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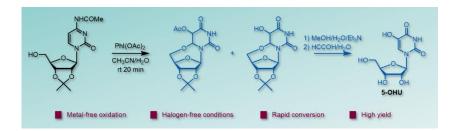
Hypervalent Iodine-Mediated Preparation of 5-Hydroxuridine from 2',3'-O-isopropylidene N4-Acetylcytidine

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Abstract Whereas BAIB-TEMPO oxidation of 2',3'-O-TBDMS-N4-acetylcytidine results in the expected 5'-carboxylic acid nucleoside, its 2',3'-O-isopropylidene analogue reacts in a radically different way. We have demonstrated here that hypervalent iodine(III) in water triggers an unprecedented oxidative cyclization leading to a mixture of C5-substituted 06,5'-cyclo 5,6-dihydro uridines. This mixture of cyclouridines can be opened under basic conditions and, after deprotection, yields 5-hydroxyuridine, an important post-transcriptional modification of uridine at the wobble position (U34) of bacterial tRNA. NMR experimental values and calculations were performed to provide further insight on the specific reactivity of 2',3'-O-isopropylidene N4-acetylcytidine.

Key words Hypervalent iodine, nucleoside, cyclouridine, oxidative cyclization, 5-hydroxiuridine

Introduction

5-Hydroxyuridine (5-OHU) is a major RNA lesion affecting ribosomal translation that can be generated from pyrimidines by the action of reactive oxygen species and free radicals.1 Interestingly, 5-OHU is also involved in the formation of 5oxyalkylated modifications of the wobble position of bacterial tRNA that are believed to play key roles in the translational machinery.2 From a synthetic point of view, the most common method to synthesize 5-OHU involves treating uridine with a halogenation reagent in basic aqueous media.3 Based on their work on uridine 5'-diphosphate, Eaton and Hutchinson suggested that 5-hydroxy pyrimidine nucleoside was generated from a bromohydrin intermediate in which the bromine atom at C5 position was subsequently substituted by a hydroxyl group, followed by the elimination of a water molecule.4 It is worth noting that, due to the presence of the 5'-diphosphate group, this proposed mechanism eliminates the possibility of the formation of an 06,5'-cyclouridine intermediate usually generated by anchimeric assistance of the 5'-hydroxyl function.5,6 These authors also demonstrated that the corresponding 5-bromopyrimidine nucleoside was not an intermediate in the reaction and that when performed on a cytidine analogue, deamination occurred to give 5-0HU as the major product, although in modest yield (34%).7 The scope of enzymatic reactions known to modify cytosine by deamination, methylation or oxidation is such that researchers see cytosine as a genomic "wild card" able to impact coding sequences and genetic expression.8 To the best of our knowledge, the only mention of oxidative transformation of cytosine to 5acetoxyuridine was reported by Maki and co-workers in 1984.9 First, the treatment of 2',3'-0-isopropylidene N4benzoylcytidine 1a with lead tetraacetate generated a mixture of 5'-0,6-cyclo-2',3'-0-isopropylidene N4-benzoylcytidine 2 5-acetoxy-5'-0,6-cyclo-5,6-dihydro-2',3'-0-(15%)isopropylidene uridine 3 (50%), by oxidative cyclization (Scheme 1a). Heating 3 in dimethylformamide for an unspecified time gave 5-acetoxy-2',3'-0-isopropylidene uridine 4, although the obtained yield was not reported. In the course of our study dedicated to the synthesis of C-5'-acyl-modified nucleosides,10 we had to prepare several 5'-carboxylic acid nucleosides¹¹ following the efficient 2,2,6,6-tetramethyl-1piperidinyloxyl (TEMPO)- [bis(acetoxy)-iodo]benzene (BAIB) method described by Epp and Widlanski.¹² C-5'-oxidation proceeded smoothly for all studied pyrimidine nucleosides, except for the cytidine protected by N4-acetyl-2',3'-0isopropylidene groups, which led to a complex mixture of compounds. This observation was all the more surprising since, under the same conditions, N4-acetylcytidine 2',3'-0-protected by silyl groups gave the expected 5'-carboxylic acid cytidine. We have now demonstrated that in the case of 2',3'-0isopropylidene N4-acetylcytidine, a mixture of two nonchromogenic cyclouridines 3 and 5 is formed during the hypervalent iodine-mediated reaction and that both compounds can be readily converted to 5-OHU (Scheme 1b). Here are the results of our investigations.

