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13 Reversible and irreversible effects of a moderate heat treatment on the 14 moisture sorption and swelling behavior of modern and historical oak wood

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31 ABSTRACT

The reversibility of a moderate thermal treatment (TT) at 120°C on modern and historical oak 32 wood samples was investigated by ¹H nuclear magnetic resonance (NMR) relaxometry, 33 equilibrium moisture content (EMC) determination and volumetric deformations' 34 measurements. The EMC values showed full reversibility after conditioning the samples at 65% 35 relative humidity (RH) for one week, in agreement with previous research using moderate TTs. 36 However, ¹H NMR relaxometry evidenced an irreversible effect on water mobility (i.e. 37 molecular tumbling) for both wood samples. This result is compared with volumetric 38 39 deformations determined by considering two reference states. When using, as reference, the dry volume at each state, volumetric deformations are consistent with EMC values. However, when 40 using the dry volume of the sample before TT, the volumetric deformations are found to be 41 42 higher than the ones before TT for modern wood but remain lower for historical wood. The irreversible changes in water dynamics suggest that some modifications of the wood structure 43 have not been completely recovered but are not linked to the changes of volumetric 44 deformations. In addition, ¹³C solid-state NMR spectroscopy allowed the comparison of the 45 composition between both wood samples, suggesting that their different behaviour concerning 46 volumetric deformations could be explained by a lower proportion of acetyl groups from 47

hemicelluloses for historical wood due to its natural aging. We showed that combining
 macroscopic observations such as EMC and volumetric deformations with molecular-level
 information gained from ¹H and ¹³C NMR leads to a deeper understanding of the effects of
 thermal treatments and reversibility tests.

52

53 **Keywords:** Thermal treatment; Reversibility; Wood aging; Oak wood; ¹H NMR relaxometry;

- ¹³C NMR spectroscopy; Volumetric deformations
- 55 56

57 INTRODUCTION

Thermal treatments (TTs) are nowadays widely applied to wood materials for the sake of 58 improvement of their performances. In particular, the effect of thermal modifications on some 59 wood properties has been found more than 100 years ago, when it was observed that drying 60 wood at high temperature increased its dimensional stability and reduced its hygroscopicity 61 (Sandberg et al. 2017). Such treatment not only improves the dimensional stability and reduces 62 the hygroscopicity of wood but also other properties such as resistance against fungi and insects, 63 and characteristics including colour, odour, gluability, and coating performance (Sandberg et 64 al. 2017). In addition, TTs considered as "artificial" aging may also be used to mimic and study 65

66 "natural" wood aging (Rostom et al. 2020).

Due to the potential of TTs, many protocols have therefore been developed varying in length, 67 temperature or atmosphere (inert gas, dry air, and vapour) (Hill et al. 2021) to improve some 68 wood properties, to mimic "natural" aging and also to better understand the underlying 69 mechanisms involved by TTs. At high temperatures, TTs are known to reduce the equilibrium 70 71 moisture content (EMC) of wood mainly because of the chemical degradation of wood polymer components and mass loss, which reduces the quantity of hydroxyl (-OH) adsorption sites. 72 Recently, it has been shown that the hygroscopicity of wood decreases in relation to the mass 73 74 loss, resulting from thermal modifications (Borrega and Kärenlampi 2010), but that this 75 reduction in hygroscopicity is also influenced by another mechanism related to irreversible hydrogen bonding. Some authors (Suchy et al. 2010a, b,) suggested that this mechanism relates 76 to the irreversible aggregation of cellulose microfibrils and irreversible stiffening of the 77 hemicelluloses/lignin matrix during the drying of green wood. Moreover, Kato and Cameron 78 (1999) showed that during a TT, irreversible formation of new hydrogen bonds can take place 79 in the amorphous regions of cellulose and hemicelluloses. On the basis of these works, more 80 recent studies have investigated this phenomenon showing that the reduction in water content 81 following a TT cannot be explained by the decrease in hydroxyl sites (Rautkari et al. 2013) and 82 that the reduction in hygroscopicity and swelling could also be result from an increased stiffness 83 of the polymer matrix (Boonstra and Tjeerdsma 2006; Rautkari et al. 2013). Concerning the 84 loss of mass induced by TTs, this can lead to a reduction in strength and a change in the mode 85 of failure (Borrega and Kärenlampi 2008), making the mechanical behaviour of thermally-86 modified woods a widely investigated question. Thus, other researches focused on moderate 87 TTs (lower than 150°C), which do not lead to an important loss of mass, as an alternative to 88 high temperature TTs. In the case of moderate TTs, the decrease of the EMC could be explained 89 90 by the loss of extractives and also by structural modifications leading to restricted space between polymers (Rostom et al. 2020). 91

In general, there is still ongoing work to understand the mechanisms involved in TTs of wood and their relative importance, for which reversibility tests are a complementary and interesting tool to study reversible and irreversible modifications (within the time frame of the study) induced by TTs. This tool, which has been increasingly used over the recent years, consists in studying the reversibility of the reduction of both EMC and swelling after TTs by placing the

sample in contact with water (e.g. soaking, wet (soaking)-drying cycling, high relative humidity 97 (RH) conditioning) for long periods of time. Some studies used repeated cycles of wetting-98 99 drying, carried out in air or under vacuum conditions or re-humidification, which could be performed either by soaking in water for a certain time or by exposure to high RH. Other studies 100 used conditioning at intermediate or high RH for a certain duration, generally several weeks or 101 months (Čermák et al. 2015; Gauvin 2015; Obataya and Higashihara 2017; Sahin and Guler 102 2018; Wentzel et al. 2018). These works contributed to get a better understanding of the 103 underlying mechanisms involved by TTs. 104

