

BookNLP-fr, the French Versant of BookNLP. A Tailored Pipeline for 19th and 20th Century French Literature

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conference version

BookNLP-fr, the French Versant of BookNLP A Tailored Pipeline for 19th and 20th Century French Literature

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Abstract. This paper presents BookNLP-fr: the adaptation to French of BookNLP, an existing NLP pipeline tailored for literary texts in English. We provide an overview of the challenges involved in the adaptation of such a pipeline to a new language: from the challenges related to data annotation up to the development of specialized modules of entity recognition and coreference. Moving beyond the technical aspects, we explore practical applications of BookNLP-fr with a canonical task for computational literary studies: subgenre classification. We show that BookNLP-fr provides more relevant and – even more importantly – more interpretable features to perform automatic subgenre classification than the traditional bag-of-words approach. BookNLP-fr makes NLP techniques available to a larger public and constitutes a new toolkit to process large numbers of digitized books in French. This allows the field to gain a deeper literary understanding through the practice of distant reading.

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1. Introduction

The domain known as Computational Humanities has recently emerged, with the availability of large corpora of literary texts in digitized format, and of transformer-based language models that are quick, robust and (generally) accurate (Devlin et al. 2019; Touvron et al. 2023, e.g.). This situation opened up new opportunities for exploration and analysis. For French, the collection *Literary fictions of Gallica* (Langlais 2021) includes 19,240 public domain documents from the digital platform of the French National Library, enabling researchers to navigate the wide diversity of literature with unprecedented ease.

The sheer volume of digitized texts presents a unique set of challenges. Traditional methods of literary analysis and interpretation are insufficient when confronted with such vast corpora. It is no longer feasible for individuals to manually analyze in close

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reading the entirety of these collections. This shift in scale necessitates the development of innovative tools and technologies, particularly Natural Language Processing (NLP). These tools are essential for extracting meaningful insights from digital corpora. They can illuminate patterns, trends, and connections that would be impractical or impossible for humans to discern within the vast amount of text data. This new technical paradigm opens up the possibility of conducting research through distant reading (Moretti 2000; Underwood 2019), enabling scholars to zoom in and out from the literary past, facilitating a more profound comprehension of trends and patterns that delineate the evolution of literature. The knowledge embedded in these digitized literary corpora is crucial not only for literary scholars but also for those interested in cultural analytics, defined as "the analysis of massive cultural datasets and flows using computational and visualization techniques" by Manovich (2018), or more practical applications for example the automatic production of book summaries for catalogs (Zhang et al. 2019). The evolution of literature is intricately tied to the broader shifts in society, and digitized texts offer a unique opportunity to study these transformations.

To make the analysis of such large corpora possible, BookNLP (Bamman 2021) has been proposed as a specialized software solution adapted to literary texts. It includes the analysis of entities, coreference, events, and quotations within textual data. Originally conceived at the University of California, Berkeley in 2014 by David Bamman and his team, BookNLP has undergone continuous enhancements, aligning with the latest advancements in natural language processing. Notably, it has embraced emerging technologies such as integrated embeddings of large language models, more specifically BERT (Devlin et al. 2019) in early 2020.

The ongoing evolution of BookNLP extends beyond its initial scope, as efforts are underway to expand its applicability to five additional languages through the Multilingual BookNLP project (Bamman 2020). However, it's worth noting that French is not included in this extension. In response to this gap, it was decided in 2021, in coordination with Berkeley, to develop a dedicated French version of BookNLP. The goal is that researchers working with French literary data have access to basic tools required for the structured analysis of fiction. This paper thus presents the French BookNLP project, the related annotated corpus and the pieces of software defined within the project, as well as a specific study illustrating how BookNLP can be used for literary studies.

The structure of the paper is as follows: we start with a literature review in which we specify NLP tools and techniques that are of particular interest in a framework for distant reading (section 2). Special attention will be given to results of the English BookNLP project (subsection 2.2). In section 3, we provide a detailed description of how we elaborated the pipeline of BookNLP-fr: the training data, the annotation process and the software development. In Section 4, we give the evaluation scores of our pipeline on the subtasks of *entity recognition* and coreference resolution. Then, we will present a case study where we used BookNLP-fr for the classification of literary genre (section 5). We finish this article with a discussion about how the use of computational methods and the framework of distant reading using imperfect annotations affects the field of literary studies (subsection 6.1) and its perspectives in the era of *Large Language Models* (subsection 6.2) and finally summarize the paper in the conclusion (section 7).

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2. Literature Review

2.1 Computational Methods Applied to Literary Text Analysis

Statistical methods have been used extensively in literary text analysis to identify patterns and trends in large amounts of textual data. Different pieces of software are available for this, for example: Quanteda (Benoit et al. 2018), stylo (Eder et al. 2016), TidyText (Silge and Robinson 2017) or Voyant tools (Rockwell and Sinclair 2016), to cite the most famous. They are available "off the shelf", which means that they can be used directly by scholars and researchers to analyze texts. These tools can handle raw text directly, or after basic NLP-processes such as lemmatization, part-of-speech-tagging, or other kinds of annotations. They offer various visualizations to interpret the texts, such as dendrograms to represent the 'distance' between various books of a corpus or charts that make it visible what type of vocabulary is typical to one author as opposed to another one.

There are clear benefits in using statistical methods to analyze literary texts, such as the ability to process and analyze large amounts of data quickly and efficiently, to identify patterns and trends that might not be apparent through traditional close reading methods, and to generate new research questions and hypotheses. But NLP is needed to better represent the content of the text, i.e. what the text says behind the words used. Natural language processing techniques can be used to annotate literary texts by providing syntactic and semantic annotations. NLP has become an increasingly important tool in the field of literary studies, providing new methods for analyzing and interpreting literary texts. NLP tools (e.g. NLTK (Bird et al. 2019) or Stanford tools (Manning et al. 2014)) have been used to perform a wide range of tasks, including partof-speech tagging, syntactic analysis, named entity recognition, etc. In the following paragraphs, we will specify the linguistic analyses available by the BookNLP pipeline: entity recognition, coreference resolution, event recognition and quotation detection. The tools mentionned in the paragraph above do not propose these type of semantic analyses, and only use morphological and grammatical linguistic analyses. BookNLP thus occupies a special niche and provides more semantically-oriented annotations.

Entity Recognition. Entity recognition, along with coreference resolution, is of prominent importance, since it makes it possible to track characters, their actions and their relationships over time. Named entity recognition is a well-established task in NLP, referring to the recognition of persons, locations, companies and other institutions, etc. (Maynard et al. 2017) and systems exist for a wide array of languages (Emelyanov and Artemova 2019), with generally good performance, depending of course on the nature of the document to be analyzed and of the gap between training data and target data. Recognizing mentions referring to characters in a novel shares many features with named entity recognition, but is more varied (not all characters have a name, and a character can correspond to an animal, for example). Locations are also of the utmost importance to track the movements of characters (Ryan et al. 2016), but also to detect events. Note that performance may vary greatly depending on the nature of the novel and of the entities to be recognized, for example in the novel Les Mystères de Paris written between 1842 and 1843 by Eugène Sue, most characters have names that are similar to noun phrases, such as 'la Goualeuse' (meaning the Street Singer) or 'le Chourineur' 100

(meaning the Stabber). Also science fiction, which is full of non-classical proper nouns, 101 can be very challenging for the task (Dekker et al. 2019). A module able to predict, or at 102 least, estimate performance from cues gathered in the text would be useful to process 103 large collections of novels.

