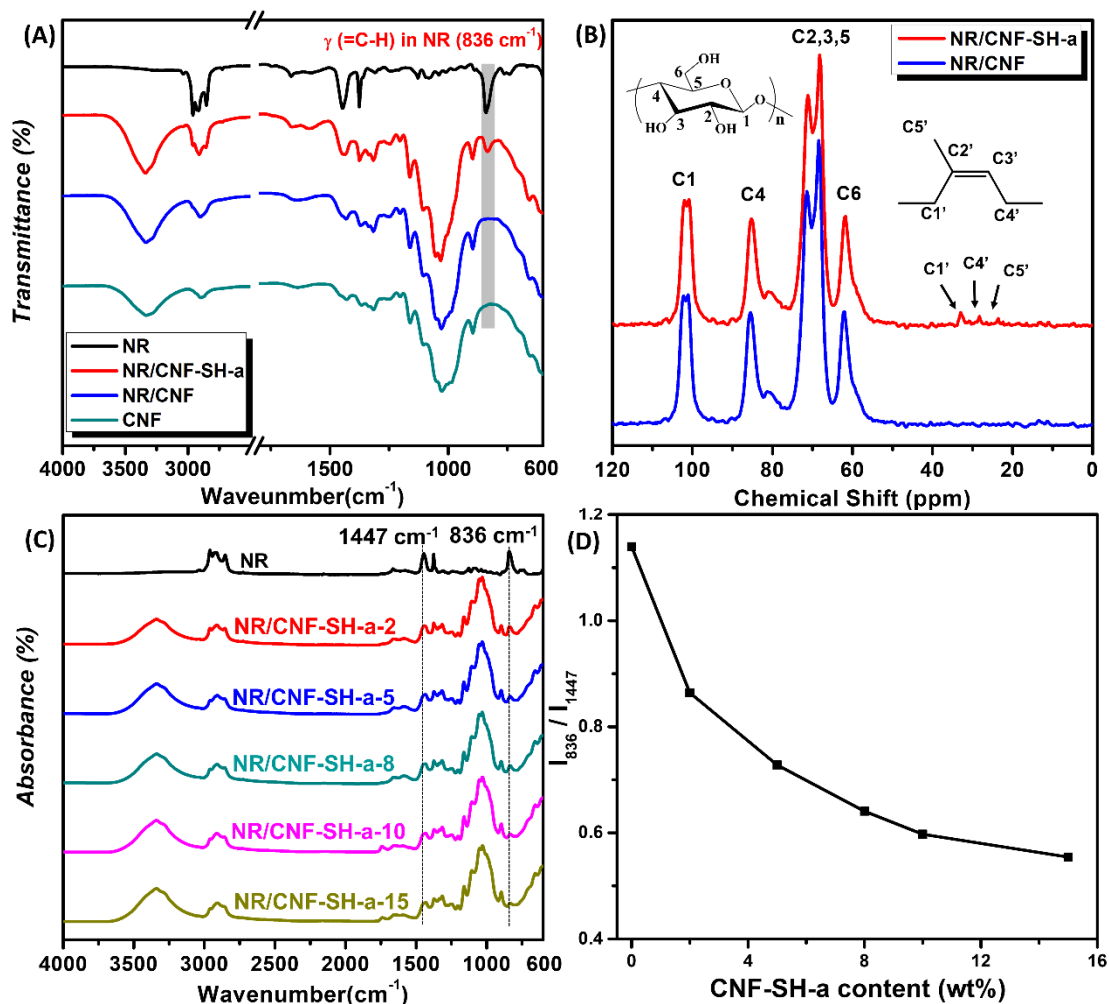
253 After end modification, sulfur atoms were successfully grafted on the reducing end of  
254 CNF. According to the well-known spontaneous reaction between silver nanoparticles  
255 and sulfur, the regioselective labeling of CNF-SH with silver nanoparticles via a  $\sigma$ - $\pi$   
256 coordinate bond (Ning, Xie, Xing, Deng, & Yang, 1996; Ulman, 1996) could prove  
257 the reducing end modification. As shown in Fig. 2C-E, CNF, CNF-SH-a and CNF-  
258 SH-b maintained a fibrous morphology and good dispersibility, as expected from zeta  
259 potential measurements. Furthermore, most of CNF-SH were labeled with several  
260 silver nanoparticles located on one end of CNF-SH by the in-situ growth strategy.  
261 These results provide visual evidence of the successful modification of the reducing  
262 end of CNF. In agreement with XPS and EA results, it was observed that the amount  
263 of silver nanoparticles labeling on CNF-SH-a is significantly higher than for CNF-  
264 SH-b, indicating that the grafting efficiency of the former is higher than the latter.