For instance, reversibility tests suggested that, following drying of green wood, the hydrophilic 105 chain portions within the amorphous phase get additionally constrained and this local stress is 106 released by a dry TT, due to the thermal activation of the segmental motions of the amorphous 107 chain portions (Obataya 2007). This "stabilized" structure, referred to as "annealed", reduces 108 water sorption at low RH, but on the contrary, at higher RH, it was hypothesized that amorphous 109 chain portions return to their initial conformational state while their segmental mobility 110 increases (Obataya 2007; Endo et al. 2016). A similar is observed when wood is heat-treated at 111 high temperature (higher than 150°C), since exceeding the glass transition temperature related 112 to the amorphous domains causes their annealing and reorganization (Endo et al. 2016; Wentzel 113 et al. 2018). Residual stresses in the cell wall matrix upon cooling the material appear and can 114 be released by humidification at high RH or by saturation with water, which completely or 115 partially eliminates the effects induced by drying (Obataya et al. 2002; Altgen et al. 2016; Endo 116 et al. 2016; Obataya and Higashihara 2017). This recovery of the hygroscopic behaviour was 117 also observed for naturally-aged wood (279 years after cutting), following a conditioning at 118 100% RH for several weeks (Obataya 2017). In general, humidification-drying cycles on heat-119 treated wood, under dry or humid conditions, lead in both cases to a complete or partial removal 120 of the TT-induced effects (Altgen et al., 2016; Endo et al., 2016; Majka et al., 2016). For high 121 TT temperatures, part of the decrease in hygroscopicity and swelling of wood is due to the 122 chemical degradation of the chains in the amorphous domains and another part was assigned to 123 the physical aging of the polymers, which turns out to be reversible (Endo et al., 2016; Obatava 124 and Higashihara, 2017). Concerning the reversible modifications following dry TTs, recent 125 studies on the accessibility of hydroxyl sites proposed models to account for the reversibility 126 and irreversibility of TT in the presence or absence of humidity (Altgen et al., 2018; Willems 127 et al., 2020). They propose that sorption sites for water are composed of pairs of functional 128 groups along the polymer chains, involving at least one -OH group. At high temperature and 129 under dry conditions, the sites that were removed by chemical degradation of wood polymers 130 could not rearrange to reform adsorption site pairs, in contrast to the case of hygro-thermal 131 treatments. Thus, for TTs under a dry atmosphere only re-humidification by soaking samples 132 133 in water would allow a rearrangement of these sites and would then be the cause of EMC 134 recovery.

In conclusion, the literature shows that the origins of the decrease in hygroscopicity and 135 swelling of wood after TT are not yet well understood. In addition, these interpretations are 136 issued from high processing temperatures where both reversible and irreversible changes occur. 137 In the case of TT carried out at low or moderate temperatures (i.e. lower than 150°C), one may 138 expect a complete reversibility of the effects induced by the thermal treatment, as there is little 139 or no chemical degradation of the polymers. Thus, this temperature regime should simplify the 140 study and understanding of these phenomena occurring after TTs. In this contribution, EMC, 141 dimensional measurements and ¹H 2D NMR relaxometry (which allows studying the 142 interactions between wood and bound water) will be used to investigate the reversibility 143 following a moderate TT. The ¹H 2D NMR T₁-T₂ correlation experiments, allowing to quantify 144 and study the dynamics (i.e. molecular tumbling) of two types of adsorbed water in wood, have 145

shown interesting applications in wood sciences in recent years (Cox et al. 2010; Bonnet et al. 146 2017; Courtier-Murias 2019; Rostom et al. 2020, 2021; Mikac et al. 2021; Stagno et al. 2021; 147 Goñi-Urtiaga et al. 2022). Nevertheless, this powerful approach has never been used to 148 investigate wood reversibility consecutive to a moderate TT. The aim of this work is to improve 149 the understanding of the mechanisms behind TT by studying wood reversibility after a 150 moderated TT (120°C). Such experiments will be carried out on modern and historical wood 151 samples, thus allowing a comparison between similar materials but with differences in their 152 chemical composition, as characterized by ¹³C solid-state NMR. 153

154

155

156 MATERIALS AND METHODS

157 Materials

The experiments were carried out on modern and historical oak wood materials, which were used in Rostom et al. (2020) to investigate the effect of aging on wood hygroscopicity by applying a moderate TT (120°C). The historical wood (about 250-year-old oak after tree cutting) comes from a wooden door's frame of a building from the 17th century in Saint Georges street in Rennes, France (provided by Atelier Perrault), which was subjected to natural aging. The modern oak wood, considered without aging, was provided from a sawmill located in the

164 North of France in 2018.

Small samples of approximately 1 cm³ were cut from those two oak wood boards and were 165 collected, as possible, side-by-side to minimize the variability between samples. They were 166 sawn along the anisotropic directions (Longitudinal L, Radial R and Tangential T) and were all 167 cleared from visible defects. Before TT experiments, complete water adsorption/desorption 168 cycles were imposed to the samples to avoid differences in the moisture history. For this 169 purpose, they were alternately stored for one week in desiccators at a RH of 97% (using 170 potassium sulfate) and 2% RH (using silica gel) at 20°C. After the initial dry state at 2% RH, 171 samples were stabilized at 65% RH and subjected to NMR measurements. 172

Then, the samples were thermally treated in an oven at 120°C (where the RH is close to 0%) 173 for 1 day, 3 days and 7 days. The results related to the effect of the TT were described in a 174 previous work (Rostom et al. 2020). Here, the same samples are used to investigate the 175 reversibility of such a TT. It should be noted that two out of the three samples used for the 24h 176 TT in the previous work have been used for the reversibility test as one of them has been used 177 for microscopic observations in a parallel work. The average density of all the samples before 178 TT was measured at 65% RH and found to be 0.67 (± 0.01) g.cm⁻³ for modern oak wood and 179 $0.50 (\pm 0.02)$ g.cm⁻³ for historical oak wood. 180

Similarly to what has been previously done by Rostom et al (2021), both mass and volume of each sample were normalized to their initial volume (here, the one obtained at 2% RH before TT experiments) to allow comparisons between samples with slightly different volume and mass. Thus, for simplification, normalized units will be used (mg* referring to mg/cm³ for the mass and mm³* referring to mm³/cm³).