Coreference (especially linking together all the mentions in the text of a given character, 105 although the task can involve all kinds of names, or even nouns) is challenging in nature. 106 There is a long tradition of research in coreference resolution in NLP, and modules exist 107 for different languages, with various levels of performance (Poesio et al. 2023). The 108 quality of the different systems is still increasing (through end-to-end models (Lee et al. 109 2017) and then transformer-based language models (Joshi et al. 2019)), and coreference 110 remains a very active field of research in NLP. The task is more challenging for French or 111 Russian than for English, since the "it" pronoun limits ambiguity in English (whereas 112 all nouns are masculine or feminine in French, not only human beings and are referred 113 to with third person pronouns, as for instance in "Marie veut qu'on lave la voiture, elle est 114 sale." ("Marie wants that we wash the car, it is dirty."), where elle refers to the car, but could 115 theoretically also refer to *Marie*; there is no ambiguity from a human point of view in 116 this sentence, but the analysis requires semantic information). When applied in literary 117 studies, automatic coreference systems often break long coreference chains due to the 118 fact that they use a fixed-sized sliding window. If a given character does not appear 119 during a certain period of time (i.e. a certain number of pages), it makes it harder to 120 retrieve its antecedent. Literature provides a good test bed for the coreference task, since 121 novels are long, real, and complex texts on which performance can (and should) still 122 improve a lot.

Event Recognition. Event recognition involves the automated identification and ex- 124 traction of verbs and, more rarely, nouns referring to events. The task is difficult in 125 that there is no clear definition of what an event is, and other features interact with the 126 definition (among others: negation, adverbials and modals), and not all occurrences 127 of verbs should be annotated (e.g. in "I like to play tennis", play is an infinitive that 128 refers to something I like, but it is generally considered that there is no event per se in 129 the sentence). As for literary texts, there have been initiatives to annotate events (Sims 130 et al. 2019), but most verbs and even some nouns can refer to events (Hogenboom et al. 131 2016; Sprugnoli and Tonelli 2016), which may lead to a too fine-grained annotation. 132 There is thus a need to redefine the task and provide an intermediate level of annotation, 133 between isolated events and the novel as a whole (Lotman 1977; Schmid 2010a,b), but 134 higher level annotation (like the notion of scene) has also proven difficult to formalize, 135 leading to very low accuracy in practical experiments (Zehe et al. 2021).

Quotation Recognition. Quotation recognition plays a crucial role in enhancing the 137 understanding of textual content by identifying and isolating direct speech instances. 138 This feature is instrumental in extracting and preserving the spoken words of characters, 139 enabling a fine-grained analysis of dialogue patterns and character interactions (Duran- 140 dard et al. 2023; Van Cranenburgh and Van Den Berg 2023). A crucial but complex part 141 of the task consists in establishing what character is at the origin of a given utterance. A 142 recent study has shown that performance on this task are still rather low and would 143 need to improve to be realy usable in operational contexts (Vishnubhotla et al. 2023).

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2.2 The BookNLP Project

BookNLP is a set of natural language processing modules designed specifically for 146 the analysis of novels and other literary texts. Developed by D. Bamman (Bamman 147 2021; Bamman et al. 2014) and colleagues at the University of Berkeley, BookNLP 148 employs a combination of machine learning and linguistic analysis techniques to extract 149 information from text and perform tasks such as character recognition, coreference 150 resolution, event recognition, and quotation extraction. Note that the Berkeley BookNLP 151 suite currently is based upon BERT (Devlin et al. 2019, e.g.), but this could evolve as 152 better language models continue to appear.

The annotated files that are available for training constitute the LitBank corpus (Bamman 154 et al. 2020, 2019). This corpus is publicly available (see https://paperswithcode.com 155 /dataset/litbank), which makes it possible to regularly retrain the system, as NLP 156 continues to evolve rapidly (especially large language models) 157

Entity Recognition: One of the primary tasks of BookNLP is entity recognition, more 158 specifically characters, locations and vehicles, showing the focus on the actions of charac- 159 ters. This information is used to study how mobile protagonist characters are and what 160 kind of space male and female characters occupy (Soni et al. 2023). Character recog- 161 nition is often coupled with other information (gender, attributes, relations between 162 characters), that can be useful for sub-stream tasks.

Coreference Resolution: In the context of literature, coreference resolution often involves resolving pronouns and other referring expressions to specific characters or 165 entities. BookNLP employs advanced linguistic analysis to identify and link references 166 to the same entity, and the extra knowledge provided by large language models is 167 especially useful for the task.

Event Recognition: Event recognition is another essential task performed by BookNLP. 169 It should be crucial for analyzing the development of the storyline and identifying key 170 plot points, but the huge number of verbs supporting actions make the annotation too 171 prolific and not adapted to specific needs. The proper annotation of negation, adverb and 172 modals is also an open problem. This is why event recognition has not been addressed 173 as a priority in the context of the Multilingual BookNLP Project, that rather focus on 174 entity recognition and coreference resolution.

Quotation Extraction: BookNLP is equipped with the capability to extract quotations 176 from a text. This involves identifying and isolating the direct speech or quoted pas- 177 sages within the literary work. Accurate quotation extraction is vital for understanding 178 character dialogue, the intentions of characters and develop further analyses. However, 179 quotation recognition without speaker attribution is not so useful and, as we have seen 180 before, speaker attribution remains an open question, as accuracy for the task remains 181 low (Vishnubhotla et al. 2023). 182

The application of BookNLP for the analysis of novels and other literary works aims 183 at providing a deeper understanding of narrative structures, character dynamics, and 184 thematic elements in novels (Piper et al. 2021). The different modules are intended to 185 assist researchers in literary analysis but also in digital humanities and cultural analytics. 186

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3. French BookNLP

The French BookNLP project endeavors to construct a robust Natural Language Pro- 188 cessing (NLP) pipeline specifically tailored for the comprehensive analysis of exten- 189 sive French literary corpora of the 19th and 20th century. The ongoing MultiLingual 190 BookNLP project (Bamman 2020), coordinated by Berkeley, seeks to update the initial 191 pipeline (Bamman et al. 2014) and extend its capabilities to encompass four additional 192 languages (Spanish, German, Russian and Japanese). In alignment with this initiative – 193 even though we are not part of the Multilingual BookNLP project in itself, in the sense 194 that we are independent from the research grant that the Berkeley's team obtained - 195 we are actively engaged in the development of the necessary linguistic resources for 196 the French language. Our collaborative efforts with the Berkeley project ensure a coordinated approach to this expansion, by sharing similar annotations and visualization 198 tools, for example.

In line with the Multilingual BookNLP Project, we will mainly focus on entity recognition 200 and coreference resolution. We have seen in the previous sections that annotating events 201 entail a number of problems and may be too general, thus not be useful if it is not done 202 with a specific goal in mind (which may entail some domain-specific annotations, with 203 adapted categories, for example). We have also seen that quotation recognition with 204 no proper speaker attribution algorithm is, for similar reasons, not really useful, but 205 that speaker attribution remains an open problem (Zehe et al. 2021). In what follows, 206 we will thus not address these two tasks (event and quotation recognition) for further 207 investigation and concentrate on entity recognition and coreference resolution.

3.1 The Training Corpus and The Democrat Project

The "Democrat" project, led by Frédéric Landragin (2016; 2021) and funded by the 210 French National Research Agency (ANR), aimed to develop an annotated corpus at the 211 level of coreference chains in French. Before the Democrat project, no corpus of this 212 kind existed. The project concluded in 2020. 213

One of the fundamental aspects of Democrat was the annotation of long texts, in contrast 214 to the Ontonotes corpus (Weischedel et al. 2013) for example, which serves as a standard 215 for English but is predominantly composed of short texts. Additionally, the Democrat 216 project aimed to annotate a wide variety of text types, including chapters from novels, 217 short stories, journalistic pieces, legal documents, encyclopedic entries, technical texts, 218 and more. It also had a diachronic dimension, spanning from medieval French to 219 contemporary French.

For the needs of the BookNLP-fr project, we focused on annotations related to novels 221 and selected the texts spanning from the early 19th century to the early 20th century. 222 Before this period, French is more prone to variation, and for the more recent period, 223 texts are not freely shareable due to copyright issues. Lastly, to keep the annotation task 224 manageable, each text in the Democrat corpus is actually composed of a 10,000-word 225 excerpt (leaving us with 184,137 tokens). In addition to this selection from Democrat, 226 we added two short stories from Balzac, good for 45,238 tokens. Information about these 227 texts and those from Democrat can be found in Table 1.