### 265 **3.2 Click reaction between CNF-SH-a and NR**

266 End-modified CNF-SH-a with -SH groups could react with the unsaturated double  
267 bond (-C=C-) of NR matrix under UV radiation to achieve a reactive compound. To  
268 demonstrate the chemical reaction between CNF-SH-a and NR matrix, the reaction  
269 mixture consisting of NR matrix and CNF-SH-a (2:1 w/w) was purified after 30 min  
270 of UV radiation under stirring. The mixture was prepared by continuous  
271 centrifugation and washing with acetone to completely remove unreacted NR  
272 component and the purified product was conditioned at 40°C in an oven overnight.  
273 For comparison, purified NR/CNF was also subjected to the same treatment.  
274 As shown in Fig. 3A, compared to the FTIR spectra of pristine NR and CNF, the  
275 purified NR/CNF-SH-a mixture exhibits an out-of-plane bending vibrational peak  
276 assigned to =C-H for the alkene group on NR matrix at 836 cm<sup>-1</sup> (Neto et al., 2016).  
277 However, the FTIR spectrum for the purified NR/CNF mixture was essentially  
278 identical to pristine CNF with no new peak appearing. Furthermore, the characteristic  
279 peaks were observed in the solid-state NMR spectrum of purified NR/CNF-SH-a  
280 mixture, corresponding to C1' (33ppm), C4' (27ppm) and C5' (23ppm) in the  
281 chemical structure of isoprene (Kawahara, Chaikumpollert, Sakurai, Yamamoto, &  
282 Akabori, 2009). Combining FTIR and <sup>13</sup>C ssNMR results, it is shown that the  
283 covalent bond formed by the click reaction between the end-modified CNF-SH-a and  
284 NR corresponds to a strong interaction, so that successive centrifugation and washing  
285 steps cannot remove the NR component covalently bonded to CNF-SH-a, and the  
286 characteristic peaks belonging to NR were observed in the FTIR and ssNMR spectra



287 of the purified NR/CNF-SH-a mixture. The interaction between NR and unmodified  
 288 CNF is weak in the NR/CNF mixture and therefore the free NR component can be  
 289 easily removed during the purification process.

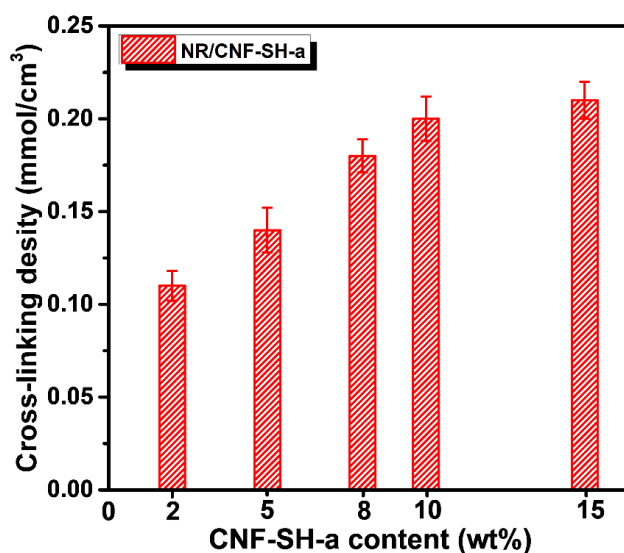


290

291 **Fig. 3** (A) FTIR spectra for NR, NR/CNF-SH-a (2:1 w/w), NR/CNF (2:1 w/w) and  
 292 pristine CNF; (B)  $^{13}\text{C}$  solid-state NMR spectra for NR/CNF-SH-a (2:1 w/w) and  
 293 NR/CNF (2:1 w/w). (C) FTIR spectra for the nanocomposites with different CNF-SH-  
 294 a contents; (D) Evolution of  $I_{836}/I_{1447}$  as a function of CNF-SH-a content.

295 The interfacial interaction between CNF-SH-a and NR matrix in the nanocomposites  
 296 was investigated by FTIR, as shown in Fig. 3C. The peak at  $836\text{ cm}^{-1}$  was assigned to

297 the =C-H out-of-plane bending vibration of the alkene group. Compared to neat NR,  
298 the intensity of the peak at  $836\text{ cm}^{-1}$  for the nanocomposites decreased when  
299 increasing the CNF-SH-a content, attributed to the click reaction between the double  
300 bond in the NR chain and the -SH group of CNF-SH-a. To further explore the  
301 chemical reaction between CNF-SH-a and NR matrix, the C-H bending vibration of  
302 the methyl group at  $1447\text{ cm}^{-1}$  was chosen as a comparison to normalize the intensity  
303 of the =C-H peak at  $836\text{ cm}^{-1}$  by integrating the area of the two peaks in the spectra of  
304 the nanocomposites ( $I_{836}/I_{1447}$ ). The  $I_{836}/I_{1447}$  value decreased from 1.14 to 0.55, when  
305 increasing the CNF-SH-a content from 0 to 15 wt%, as shown in Fig. 3D. These  
306 results demonstrate that covalent bonds are formed and controlled between the thiol  
307 group of CNF-SH-a and the unsaturated double bond in the NR matrix.



