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Adsorption/percolation model for water diffusion in

2 deformable nanoporous polymers

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Abstract

Molecules diffusing in porous media exhibit complex dynamics when their size approaches the pore length scale and strong guest-host forces are at play – as is the case for water diffusion in a dense, adsorbing and deformable polymer. The intricate nature of diffusion impedes the complete understanding of the mechanisms, despite the fundamental relevance to numerous important applications. Here, molecular dynamics simulations are used to formulate a theoretical framework that sheds light on the intermittent dynamics of confined water molecules and its link with the material structure as well as its physical behavior (sorption and deformation). By analyzing water trajectories, we determine the moving and waiting times as well as the switching frequency to predict the microscopic self-diffusion coefficient. The apparent tortuosity, defined as the ratio of the bulk to the confined self-diffusion coefficients, is found to depend quantitatively on a limited set of material parameters: heat of adsorption, elastic modulus and percolation probability, all of which are experimentally accessible.

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26 Diffusion of small molecules, e.g. water, in porous media is of fundamental importance for 27 numerous applications including drug delivery, heterogeneous catalysis, adhesives, and many 28 others. Diffusion is usually characterized by the diffusion coefficient. Supplementary Note S1 29 clarifies the many definitions of diffusion coefficient, including intrinsic, tracer, corrected, 30 transport, mutual, self, chemical, and collective diffusion coefficients. Of note, this letter 31 focuses on self-diffusion, or equivalently, tracer diffusion [1]. Understanding how diffusion 32 coefficient is governed by the physical parameters of porous media has led to a plethora of 33 mechanistic models ranging from activation [2,3], hopping [4], obstruction [5], free volume [6– 34 8], to hydrodynamic/friction [9,10], etc. 35 For dilute polymer solutions, e.g. hydrogel (hydration typically higher than ~70%), diffusion theories are relatively well established as fluid-solid interactions can be reasonably neglected 36 37 when pore size λ is much larger than the diffusant size σ , $\lambda >> \sigma$. For example, the obstruction theory successfully predicts diffusion coefficient in hydrogels by relating diffusion coefficient 38 39 to the probability of finding a pore large enough to accommodate water molecules [5]. In 40 contrast, for the case of highly concentrated polymer solutions, where $\lambda \sim \sigma$, the fluid-solid 41 interaction force ζ becomes dominant as it roughly scales with the reciprocal of the pore length scale $\zeta \sim \lambda^{-1}$ (this is due to the fact that intermolecular solid/fluid forces are integrated over the 42 43 entire specific surface area which scales as the pore surface to volume ratio). This strong fluid-44 solid interaction force leads to rich dynamics including molecular sieving, single-file diffusion, 45 anomalous diffusion, etc [10]. Tortuous pore structure superimposes additional complexity on 46 diffusion, whereas current diffusion theories have covered only simple pore geometries, such 47 as cylindrical and planar pores [11]. In short, the strong fluid-solid interaction and complex 48 pore structure form together a non-trivial energy landscape impeding the efforts of elucidating 49 diffusion when the size of the pore, also referred to as mesh opening or free volume, 50 approximates the water molecular size, $\lambda \sim \sigma$. 51 Besides the obstruction theory, researchers proposed free volume [6–8,12] and activation 52 energy-based theories [8,13]. Molecular studies have proved that free volume scales linearly 53 with adsorbed amount [12]. The experimental measurement of free volume remains 54 sophisticated, involving positron annihilation lifetime spectroscopy [14], inverse gas 55 chromatography [15], etc. [16], combined with semi-empirical interpretation. Though 56 activation energy can be more easily measured through temperature-dependent Arrhenius 57 processes [17,18], the connection between pore structure and activation energy, both relevant 58 to diffusion, is not straightforward. Moreover, the above mentioned theories do not consider 59

the intermittent dynamics of the diffusant. Previous works employed tortuosity, defined as the