Results

Early studies showed that under standard oxidation conditions (BAIB 2.2 eq, TEMPO 0.2 eq, acetonitrile-water (1:1)), 2',3'-O-isopopylideneuridine was oxidized to 5'-COOH as described in 80% yield. Under the same conditions, 2',3'-O-isopopylidene-N4-acetylcytidine led mainly to a mixture of UV-inactive compounds that could only be detected by vanillin staining, with only traces of the expected carboxylic acid derivative. This

observation suggests that the aromaticity of the nucleobase was lost during the reaction.

a) Previous work: Lead tretraacetate catalyzed oxidative cyclization (Maki et al. 1984)

b) This work: Metal free oxydative cyclization using hypervalent iodine

Scheme 1. Synthetic approaches toward metal-free oxidative cyclization of N4-protected 2',3'-O-isopropylidene cytidine

Two major compounds, 3 and 5, were separated and isolated by column chromatography in 47 and 4% yield respectively, and were analyzed by NMR to unequivocally determine their structures. Analysis of the ¹H and ¹³C NMR spectra of 3 clearly indicates a change in the structure of the nucleobase. First of all, the two doublets belonging to the vicinal H5 and H6 protons of the base were found to be linked to carbon atoms with chemical shifts too low (70.1 and 87.3 ppm) to be sp2-hybridized atoms. Moreover, heteronuclear correlations were observed between C6 and the methylene protons in the 5' position of the ribose. These data support a break in the π -bond between C5 and C6 and a sugar-base cyclization involving the 5'-extremity and the C6 carbon, as depicted in Scheme 1. Such a change in the hybridization of C5 and C6 leads to several stereoisomers and through-space correlations were used to discriminate between the different possibilities. The R configuration at C6 would bring H6 closer to H1', H2' and H3', but the corresponding correlations were not observed on the ROESY spectrum. Instead, H6 and H3' showed correlations peaks each engaging a different proton of the methylene (H6-H5' and H3'-H5"). This enabled these protons to be assigned stereo-specifically (H5' and H5" being Pro(S) and Pro(R) respectively) and, above all, proved that H6 and H3' are opposite the mid-plane of the ring formed by the 05'-C6 bridge, thus confirming the absolute S configuration of C6 (Figure 1).

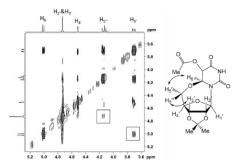


Figure 1. Selected region of the 2D NOESY spectrum of **3** (left) showing the correlation peaks used to characterize the stereochemistry of C6. These correlations were reported on the molecular structure (right).

Compound 5 showed very similar 1H and 13C spectra to compound 3, except that the acetyl group on the base was missing. Consequently, the most important variations in chemical shifts when comparing the spectra of the two compounds concerned the H5 and H6 protons, as well as the C4 and C6 carbons. The NMR data indicate that 5 is a deacetylated analogue of 3, bearing a hydroxyl group on C5. Bridging the 5'extremity to the C6 of the base pushes the nucleosides into a rigid conformation where the base and the dihedral angle around C4'-C5' are locked into anti and gauche-gauche conformations respectively, which in turn also constrains the sugar pucker. As dihedral angles and coupling constants are closely related, such conformational constrain impacts the coupling constants, and explains the simplified multiplicities in the 1H NMR spectra of 3 and 5, where three of the sugar's coupling constants are zero. Comparable values have been 8,5'-anhydro-8-oxo-2',3'-0-isopropylidene reported adenosine (Table 1),13 thus confirming that 3 and 5 share the ribose West conformation (P ~240-280°) of this adenosine analogue.