Year	Author	Title	Source
1830	Honoré de Balzac	La maison du chat qui pelote	Full Text
1830	Honoré de Balzac	Sarrasine	Democrat 10 K
1836	Théophile Gautier	La morte amoureuse	Democrat 10 K
1837	Honoré de Balzac	La maison Nucingen	Full Text
1841	George Sand	Pauline	Democrat 10 K
1856	Victor Cousin	Madame de Hautefort	Democrat 10 K
1863	Théophile Gautier	Le capitaine Fracasse	Democrat 10 K
1873	Émile Zola	Le ventre de Paris	Democrat 10 K
1881	Gustave Flaubert	Bouvard et Pécuchet	Democrat 10 K
1882-1883	Guy de Maupassant	Mademoiselle Fifi, nouveaux contes (1)	Democrat 10 K
1882-1883	Guy de Maupassant	Mademoiselle Fifi, nouveaux contes (2)	Democrat 10 K
1882-1883	Guy de Maupassant	Mademoiselle Fifi, nouveaux contes (3)	Democrat 10 K
1901	Lucie Achard	Rosalie de Constant, sa famille et ses amis	Democrat 10 K
1903	Laure Conan	Élisabeth Seton	Democrat 10 K
1904-1912	Romain Rolland	Jean-Christophe (1)	Democrat 10 K
1904-1912	Romain Rolland	Jean-Christophe (2)	Democrat 10 K
1917	Adèle Bourgeois	Némoville	Democrat 10 K
1923	Raymond Radiguet	Le diable au corps	Democrat 10 K
1926	Marguerite Audoux	De la ville au moulin	Democrat 10 K
1937	Marguerite Audoux	Douce Lumière	Democrat 10 K

Table 1: The texts in the BookNLP-fr corpus.

3.2 Data Preparation and Annotation

Entities #Occurrences PER - Mentions 32,338 PER - Chain 3,006 **FAC** 2,325 TIME 1,836 LOC 1,040 **GPE** 928 **VEH** 475 **ORG** 205 **TOTAL** 39,147

Table 2: The number of occurrences per type of entity.

In the scope of the Democrat project, annotations have been applied to all types of coreference. However, for the BookNLP-fr project, our specific focus lies within a subset of these coreferences, corresponding to certain types of entities: persons, facilities, locations, geo-political entities, vehicles, organizations and denotations of time. Definitions after all these categories except for time are adapted from Bamman et al. (2019).

PER: According to Bamman et al. (2019): "By person we describe a single person indicated by a proper name (**Tom Saywer**) or common entity (**the boy**); or set of people, such as **her** daughters and the Ashburnhams.". Some examples from our corpus in (1), and (2):

- a. une de ces gentilhommières si communes en Gascogne, et que les villageois décorent du nom de château Le Capitaine Fracasse
 - b. one of those manors so common in Gascogne, and that **the villagers** decorated by the name of the castle of Captain Fracasse

(2)	a. b.	Madame François, adossée à une planchette contre ses légumes Madame François, who leaning on a board next to her vegetables	242 243
inclu	ding ouns	PER mentions are split into three parts to enable more fine-grained analyses, proper nouns (PROP), common phrases (NOM), and pronouns (PRON). account for the majority of mentions, specifically 59%, 32%, and 9%, respec-	245
"func ums) main	tional _, , stora tained	ollow Bamman's (2019) definition: "For our purposes, a facility is defined as a primarily man-made structure" designed for human habitation (buildings, musege (barns, parking garages), transportation infrastructure (streets, highways), and outdoor spaces (gardens). We treat rooms and closets within a house as the smallest ility.", see example (3):	249 250
(3)	a. b.	Le chemin qui menait de la route à l'habitation s'était réduit, par l'envahissement de la mousse et des végétations parasites The path that led to the road to the dwelling was narrowed by the invasion of moss and parasitic vegetation	254
units		ollowed Berkeley's guidelines for this category: "Geo-political entities are single ontain a population, government, physical location, and political boundaries.", see 4):	
(4)	a. b.	Échappé de Cayenne , où les journées de décembre l'avaient jeté, rôdant depuis deux ans dans la Guyane hollandaise , avec l'envie folle du retour et la peur de la police impériale, il avait enfin devant lui la chère grande ville , tant regrettée, tant désirée. Escaped from Cayenne , where the December days had thrown him, erring since two years in Dutch Guyane , with a crazy desire of returning and fear of the imperial police, he finally had before him the dear big city , so much regretted and desired.	261262263264265
organ	iizatio	opposed to GPEs, locations are "entities with physicality but without political in [] such as the sea , the river , the country , the valley , the woods , and the mmman et al. 2019). Two examples from our corpus:	
(5)	a. b.	des moellons effrités aux pernicieuses influences de la lune crumbling rubble masonry under the pernicious influences of the moon	271 272
(6)	a. b.	Poussez-moi ça dans le ruisseau ! Push this into the stream !	273 274
		definition for a vehicle is a "physical device primarily designed to move an object cation to another" (Bamman et al. 2019). An example from our corpus:	275 276
(7)	a.	anciennement des voitures avaient passé par là	277

b. before, carriages had passed there	278
ORG: "Organizations are defined by the criterion of formal association" (Bamman et al. 2019) for example the church and the army. An example from our corpus:	, 279 280
(8) a. et la peur de la police impérialeb. and fear of the imperial police	281 282
TIME: This category is absent in the annotations of Bamman et al. (2019). We designed it to annotate temporal information, duration indications and moments of the day (<i>day night, morning</i>).	

before, carriages had passed there

- (9)sous le règne de Louis Xiii, a. 286 b. under the reign of Louis Xiii, 287
- Le soir, il avait mangé un lapin. (10)a. 288 At night, he had eaten a rabbit. b. 289

As part of the refinement process, the initial annotations required thorough revision 290 and cleaning. We had multiple team discussions about many borderline cases, such as 291 whether Gods and Greek heroes should be annotated as characters, the status of speak- 292 ing animals and the exact distinction between GPE, FAC and LOC. We meticulously 293 documented every choice made during the annotation process. This documentation is 294 publicly available in an annotation guide¹, providing a valuable resource for understand- 295 ing our decisions and methodologies in characterizing entities within the context of the 296 BookNLP project, based on the initial ground provided by the Democrat project. Once 297 the annotation guidelines were finished, the entire corpus was annotated by freshly 298 trained annotators. Their first annotations (comprising 315 tags) produced during 299 their training phase, featured an inter-annotator agreement score of Cohen's kappa 300 = .38, meaning fair and almost moderate agreement (Cohen 1960) but showing that 301 this is no trivial task. With better trained annotators, values between .76 and .75 were 302 reached, which constitutes a reasonable basis for further training models. Most errors 303 were due to forgotten mentions, and uncertainties about difficult cases (plurals, fuzzy 304 expressions, non referential entities). Another look at the annotated files by another 305 trained annotators makes a huge difference so as to get a better and more homogeneous 306 coverage (esp. concerning forgotten entities during the initial annotation stage).

After annotation, to facilitate seamless integration with the BookNLP software, the 308 annotations were transformed into a compatible format. We annotated the entity types 309 in TXM (Heiden 2010) because the Democrat corpus is distributed in this format, 310 and later migrated our annotations to brat (Stenetorp et al. 2012), the format used by 311 Berkeley's team. The number of entities in each categorie can be found in Table Table 2. 312

^{1.} See https://github.com/lattice-8094/fr-litbank.

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3.3 Software Development

Large language models play now a prominent role in contemporary natural language 314 processing. Our implementation of BookNLP-fr is built upon the software from the 315 Multi-lingual BookNLP-project. For the two tasks that we perform (entity recognition 316 and coreference resolution), two separated models are developed. Entity recognition is 317 performed before coreference resolution.