ratio of self-diffusion coefficient of bulk water to water diffusion coefficient in pores $\xi = D_{s,w}$ 60 D_{μ} , to phenomenologically describe the intermittent dynamics (however, we note that this 61 62 definition is not completely unambiguous as the in pore self-diffusivity can already differ from 63 the bulk due to confinement effects -i.e. even in the absence of residence times at the pore 64 surface). From a fundamental viewpoint, a microscopic explanation of tortuosity based on 65 physical parameters is still lacking. Continuum hypotheses break down at the subnanometer scale when $\lambda \sim \sigma$ [19]. In more detail, 66 67 from a thermodynamical point of view, confined water displays a complex behavior as the 68 interaction field generated by the host medium induces dynamical heterogeneities (e.g. [20]). 69 From a dynamical point of view, rich dynamic boundaries and non-viscous effects lead to complex multiscale dynamics (e.g. [19,21]). To address water diffusion in a dense, sorptive and 70 71 deformable polymer structure, this study resorts to molecular dynamics (MD). We first 72 segregate microscopic diffusion coefficient from overall diffusion coefficient through the stop-73 and-go formalism – which is equivalent to the concept of intermittent Brownian motion – and 74 then rationalize the concept of tortuosity using simple material parameters involving pore 75 structure, sorption and deformation. Our approach provides a unifying picture of the 76 intermittent motion of water molecules and of the quantification of complex diffusion landscape 77 through physically well-defined material parameters. This model provides a way of predicting 78 diffusion coefficients from adsorption heat, elastic modulus and percolation probability. 79 Six biopolymer systems are studied, i.e. two types of hemicelluloses, arabinoglucoronoxylan 80 (AGX) and galactoglucomannan (GGM), two types of lignins, uncondensed lignin (uLGN) and 81 condensed lignin (cLGN), and their mixtures, mixture 1 (M1, consisting of uLGN and AGX) 82 and mixture 2 (M2, consisting of cLGN and GGM). These polymer structures present in wood 83 are inspired and created following our previous work [22]. More details about the molecular 84 models are included in Supplementary Note S2. These wood polymers are chosen as model 85 systems because they cover a broad range of water-polymer interaction strengths, pore 86 structures, chain connectivities, etc. Moreover, water diffusion in wooden materials is relevant 87 to ubiquitous wooden building construction, furniture and artefacts, but also to more specialized 88 issues including archeological wood preservation [23], advanced wood-based iontronics 89 devices [24], etc. The moisture content, m, of the system is defined as the ratio of the mass of 90 water to the mass of the dry polymer. This study focuses on a moisture content range m = 0-0.3, 91 corresponding to the normal range of moisture load of wood exposed to humid 92 environments [25]. Figure 1a shows a sample mixture system M2 consisting of GGM and

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cLGN at $m \sim 0.2$.

A typical simulation system has a lateral size of ~5 nm, containing ~10,000 atoms. The MD simulations are carried out using GROMACS 5.0 package [26] and GROMOS 53a6 united-atom force field [27] in isobaric-isothermal ensemble realized by velocity rescaling thermostat [28] and Berendsen barostat [29]. The simulation time of each system is 100-1000 ns depending on the time needed to reach the Fickian diffusion regime, i.e. where the mean square displacement (MSD) scales linearly with time. Sorption is mimicked by the random insertion method (Supplementary Note S2) [22].

Diffusion is usually described using Einstein's equation where particle diffusion is considered as random walk resulting from stochastic collisions. In sorptive systems, however, water molecules move via a series of waiting – sometimes referred to as residence/stop/immobile – and moving – relocation/go/mobile – events, alternatively identified as intermittent Brownian dynamics [2–4,10,30,31]. We also observe such behavior and include a sample MSD curve in Supplementary Note S3 (figure S1a) that displays alternating segments of plateaus and slopes, corresponding to waiting and moving status, respectively. Figure 1b is the schematic of the intermittent motion of a single water molecule.