On the basis of these structures, we started to evaluate the various parameters likely to influence the reaction. The addition of two equivalents of NaHCO₃ to the solution as described by Epp and Widlanski¹² on unprotected 2',3'-0-isopropylidene cytidine, resulted in a sharp decrease in the yield of both compounds 3 and 5 (Table 2, entry 2). Interestingly, removal of TEMPO from solution increased the yields of 3 and 5 to 70 and 8% respectively (Table 2, entry 3). However, extending the reaction time to 12 h did not have a significant impact (Table 2, entry 4). The decrease or increase the number of BAIB equivalents led in both cases to a decrease in the formation of cyclodihydrouridines 3 and 5 in different proportions (Table 2, entries 5 and 6). Similarly, the removal of one of the two cosolvents had a detrimental effect on the production of 3 and 5 (Table 2, entries 7 and 8). However, nucleoside 5 was more abundant when the reaction was carried out in water only. Interestingly, when BAIB was replaced by phenyliodine(III) bis(trifluoroacetate) (PIFA), the yield of compound 5 raised to 55% with only 9% of derivative 3. No trace of an analogue bearing the trifluoroacetyl group in position 5 was observed. The slight presence of 3 suggests that the nitrogen protecting group may have been partially transferred to position 5 of the base, but the formation of a 5-trifluoroacetyl nucleoside intermediate, which would be rapidly hydrolyzed under these acidic conditions, cannot be ruled out (Table 2, entry 9). Finally, when 2',3'-0-isopopylidene N4-benzoylcytidine was subjected to BAIB, no transfer of the benzoyl group to position 5 was observed and compounds 3 and 5 were obtained in low yields, indicating that the main source of acetyl group to yield 3 comes from BAIB (Table 2, entry 10).

Table 1. Coupling constants (J, Hz) for the ribose measured in CDCl3

	$J_{1'-2'}$	$J_{2'-3'}$	$J_{3'-4'}$	$J_{4'-5'}$	$J_{4'-5''}$	$J_{5'-5"}$
3	0.0	n.d.a	0.0	2.1	0.0	-12.7
5	0.0	5.9	0.0	2.0	0.0	-12.7
8,5'-anhydro-8-oxo- 2',3'- <i>O</i> -isopropylidene adenosine [13]	0.0	5.6	0.0	2.3	0.7	-12.9

 $\begin{tabular}{ll} \textbf{Table 2.} Optimization of reaction conditions for the synthesis of cyclodihydrouridines 3 and 5 \end{tabular}$

Entry	R	Oxidant (eq)	Additives (eq)	Time (h)	3 (%)	5 (%)
1	Ac	PhI(OAc) ₂ (2)	TEMPO (0.2)	3	47	4
2	Ac	PhI(OAc) ₂ (2)	TEMPO (0.2) + NaHCO ₃ (2)	3	10	5
3	Ac	PhI(OAc) ₂ (2)	-	3	70	8
4	Ac	PhI(OAc) ₂ (2)	-	12	71	11
5	Ac	PhI(OAc) ₂ (1.5)	-	3	67	11
6	Ac	PhI(OAc) ₂ (5)	-	3	34	6
7ª	Ac	PhI(OAc) ₂ (2)	-	3	37	5
8 _p	Ac	PhI(OAc) ₂ (2)	-	3	47	16
9	Ac	PhI(OCF ₃) ₂ (2)	-	3	9	55
10	Bz	PhI(OAc) ₂ (2)	-	3	18	2

^a acetonitrile only; ^b water only

To gain more insight about the mechanism of formation of compounds **3** and **5**, we protected the 5'-hydroxyl group of **1b** with a benzoyl group and subjected the resulting compound to 2 equivalents of BAIB. As expected, no reaction occurred after 24 h and the starting material was fully recovered, confirming the crucial role of the 5'-hydroxyl group in the cyclization. Similarly, in the presence of BAIB alone, 2',3'-0-isopropylidene uridine also failed to react, suggesting the necessary involvement of the NH-Ac function.

Based on the above-mentioned experiments, we propose a possible reaction mechanism for the formation of cyclouridines $\bf 3$ and $\bf 5$ (Scheme 2). In line with the mechanistic proposal made by Suryavanshi and co-workers¹⁴ for the formation of α -acyloxyketones from benzylamine, the reaction between $\bf 1b$ and iodobenzene diacetate would generate an ammonium intermediate $\bf A$ that would trigger the cyclization via an attack of the 5'-hydroxyl group at position C6 to give the common intermediate $\bf B$. In path a, intramolecular acetylation at position C5 generates imine species $\bf C$ by removal of iodobenzene. $\bf C$ is then attacked at the alpha-position of the imine by an acetate anion to form a hemiaminal ether intermediate $\bf D$, which is hydrolyzed in water to yield compound $\bf 3$. In path $\bf b$, $\bf B$ is directly

attacked by water at position C6, triggering the removal of iodobenzene and acetic acid to form imine E, which undergoes the same reaction sequence as D to give compound S.