Detecting the literary entities, a BiLSTM-CRF model (Bamman et al. 2020; Ju et al. 2018) 319 is fed with contextual embeddings from the CamemBERT model (Martin et al. 2020), 320 which is a BERT (Devlin et al. 2019) based architecture tailored for French. 321

For the coreference part, a BiLSTM is also fed with the embeddings from CamemBERT. 322 Then, following (Bamman et al. 2020), who in their turn are following Lee (Lee et al. 323 2017), the BiLSTM architecture is attached to a feedforward network in which the probability of two mentions (detected entities) are coreferent with each other is evaluated. 325 Mentions are linked to their highest scoring antecedent (a null-antecedent is always an 326 option) and coreference chains are defined as the transitive closure of links.

For each model, we split the corpus into training (80%), development (10%) and test 328 (10%) corpus, please see Section section 4 for the results. 329

While event annotation remains a focal point, challenges persist, primarily due to limitations in performance and the inherently ambiguous nature of defining events. The 331 elusive nature of the concept makes it challenging to generate consistently relevant and 332 usable results. As for quotation identification, we acknowledge the need to integrate 333 speaker recognition for a more comprehensive understanding of textual nuances.

Given these considerations, we have more specifically directed our efforts toward optimizing modules for entity recognition and coreference resolution. This focus allows us 336 to refine and train models that are specifically accurate in identifying and linking entities 337 within a given text, contributing to the effectiveness of BookNLP-fr for downstream 338 tasks (like subgenre classification, see section 5).

4. Results and Evaluation

In this section we give the results of our BookNLP-fr modules for entity recognition and 341 coreference resolution on literary texts. 342

4.1 Named Entity Recognition Evaluation

Table 3 reports our results for entity recognition, measured traditionally through preci- 344 sion (the percentage of entities correctly recognized among those recognized) and recall 345 (the percentage of entities correctly recognized among those to be recognized). Please 346 note that ORG is absent from this evaluation, because due to an uneven distribution 347 of this tag in different texts, it was only present 7 times in the test corpus, making 348 estimation of precision and recall unreliable. 349

When assessing the model's performance, a higher precision relative to recall suggests 350 that the model is more likely to make accurate predictions when identifying literary 351

	precision	recall	F_1
PER	85.0	92.1	88.4
LOC	59.4	54.3	56.8
FAC	73.4	66.0	69.5
TIME	75.3	36.4	49.1
VEH	68.9	63.6	66,1
GPE	68.2	52.9	59,6

Table 3: Entity recognition evaluation of BookNLP-fr on literary texts.

entities. Precision denotes the percentage of correctly predicted literary entities among 352 all entities predicted by the model. High precision is advantageous, ensuring that the 353 identified literary entities are more likely to be accurate, albeit at the potential cost 354 of missing some relevant entities (lower recall). Prioritizing precision in this context 355 aids in minimizing false positives, thereby enhancing the reliability of the identified 356 literary entities. It is important to highlight that literary entities differ from typical 357 Named Entities in Natural Language Processing (NLP), displaying a much larger range 358 of possibilities. Consequently, the obtained results, though seemingly divergent from 359 NLP standards, represent a pioneering achievement in the analysis of French fiction, as 360 this is the first study of its kind.

Some scores may appear modest in comparison to the state-of-the-art, particularly 362 regarding the recall for TIME expressions. This is due to the extensive diversity of time 363 expressions in our corpus, which is far more varied than in the traditional news corpora 364 typically used in NLP, coupled with the limited number of examples in the training 365 corpus (see below, Table 4 for a comparison with a state-of-the art system). Nevertheless, 366 we have opted to report these scores for the sake of comprehensiveness. In the near 367 future, we will strive to expand the coverage of our system, aiming to achieve improved 368 recall across various categories beyond PER.

As a baseline, we ran the CamemBERT-NER model², which is a NER model that was 370 fine-tuned from camemBERT on wikiner-fr dataset. Table 4 shows baseline performance 371 in comparison with BookNLP-fr. Results are showing that BookNLP-fr is as good as the 372 fine-tuned model for proper name recognition, but it captures much more by including 373 pronouns and common nouns, which the baseline does not handle at all. The F1 score 374 for the detection of PROP/NOM/PRON mentions reaches 83.13, which is in line with 375 the English BookNLP (88.3).

BookNLP-fr			Came	mbert-N	JER	
pos_tag	precision	recall	F1 Score	precision	recall	F1 Score
PROP	82.5	79.2	80.8	91.85	72.05	80.75
NOM	74.9	74.7	74.8	96.32	14.17	24.70
PRON	86.3	89.5	87.9	100.00	0.10	0.20
ALL	82.39	83.88	83.13	92.58	7.92	14.59

Table 4: Comparison on litbank-fr for PER recognition performance between BookNLP-fr and Camembert-NER.

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^{2.} See https://huggingface.co/Jean-Baptiste/camembert-ner.

BookNLP-fr thus demonstrates its robustness for the classic task of proper name recognition, but the real value of our model lies in its ability to go beyond this to capture 378 the full spectrum of what constitutes a character in novels. This aligns with Woloch 379 (2003) concept of the character space as "the encounter between an individual human 380 personality and a determined space and position within the narrative as a whole," allowing for the automatic detection and analysis of the distribution of character mentions 382 throughout the narrative (Barré et al. 2023).

4.2 Coreference Resolution Evaluation

Table 5 presents the evaluation metrics for coreference resolution using BookNLP-fr on 385 our test corpus. Three key metrics, namely MUC, B^3 , and $CEAF_e$, are employed to assess 386 its performance. As coreference chains are complex to modelize, different evaluation 387 metrics are necessary to get a global image of systems performance. We refer to Luo 388 and Pradhan (2016) for a comprehensible explanation of these metrics. 389

Our average F1 score, calculated as the mean of the three metrics, is presented as 76.4. 390 The reported scores suggest a commendable performance, but the practical utility in 391 the context of literary analysis should be further explored based on the specific goals of 392 the research or application. Note that the English BookNLP yields 79.3 in performance 393 for the same task. 394

Metrics	F ₁	
- IVICUICS	¹ 1	
MUC	88,o	
B^3	69,2	Average 76.4
$CEAF_e$	71.8	

Table 5: Coreference resolution evaluation of Fr-BookNLP on literary texts

The challenge of duplication arises when the model detects the same character multiple 395 times within the analyzed text. In some instances, among the top five literary entities 396 identified by the model, there may be cases where two or more main characters share 397 the same name or attributes. While this duplication might raise concerns initially, for 398 example, if one aims to study character networks (Perri et al. 2022) or the overall number 399 of characters in novels, it may not pose a significant issue when the focus is on character 400 characterization. For example, in studies about the representation of male and female 401 characters, the output of BookNLP has been shown to be very useful (e.g. Gong et al. 402 2022; Hudspeth et al. n.d.; Naguib et al. 2022; Toro Isaza et al. 2023; Underwood et al. 403 2018; Vianne et al. 2023; Zundert et al. 2023).

Also in the following case study, the primary objective is not to pinpoint unique and distinct characters but rather to establish a proxy for characterization as a whole. Our goal is to capture the prevalence and significance of certain characters across various texts and literary works. Hence, the emphasis lies more on character representation and the overall impact of these characters on the literary landscape, rather than on identifying entirely separate and non-repeating characters.

5. Case Study: Genre Classification Using Booknlp-fr Fea- 411 tures 412

5.1 Introduction 413

This case study aims to demonstrate that BookNLP-fr can be of significant assistance in 414 the realm of computational literary studies (CLS). We illustrate this assertion through 415 a canonical issue in CLS: the automatic detection of literary genres. Historically, the 416 division of novels into specific sub-genres has been a classification practice employed 417 by literary stakeholders such as librarians, editors, and critics. This practice is partly 418 justified by a specific textual component that relates to the spatiotemporal framework, 419 characters, themes, or narrative progression.