Following our previous work [30], we define the time segment i, i.e. the time range $t_i < t < t_{i+1}$, as waiting if the deviation from the average displacement is less than 0.1 nm (OH bond length), $|\mathbf{r}(t) - \langle \mathbf{r} \rangle_t| < 0.1$ nm, $t \in [t_i, t_{i+1}]$, and the rate of change of its squared displacement $\frac{dr^2(t)}{dt} < 8 \times 10^{-8}$ nm² ns⁻¹, a value based on our visual observation of water trajectories. The waiting time $\tau_w = t_{i+1} - t_i$ is then averaged over all waiting segments and water molecules. The frequency of status switching, v, is normalized by the total simulation time t_{tot} . Therefore, we have $t_{\text{tot}} = t_w + t_m = \tau_w v t_{\text{tot}} + \tau_m v t_{\text{tot}}$, where $v^{-1} = \tau_w + \tau_m$ [33] and τ_m is the moving time.

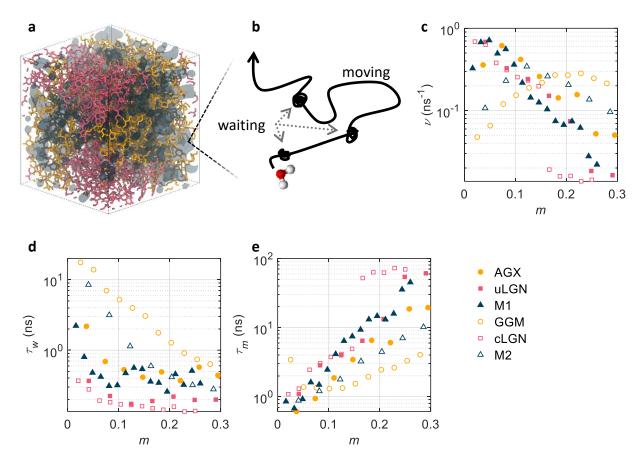
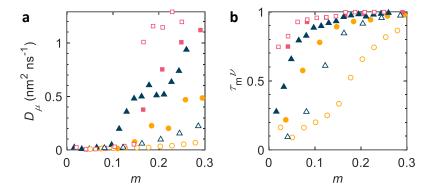


Figure 1 a) Sample system M2 at $m \sim 0.2$. The GGM, cLGN and water molecules are shown in yellow, pink and dark blue colors, respectively. b) Schematic of the intermittent motion of a single water molecule. c) Switching frequency v. d) Waiting time τ_w . e) Moving time $\tau_m = v^{-1} - \tau_w$.

The switching frequency v is shown as a function of moisture content in Figure 1c for all systems. For the lignins uLGN and cLGN, the switching frequency monotonically decreases with moisture content. With ongoing hydration process, adsorption sites at polymer surface are gradually occupied by water molecules and, therefore, the new water molecules in the system are gradually screened from adsorption at the occupied sites and consequently remain mostly more mobile. For the same reason, with increasing moisture content m, the waiting time $\tau_{\rm w}$ decreases while moving time $\tau_{\rm m}$ increases as shown in Figure 1d and e. The switching frequency of the hemicellulose-containing systems displays a peak at intermediate moisture contents. This peak implies a crossover from waiting dominated mode to moving dominated mode, meaning that water molecules mostly stay waiting at low moisture content due to sorption, and are mostly moving at high moisture content [32]. Here, ergodicity is implicitly invoked, meaning that the fractions of moving and waiting molecules equal to the fraction of moving and waiting phases, respectively.