186

187 Reversibility protocol

The study of the reversibility of the TT at 120°C was carried out according to an experimentalprotocol in three steps:

- 190 (1) Before TT: all samples were analysed at 65% RH (using a saturated ammonium nitrate
 191 solution) through ¹H NMR, mass and dimension measurements;
- 192 (2) After TT (carried out in a standard oven at 120°C): all samples were conditioned in a
- desiccator at 65% RH until constant mass (about one week) and were left for about one year

and two months prior to the reversibility tests due to constrains related to COVID-19 pandemic 194 without observing significative evolution of mass and volume deformations; 195

(3) The reversibility test is performed by conditioning all samples at about 97% RH, 20°C 196 for two weeks in a desiccator filled with a saturated potassium sulphate solution. As for previous 197 analysis, the samples were conditioned at 2% RH (using silica gel) for a week, then conditioned 198 at 65% RH for a week. This allows to be in an adsorption cycle (starting from 2% RH) to avoid 199 the influence of the hysteresis in the observed phenomena. After 14 weeks, samples were again 200 analysed to prove their possible evolution after reversibility test. This second analysis was only 201 conducted for step 3, as wood is anticipated to be less stable compared to steps 1 and 2. 202

- At each equilibrium moisture content (EMC), the specimens were analysed by means of ¹H 203 NMR relaxometry and their dimensions and masses were measured. The results before and after 204
- TTs are explained in Rostom et al. (2020) and are used here for the sake of comparison to the 205
- reversibility tests. 206
- 207

208 **Determination of EMC**

The EMC is determined as the ratio of the water mass to the dry wood mass. As shown in 209 Rostom et al. (2021), the dry wood mass may be deduced thanks to ¹H NMR. Here, the EMC 210

- values from 2D ¹H NMR relaxometry have been obtained based on this previous work showing 211
- the relationship between the 2D ¹H NMR signal intensity and water content in wood samples: 212 (1)

213
$$EMC_{\text{NMR,x}\%\text{RH}} = \frac{M_{\text{water,NMR,x}\%\text{RH}}}{M_{\text{drywood,NMR}}} * 100$$

- 214 where EMC_{NMR,x%RH} is the equilibrium moisture content at x% RH, M_{water,NMR,x%RH} is the mass
- of water at x% RH and Mdrywood,NMR is the mass of dry wood, all these quantities being deduced 215
- from NMR measurements. It has recently been shown that this NMR approach, which can be 216
- used to obtain the dry mass of wood, provides accurate values for EMC without the need to 217
- 218 oven-dry the samples at 103°C (Rostom et al. 2021).

It should be noted that the EMC values used here are the average values over 4 samples used in 219 a previous work (Rostom et al. 2020) in which different TT durations have been used (1, 3 and 220 7 days) as the effect of TT was similar for the studied TT durations. Moreover, mass losses 221

using moderate TT were small and thus similar for all samples. 222 223

Volumetric deformations' measurements 224

- 225 The dimensions of the samples were measured using a calliper with a precision of 0.01 mm, at
- each step of the experiments. 226
- Volume deformations can be evaluated by the following equation: 227

228
$$\Delta \varepsilon_{x\%} = \frac{V_{x\%} - V_{dry}}{V_{dry}}$$
(2)

where $V_{x\%}$ is the humid volume at a given value of x% RH and V_{drv} is the dry volume. 229

In this study, the volume deformations have been calculated using two reference states: either 230 the dry volume before TT or the dry volume state at each state (e.g. after TT or reversibility) as 231

- both calculations have been used in the literature to study wood properties (García Esteban et 232 al. 2005; Obataya and Higashihara 2017). 233
- ¹H NMR measurements 235

234

¹H NMR relaxometry measurements were carried out using a BRUKER MINISPEC MQ20 236 spectrometer that operates at 0.5 T, corresponding to a resonance frequency of 20 MHz for ¹H. 237 The samples were inserted in an 18 mm NMR tube in which the RH was controlled with a 238 saturated salt solution placed in a small container in the upper part of the tube during the NMR 239

experiments. For more details about the conditions of measurements see Rostom et al. (2020). 240 2D ¹H NMR correlation spectra of T_1 and T_2 relaxation times were acquired using the Inversion 241 242 Recovery (IR) sequence coupled with the Carr-Purcell-Meiboom-Gill (CPMG) sequence (Carr and Purcell 1954; Meiboom and Gill 1958). The data computation was performed using an in-243 house software, which reproduces the 2D-Inverse Laplace Transform (ILT) algorithm of Song 244 245 et al. (2002). The 2D ¹H NMR correlation spectra allow to display the components of the NMR signal as a function of their NMR relaxation, T_1 and T_2 . In the present case, different regions 246 corresponding to adsorbed water (bound water) in different wood compartments (peaks B and 247 C) or to wood polymers (peak D) as shown in Figure 1 are clearly detected (Cox et al. 2010). 248 This NMR approach also leads to T_1/T_2 ratios for the different kinds of H atoms, which are 249 characteristic of the extend of reorientational motions (i.e. molecular tumbling) and therefore 250 of the interactions of water with polymers matrix of the wood cell walls. As previously 251 explained (Bonnet et al. 2017), the volume under each peak of the 2D spectra is proportional to 252 an amount of H atoms, which is used to quantify adsorbed water and the T₁ and T₂ values of 253 each peak are determined by means of the coordinates of their respective maxima. 254



255 256

Figure 1. T₁-T₂ correlation spectra of modern oak wood (a) and historical oak wood (b) samples by 2D ¹H T₁-T₂ NMR relaxometry at 65% RH. Peaks B and C are attributed to ¹H detected from bound water and peak D is 257 258 attributed to ¹H from wood polymers. It should be noted that to follow previous notation (Cox et al. 2010) peak 259 A is not included here, which correspond to free water and it is not present in these samples. All the T_1 - T_2 260 correlation spectra acquired in this work can be found in Figures S3 and S4.