Genre is a central concept in poetics, defined successively from Aristotle to structuralists, 421 through romantics and Russian formalists (Aristote 1990; Bachtin 2006; Genette 1986; 422 Schlegel et al. 1996). From our computational standpoint, structuralists have offered 423 intriguing definitions. For example, Schaeffer (1989) defines genericity as an "inter- 424 nalized norm that motivates the transition from a class of texts to an individual text 425 conforming to certain traits of that class". There could be a set of textual procedures 426 internal to works, and the mission of CLS would be to find the best ways to account for 427 this fact. However, the norms or formal rules of sub-genres cannot be solely boiled down 428 to formal or thematic rules. For instance, the sociological approach, as exemplified by 429 Bourdieu (1979), tends to focus more on the "community of readers" with the study 430 of power dynamics and accompanying aesthetic hierarchies. However, these norms 431 do indeed exist, as they enable a work to align itself with the established and shared 432 usage of a "horizon of expectations" (Jauß 1982) of the audience which might induce 433 the authors to adhere to certain expected norms and styles.

Various studies have devised strategies to automatically identify subgenres. Selected 435 studies have employed methods such as the bag of words (BoW) (Hettinger et al. 436 2016; Underwood 2019) or topic modeling (Schöch 2017; Zundert et al. 2022) to find 437 subgenre similarities between texts. In addition to these basic features, researchers utilize 438 machine learning techniques in a supervised setting, employing methods such as logistic 439 regression or support vector machines when ground truth is available. However, the 440 challenge often arises from the potential incompleteness or temporal bias of these ground 441 truths. Unsupervised learning approaches and clustering methods have also enabled 442 the exploration of hybrid texts that belong to multiple subgenres, as demonstrated 443 by studies like (Calvo Tello 2021; Sobchuk and Šela 2023). In our case-study, we will 444 rely on a corpus with predefined labels, while acknowledging the idea that sub-genres 445 are not monolithic categories. Thus, the objective is not so much to demonstrate the 446 validity of sub-genre labels, which are often incomplete or limiting in reality, but rather 447 to show that the interpretability of errors in automatic classification can lead us to a 448 more nuanced and comprehensive understanding of the subgenre phenomenon.

Despite recent advancements in NLP, the bag-of-words approach remains largely unchanged. This is because many tools, including document embeddings, are not easily 451 interpretable and are optimized for short texts. In this context, we present in the next 452

section a method that aims to find a balance between the use of state-of-the-art methods 453 for literary text processing and their interpretability.

5.2 Method 455

5.2.1 Corpus and Subgenre Labels

Our case study is built upon one of the largest corpora for fiction in French: the "corpus 457 Chapitres", a corpus of nearly 3000 French novels (Leblond 2022). The period concerned 458 extends over two centuries of novel production, from the 19th to the 20th century, as 459 can be seen in Figure 1.

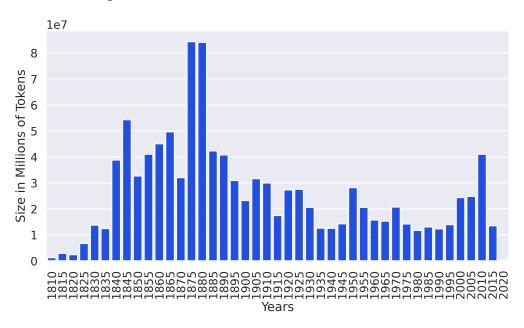


Figure 1: Distribution of the number of tokens over time.

Approximately two-thirds of Chapitres is annotated with sub-genre labels. This an- 461 notation is based on the classification of the French National Library. We choose to 462 concentrate our analysis on the five most prevalent sub-genres within the corpus: adventure novels, romance, detective fiction, youth literature, and memoirs. The validity of 464 these labels is not clearly established, as the practices of the BNF for assigning these labels have not been systematized nor standardized. Therefore, there is no "Ground Truth" 466 per se, but our supervised approach described in subsubsection 5.2.3 aims precisely to 467 understand the boundaries of subgenres.

5.2.2 Textual Features

The BoW method stands out as the default feature extraction technique, as it allows 470 scholars to have an easy task to implement without requiring intensive computational 471 resources (GPU, RAM). Underwood (2019) demonstrated that the BoW approach was 472 highly effective in classifying subgenres such as Gothic, detective stories, and even 473 science fiction.

Nevertheless, although this method proves valuable in specific contexts, it is not without 475 two limitations. First, it does not consider the word order within the text. This limitation 476 means that the sequential arrangement of words, which is crucial for capturing the 477

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nuances of literary elements like plot and narrative structure, is ignored. Second, there 478 is a risk of overfitting to the idiolects of writers, particularly when emphasizing the 479 most frequent words. Additionally, these tools may inadvertently capture chronolectal 480 aspects, as it is established that the approximate writing date of a book can be predicted 481 based on the prevalence of certain most frequent words (Seminck et al. 2022).

In this paper we rely on two distinct feature extraction approaches: the classic BoW as a 483 control experiment, and the BookNLP-fr one, which we will implement as follows. The 484 idea is based on a previous study (Kohlmeyer et al. 2021) where researchers demon- 485 strated the limitations of traditional document embeddings (optimized for shorter texts) 486 in capturing complex facets in novels (such as time, place, atmosphere, style, and plot). 487 To address this problem, they propose to use multiple embeddings reflecting different 488 facets, splitting the text semantically rather than sequentially. Inspired by these findings, 489 we adapted their methodology to evaluate the impact of these features on subgenre 490 classification when contrasted with the traditional BoW approach.

The method runs our BookNLP pipeline on our texts, allowing us to automatically 492 retrieve, on the one hand, information related to space-time, notably with the set of 493 LOC, FAC, GPE, TIME, and VEH. On the other hand, it provides information related 494 to characterization, including all verbs for which characters are patients (PATIENT) or 495 agents (AGENT), as well as the set of adjectives that will characterize them (ADJ). 496

Thus, two types of features are under consideration:

- For the BoW, we relied on the 600 most frequent lemmas, excluding the first 200, 498 which comprise non-informative stop words not relevant to our subgenre case 499 study. They could have been relevant if we wanted to acknowledge the authors 500 who wrote in a specific subgenre, but it is not our goal here, and we will discuss 501 how we handled this bias in Section 5.2.3.
- For the BookNLP-fr features, we compiled for each novel, lists of words extracted 503 by BookNLP-fr. We then obtained vector representations using a Paragraph Vectors 504 model (Le and Mikolov 2014) (Doc2Vec) trained on a subset of our novel dataset. 505 Two vector embeddings of 300 dimensions were generated: one for characterization 506 (AGENT, PATIENT, ADJ) and one for space-time (LOC, FAC, GPE, TIME, VEH). 507

Therefore we obtained two datasets for training, one with 600 dimensions representing 508 the 600 most frequent lemmas, and the other with also 600 dimensions representing the 509 two concatenated Doc2Vec vectors, one for the characterization and one for the space 510 and time. 511

5.2.3 Modeling 512

We opted for an SVM as it has been demonstrated that these models obtain the best 513 performance in classifying literary texts (Yu 2008), and more specifically literary sub- 514 genres (Hettinger et al. 2016). In this paper, we used the implementation of Pedregosa 515 et al. (2011). The SVM doesn't perform multiclassification per se, but it classifies each 516 subgenre against the others in binary classification and then aggregates the results. 517

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Therefore, we don't have a single classification, but rather

$$\frac{n_{\text{classes}} \cdot (n_{\text{classes}} - 1)}{2}$$

With our 5 subgenres, this implementation results in 10 different classifications.

Considering our task of subgenre classification, we wanted to limit idiolectal bias, 520 especially for the model trained on the BoW. To do so, we implemented Scikit-learn's 521 Group strategy. All works by the same author (group) were placed in the same fold. 522 Thus, each group will appear exactly once in the test set across all folds. Since SVM 523 models are quite sensitive working with imbalanced classes, we re-balanced the classes 524 before implementing the classification by randomly taking 130 novels for each subgenre. 525 We implemented this selection a hundred times and for each resulting sample the model 526 was run in a 5-fold cross-validation setting. The following results are aggregated from 527 this process.