In the waiting state, water molecules are trapped, thus displaying a nearly zero diffusion coefficient. The real displacement only occurs during the moving phases (while this behavior is observed here, we note that in general surface diffusion in the adsorbed state can also be found). The diffusion coefficient in the moving phase is referred to as microscopic diffusion coefficient $D_{\mu} = D/(\tau_m \nu)$ [30]. The factor $\tau_m \nu$ denotes the fraction of moving water or time with respect to the total number of water or total time. The microscopic diffusion coefficient D_{μ} and the fraction of moving water molecules $\tau_m \nu$ are shown in Figure 2a and b.



coefficient.

Figure 2 a) Microscopic diffusion coefficient. b) Fraction of moving water $\tau_m v$.

The diffusion coefficient D is calculated as the slope of the Fickian regime averaged over all water molecules and time origins (Supplementary Note S1). For all systems, the diffusion coefficients increase with increasing moisture content but remain lower than the bulk water self-diffusion coefficient $D_{s,w} = 3.5 \text{ nm}^2 \text{ ns}^{-1}$ (the bulk diffusion coefficient was measured in a separate simulation). The cLGN and uLGN show the highest diffusion coefficient while GGM the lowest. M1 shows a diffusion coefficient lower than uLGN but higher than AGX, i.e. a mixing of its two components, and so is M2. We note that the diffusion coefficient of cLGN surges at $m \sim 0.15$. This is attributed to a special type of water molecule structure, i.e. the formation of percolation, defined as the emergence of a water cluster that penetrates through the whole system creating a continued diffusion channel which greatly enhances diffusion

According to Figure 2b, the more hydrophilic hemicelluloses, i.e. AGX and GGM, show a lower fraction of moving status. Nonetheless, at high moisture content, all systems approach nearly full moving status, i.e. $\tau_m v \sim 1$. This means that few water molecules remain waiting at higher moisture content. It further implies that the microscopic diffusion coefficient is actually close to the diffusion coefficient, i.e. $D_{\mu} \sim D$. The more diffusive systems not only have a larger

- 160 fraction of moving status, but also the water inside those systems moves faster as suggested by
- 161 the higher D_u .
- The mobility status is found to correlate with the polymer-water distance d_{pw} , defined as the
- average distance between the oxygen atom of water and its nearest polymer atoms. The more
- mobile water molecules locate further away from polymer, $d_{pw,m} > d_{pw,w}$, shown in figure S4.
- Furthermore, d_{pw} can characterize the dispersity of water because it is related to the ratio of the
- number of water at the surface of the cluster to the total number of water of the cluster, a
- 167 common measure of dispersity. Based on our results, water in hemicelluloses disperses better
- than in lignins, a behavior which agrees with previous reports [22].
- In the following, we analyse the behavior of the ratio $D_{\mu}/D_{s,w}$, which is the microscopic
- 170 diffusion coefficient D_{μ} normalized by the bulk self-diffusion coefficient, referred to as the
- normalized diffusion coefficient. We note that the reciprocal of $D_{\mu}/D_{s,w}$ is often referred to as
- tortuosity, $\xi = D_{s,w}/D_{\mu}$, interpreted as the degree of "detour" of the path taken by a diffusing
- water molecule caused by the tortuous pore space. The normalized diffusion coefficient is
- usually less than 1, $\xi^{-1} < 1$, because the diffusion coefficient in porous media is generally less
- than the bulk self-diffusion coefficient of liquid water under the same thermodynamic
- 176 conditions.
- Nanoporous biopolymer systems could represent sorptive, deformable and nanoporous
- structures. This letter attempts to offer an alternative view of diffusion utilizing sorption and
- deformability, which are quantitatively represented by adsorption heat Q_{ad} and elastic moduli
- 180 E, respectively. Measurement methods and results of these properties are described in
- Supplementary Note S4 (figure S2). The normalized diffusion coefficient or tortuosity are
- exponential functions of adsorption heat and elastic moduli, the justification of which is
- discussed as follows.
- In the activation theory, diffusion coefficient scales with the activation energy E_a , $D \sim \exp(-\frac{1}{2} E_a)$
- 185 E_a/k_BT). One can invoke a linear relationship between activation energy E_a of diffusion and heat
- of adsorption, $E_a \sim \alpha Q_{ad}$ [33] (with α of the order but larger than 1 in other words, the energy
- barrier is larger than the energy difference between two states). Therefore, taking the limit $\alpha =$
- 188 1, an exponential relation between normalized diffusion coefficient can be employed, $\xi^{-1} \sim \exp(-\frac{1}{2} \exp(-\frac{1}{2}$
- 189 Q_{ad}/k_BT), where k_B and T denote Boltzmann constant and temperature, respectively. This
- relation is shown to be valid, as seen in Figure 3b. It should be noted that in this study we take
- 191 liquid water as the reference state.