261

¹³C solid-state NMR measurements 262

¹³C solid-state NMR spectroscopy measurements were carried out at room temperature on a 263 264 300 MHz (7 T) Bruker Avance III HD NMR spectrometer equipped with a 4 mm ¹H-X double resonance MAS probehead. All the spectra were recorded using a magic angle spinning (MAS) 265 frequency of 5 kHz and the ${}^{1}\text{H} \rightarrow {}^{13}\text{C}$ cross polarization (CP) experiment. The 90°(${}^{1}\text{H}$) pulse 266 length was equal to 3.2 µs and the radiofrequency field used during the ${}^{1}H \rightarrow {}^{13}C$ magnetization 267 transfer was set to 62 kHz. A ¹H dipolar decoupling of 90 kHz was applied during the 268 acquisition of the ¹³C NMR signal. Three different contact time (CT) values were used, 50 µs, 269 1 ms and 4 ms. The recycle delay was fixed at 3 s, in agreement with the ¹H spin-lattice 270 relaxation time $T_1(^{1}H)$ of the wood samples considered. The ^{13}C chemical shift values were 271 referenced with respect to tetramethylsilane, by calibrating the peak related to the carbonyl 272 carbon of α -glycine at 176.03 ppm. 273

For these ¹³C NMR measurements, native samples of 1 cm³ of each oak wood (modern and 274 historical) were considered as control samples and conditioned at 65% RH, 20°C until 275 equilibrium, then powdered using a manual grater and reconditioned at 65% RH to be consistent 276

277 with the analysis conditions used in this study.

Regarding treated samples, 1 cm³ samples of each oak wood were also equilibrated at 65% RH 278 and 20°C, then thermally treated at 120°C in an oven-dry for 7 days. These samples are 279 280 considered to be similar to the ones used in (Rostom et al. 2020), and in particular their density was identical. After TT, the samples, in a cubic shape, were stabilized at 65% RH, 20°C. After 281 stabilization, the samples were ground using a manual grater and conditioned at 65% RH until 282 their insertion into the NMR rotor to perform the measurements. The powdering of the samples 283 was therefore subsequent to the TT. The protocol indicated here for treated samples is very 284 important since the effect of TT on cubic samples of wood is different from its effect on a 285 powdered wood. 286

 $^{1}H \rightarrow ^{13}C$ CP MAS NMR is generally used to get quantitative or, under certain conditions, 287 semi-quantitative information on the chemical composition of environmental samples, 288 including wood (Willis and Herring 1987), studied under similar conditions. In this work, ¹H 289 \rightarrow ¹³C CP MAS will be used to compare the composition of modern and historical wood 290 samples and correlate this feature to the water absorption behavior and the performance of the 291 reversibility tests. In addition, various ¹³C CP MAS NMR spectra were obtained using different 292 CT values as it may allow investigating whether structural changes of cell wall polymers 293 294 occurred during the reversibility tests (Košíková et al. 1999).

295 296

297 **RESULTS AND DISCUSSION**

298 Dry masses

Table 1 shows the evolution of the mass measurements performed by weighing and through ¹H 299 NMR analysis (Rostom et al. 2021) in the dry state and at 65% RH for modern and historical 300 oak wood samples at each step of the study (initial state, before TT, after TT, after reversibility 301 test and after 14 weeks). If we focus on the dry masses obtained by NMR, which provides more 302 accurate values than the usual drying methods (Rostom et al. 2021), we observe that there is 303 almost no sample degradation during TT's (about 1%) and reversibility tests carried out. This 304 is consistent with previous works which reported that oak wood degradation begins only at 130-305 150°C (Esteves and Pereira 2008). Thus, degradation of the polymer components should not 306 occur under the TT conditions used in this work and the dry mass loss observed may be due to 307 a relatively small loss of extractives, which could impact water adsorption behaviour (Mantanis 308 et al. 1994). Furthermore, other chemical changes without significant degradation may have 309 310 occurred.

By comparing the evolution of the dry masses and the masses at 65% RH determined by 311 weighing, we can observe that they are following the same trend as they decrease due to TT 312 (samples were "dried" at 120°C) and then increase due to the reversibility tests but without 313 coming back to their initial values. In addition, these results emphasized the limitation of drying 314 methods (in comparison to NMR) to obtain accurate dry masses of wood as values obtained by 315 weighing are always higher than the ones obtained by NMR with the exception of completely 316 317 dry samples where both techniques provide the same results. Thus, dry masses obtained by NMR will be used in the following sections to discuss the relevance of various parameters (i.e. 318 EMC and volumetric deformations) to study wood reversibility. 319 320

321 Table 1. Dry masses and masses at 65% RH (in mg*, obtained by weighing and by ¹H NMR) of wood samples at

the initial state, before TT, after TT, after reversibility test (after 2 weeks at 97% RH and after 14 weeks at 65%

RH) for both modern and historical oak woods. The standard deviations are indicated in parenthesis.

| | | Before TT | After TT | After reversibility test | |
|--------------------|---------------------|--------------|--------------|--------------------------|--------------|
| | | | | 2 weeks | 14 weeks |
| Modern wood | Dry state, NMR data | 629.8 (10.9) | 628.3 (12.1) | 624.5 (13.2) | 622.5 (16.1) |
| | Dry state, weighing | 641.6 (11.5) | 628.9 (12.7) | 636.6 (17.1) | 636.6 (17.1) |
| | 65% RH, Weighing | 706.6 (14.8) | 694.5 (15.7) | 699.9 (15.2) | 697.8 (18.0) |
| Historical wood | Dry state, NMR data | 463.4 (21.7) | 459.7 (26.3) | 458.5 (24.6) | 456.6 (26.0) |
| | Dry state, Weighing | 473.1 (23.5) | 459.8 (25.0) | 466.8 (26.9) | 466.8 (26,9) |
| | 65% RH, weighing | 517.3 (25.7) | 505.2 (32.6) | 510.6 (29.7) | 509.2 (30.1) |

324

325 Equilibrium Moisture Content

The EMC values obtained by 2D ¹H NMR relaxometry measurements at 65% RH are presented in Figure 2 and Table 2. These measurements allow to compare the state of the samples before TT, after TT, after reversibility test and after 14 weeks of conditioning at 65% RH following the reversibility test. The two first states (before and after TT) have already been studied previously to understand the effect of aging in wood (Rostom et al. 2020) and here are used as references to investigate wood reversibility.

The results obtained after reversibility tests show that the EMC values of both modern and 332 historical materials are totally recovered after conditioning at 97% RH for two weeks. 333 Moreover, EMC remains constant, within the experimental accuracy (0.5%), over the 14 weeks 334 of conditioning at 65% RH after reversibility test. The same trend was observed for both modern 335 and historical oak samples. These results are consistent with previous works which have shown 336 that conditioning the samples at high RH values leads to a complete recovery of the EMC values 337 of woods being subjected to moderated TT (Obataya and Higashihara 2017; Willems et al. 338 2020; Mastouri et al. 2021) when there is no or little degradation of wood polymers. 339



340

Figure 2. Evolution of the mean EMC at 65% RH derived from ¹H NMR relaxometry measurements for modern
 and historical oak woods.