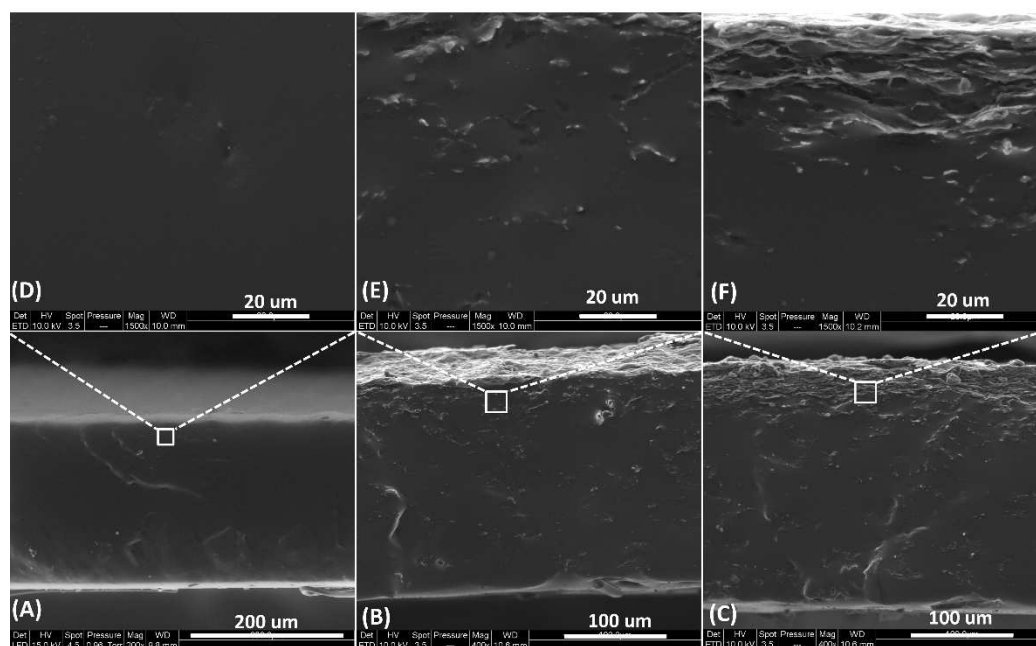
308  
309 **Fig. 4** Cross-linking density of the nanocomposites with different CNF-SH-a contents.  
310 The cross-linking density was calculated by the solvent swelling measurement method  
311 using the Flory-Rehner equation. As shown in Fig. 4, The cross-linking density of

312 NR/CNF-SH-a-x nanocomposites increases when increasing the CNF-SH-a content,  
313 which is consistent with the results of FTIR analysis. Compared with NR/CNF-SH-a-  
314 2 (0.11 mmol/cm<sup>3</sup>), NR/CNF-SH-a-15 nanocomposite exhibits a higher cross-linking  
315 density (0.21 mmol/cm<sup>3</sup>). The results indicate that the improvement of interfacial  
316 interaction in the nanocomposites may contribute to increase the cross-linking density.  
317 The strong interfacial interaction between CNF-SH-a and NR matrix through click  
318 chemistry resulted in a tight bond between the filler and the matrix, effectively  
319 binding the polymer chains together. The greatly increased proportion of rigid phase  
320 in the matrix (Tang & Weder, 2010) slows down the penetration of the solvent into  
321 the matrix, thereby reducing the solvent adsorption and swelling of the NR/CNF-SH-a  
322 nanocomposites.

### 323 **3.3 Morphology of ENR/CNs nanocomposites**

324 The fractured surface for neat NR and nanocomposites reinforced with 5 wt% CNF-  
325 SH-a or CNF were observed by SEM to evaluate the compatibility between the filler  
326 and NR matrix. As shown in Fig. 5 (A and D), a smooth and uniform morphology was  
327 observed for unfilled NR. CNF-SH-a was homogeneously dispersed in the NR matrix  
328 without significant aggregation (Fig. 5B and 5E) due to the introduction of relatively  
329 long NR chains at the end of CNF-SH-a via click reaction, which weakened the  
330 hydrophilic character and hydrogen-bonding tendency of CNF-SH-a. Furthermore, no  
331 gaps or voids were found in the NR/CNF-SH-a-5 nanocomposites, indicating good  
332 interfacial compatibility between CNF-SH-a and NR matrix. The good interfacial

333 compatibility was mainly attributed to the strong interaction between CNF-SH-a and  
334 NR matrix via click reaction. However, a significant micro-phase separation (two  
335 layers: one rougher phase and another smoother phase) was observed for NR/CNF-5  
336 nanocomposites. The rougher phase possibly corresponded to the aggregation of  
337 unmodified CNF at the bottom of the PTFE molds during water evaporation. The  
338 simple physical compounding of hydrophilic CNF and hydrophobic NR matrix  
339 resulted in poor interfacial compatibility and significant micro-phase separation for  
340 the NR/CNF-5 nanocomposite. Therefore, the good interfacial compatibility for CNF-  
341 SH-a reinforced materials is expected to greatly improve the properties of the  
342 resulting nanocomposites (Cao, Yuan, Fu, & Chen, 2018).