Yang and Chun derived the relation between diffusion coefficient and polymer persistence length $D \sim l_p^{1/2}$ [34]. The persistence length of a worm-like chain is related to Young's modulus E, cross-section area, and moment of inertia M, following the relation $l_p = EM/k_BT$ [35]. Therefore the normalized diffusion coefficient is also assumed to scale exponentially with Young's modulus, $\xi^{-1} \sim \exp[(E/E_0)^{1/2}]$, where E_0 is for simplicity equal to 1 GPa only for making the term between brackets dimensionless. Figure 3c shows the validity of the proposed exponential scaling.

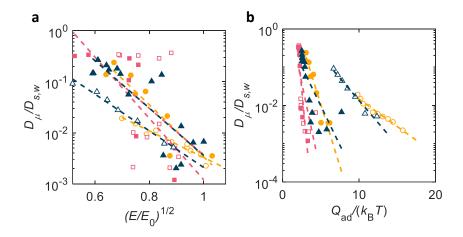


Figure 3 Normalized diffusion coefficient in relation to **a**) heat of adsorption Q_{ad}/k_BT and **b**)

Young's modulus $(E/E_0)^{1/2}$ in a semi-log plot.

The prediction of diffusion coefficient based on heat of adsorption and elastic moduli works relatively well, as shown in Supplementary Note S5. However, for some systems, e.g. cLGN, there exists a surge of diffusion coefficient around $m \sim 0.15$, which is related to the occurrence of percolation. The prediction above, which relies on two terms (heat of adsorption and persistence length), fails to include such feature. It is thus necessary to introduce percolation into the model.

In this study, percolation is quantified by the percolation probability p_p defined as the time interval during which percolation is occurring divided by the total simulation time, i.e. $p_p = \int \delta_p(t) dt / \int dt$, where $\delta_p(t_i)$ equals 1 or 0 whether or not the system percolates at time t_i , correspondingly (details in Supplementary Note S4). The scaling between normalized diffusion coefficient and percolation probability p_p is not a simple function. In analogy with heat of adsorption and modulus, we propose an exponential scaling, $\zeta^{-1} \sim \exp(p_p)$ (it will be shown afterwards that this mathematical form provides a reasonable description of the obtained results). In essence, percolation is a special type of structural feature that is not represented by heat of adsorption heat and elastic modulus, which support the inclusion of percolation as a separate

factor. The percolation probability is system size dependent. This study focuses on dense polymer networks with nanoscale pores which minimizes the impact of the size effect.

In spirit of tortuosity factorization [36], the abovementioned factors are multiplied in the sorption-deformation-percolation (SDP) model:

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$$\frac{1}{\exp(a_0)} \cdot \frac{D_{\mu}}{D_{s,w}} = \exp\left(a_Q \frac{Q_{\rm ad}}{k_{\rm B}T}\right) \cdot \exp\left(a_E \left(\frac{E}{E_0}\right)^{1/2}\right) \cdot \exp\left(a_{\rm p} p_{\rm p}\right)$$

where a_i (i = 0, Q, E or p) is weight. We can also write

$$\ln \frac{D_{\mu}}{D_{s,w}} = a_0 + a_Q \frac{Q_{ad}}{k_B T} + a_E \left(\frac{E}{E_0}\right)^{1/2} + a_p p_p$$

The SDP model well describes the measurements as shown in Figure 4. A sensitivity analysis is carried out by omitting an arbitrary number of factors. The prediction power is poor for any model with fewer factors.