In addition to providing a non-invasive manner to investigate samples and obtaining accurate EMC values (Rostom et al. 2021), another interest of 2D ¹H NMR relaxometry relies on its ability to detect and quantify water in two different chemical environments in the wood cell walls (Cox et al. 2010; Bonnet et al. 2017; Rostom et al. 2020). For instance, it has been shown that a moderate TT on modern and historical wood specimens results in a more important decrease of the strongly associated water to wood polymers in the modern wood than in the

historical wood (Rostom et al. 2020). This different extent of reduction of water adsorption 349 could be explained by the variations in the chemical composition of both samples. Here, we 350 hypothesized that the reversibility could also be different for water adsorbed to different wood 351 polymers (and with different interaction strength). Thus, we have compared the evolution of 352 both types of adsorbed water (B and C in Figure 1) after reversibility tests. However, our results 353 show that the amounts of adsorbed water in the environments B and C (Table 2) follow the 354 same behaviour as the total EMC (Figure 2): a full recovery of the moisture content values is 355 observed. This feature indicates that the effect of the moderate TT performed on these oak wood 356 samples is completely reversible in terms of EMC and that we do not observe clear differences 357 between the two types of water associated to different wood polymers. This type of analysis 358 should prove to be useful to investigate whether one of these two types of water is, or not, more 359 influenced by reversible and irreversible changes. Moreover, 2D¹H NMR relaxometry also 360 allows a comparison of the behaviour displayed by modern and historical wood samples and to 361 assess the influence of their composition as we will see in the following sections. 362

363

Table 2. Moisture content values at 65% RH determined by 2D ¹H NMR relaxometry at each step of the
 experiment for both modern^a and historical^b wood samples. The reported values are averaged over all samples and
 the three durations of TT while the standard deviations are indicated in parenthesis.

| | Before TT | After TT | After reversibility test | 14 weeks at 65% RH |
|--------------------------|------------|------------|-----------------------------|-----------------------|
| MC(B+C) ^a [%] | 12.1 (0.6) | 10.5 (0.4) | 12.1 (0.2) | 12.1 (0.1) |
| MC(B) ^a [%] | 9.3 (0.5) | 8.1 (0.2) | 8.9 (0.2) | 8.3 (1.3) |
| MC(C) ^a [%] | 2.8 (0.0) | 2.4 (0.2) | 3.1 (0.2) | 3.8 (1.2) |
| MC(B+C) ^b [%] | 11.6 (0.4) | 9.8 (0.9) | 11.5 (0.4) | 11.5 (0.3) |
| MC(B) ^b [%] | 7.1 (0.4) | 6.4 (0.2) | 6.8 (0.7) | 7.2 (0.5) |
| MC(C) ^b [%] | 4.4 (0.7) | 3.4 (0.9) | 4.6 (0.8) | 4.2 (0.6) |

367

368 ¹H NMR relaxometry

2D ¹H NMR relaxometry experiments and, in particular, the T_1/T_2 NMR relaxation time ratios 369 provide additional important information concerning the wood samples. These ratios allowed 370 to investigate the dynamics (i.e. molecular tumbling) of adsorbed water (Bonnet et al. 2017; 371 Rostom et al. 2020; Goñi-Urtiaga et al. 2022) which may be affected by the structural 372 modifications of wood polymers. Although full EMC recovery was observed, we wanted to 373 investigate whether the water dynamics are also similar to the ones in the initial state before 374 TT. The evolution of the T_1/T_2 ratios before and after reversibility test is presented in Table 3. 375 These results show a significant increase of T_1/T_2 ratios after TT (a feature already discussed 376 in (Rostom et al. 2020)), which indicates a decrease in the reorientational motions of the water 377 molecules. This trend is clearly observed for the water molecules related to both peaks B and 378 C. After reversibility test, the T_1/T_2 ratios decrease but do not come back to their initial value, 379 determined before TT, even after 14 weeks of conditioning at 65% RH. This trend is clearly 380 observed for the peak B for both modern and historical oak woods but is less obvious for the 381 peak C (especially for historical wood). This result indicates that water in both wood samples 382 does not exactly display the same mobility as in the initial state: a slowing-down of the 383 reorientational motions is detected in comparison to its initial state before TT. These trends 384 suggest that physical aging induced by the TT, partly irreversible and not detected by EMC 385 analysis occurs, could lead to more restricted motions of the water having stronger interactions 386 with the wood polymers. 387

Table 3. T_1/T_2 ratios measured at 65% RH by 2D ${}^1HT_1-T_2$ NMR experiments. These results are obtained for both

modern^a and historical^b wood samples subjected to TT at 120°C for three durations. The values reported average
 our results from all the samples thermally-aged during three different durations and the standard deviations are
 indicated in parenthesis.

| | Before TT | After TT | After recovery test | 14 weeks at 65% RH |
|---------------------|------------|--------------|---------------------|--------------------|
| Peak B ^a | 88.6 (3.0) | 118.8 (12.8) | 93.9 (3.1) | 94.8 (3.1) |
| Peak C ^a | 3.4 (0.0) | 4.0 (0.2) | 3.3 (0.3) | 4.1 (0.9) |
| Peak B ^b | 70.3 (2.3) | 106.6 (18.7) | 75.6 (2.2) | 85.1 (8.6) |
| Peak C ^b | 3.6 (0.7) | 4.2 (0.5) | 3.5 (0.8) | 3.7 (1.0) |

