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## Premature transcription termination complex proteins PCF11 and WDR82 silence HIV-1 expression in latently infected cells

Q:1 Melissa Ait Said<sup>a</sup> 🔟, Fabienne Bejjani<sup>a</sup>, Ahmed Abdouni<sup>a</sup>, Emmanuel Ségéral<sup>a</sup>, and Stéphane Emiliani<sup>a,1</sup> 🕩

Edited by Stephen Goff, Columbia University Irving Medical Center, New York, NY; received August 3, 2023; accepted October 30, 2023

Q:5 Postintegration transcriptional silencing of HIV-1 leads to the establishment of a pool of latently infected cells. In these cells, mechanisms controlling RNA Polymerase II (RNAPII) pausing and premature transcription termination (PTT) remain to be explored. Here, we found that the cleavage and polyadenylation (CPA) factor PCF11 represses HIV-1 expression independently of the other subunits of the CPA complex or the polyadenylation signal located at the 5' LTR. We show that PCF11 interacts with the RNAPII-binding protein WDR82. Knock-down of PCF11 or WDR82 reactivated HIV-1 expression in latently infected cells. To silence HIV-1 transcription, PCF11 and WDR82 are specifically recruited at the promoter-proximal region of the provirus in an interdependent manner. Codepletion of PCF11 and WDR82 indicated that they act on the same pathway to repress HIV expression. These findings reveal PCF11/WDR82 as a PTT complex silencing HIV-1 expression in latently infected cells.

HIV-1 | transcription | latency

23 Q:6 Despite the successful use of antiretroviral therapy, HIV type 1 infection cannot be erad-24 icated as the virus persists in cellular reservoirs such as latently infected CD4+T cells. 25 These reservoirs are established early during the course of infection and can persist for an 26 extended period of time. HIV-1 latency is operationally defined as the persistence of cells 27 harboring replication-competent integrated proviruses that are transcriptionally silent. A 28 generally accepted model for the establishment of latency posits that HIV-1 infects acti-29 vated CD4+T cells that could then revert to a quiescent memory state leading to a refractory environment for viral transcription. However, numerous studies have shown that 30 HIV-1 latency also arises in activated T-cells, indicating that cellular mechanisms are 31 taking place to silence viral transcription rapidly after integration and independently of 32 the cellular activation state (1). 33

In the absence of the viral transactivator Tat, HIV-1 transcription is repressed at the 34 promoter-proximal region where paused RNA polymerase II (RNAPII) is associated with 35 short nascent transcripts that have been detected in cells from infected individuals showing 36 suppression of viremia (2-5). We and others have identified several cellular factors enforcing 37 RNAPII pause at the HIV-1 promoter, including negative elongation factor (NELF), 38 Integrator, SPT6/IWS1, LEDGF/p75, PAF1C, and BRD4 (6-11). The release of RNAPII 39 pause into productive elongation is triggered by the binding of Tat to the transactivation 40 response element (TAR), the RNA stem loop present in 5' of all HIV-1 transcripts, that 41 mediates the recruitment of active P-TEFb (positive transcription elongation factor)-containing 42 complex (12). However, if the paused RNAPII complex does not shift to productive elon-43 gation, it can instead undergo premature transcription termination (PTT). In metazoans, 44 PTT is a widespread mechanism that occurs near the TSS (transcriptionstart site) or within 45 the gene body and negatively regulates gene expression (13). For HIV-1, it has been shown 46 that promoter-linked PTT is mediated by the nuclear endoribonuclease complex 47 Microprocessor, together with XRN2 and the RNA/DNA helicase Senataxin (SETX), and 48 by nuclear RNA surveillance factors (14, 15). 49