5.3 Results 529

5.3.1 BoW vs BookNLP-fr features

	Precision	Recall	F1-score	Support	Accuracy
Children	0.75	0.75	0.75	130	
Memoirs	0.79	0.82	0.80	130	
Detective	0.67	0.68	0.67	130	
Adventure	0.60	0.65	0.62	130	
Romance	0.84	0.72	0.80	130	
Full Dataset				650	0.72

Table 6: Classification Report for BoW

	Precision	Recall	F1-score	Support	Accuracy
Children	0.65	0.79	0.71	130	
Memoirs	0.78	0.89	0.84	130	
Detective	0.68	0.70	0.70	130	
Adventure	0.73	0.73	0.73	130	
Romance	0.90	0.65	0.75	130	
Full Dataset				650	0.75

Table 7: Classification Report for BookNLP-fr features.

Tables 6 and 7 display the classification report of the models' evaluation on the test set. 531
Both models achieve good results: 72% for the BoW-based model and the BookNLP- 532
based model achieves 75% accuracy. This means that our models are capable of correctly 533
identifying the subgenre three out of four times, whereas a random baseline yields an 534
accuracy score of 0.2. The main result here is that differences exist among our subgenres, 535
whether from the perspective of text structure with MFW or from a semantic standpoint 536
with BookNLP. The fact that the BookNLP-based model obtains an additional 3 points of 537
accuracy might not be revolutionary, but the primary argument for this type of feature 538
extraction lies more in the interpretation of features, as discussed in subsection 5.4. 539

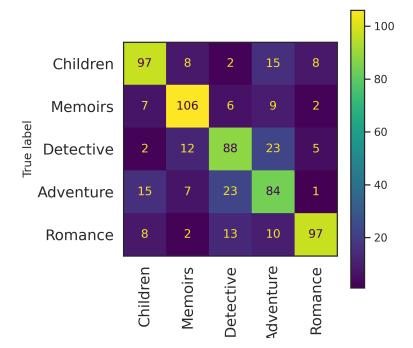


Figure 2: Confusion Matrix for BoW.

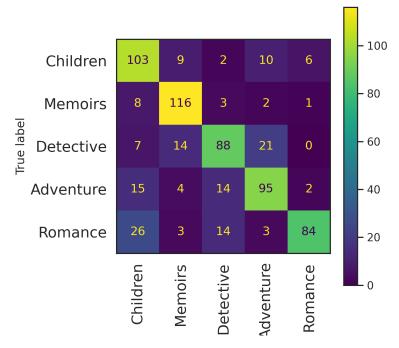


Figure 3: Confusion Matrix for BookNLP-fr features.

To enhance our comprehension of how the models behave and the nature of their errors, 540 we visualize their confusion matrices in Figure 2 and Figure 3. The x-axis represents 541 the predicted subgenre, while the y-axis represents the expected subgenre. A perfect 542 classification would display a diagonal filled with 130 correct predictions for each 543 subgenre.

We observe that both models have quite similar error patterns, and one distinct scenario 545 stands out: Both models predict 'Adventure' instead of 'Detective' (23 errors for BoW, 21 546

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for BookNLP). These common errors are quite understandable since these two subgenres 547 share many similarities, including a penchant for suspense and violent action, which 548 could confuse the models.

Another scenario seemed highly instructive for analysis: The errors made by the models 550 when predicting the label 'Children', but the expected subgenre is 'Romance'. The 551 BoW model performs quite well with 8 errors, but the BookNLP-based model makes 552 26 errors. The semantic model thus faces more challenges in distinguishing between 553 these two subgenres, which makes sense, as both subgenres are characterized by themes 554 centered around emotions and relationships between characters, common features to 555 both subgenres.

5.3.2 BookNLP-fr Features Accuracy for Subgenre Classification

In this section, the objective is to evaluate, on the one hand, whether specific individual 558 features from BookNLP can classify our subgenres, and on the other hand, we will 559 attempt to interpret the differences in performance for each. Here, each pipeline is 560 trained with a Doc2Vec vector of 300 dimensions for each type of feature.

BookNLP-fr features	Accuracy
LOC	0.45
FAC	0.59
VEH	0.42
GPE	0.47
TIME	0.50
PATIENT	0.52
AGENT	0.62
ADJ	0.50
Baseline	0.2

Table 8: BookNLP-fr features accuracy.

A first obvious observation is that all our models achieve results at least twice as good 562 as the baseline. The information contained in each of these features is therefore highly 563 relevant from the subgenre perspective. The 'VEH' class lags a bit behind (42% accuracy), 564 which may suggest that vehicles are not decisively discriminating among our subgenres, 565 but it is our least represented class in our texts, and therefore, there may not be enough 566 data. Very good results are obtained for the 'FAC' (0.59) and 'AGENT' (0.62). This 567 indicates that subgenres distinguish well in terms of mentioned buildings or verbs 568 where the character is agentive, meaning that the type of action a character takes is 569 specific to each subgenre.

Interestingly, the misclassifications (see the confusion matrices in the Appendix A for 571 each individual feature), the same pattern emerges (misclassification of 'Adventure' 572 instead of 'Detective' and 'Children' instead of 'Romance'), but the error rates vary de- 573 pending on the features used. This can provide a lot of information about the differences 574 and similarities between certain subgenres. The next section 5.4 offers an interpretation 575 closely examining these anomalies.

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5.4 Interpretability

This section explores the interpretation of the two SVM models, BoW-based and BookNLP-578 based. It focuses on the misclassifications of 'Adventure' instead of 'Detective'.

One of the advantages of the SVM pipeline is the ability to investigate the statistical 580 inferences of the models when the kernel is in linear mode. The SVM searches for 581 the plane in the latent space of words that best separates our two categories. Each 582 dimension receives a coefficient, with a negative sign if the coefficient is used to predict 583 a specific class and a positive sign for the other. For the BoW-based model, it's quite 584 straightforward as a coefficient is assigned to each word, as can be seen in Figure 4.

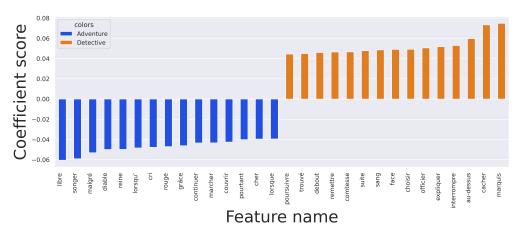


Figure 4: BoW discriminant features for Adventure vs Detective classification.

Looking at the coefficients assigned for the Adventure vs. Detective classification, we 586 find some relevant elements, such as the presence of the word 'free' ('libre') as the most 587 discriminant word for assigning the Adventure label. Apart from that, with perhaps 588 'cry' ('cri'), which could signify adventure, few clues remain. Verbs such as 'dream,' 589 'walk', 'continue', or conjunctions like 'when' ('lorsque'), 'despite' ('malgré'), and 'yet' 590 ('pourtant') are not really characteristic of adventure novels. It is difficult to conclude, 591 except that these less significant coefficients seem to indicate the model's difficulty in 592 distinguishing between the two sub-genres.

For the BookNLP-based model, it's a bit more complex since the coefficients are assigned 594 to each dimension of the Doc2Vec vectors. Therefore, we aggregated the coefficients 595 by feature type to gain a more concrete overview of the results. Figure 5 illustrates 596 the sum of all coefficients for each feature extracted by BookNLP-fr. We conducted a 597 t-test to confirm that the difference between the means of the populations is statistically 598 significant. Taking adjectives as an example (T-statistic: 28.7; P-value: 2.25×10^{-180}), 599 we observe that the model relies more on these dimensions to assign the label 'detective' 600 compared to 'adventure'.