The exposure of the materials to high RH following TT's may lead to a structural evolution of 392 the cell wall polymers. Indeed, the increase of RH in their environment (due to reversibility 393 394 tests) may induce conformational changes within the amorphous regions of these polymers by lowering their glass transition temperature (Tg). Such a plasticizing effect may promote their 395 segmental motions and therefore, their rearrangement. This new organization of the 396 397 macromolecular chains would allow the adsorption of water on the adsorption sites - which were made inaccessible because of the changes of the cell wall polymers and the obstruction of 398 adsorption sites already engaged in intramolecular bonds. However, the increase of T_1/T_2 ratios 399 in comparison to the initial state suggest that water behaves in a slightly different way since its 400 mobility has decreased. This interpretation of NMR results is similar to the assumptions made 401 in the literature on the reduction of the annealing of polymers following drying (Obataya 2007, 402 2017). Other authors have also proposed that the adsorption of water molecules on polymer 403 chains could involve pairs of polar groups (thus forming an adsorption site) which could lead 404 to reorganized pairs and then, form recomposed sites when wood is re-humidified at high RH 405 (Willems et al. 2020). In conclusion, after the reversibility protocol, the structure of the wood 406 407 cell walls has changed while its EMC is completely recovered. As a result, it could be supposed that new rearrangements of the adsorption sites have enabled the global EMC recovery but with 408 a new repartition of the adsorbed water molecules in more confined areas, corresponding to the 409 410 same spatial location and chemical environment, as the intensities of the B and C peaks have not changed. To discuss the results concerning the mobility of water, we will analyze the 411 volumetric deformations using two reference dry status in the following sections. 412

413

414 Volumetric deformations using a dry reference at each state

Figure 3 presents the volumetric deformations of modern and historical oak woods calculated at 65% RH using a dry reference at each state (i.e. before TT, after TT, after recovery test and after 14 weeks of conditioning at 65% RH). The volume deformations decrease after TT and then increase following the reversibility test for both modern and historical woods. For these two samples, and within the error of measurements, we can conclude that the reversibility test produces a complete recovery in terms of volumetric deformations for both types of wood samples but this recovery is less clear for historical wood in comparison to modern wood.

422 The evolutions of both EMC and volume deformations, using a dry reference at each state,

show a full recovery after TTs which is consistent with previous works using moderate TTs.

424 However, as T_1/T_2 NMR data suggested some irreversible effects so we have further 425 investigated the volumetric deformations by calculating them using a single dry reference which 426 corresponds to the dry initial state before TT (Obataya and Higashihara 2017).



427 test at 65% RH
428 Figure 3. Volume deformations at 65% RH, using a dry reference at each state, estimated before TT, after TT, after reversibility test and after 14 weeks at 65% RH following reversibility test for modern and historical oak woods.

431

432 Volumetric deformations using a dry reference before TT

Figure 4 shows the volumetric deformations calculated with respect to the dry state at 2% RH 433 occurring at the very beginning of the experiment (before TT). For modern wood, a significant 434 increase of the volume deformation is observed following the reversibility test and the 435 prolonged conditioning at 65% RH and clearly overcomes the initial value before TT. However, 436 437 the historical wood does not follow the same behaviour as the volume deformation remains lower than the initial value before TT. This feature confirms our previous observations by T₁-438 T₂ NMR correlation experiments showing that some irreversible effects could remain after a 439 440 moderate TT, which seem more important for modern wood samples. It is also important to note that this effect has only been detected when conditioning the samples at 97% RH for two 441 weeks whereas samples did not display further evolution over the following three months of 442 443 conditioning in a desiccator at 65% RH after TT.





These results show that both types of volumetric analysis do not lead to the same conclusions 447 448 and that EMC values may not be adequate to observe reversibility in all cases. In particular, the volumetric analysis using a dry reference at each step of the study may not be suitable to study 449 reversibility of wood. This type of calculation provides information on the volumetric 450 deformations between two different RH conditions (dry samples are characterized by a RH 451 value of about 2%), which is useful to understand the behaviour of samples for real applications 452 after a TT and/or reversibility tests. In particular, it allows the estimation of the volume 453 454 deformation variations resulting from the changes on ambient RH but may not help to

understand the modifications (and reversibility) occurring within the sample. On the contrary, 455 the volume deformation analysis using the dry state at 2% RH at the very beginning of the 456 experiment (before TT) seems to be able to show an evolution in the sample which may be 457 related to the irreversibility following wood TT. To further investigate this phenomenon, we 458 have also studied the relationship between the volumetric deformations and the EMC values at 459 all steps of the study (Figure 5) and compared it to the masses at 65 % RH shown previously 460 (Table 1). The same type of plot as for Figure 5 but using a dry reference at each step of the 461 study is presented in Figure S1. 462

For modern wood, an increase of the volumetric deformations was observed following the 463 reversibility test and prolonged conditioning at 65% RH. This shows that the increase of 464 volumetric deformations is due to a change in the correlation between the mass of adsorbed 465 water and the volume of the sample. The values after the reversibility test fall outside the 466 regression line between volume deformations and EMC values. However, historical wood 467 samples do not follow the same behaviour since the deformations increase slightly after 468 reversibility test with the increase of EMC for each sample, thus remaining on the regression 469 line. These results could be explained by the evolution of volume (see Table S1), as volume 470 after reversibility test is higher than the initial dry volume for modern wood. 471 472









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479 These results confirm that, even though both EMC and volume deformations, determined using a dry reference at each step, suggested full reversibility, some modifications due to the TT were 480 not recovered after the reversibility test. In addition, modern wood displays a larger 481 modification of the volume after reversibility, while the historical wood undergoes a better 482 483 recovery of its dimensions after TT. This may indicate an improvement of the dimensional

stability of the historical wood due to natural aging making it less affected by the TT. Since 484 there is no significant degradation or chemical modifications of the samples due to the moderate 485 TT, these results suggest that the TT produces some structural modifications, which may be 486 linked to differences in polymer composition between these two wood samples (the differences 487 in polymer composition are expected due to the aging of historical wood). Our results are 488 consistent with previous studies suggesting that, at higher humidity, amorphous chain portions 489 could tend to return to their initial state since their segmental mobility increases due to water-490 induced plasticization and their residual stresses in the cell wall matrix can be released by 491 humidification, partly eliminating the effects induced by drying (Obataya et al. 2002; Obataya 492 2007, 2017; Altgen et al. 2016; Endo et al. 2016). However, we showed that even after a full 493 EMC recovery, some of these changes may be irreversible as there is still a change observed 494 on water mobility after reversibility test. We can speculate that the greater volume of 'recovered' 495 modern wood compared to the original one may be related to the 'memory' of the wood 496 associated with its green state. This effect appears to be more pronounced for modern wood 497 when compared to historic wood, likely because the polymers are not yet stabilized and are 498 499 prone to swelling.