In addition to the RNAPII promoter-proximal pausing, a subsequent early elongation checkpoint that involves promoter-proximal polyadenylation signal (PAS) recognition can take place at the first stable nucleosome for cellular genes (16). PAS motifs are present in transcribed pre-mRNAs of coding genes and direct the 3'-end cleavage and polyade-52 nylation (CPA) reaction via their recognition by the CPA complex (17, 18). As the inte-53 grated HIV provirus contains a duplicated long terminal repeat (LTR) at each end of its genome, the PAS that regulates the 3' end processing of viral mRNAs is also located in the 5' LTR and must be occluded during active transcription by a mechanism requiring 56 the U1 snRNP binding to the downstream major 5' splice site (5'ss) D1 (19, 20). However, 57 in latent cells, the role of the proximal PAS in repressing HIV transcription in latently infected cells remains to be explored. Previous studies have shown that depletion of the

#### Significance

One of the major challenges to cure HIV is to better characterize the molecular mechanisms repressing viral transcription in latently infected cells. The silencing of latent HIV-1 provirus is the result of multifactorial transcriptional blocks that lead to the accumulation of short attenuated viral RNAs. Here, we identify the key role of a novel mammalian nuclear complex composed of PCF11 and WDR82 in the attenuation of HIV-1 transcription. The transcription terminator WDR82 associates with PCF11 at a proximal RNAPII (RNA Polymerase II) elongation checkpoint on HIV-1 promoter to enforce premature transcription termination. This study unravels a new mechanism of viral silencing and could shed light on a widespread process of transcription attenuation at the cellular level.

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CPA complex subunit PCF11 reactivates HIV expression in
latently infected cells, indicating that it contributes to the repression of HIV basal transcription (21, 22). Still, little is known about
the mechanisms involved in this process.

In mammalian cells, PCF11 interacts with CLP1 to form the 121 cleavage factor II (CFIIm) complex (23). Unlike other subunits, 122 PCF11 is present at substoichiometric levels in purified CPA com-123 plex (17, 24). PCF11 is required for both transcription termina-124 tion (22, 24–28) and CPA activities (23, 29). These two functions 125 involve separate domains of the protein. PCF11 interacts directly 126 with the C-terminal domain (CTD) of the RNAPII via its CTD 127 interaction domain (30, 31). This interaction is capable of dis-128 mantling the RNAPII elongation complex and causes transcrip-129 tion termination in vitro (22, 25, 26). The CTD of PCF11 130 contains two zinc fingers that bind RNA in a nonspecific manner 131 and contributes to the recognition of 3' processing substrates (32, 132 33). PCF11 also modulates gene expression by regulating alter-133 native cleavage and polyadenylation. Interestingly, PCF11 expres-134 sion is autoregulated by a PAS-dependent premature termination 135 of its own transcription (24, 28).

136 Amongst the factors regulating PTT, WDR82 was recently 137 shown to enforce early termination of long noncoding RNAs 138 (IncRNAs) such as eRNAs and PROMPTS in mammalian cells (34-37). WDR82 is a WD repeats protein found in both the SET1 139 H3K4 methyltransferase complex and the PNUTS protein phos-140 phatase 1 complex and was shown to bind to the RNAPII CTD 141 phosphorylated on Serine 5 (Ser5P) (34, 36). Swd2, the yeast 142 homolog of WDR82, is a component of the RNA 3'-end process-143 ing and termination subcomplex associated with Pta1 (APT) that 144 is involved in sn/snoRNA transcription and termination (38, 39). 145 WDR82 was also found to interact with ZC3H4, the human 146 orthologue of Suppressor of sable [Su(s)], a nuclear RNA-binding 147 protein that attenuates transcription at protein-coding genes con-148 taining inserted transposable elements downstream of their pro-149 moter region (40, 41). In human cells, the WDR82/ZC3H4 150 complex is recruited to regions with high RNAPII levels and was 151 found to repress lncRNAs characterized by inefficient splicing of 152 their first exon (35-37, 42, 43). 153

In this study, we aimed at understanding the mechanisms by which PCF11 represses HIV-1 basal transcription. Our results reveal that PCF11 forms a complex with the transcription terminator WDR82. Loss of PCF11 and WDR82 activates HIV-1 expression in latently infected cells, independently of the CPA machinery. These two factors interdependently associate with the HIV-1 promoter-proximal region and repress HIV-1 transcription.