Scheme 2. Mechanism proposal for the formation of 3 and 5

Compounds **3** and **5** proved stable for weeks when stored in powder form at room temperature, and even when heated in DMF at 80°C for several hours, no degradation or transformation was observed. This is not surprising considering the numerous pyrimidine cyclonucleosides that have already been described. Usually prepared by halogenation, dehalogenation or under radical conditions, 5,6 oxidative cyclization of cytidine via hypervalent iodine has, to our knowledge, never been reported. Hypervalent iodine chemistry has gained considerable importance for the development of a wide range of organic reactions, 15 but its potential in aqueous media has not yet to be fully exploited. The use of hypervalent iodine reagents in nucleoside chemistry is still mainly limited to glycosylation reactions. 17,18

To easily access to **5-0HU**, a mixture of **3** and **5** was stirred for 24 h in a MeOH/H₂O/Et₃N mixture (5:1:1), resulting in the unique formation of product **6** in 60% yield after purification on silica gel. The 2',3'-O-isopropylidene protecting group was then removed under acidic conditions to yield **5-OHU** in 90% yield (Scheme 3). Thus, under optimized conditions (Table 2, entry 4), **5-OHU** was obtained from **1b** in 43% yield in three steps.

However, one question remains unanswered. Indeed, when 2',3'-O-isopropylidene protection is replaced by 2',3'-O-TBDMS groups, BAIB-TEMPO conditions lead to the formation of the expected 5'-carboxylic acid cytidine without any trace of cyclonucleosides. This is also the case with the 2'-deoxy-3'-O-TBDMS-N4-acetylcytidine analogue. To gain further insight, we performed a thorough NMR analysis of the conformation of 1b and its 2'-3'-O-TBDMS analogue 1c, dissolved into a CD_3CN/D_2O mixture (9/1 v/v) in order to approximate synthesis conditions. The conformation of a nucleoside is globally defined by three factors: the base and 5'-extremity orientation as well as the sugar pucker.

The determination of the sugar geometry is usually defined according to the pseudorotation concept, 19 in which the five-

 $^{^{\}rm a}$ The coupling constant cannot be determined since H2' and H3' are accidentally isochronic.

membered ring shape is totally described by just two parameters: the phase angle P (0 to 360°) and the pucker amplitude ϕm . Most of the nucleosides and nucleotides occupy two regions of the pseudorotation wheel in particular: the North, centered around P

Scheme 3. Synthesis of 5-OHU from a mixture of nucleosides 3 and 5

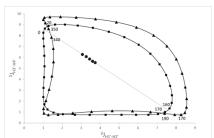
= 18° ($C_{3'-endo}$) and the South, centered around P = 162° ($C_{2'-endo}$), with a pucker amplitude ranging from 35 to 45°. In most mononucleos(t)ides, the sugar ring typically exists as a rapid equilibrium between these two preferred C2'-endo and C3'-endo conformations. Several nucleosides containing a 2',3'-0isopropylidene fused ring were characterized in the solid state, and it appeared that the conformation of the ribose severely differs from the two classical C2'-endo and C3'-endo puckering modes. 2',3'-0-isopropylidene uridine for instance, crystallizes as a $C_{3'\text{exo}}$ - $C_{4'\text{endo}}$ twist ($^{4}_{3}$ T with P = 216.3° and ϕ_{m} = 23.7°). 20 Xray analysis of 2',3'-0-isopropylidene adenosine revealed the existence of two independent structures: a C_{3'exo}-C_{4'endo} twist (P = 215.3 and $\phi_m = 31.5^\circ$) and a planar structure. 2',3'-0isopropylidene guanosine crystallized as a C3'-exo envelope (3E with P = 196.4 et ϕ_m = 25.7).²¹ In solution, the $J_{1'-2'}$, $J_{2'-3'}$ and $J_{3'-4'}$ coupling constants are regularly used as indicators of sugar conformation. To get more insight in the sugar ring dynamics, coupling constants were measured at different temperatures (Table SX).