500 It should be noted that the volume deformation analysis, $\Delta \varepsilon_{x\%}$, is an interesting method to study 501 wood modifications providing information about the deformation between a dry state and 502 another state at a given % RH. This is a practical parameter for understanding the behaviour of 503 wood after modifications as it allows to simulate the conditions to which wood could be 504 exposed to during its use. Nevertheless, it may have some limitations to understand the effect 505 of wood modifications in terms of the internal structure of wood at a local/molecular-level.

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507 ¹³C solid-state NMR spectroscopy

¹³C solid-state NMR spectroscopy was used to study wood samples before and after a TT. For 508 each type of wood, no differences were found on the ${}^{1}H \rightarrow {}^{13}C CP/MAS NMR$ spectra recorded 509 before and after the TT (see Figure S2). This result is consistent with the mass analysis and 510 suggest that almost no polymer degradation occurs during the TT. For comparison, ¹³C NMR 511 experiments using different contact time values (50 µs, 1 ms and 4 ms) were performed, which 512 lead to spectra weighed by ¹H spin-lattice relaxation in the rotating frame relaxation times (T_{10}) 513 514 that could be affected by structural changes of cell wall polymers (Košíková et al. 1999). The ¹³C CP/MAS NMR spectra before and after TT were found to superimpose, whatever the 515 contact time used (see Figure S2). In addition, these ¹³C NMR spectra allowed us to compare 516 the polymer composition between historical and modern wood samples to determine whether 517 the differences observed in terms of reversibility could be explained by their chemical 518 composition. 519

Figure 6 shows the ¹³C NMR spectra of the native samples (initial state) from modern and 520 historical woods with the assignments of the most characteristic peaks. Though these spectra, 521 obtained by means of ${}^{1}\text{H} \rightarrow {}^{13}\text{C}$ CP, are not quantitative the fact that they can be superimposed 522 leads to simply observe that their chemical composition and the relative amount of the wood 523 524 polymers are very similar for both wood types. The peaks mainly correspond to lignin and 525 carbohydrate carbons (cellulose and hemicellulose), as can be seen on Figure 6. Besides, one may notice that the contributions from carbons involved in the crystalline and amorphous 526 phases may be clearly resolved. The only difference between these two samples stands in the 527 528 peaks at 20 ppm and 175 ppm which are assigned to the methyl and C=O carbons from the acetyl groups of hemicellulose respectively. The intensity of these peaks is found to be 529 comparatively weaker for the historical wood than for the modern one. This feature could be 530 due to the degradation of hemicelluloses occurring during the natural aging experienced by the 531 historical wood. This interpretation is consistent with previous works suggesting that the 532

deacetylation of hemicelluloses for historical woods compared to modern woods during natural aging (Guo et al. 2018). It should be noted that it is generally difficult to compare modern and historical wood samples as it is difficult to study the same sample over a long time and because wood composition may vary due to differences in soil composition, climatic conditions, growth environment, and other factors. However, the two samples studied here seem to display the same proportions of polymers, except for the acetyl groups of the hemicelluloses, making them easily comparable.



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Figure 6. ¹³C CP/MAS NMR spectra (contact time of 1 ms) of both modern (blue) and historical (red) wood
 samples and the corresponding peak assignment (Yang et al. 2014). C₁ to C₆ correspond to different carbon
 positions of carbohydrates while cr and am denote carbons located within crystalline and amorphous domains
 respectively.

Considering the previous observations, we could expect lower water adsorption for the 545 historical wood sample, since fewer acetyl groups from the hemicelluloses are detected in this 546 sample. However, as previously seen using ¹H NMR, historical wood is characterized by a total 547 water content which is very close to the one of modern wood, suggesting that the degradation 548 of acetyl groups in hemicelluloses may not be the only (or the main) factor explaining the 549 decrease in EMC following natural aging of historical wood. It is also interesting to note that 550 although the EMC is very similar for both types of wood, the amount of bound water in the 551 water compartments B and C measured by ¹H NMR is different (see B and C in Table 2). The 552 amount of water molecules of type B, the more strongly adsorbed water, is lower for historical 553 wood than for modern wood in the initial state. As the only difference for historical wood is a 554 lower amount of acetyl groups in the hemicelluloses, one may propose that water molecules of 555 type B are mainly adsorbed on hemicelluloses. Consequently, the fraction of water molecules 556 of type C in historical wood is higher than the one measured for modern wood, indicating that 557 558 this chemical environment may have experienced modifications during natural aging (chemical and/or physical changes of the polymer matrix). These modifications could allow a higher water 559 uptake in the compartments of water molecules of type C, leading to a total amount of adsorbed 560 561 water equivalent to that obtained for modern wood.

As explained above, a decrease of the content of acetyl groups in hemicelluloses can be due to aging and heat treatments of wood and this should imply a reduction in both hygroscopicity and volume deformations of wood. It has often been proposed that the reduction in hygroscopicity is due to the degradation of hemicelluloses, which results in a significant loss of adsorption sites

566 (-OH). However, some studies have shown that the reduction of the EMC cannot be explained

solely by the decrease of hydroxyl sites. Indeed, (Rautkari et al. 2013) demonstrated that there 567 is a weak correlation between the lowering of EMC of heat-treated wood and the number of 568 accessible -OH sites. The reduction in hygroscopicity and deformations of the studied materials 569 were explained by the increase in the stiffness of the polymer matrix (Boonstra and Tjeerdsma 570 2006; Rautkari et al. 2013). These conclusions were reached for high temperature heat 571 treatments (165-220°C) in a dry atmosphere or in the presence of steam. Here, we have shown 572 that a lower amount of acetyl groups in hemicelluloses does not necessarily imply a reduction 573 in hygroscopicity since the water content of historical wood is close to the one of modern wood. 574 The reduction of wood deformations after aging or TT may therefore depend on other 575 parameters such as density or other physical changes. 576