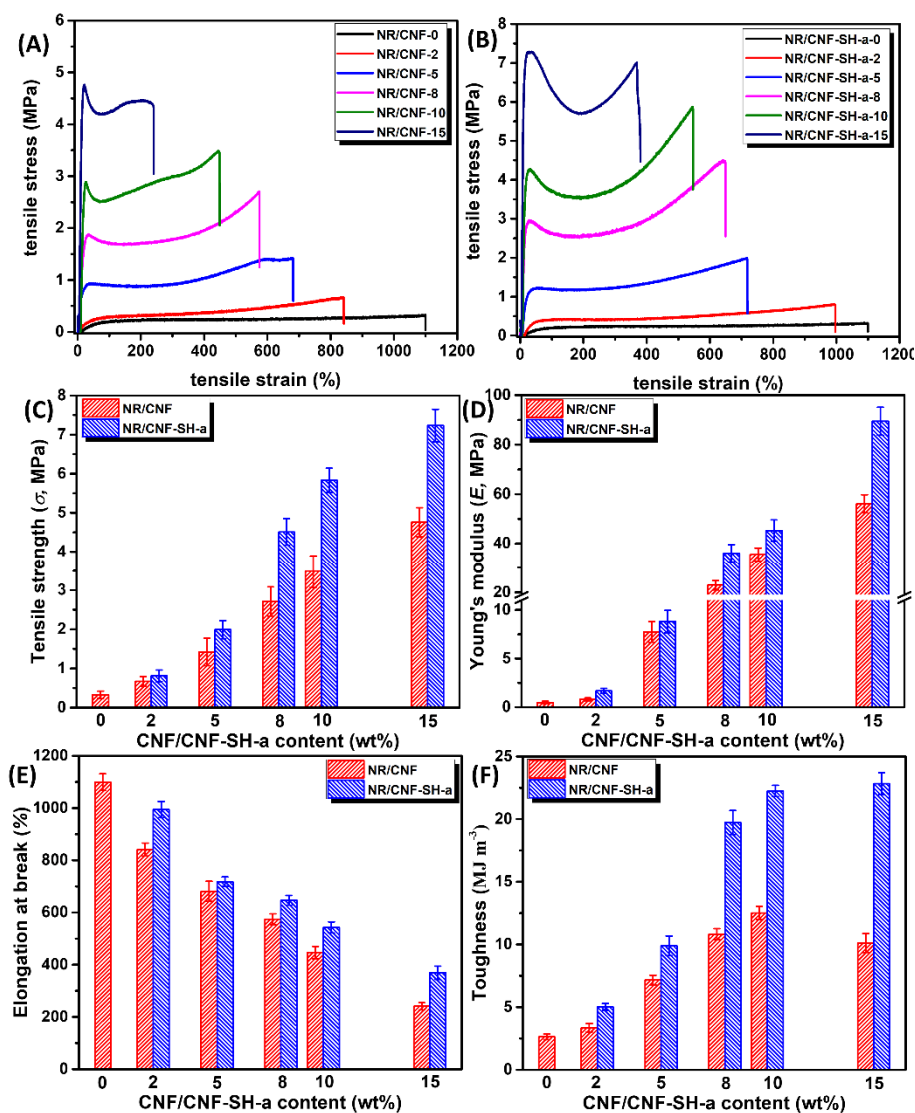


343 **Fig. 5** SEM of fractured surfaces for (A, D) neat NR, (B, E) NR/CNF-SH-a-5 and (C,  
344 F) NR/CNF-5 with different magnifications. The regions delimited by white  
345 rectangles in (A, B and C) images correspond to the lower face of the composite  
346 films, which are in contact with the PTFE mold during water evaporation.  
347

### 348 **3.4 Mechanical properties of nanocomposites**

349 The mechanical properties of NR/CNF and NR/CNF-SH-a nanocomposites were  
350 characterized by tensile tests. Typical stress-strain curves for the nanocomposites and  
351 pure NR are shown in Fig. 6, and the tensile properties are collected in Table S2.  
352 Compared to neat NR, the modulus and tensile strength of the nanocomposites  
353 increased significantly with the addition of CNF and CNF-SH-a. The tensile strength  
354 for NR/CNF-SH-a-10 (5.83 MPa) was 17.6 times higher than that of neat NR (0.33  
355 MPa) and 1.67 times higher than that of NR/CNF-SH-10 (3.48 MPa). Meanwhile, the  
356 Young's modulus for NR/CNF-SH-a-10 (45.25 MPa) was 94.3 times higher than that  
357 of neat NR (0.48 MPa) and 1.27 times higher than that of NR/CNF-SH-10 (35.55  
358 MPa). The elongation at break of the nanocomposites decreased when increasing the  
359 CNF and CNF-SH content, in line with previous studies (Cao et al., 2013; Cao, Xu,  
360 Liu, & Chen, 2013). This is mainly attributed to the fact that the entangled CNF  
361 network expected to form within the NR matrix provides higher stiffness at the  
362 expense of ductility. Meanwhile, the strong interfacial interaction between NR and  
363 CNF-SH via click reaction held the matrix and filler more tightly and restricted the  
364 movement of NR chain segments. The toughness of the nanocomposites increased  
365 when increasing CNF and CNF-SH-a content. For 15% CNF content, the toughness  
366 decreased due to the aggregation of CNF in the NR matrix, which is consistent with  
367 SEM observations. The toughness of NR/CNF-SH-a-10 (22.24 MJ m<sup>-3</sup>) was 8.45  
368 times higher than that of neat NR (2.63 MJ m<sup>-3</sup>) and 1.78 times higher than that of