This could be explained by the strong emphasis placed on character psychology in 602 detective novels, especially those involving criminals and detectives. For instance, in 603 Maigret et le tueur (1969), George Simenon's beloved detective (Maigret) is frequently 604 characterized as 'wise', 'whimsical,' or even 'happy', while criminals are 'suspicious' or 605 'villainous'. This doesn't imply a lack of characterization in adventure novels but rather 606 suggests that it is not a distinctive feature of the subgenre compared to detective novels. 607

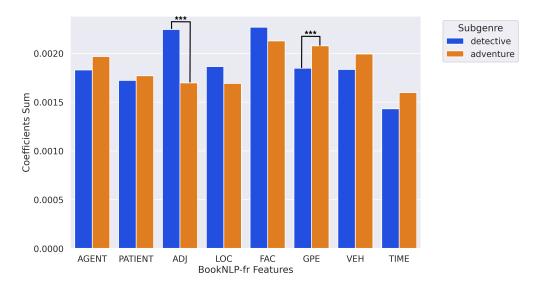


Figure 5: BookNLP-fr discriminant features for Adventures vs Detective classification. '***' meaning p<0.001.

Considering Geo-Political Entities (T-statistic: -21.0; P-value: 8.49×10^{-98}), the reasoning 608 is inverse: the model relies slightly more on the dimensions of the GPE vector to assign 609 the adventure label than the detective label. This makes sense when examining GPEs for 610 example in Les trappeurs de l'Arkansas by Gustave Aimard (1857): 'Hermosillo', 'America', 611 'the New World', 'Guadalajara', 'Mexico', etc. The novel heavily emphasizes exotic 612 locations and mentions places in the American or Mexican West for this purpose. GPEs 613 in detective novels are more commonplace, as these novels often take place in France, 614 typically in an urban setting.

Thus the model has learned that certain dimensions of characterization are more strongly 616 associated with a particular subgenre (such as adjectives for detective novels), and that 617 certain dimensions of the GPE or TIME vector are important for assigning the adventure 618 label. Let's now generalize our approach to the entire classification process.

Examining the behavior of the coefficients when aggregated for the 10 classifications, 620 we can observe the graph shown in Figure 6. This graph depicts the model coefficients 621 after training based on the vectors of each facet, using a dataset of 2400 dimensions. 622 We consider this graph as a dive into the model's inferences, where it will assign more 623 weight to certain categories to assign a specific subgenre.

For example, it is observed that the value of 'FAC' is very high for the detective genre, 625 indicating a particular specificity for this sub-genre. Details of locations, crime scenes, 626 investigations in specific places, detective offices, interrogation rooms, etc., are distinguishing elements for this sub-genre. The same applies to 'GPE' for the adventure 628 label, as seen previously, with an emphasis on exoticism that may play a role here, even 629 though 'LOC' and 'FAC' do not show significant differentiation from this perspective. 630 Conversely, for romance and the 'TIME' vector, where the coefficients for these vectors 631 lag behind other sub-genres. Examples of time in romance novels may be used more to 632 describe emotional moments or stages in relationships rather than to highlight complex 633 temporal events. Consequently, the model might perceive that the 'TIME' vector is not 634 as discriminative for this category.

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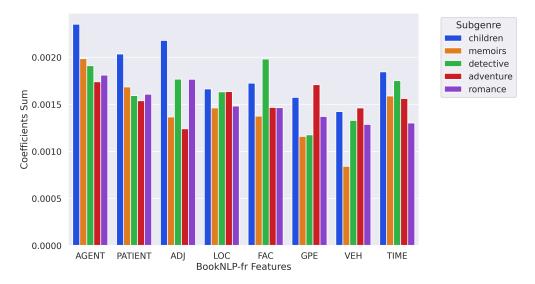


Figure 6: BookNLP-fr discriminant features for the classification.

We have thus demonstrated that the BoW-based classification approach is challenging 636 to interpret, as certain highly discriminating words do not appear to bring about key 637 distinctions between the subgenres. The BookNLP-fr-based method may offer an in- 638 sightful understanding of the specificities that differentiate one subgenre from another. 639 Both approaches do not completely substitute for each other since we are examining 640 features of different nature (vocabulary vs semantic), but they can complement each 641 other to enhance interpretability.

Diving into the model's indications, several types of features were observed to interpret 643 the model's inferences. Many differences among the features were noticed, although we 644 did not have the space to interpret all of them in this article. Much work remains to be 645 done, and new experiments should be considered, for instance going beyond the SVM, 646 including the use of deep neural networks and textual deconvolution saliency Vanni 647 et al. (2018), which could facilitate the return to close reading based on the embeddings 648 derived from BookNLP-fr data.

6. Discussion 650

6.1 Working with Imperfect Annotations

The utilization of computers for annotating literary texts has profoundly changed the 652 landscape of literary studies, enabling the annotation of vast amounts of texts with 653 unprecedented efficiency. This enables the community to address research questions that 654 were out of reach before, such as a study at scale of characters with disabilities (Dubnicek 655 et al. 2018) or the quantitative analysis of characters in fanfiction (Milli and Bamman 656 2016) and a quantitative, diachronic study of things appearing in fiction (Piper and 657 Bagga 2022). However, this advancement is not without its challenges, particularly in 658 the context of the inherent errors that may accompany automated annotation processes. 659 This poses a twofold challenge for researchers engaged in the field of CLS.

Firstly, ensuring the reliability of studies based on imperfect annotations is a critical 661 concern. Scholars must grapple with the task of guaranteeing that errors, though present, 662

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remain at a marginal level and do not compromise the validity of their research findings. 663 This necessitates a careful balance between the benefits of computational efficiency and 664 the maintenance of accuracy in annotations. Researchers are challenged to develop 665 methodologies and quality control measures that safeguard against the potential pitfalls 666 introduced by errors in the annotation process.

Secondly, the acceptance of computational approaches by literary scholars is not guaran- 668 teed, as the traditional paradigm within literary studies often revolves around meticu- 669 lous, supposedly perfect annotations. The shift to working with non-perfect annotations, 670 even if the errors are marginal, represents a departure from the established norm. This 671 cultural shift within the academic community poses a psychological barrier, as literary 672 scholars may be hesitant to fully embrace computational methods if they perceive a 673 compromise in the level of precision to which they are accustomed.

Addressing these challenges requires not only the refinement of computational tools for 675 annotation but also a broader cultural shift within the academic community. There is 676 a need for transparent communication about the limitations of automated annotation 677 processes, the establishment of best practices for mitigating errors, and the development 678 of strategies to ensure that computational approaches align with the standards expected 679 both in literary studies and in computer science.

6.2 Maintaining Annotations Tools in the Era of Large Language Models 681

The field of computational literary studies is currently grappling with a significant 682 challenge due to the rapid evolution of natural language processing, particularly with 683 the proliferation of large language models (LLMs). The continuous emergence of new 684 LLMs has led to an accelerated pace of research in the domain. While this dynamism 685 brings about positive outcomes, such as increased research activity, the introduction of 686 novel tasks, and the generation of new results, it also presents several inherent dangers. 687

One primary challenge lies in the technical aspect of keeping annotation tools up to 688 date amidst the constant production of new LLMs by the research community and 689 the industry. There is a delicate balance to strike, ensuring that annotation systems 690 remain up-to-date, without expending an excessive amount of resources on incessantly 691 adapting to the latest trends in LLM development. The challenge here is not just about 692 technological compatibility but also about efficiently managing the resources required 693 for frequent updates and integrations, and to produce software that is usable by a large 694 community (i.e. software should not be dependent on a unreasonably heavy computer 695 infrastructure).

A more critical concern revolves around the need to guarantee the reproducibility of 697 research outcomes. The rapid evolution of LLMs implies that a specific version in use 698 today may become obsolete or unavailable tomorrow. This raises the risk that crucial 699 details, such as the corpus utilized, configuration parameters, and hyperparameters 700 of the model, may not be adequately documented in research reports. Ensuring repro- 701 ducibility becomes a substantial challenge as the landscape of LLMs continues to evolve, 702 necessitating a concerted effort to establish standardized practices for reporting model 703 specifications and associated details.