Concerning wood reversibility, our results suggest that there is a relationship between the 577 chemical composition of the sample and the irreversible effect of a moderate heat treatment on 578 the volume deformations observed for oak wood samples. We have indeed shown that 579 volumetric deformations increase after reversibility tests for modern wood whereas they come 580 back to almost their initial state for historical wood, which has a lower amount of hemicellulose 581 acetyl groups due to aging under natural conditions for about 300 years. As the historical wood 582 has experienced natural aging, the degradation of a part of its polymeric matrix in the cell wall 583 could be the cause of an improved volume stability compared to the modern wood since natural 584 aging has degraded the domains which mostly affect its dimensional variations. Besides, the 585 irreversible chemical rearrangements that may have occurred in the different chemical 586 compartments could also have an impact on the improved dimensional stability of historical 587 wood, since the content of water molecules of type C is higher than for the ones of type B for 588 the same wood after reversibility tests (see Table 2). 589

590 591

592 CONCLUSION

This research work showed that EMC values alone do not allow to fully understand the 593 mechanisms underneath TTs and wood reversibility. Moreover, the NMR data indicated that 594 water molecules possess a more restricted mobility after reversibility tests, in comparison to the 595 initial state, for both modern and historical wood samples. This modification on water mobility 596 could be explained by a structural relaxation of the cell wall polymers following the exposure 597 of the material to a high RH, which could reduce the Tg of the chain portions in the amorphous 598 phases and thus promote their rearrangement. These changes could modify the adsorption sites 599 engaged in intramolecular bonds - but in a slightly different way since the mobility of water has 600 decreased (increase of T₁/T₂ ratio in comparison to the initial state). When wood is re-601 humidified at high RH, a reorganization of these pairs will occur, thus leading to recomposed 602 adsorption site. Therefore, after reversibility test, small residual stresses or irreversible ones in 603 the structure of the wood cell walls could limit the total return of the structure to its original 604 organization even though a full recovery of the EMC was observed. 605

Concerning volumetric deformations, we have compared two methods used to study wood 606 properties: using as a reference the dry volume at each step of the study or the dry volume at 607 the initial state (i.e. before TT). A full reversibility of the volume deformations was evidenced 608 when they are calculated using the dry volume at each step as a reference. Actually, this type 609 of analysis compares the variation of volume at two different hydric states (65% and 2% RH in 610 611 our case), which is useful to study the performance of wood material in a real application after TT. Nevertheless, this approach does not enable to understand the mechanisms behind TTs and 612 reversibility. On the other hand, when using the dry volume of the intact sample before TT as 613 a reference, volume deformations increased after reversibility test for modern wood. Our results 614 also suggested that the observed restricted mobility of adsorbed water molecules does not have 615

- a direct effect on volume deformations since the evolution of the latter is different for each oak
- 617 wood type, while the effect on ¹H NMR relaxometry data is similar for both modern and
- 618 historical wood samples.

Finally, ¹³C solid-state NMR spectroscopy has been used to compare the composition of both 619 620 historical and modern wood samples in a native state suggesting that the degradation of acetyl groups in hemicelluloses may not be the only (or the main) factor explaining the decrease in 621 EMC during natural aging of the historical wood. Moreover, we hypothesized that the changes 622 623 observed in terms of the evolution of the volume of the specimens, and therefore, between historical and modern woods could come from the rearrangement of the macromolecular chains 624 in the wood cell walls. The differences between historical and modern woods could arise from 625 the lower proportion of acetyl groups from hemicellulose for historical wood, due to aging. 626 627

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632 Author contributions

L.R., D.C.-M. and S.C. worked on developing the structure and method of the paper. L.R.
performed all the experiments except from the ¹³C NMR experiments which were carried out
by C.L. D.C.-M and S.C. wrote the manuscript. All authors provided critical feedback and
helped shape the research, analysis and manuscript.

- 638 Conflict of interest
- 639 The authors declare that they have no known competing financial interests or personal
- relationships that could have appeared to influence the work reported in this paper.
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Supplementary material (SI) See https://link.springer.com/article/10.1007/s00226-023-01501-6 759









Figure S1. Volume deformations, using a dry reference at each step, vs EMC determined before TT at 2%, 97% and at 65% RH for TTs of 24h, 72h and 168h, after TT (24h, 72h and 168h), after reversibility test and after 14 weeks following reversibility test for modern and historical oak woods.





Figure S2. Comparison of the ¹³C NMR spectra before (top) and after (bottom) TT obtained for modern wood [(a) 769 and (b)] and historical wood [(c) and (d)]. These spectra were obtained by means of ${}^{1}H \rightarrow {}^{13}C$ cross-polarization, magic-angle spinning and ¹H high-power decoupling applied during the acquisition of the ¹³C NMR signal. The 770 771 contact time was set to 50 µs [(a) and (c)] and 4 ms [(b) and (d)]. The other experimental conditions were kept 772 identical to the ones described in the manuscript.

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Figure S3. 2D ¹H T_1 - T_2 NMR relaxometry spectra at 65% RH for modern wood a) before TT, b) after TT, c) after reversibility tests and d) after 14 weeks at 65% RH for the three studied at each state.



Figure S4. 2D ¹H T_1 - T_2 NMR relaxometry spectra at 65% RH for historical wood a) before TT, b) after TT, c) after reversibility tests and d) after 14 weeks at 65% RH for the three studied at each state.

Table S1. Dry volumes at 2% RH and volumes at 65% RH normalized to volume data at 2% RH before TT
experiments (mm*) of wood samples at the initial state, before TT, after TT, after reversibility test (after 2 weeks
at 97% RH and after 14 weeks at 65% RH) for both modern and historical oak woods. Mean values for the cases
are provided and standard deviations values are included in parentheses.

| | | Before TT | After TT | After reversibility test | |
|----------------|-----------|-----------|----------|--------------------------|-----------|
| | | | | 2 weeks | 14 weeks |
| Modern wood | Dry state | 1000 (-) | 999 (2) | 1022 (6) | 1022 (6) |
| | 65% RH | 1051 (2) | 1045 (4) | 1071 (3) | 1069 (7) |
| Historical | Dry state | 1000 (-) | 994 (2) | 993 (7) | 993 (7) |
| wood | 65% RH | 1041 (2) | 1029 (7) | 1034 (15) | 1032 (11) |