369 NR/CNF-SH-10 (12.51 MJ m<sup>-3</sup>). Therefore, for a given CNF content, a lower  
 370 degradation of the ductility of the material is observed for CNF-SH-a based  
 371 nanocomposites. These results indicate the synergistic effect of the rigid CNF-SH-a  
 372 reinforcement together with cross-linking at the filler-matrix interface.



373  
 374 **Fig. 6** Stress-strain curves for (A) NR/CNF nanocomposites, and (B) NR/CNF-SH-a  
 375 nanocomposites. Evolution of (C) the tensile strength, (D) Young's modulus, (E)  
 376 elongation at break and (F) toughness as a function of filler content for neat NR and  
 377 nanocomposites (the error bars are standard deviations).

### 378 **3.5 Successive tensile tests**

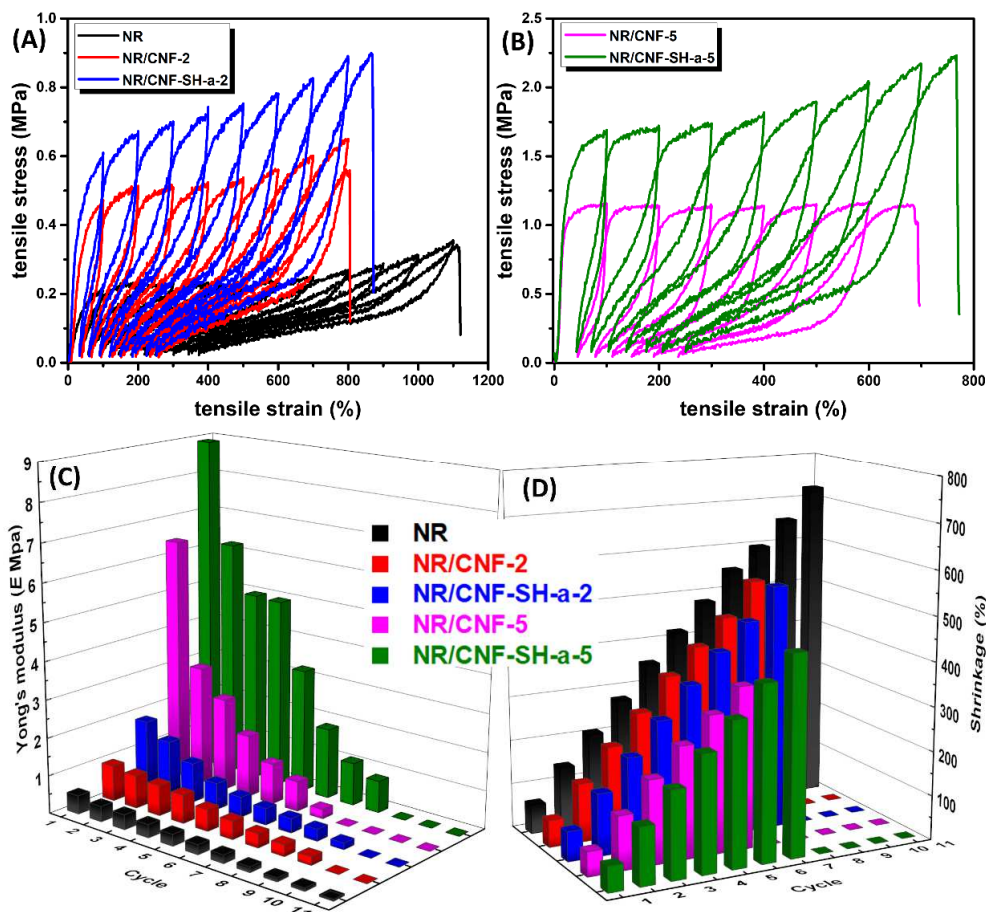
379 The stress-strain curves obtained from the successive tensile tests applied to the  
380 nanocomposites are shown in Fig. 7A and 7B. During the successive tensile tests, the  
381 positive stretch curve and the negative recovery curve were not superimposed.  
382 Meanwhile, a residual elongation was induced for each cycle, which is due to the  
383 entropic nature of the strain and the involvement of the viscoelastic component to the  
384 total compliance. It is worth noting that it is mainly influenced by the experimental  
385 stretching rate and temperature, and it is strongly time-dependent (Angellier, Molina-  
386 Boisseau, & Dufresne, 2005; Bendahou, Kaddami, & Dufresne, 2010). For  
387 subsequent cycles, the nanocomposites could be stretched to an elongation above their  
388 elastic limit, since the rubber was unvulcanized.