In 2',3'-OH ribonucleos(t)ides undergoing a classical northsouth equilibrium (with a pucker amplitude of 39°), the cisoïdal J2'-3' coupling constant and the sum of the two transoïdal couplings constants $(J_{1'-2'} + J_{3'-4'})$ are found around 5.0 and 9.5 Hz respectively. An abnormally puckered ribose is related to a lower value of $J_{2'-3'}$ associated with a higher value of $J_{1'-2'} + J_{3'-4'}$. In contrast, the reverse observation is diagnostic of a flattened sugar.¹⁹ For 2',3'-0-TBDMS-CAc, J2'-3' is found around 4.2 Hz, i.e. about 1 Hz below the usual value of ~5.0 Hz observed for nonconstrained ribose, while the value for $J_{1'-2'} + J_{3'-4'}$ (9.3 Hz) remained quite close to the standard value. In contrast, for the 2',3'-0-isopropylidene nucleoside **1b**, the value for $J_{2'-3'}$ was found about 1.5 Hz above the \sim 5.0 Hz value, while $J_{1'-2'}$ + $J_{3'-4'}$ dropped down to 5.3 Hz, indicating a flattening of the ribose and/or a shift of the phase angle (P) values corresponding to less usual conformations. Both compounds also showed different behavior with respect to increasing temperature. For 2',3'-O-TBDMS-CAc increasing the temperature results in an increase of $J_{1'-2'}$ ($\Delta J \sim +0.6$ Hz) and in a decrease of $J_{3'-4'}$ ($\Delta J \sim -0.7$ Hz). The variations observed between the two extreme temperatures suggest the existence of an equilibrium between two conformations. For compound 1b, the coupling constants are much less temperature-dependent than for the silylated analogue **1c**, $J_{2'-3'}$ and $J_{3'-4'}$ increasing in a lesser extent ($\Delta J \sim 0.1$ and 0.3 Hz respectively) while $I_{1'-2'}$ remains unchanged (Table 3).

A graphical means of exploring the sugar conformation, in particular when a two-state equilibrium occurs, is to plot $J_{3'-4'}$ as a function of $J_{1'-2'}$. Vicinal coupling constants were calculated from Karplus-type equations using the Altona parametrization

defined for β -ribose.²³ Plots of $J_{3'-4'}$ versus $J_{1'-2'}$ calculated for P=0 to 360° and for two values of φ_m , together with experimental values, are shown in Figure 2.

For 2',3'-O-TBDMS- C^{Ac} **1c** (Figure 2, left), the experimental points are aligned on a straight line which suggests an equilibrium between two conformations.



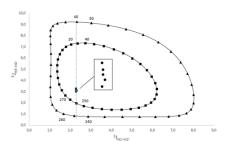


Figure 2. Plots of $J_{1^{\circ}-2^{\circ}}$ vs $J_{3^{\circ}-4^{\circ}}$. Curves represent the dependence of $J_{1^{\circ}-2^{\circ}}$ and $J_{3^{\circ}-4^{\circ}}$ calculated for P values ranging from 0 to 360° and for two values of ϕ m using the Karplus-type equation parametrized by Altona. [24] Dots are the experimental data. Top: for compound $\mathbf{1c}$ with ϕ m = 35° (\blacksquare) and 45° (\triangle). Bottom: for compounds $\mathbf{1b}$, with ϕ m = 20° (\blacksquare) and 35° (\triangle). Relevant P values are reported on the curves.

The reverse dependence of the two experimental coupling constants with temperature (Table 3) is characteristic of a classical north-south equilibrium and the low value of $J_{1\cdot\cdot 2'}$ as well as the position of the points on the figure indicate that the north conformer slightly prevails. Its population can be approximated at 55-63% from 10 x ${}^3J_{3\cdot\cdot 4'}$.(19] As the low value of ${}^3J_{2\cdot\cdot 3'}$ (4.2 Hz) suggests an increase in pucker amplitude, theoretical curves were plotted for $\varphi m = 35^\circ$ and 45°. From the intercept of the line with the two curves, P_N and P_S were estimated to -20° to +20° ($C_{2'\text{-exo}}$ to $C_{3'\text{-endo}}$) and 160° to 190° ($C_{3'\text{-exo}}$ to $C_{2'\text{-endo}}$) respectively.