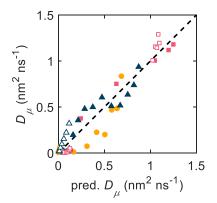


Figure 4 Measured and predicted diffusion coefficient D_{μ} .

The weights are $a_0 = -0.572$, $a_Q = -3.051$, $a_E = -1.243$ and $a_p = 0.466$. The weights a_i in the SDP model are dimensionless numbers, which are the first derivative of $\ln \xi^{-1}$ with respect to the corresponding property x_i , $a_i = \partial \ln \xi^{-1}/\partial x_i$. The a_0 is introduced to manifest all the influencing factors other than sorption, deformation and percolation. Especially, when a_Q is zero, the SDP model reduces to the description of non-sorptive material. Previous diffusion models generally focus on systems where host-guest interaction is negligible and the pore length scale is much larger than the diffusant size. Here we show that the SDP model well describes dense, sorptive and deformable polymer materials, e.g. nanoporous biopolymers.

The model can potentially be verified by experiments. Diffusion coefficient *D* can be measured via nuclear magnetic resonance (NMR). The waiting and moving statistics are accessible via experimental methods such as differential scanning calorimetry (DSC) and NMR [37]. In fact,

our simulation shows that water in most systems is mainly in the moving state, except for the strongly adsorbing material, GGM, whose heat of adsorption reaches \sim 43 kJ mol⁻¹. It is noted that the latent heat of water is not included as we use liquid water as the reference state (details in Supplementary Note S4). The proposed material parameters of tortuosity are also experimentally accessible: heat of adsorption $Q_{\rm ad}$ and Young's modulus E can be measured via calorimetry and mechanical tests, respectively; the probability of percolation can be estimated by e.g. conductivity or capacitance. Preliminary validation of the SDP model based on literature data is included in Supplementary Note S7 where acceptable agreement between the predicted and measured diffusion coefficient is shown.

While this study focuses on water diffusion, we believe that our framework can be extended to other diffusants, such as hydrated ions, methane, etc., as long as the molecular size approximates the size of the free volume or pore length scale. For instance, most drugs have molecular sizes around 0.5-5 nm which are comparable to the pore length scale of the drug delivery systems. Better control of drug release can be achieved by the rational design of the structure of the host porous media under the guidance of our model.

The polymer-water distance d_{pw} is found to be strongly correlated with heat of adsorption Q_{ad} , as shown in figure S4. This offers an alternative way of characterizing sorption. Replacing Q_{ad} with d_{pw} in SDP model give equivalently good results, which further demonstrates that they carry the same information and can thus be used interchangeably.

To sum up, this study offers a unifying picture of water diffusion within dense disordered porous media, and moreover describes diffusion coefficient via a complete set of physically well-defined material properties. We resort to MD simulations where the trajectories of individual water molecules manifest the intermittent dynamics which is caused by strong sorption interactions and highly tortuous pore space. The microscopic diffusion coefficient D_{μ} should be extracted from the measured diffusion coefficient D by disregarding the waiting phases using factor $\tau_m \nu$, the fraction of moving water derived from single water molecule statistics. The microscopic diffusion coefficient, equivalently tortuosity ξ , is then predicted via three characteristic parameters of the porous media, i.e. heat of adsorption Q_{ad} , Young's modulus E and probability of percolation p_p , each representing a fundamental physical aspect of the porous system, i.e. sorption, deformation and percolating structure. The SDP model, therefore, provides guidelines for the comparison of diffusion in different polymers. The model may guide the design of materials to achieve desired diffusion property.

- 274 **Supplementary material:**
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