389 The shrinkage  $r_i$  of the nanocomposites was determined by the difference between the  
390 elongation and the residual elongation retained at the end of each cycle. The evolution  
391 of the tensile modulus  $E_i$  and shrinkage  $r_i$  during the successive cycles is plotted in  
392 three-dimensional diagram (Fig. 7C and 7D, respectively). For all samples, the tensile  
393 modulus showed a continuous decreasing trend during successive cycles, where the  
394 higher the nanocellulose contents was, the stronger the modulus drop was, as shown  
395 in Table S3. During the first successive tensile tests, the decrease in modulus of the  
396 nanocomposites could be attributed to the gradual breakdown of the continuous  
397 nanocellulose network. We also observed that the tensile modulus of the  
398 nanocomposites was higher than that of neat NR for a given cycle, while the modulus

399 increased with increasing nanocellulose content, which is consistent with tensile test  
400 results, as well as DMA experiments that will be reported latter.

401 Regardless of the sample, residual elongation was observed from the first stretching  
402 cycle, while shrinkage increases during successive tensile tests. These data suggest  
403 that the viscous flow in the samples decreases for each successive stretching cycle,  
404 while the elastic recovery component increases. For a given cycle, the shrinkage of  
405 the nanocomposites was lower than that of the neat NR matrix. In addition, the  
406 nanocomposites reinforced with CNF-SH-a exhibit higher shrinkage than that of CNF  
407 in a given cycle. This indicated that CNF-SH-a induces a more elastic behavior of the  
408 nanocomposite while reducing its viscoelasticity, demonstrating the interaction  
409 between CNF-SH-a and NR matrix.





410

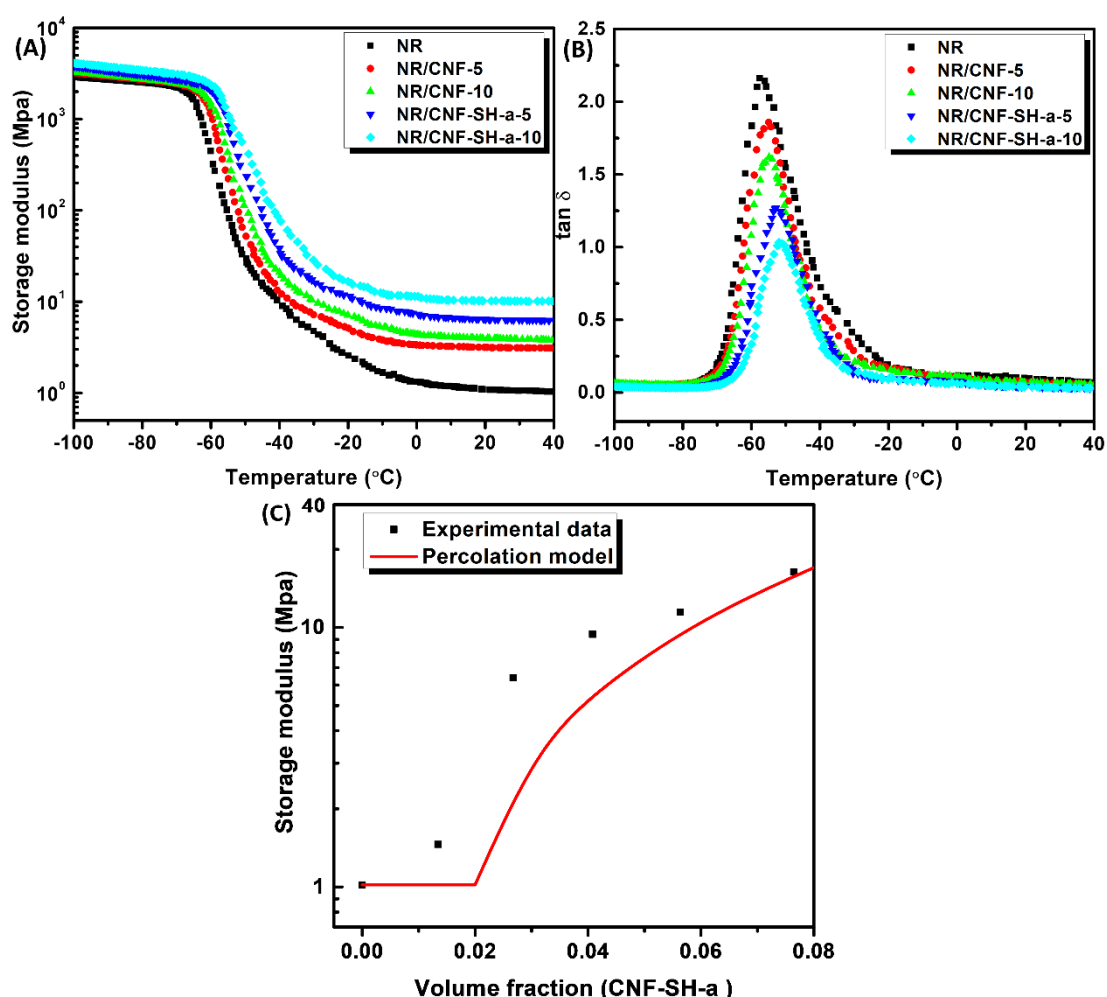
411 **Fig. 7** Stress-strain curves recorded during successive tensile tests for (A) NR,  
 412 NR/CNF-2, and NR/CNF-SH-a-2 nanocomposites; (B) NR/CNF-5, NR/CNF-SH-a-5  
 413 nanocomposites; and evolution of (C) the tensile modulus  $E_i$  and (D) the shrinkage  
 414  $r_i$  measured during each cycle  $i$  for NR/CNF and NR/CNF-SH-a nanocomposites.