Crystallographic data for 2',3'-O-isopropylidene analogues revealed that 2',3'-cyclization leads to a reduced pucker amplitude for the ribose ring, with φ_{m} ranging between 20 and 35 degrees for solid-state non-planar structures.[20-21] Curves of $J_{3'-4'}$ against $J_{1'-2'}$ for **1b** were therefore built for these two ϕ_m values (Figure 2, right). The position of the data points in the lower left part of the graph indicates a predominance of a South/West conformation. The experimental points are clustered in a shorter area, suggesting a much lower flexibility compared to the 2'-3'-OTBDMS analogue 1c, but since it was nevertheless possible to fit a straight line through the experimental data, we concluded that compound 1b was still involved in a two-state equilibrium. From the intersection of this straight line with the two curves, it emerges that the compound mainly adopts a West-type conformation (240° < Pw < 270°) in equilibrium with a less favorable North-type

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conformation ($20^{\circ} < P_N < 50^{\circ}$). Orientation of the 5' extremity was then assessed, from analysis of the coupling constants implying protons H5' and H5" after stereospecific assignment of the latter (see Supporting Information). The γ torsion angle about the C4'-C5' bond (O5'-C5'-C4'-C3') usually adopts three main staggered conformations g^+ (60°), t (180°) and g^- (-60°). The populations of each rotamer was estimated from the experimental coupling constants ³/_{H4'-H5'} and ³/_{H4'-H5"} as follow: 80 % of g^+ , 18% of t and 2% of g^- for 2',3'-O-TBDMS-CAc **1c**; 53 % of g^+ , 35% of t and 12% of g^- for **1b**. The g^+ conformation, which is found in the rigid cyclized dihydro compounds, is the one that brings the 5'-O extremity closer to the base. Nevertheless, this conformation is much more represented in the silylated compound than in the isopropylidene analogue 1b, which is nevertheless the only one capable of forming the 5'-0-C6 bridge. In both compounds, the 5'-0 extremity is flexible enough to adopt any of the three staggered conformations which is probably more important than the ability of the 5'-OH to preorganize into the final g+ conformation.

Finally, orientation of the base relative to the sugar and defined by the χ torsion angle (04'-C1'-N1-C2) was also considered using the three-bond heteronuclear couplings between H_{1'} and the carbons C₂ and C₆ (see Supporting Information), and showed as expected the prevalence of the anti conformation for both 1b and 1c. It is very likely that the conformational constraints induced by the isopropylidene group at the 2'-O- and 3'-O positions are responsible for the difference in chemical reactivity between this compound and the 2',3'-O-TBDMS analogue. The creation of a bond between the base and the 5' extremity implies that the O5' and C6 atoms can be close enough in space for the bond to be formed. The conformational study showed that the most important difference between 1c and 1bis the sugar pucker, the dioxolane ring fused at the 2'-0 and 3'-0 positions pushing the ribose towards a more rigid and preferred West conformation. Whatever the 2'-3' protecting group isopropylidene or TBDMS - in both cases, the base and 5'-OH preferentially adopt the ideal conformation where 5'-OH points in the C1'-C2' direction and C6 points in the O4'-C4' direction. This might explain the observed stereoselectivity at C6 in anhydro (ou dihydro?) compound 3. Interestingly, the g+ conformation is more favored in the silylated compound 1c than in the isopropylidene analogue 1b, yet only the latter led to the formation of an anhydro nucleoside. Moreover, it is well known that the sugar conformation directly affects the base and the 5' extremity's orientations. This suggests that although in both compounds the base and the 5' extremity are able to place themselves in the right position, in 1c where a standard northsouth equilibrium takes place, 05' and C6 are probably too far apart while in 1b the north-west equilibrium would bring these atoms close enough to allow bonding.

Conclusion

In summary, we have developed an efficient and unprecedented metal-free and halogen-free method for the synthesis of 5-hydroxuridine. Its access involves sequential oxidation mediated by hypervalent iodine(III) followed by mild deprotection reaction conditions. An in-depth NMR study showed that the presence of the 2',3'-O-isopolylidene moiety

had a major influence on the sugar puckering, which was crucial for promoting oxidative cyclization. In addition to providing a rapid access to 5-OHU, a major RNA lesion, these results also extend the use of hypervalent iodine(II) in aqueous media.

Funding Information

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Supporting Information

YES (this text will be updated with links prior to publication)

Primary Data

NO.

Conflict of Interest

The authors declare no conflict of interest.

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