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In addressing these challenges, we believe it is crucial to focus not only on technical as- 705 pects but also on developing robust frameworks for documentation and reproducibility. 706 Establishing clear guidelines for reporting model specifications, documenting corpus 707 details, and archiving relevant information becomes paramount for the field.

7. Conclusion 709

In this paper, we introduced the BookNLP-fr pipeline, with a particular emphasis on 710 entity recognition and coreference resolution. Demonstrating its practical utility, we 711 illustrated how this software facilitates the analysis of extensive French literary corpora, 712 relying on semantic features unique to the texts under examination. Through this study, 713 we hope to show the potential of natural language processing in analyzing large literary 714 corpora, to go beyond purely statistical approaches and to overcome bias by taking into 715 account an unprecedented number of texts and not only the reduced set of texts of the 716 literary canon. In concrete terms, we distinguish three research directions, all of which 717 carry the above-described desire for large-scale generalization:

- 1. Studies on the characteristics of literary genre: BookNLP-en can be used to retrieve 719 textual features of a semantic nature, in particular entities that provide informa-720 tion on the spatio-temporal setting of the story. The latter are very important for 721 determining literary genres. For example, adventure novels have a very specific 722 spatio-temporal setting (the emphasis is on the importance of geographical disori-723 entation), while romance novels take place in a more urban, modern setting. The 724 BookNLP-fr tools could thus be crucial for automatic classification.
- 2. Characterization: co-reference chains with mentions of a character allow us to 726 recover how each character is portrayed. In this way, we can study the differences 727 between certain types of characters on a large scale. For example, it's possible to 728 report on how men and women have been characterized in literature over time 729 (e.g. Naguib et al. 2022; Vianne et al. 2023) or what role secondary characters 730 actually play in the narrative (Barré et al. 2023). To cite other examples: a tool like 731 BookNLP makes it possible to study how characters with disabilities are presented 732 (Dubnicek et al. 2018) or to carry out a quantitative analysis of characters in fan 733 fiction (Milli and Bamman 2016). 734
- 3. Detection of specific scenes: BookNLP could be capable of detecting specific 735 scenes in novels; these could be defined by one or more characters gravitating 736 around a precise location and carrying out particular actions. This scene detection, 737 understood as a minimal narrative unit, could enable us to better understand the 738 workings of the plot by breaking down its layout over the course of the story.

Future work on the BookNLP-fr pipeline will include a renewed exploration of the 740 concepts of events and scenes, aiming to establish an annotation framework that aligns 741 with literary perspectives. Additionally, we plan to address the question of quotation 742 analysis and attribution. Finally, a key focus will be on ensuring that results undergo 743 scientific evaluation and that recent advancements in natural language processing can 744 be continuously integrated, all while preserving the distinctive nature of literary works 745 and literary studies. In that way, BookNLP-fr can play an significant role in the domains 746

of automatic literary analysis and cultural analysis. Literary questions, one even more	747
exciting and ambitious than the other, can finally be addressed automatically on a large	748
scale.	749
8. Data Availability	750
Data can be found here: https://github.com/lattice-8094/fr-litbank.	751
9. Software Availability	752
Software can be found here: https://seafile.rlp.net/f/6c9d680114fe4583a89c/?	753
dl=1.	754
10. Acknowledgements	755
io. Acknowledgements	755
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ANR-19-P3IA0001 (PRAIRIE 3IA Institute).	758
11. Author Contributions	759
Frédérique Mélanie-Becquet: Conceptualization, Data Curation, Supervision	760
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Marco Naguib: Conceptualization, Software	764
Martial Pastor: Conceptualization, Software	765
Thierry Poibeau: Conceptualization, Writing – original draft, review & editing, Super-	766
vision	767
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A. Appendix: Confusion matrices for BookNLP-fr-based mod_{T023} els

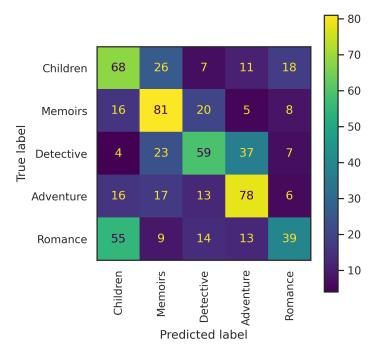


Figure 7: Confusion Matrix for ADJ features

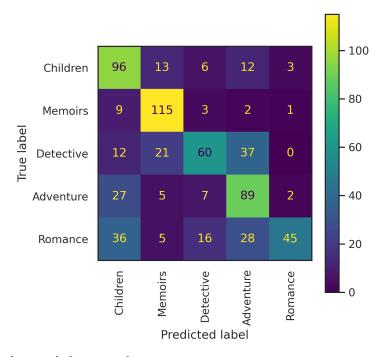


Figure 8: Confusion Matrix for AGENT features

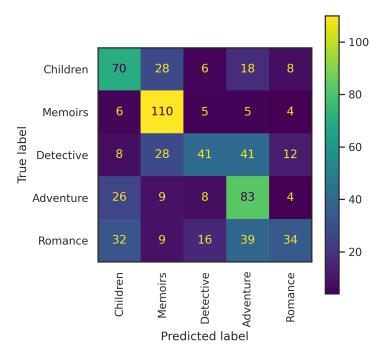


Figure 9: Confusion Matrix for PATIENT features

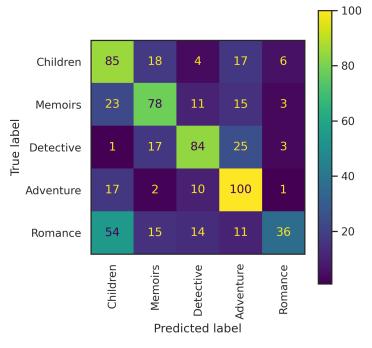


Figure 10: Confusion Matrix for FAC features

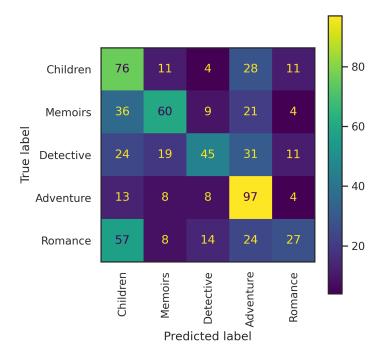


Figure 11: Confusion Matrix for GPE features

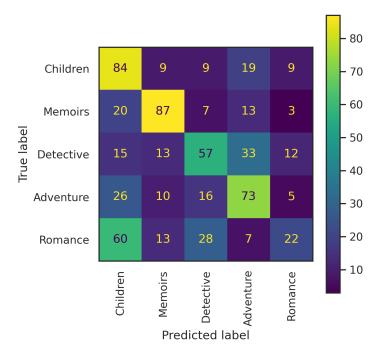


Figure 12: Confusion Matrix for TIME features

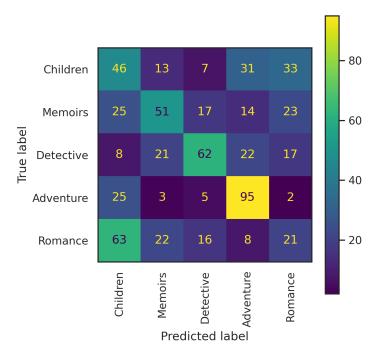


Figure 13: Confusion Matrix for VEH features

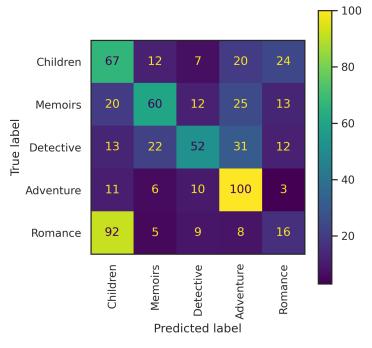


Figure 14: Confusion Matrix for LOC features