### 415 3.6 Dynamic mechanical analysis

416 Typical dynamic mechanical curves for the isochronal evolution of the tensile storage  
 417 modulus ( $E'$ ) and loss factor ( $\tan \delta$ ) as a function of temperature for pure NR,  
 418 NR/CNF-5, NR/CNF-10, NR/CNF-SH-a-5 and NR/CNF-SH-a-10 nanocomposites  
 419 are shown in Fig. 8. At low temperature,  $E'$  remains roughly constant around 3 GPa  
 420 (Fig. 8A). It is due to the fact that in the glassy state, molecular motions are largely  
 421 restricted to vibration and short-range rotational motions. Increasing the CNF content

422 successively increased the  $E'$  value. This sort of modulus enhancement, even below  
423 the glass transition temperature, is good evidence for the strong reinforcing tendency  
424 of CNF in the NR matrix. A sharp modulus drop over about three decades is observed  
425 for all samples around  $-55^{\circ}\text{C}$ , which corresponds to the relaxation process associated  
426 to the glass transition of the NR matrix. This modulus drop is linked to an energy  
427 dissipation phenomenon displayed through the concomitant increase of the loss factor  
428 where  $\tan \delta$  passes through a maximum (Fig. 8B). This relaxation process involves  
429 cooperative motions of long chain sequences. Above  $T_g$ , the modulus becomes  
430 roughly constant and corresponds to the rubbery modulus. In this temperature range,  
431 the impact of the filler on the stiffness of the material appears more distinctly, due to  
432 the greater difference in the modulus of the two components. A higher reinforcing  
433 effect is observed for CNF-SH-a based nanocomposites compared to CNF based  
434 nanocomposites, probably because of stronger interactions between the filler and the  
435 matrix via click reaction, which increased the effective volume fraction of the rigid  
436 phase and led to the formation of a bound matrix material around the filler.  
437 Compared to neat NR, the  $T_g$  of both nanocomposite materials showed a slight shift  
438 towards higher temperatures, as shown in Fig. 8B.  $\tan \delta$  for neat NR exhibits a  
439 maximum at  $-56.4^{\circ}\text{C}$ , while it is  $-54.3^{\circ}\text{C}$  and  $-51.5^{\circ}\text{C}$  for NR/CNF-10 and  
440 NR/CNF-SH-a-10 nanocomposites, respectively. This is mainly attributed to the  
441 restricted segmental movement due to strong interaction between the filler and the  
442 matrix. Meanwhile, the increased cross-linking density also led to an increase of  $T_g$ .

443 As the filler content increased, the magnitude of the damping peak associated to the  
 444 glass transition for both nanocomposites decreased, probably due to the covalent  
 445 bonds formed between the filler and the NR chain segment molecules, which greatly  
 446 restricted the movement of the NR chains. These results suggest that strong covalent  
 447 links exist at the composite interface for NR/CNF-SH-a nanocomposites, while a  
 448 denser cross-linked NR network would give a stronger reinforcement effect of CNF-  
 449 SH-a in the rubbery state.



450  
 451 **Fig. 8** Typical dynamic mechanical analysis isochronal curves: (A) tensile storage  
 452 modulus ( $E'$ ) and (B) loss factor ( $\tan \delta$ ) vs. temperature for neat NR, NR/CNF-5,  
 453 NR/CNF-10, NR/CNF-SH-a-5 and NR/CNF-SH-a-10 nanocomposites. (C) Evolution

454 of the predicted and experimental modulus for CNF-SH-a nanocomposites vs. CNF-  
455 SH-a volume fraction at 25°C.

456 This high reinforcement effect could be attributed to the formation of a continuous  
457 rigid three-dimensional percolation network structure by hydrogen bonds, which has  
458 been extensively discussed in the literature and is well predicted by the percolation  
459 approach adapted to the Takayanagi model (Azizi Samir, Alloin, & Dufresne, 2005;  
460 Bras et al., 2010).

$$461 \quad E' = \frac{(1-2\psi+\psi X_r)E_r'E_s'+(1-\psi X_r)\psi E_r'^2}{(1-X_r)E_r'+(X_r-\psi)E_s'} \quad (3)$$

$$462 \quad \psi = X_r \left( \frac{X_r - X_c}{1 - X_c} \right)^{0.4} \quad (4)$$

$$463 \quad X_c = \frac{0.7}{f} \quad (5)$$

464 where  $E_r'$  is the storage modulus of the rigid filler network;  $E_s'$  is the storage modulus  
465 of neat NR;  $X_r$  is the volume fraction of CNF-SH-a in the nanocomposite;  $X_c$  is the  
466 volume fraction of CNF-SH-a at the percolation threshold;  $\psi$  is the volume fraction of  
467 CNF-SH-a contributing to the percolation network;  $f$  is the aspect ratio of CNF-SH-a,  
468 which was estimated to 34.8 according to TEM observations by software of  
469 NanoScope Analysis 1.5. The density of NR and CNF-SH-a was 0.96 and 1.5 g cm<sup>-3</sup>,  
470 respectively.

471 The comparison between predicted and experimental modulus it classically used to  
472 evaluate the dispersion state of the filler within the polymer matrix and the formation  
473 of a percolating network in the nanocomposite. At sufficiently high temperatures, i.e.  
474 above  $T_g$  of the NR matrix, the modulus of the percolating CNF-SH-a network (0.65

475 GPa) (Shanmuganathan, Capadona, Rowan, & Weder, 2010; Capadona et al., 2007) is  
476 much higher than that of the NR matrix (1.02 MPa, according to DMA results). Thus,  
477 Eq. 3 can be simplified and the storage modulus of the nanocomposite is just the  
478 product of the volume fraction of CNF-SH-a contributing to the percolation network  
479 ( $\psi$ ) and modulus of the percolation rigid CNF-SH-a network (Dufresne, 2017;  
480 Bettaieb, Khiari, Dufresne, Mhenni, & Belgacem, 2015).

$$481 \quad E' = \psi * E'_r \quad (6)$$

482 Based on the above equation, the calculated percolation threshold of CNF-SH-a was  
483 2.01 vol%, which is around 3.1 wt%. From Fig. 8C and Table S4 (in Supporting  
484 Information), it is obvious that for the nanocomposite reinforced with 5 wt% CNF-  
485 SH-a percolating network effectively formed. The end-modification allows CNFs to  
486 not only retain the original crystal structure and size, but also preserves the surface  
487 hydroxyl group, which serve as the driving force for hydrogen bonding and are the  
488 basis for rigid network formation (Fox, Capadona, Marasco, & Rowan, 2013). It  
489 significantly improved the dispersion and storage modulus of the nanocomposite.

#### 490 **4. Conclusions**

491 We have demonstrated a novel concept for the development of rubbery  
492 nanocomposites based on the synergistic effect of cellulose nanofibrils used as a  
493 biobased reinforcement, which also possess cross-linking sites for covalent coupling  
494 to the natural rubber elastomer matrix. Reducing end-modified CNFs bearing -SH  
495 groups (CNF-SH-a) were successfully prepared by aldimine condensation at one end

496 of CNF with 6-Amino-1-hexanethiol hydrochloride. CNF-SH-a showed improved  
497 hydrophobicity and good dispersibility in hydrophobic medium. Nanocomposite films  
498 with homogeneous dispersion of CNF-SH-a in NR matrix were prepared by a  
499 casting/evaporating method. The cross-link density of the NR/CNF-SH-a sample was  
500 confirmed to significantly increase when increasing CNF-SH-a content. This is due to  
501 the covalent cross-links formed at the filler-matrix interface photochemically initiated  
502 by thiol-ene reaction. The NR nanocomposite reinforced with 10 wt%/CNF-SH-a  
503 showed significantly higher values of tensile strength (from 0.33 MPa to 5.83 MPa),  
504 Young's modulus (from 0.48 MPa to 45.25 MPa) and toughness (from 2.63 MJ m<sup>-3</sup> to  
505 22.24 MJ m<sup>-3</sup>) compared to neat NR nanocomposites. As the present concept is  
506 general in material synthesis and design, we foresee that this green method to  
507 reinforce NR matrix with end-modified CNF-SH will have the potential application in  
508 textile, printing, automobile and machinery manufacturing fields.

### 509 **Conflicts of interest**

510 None.

### 511 **Acknowledgements**

512 This work was supported by the China Scholarship Council (CSC) under Grant No.  
513 201806950016. LGP2 is part of the LabEx Tec 21 (Investissements d'Avenir—Grant  
514 Agreement No. ANR-11-LABX-0030) and of the PolyNat Carnot Institute  
515 (Investissements d'Avenir—Grant Agreement No. ANR-16-CARN-0025-01).

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