#### Results

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PCF11 Regulates HIV-1 Basal Transcription in a CPA- and PAS-163 Independent Manner. To identify whether the CPA machinery 164 and PAS motifs play a role in the repression of HIV transcription, 165 we first used a HeLa cell line containing a unique integrated copy 166 of the Luciferase (Luc) gene under the transcriptional control 167 of HIV-1 5'LTR promoter (HIV-1 LTR-Luc) (Fig. 1A). In the 168 absence of the viral transactivator Tat, the basal activity of the viral 169 promoter in this cell line is low but reactivable upon various stimuli. 170 Thus, we analyzed the role of components of the 3' end processing 171 machinery in the repression of the HIV-1 LTR activity. The CPA 172complex is composed of cleavage and polyadenylation specificity 173 factor (CPSF), cleavage factors I and II (CFIm and CFIIm), and 174 cleavage stimulation factor (CstF). CPSF contains 6 proteins and 175 binds directly to the A(A/U)UAAA hexamer within the PAS via 176 WDR33 and CPSF4 and the RNA cleavage is accomplished by 177 the endonuclease CPSF3 at the cleavage site (CS) often identified

by the CA dinucleotide. CFIIm, containing PCF11 and CLP1, 178 interacts transiently with the CPA complex (Fig. 1B) (18). 179 Interestingly, CPA factors including PCF11 are also detected on 180 nascent transcripts near the TSS suggesting that the CPA complex 181 is not only implicated in 3'-end termination but also in promoter-182 associated premature termination (13, 24, 44). To analyze the role 183 of components of the 3' end processing machinery in the repression 184 of the HIV-1 LTR activity, we depleted subunits of CFIm (CPSF6), 185 CPSF (CPSF2, CPSF3, CPSF4, WDR33 and FIP1), CFIIm 186 (PCF11, CLP1), CstF (CSTF2) as well as the scaffold protein 187 SYMP using specific siRNAs. We observed that knockdown of CPA 188 subunits affected expression of the others (Fig. 1C). In particular, 189 knockdown of CPSF subunits was associated with an increased 190 expression of PCF11, reflecting an auto-inhibition mechanism 191 involving premature CPA and termination of its own transcript (24, 192 28) (SI Appendix, Fig. S1A). Knockdown of PCF11 resulted in a 193 robust and significant increase of abundance of LTR-driven mRNA, 194 whereas loss of the other CFIIm subunit CLP1 had almost no effect 195 (Fig. 1D). In addition, individual depletions of CPSF6, subunits 196 of the CPSF complex, CSTF2 or SYMP had little to no effect on 197 LTR-driven mRNA expression. Notably, simultaneous depletion of 198 CPSF2, CPSF3, and CPSF6 or CPSF4, WDR33, and FIP1 showed 199 no additional effect on the LTR-driven mRNA levels, suggesting that there is no redundancy or compensatory effect between CPA 200 subunits in the control of HIV-1 basal transcription (SI Appendix, 201 Fig. S1B). To assess whether the effect of PCF11 depletion on 202 the activation of LTR-driven expression was PAS-dependent, we 203 introduced a single-point mutation to the PAS hexamer sequence 204 (AAUAAA->AAGAAA: PASmut) that abolishes its interaction 205 with the CPSF complex (45). We also tested a second construct 206 containing a mutation eliminating the downstream CS (CA->GA: 207 CSmut) (Fig. 1E). Of note, the WT, PASmut, and CSmut LTR-Luc 208 constructs are inserted at the same locus in a parental HeLa Flp-IN 209 cell line to avoid integration site position effect. LTR-driven nascent 210 transcripts from the WT and PASmut constructs were quantified 211 by nuclear run-on assay (NRO). We detected high levels of short 212 nascent transcripts extending beyond the PAS motif and showed 213 that transcription is progressively attenuated along the 5' LTR. 214 Furthermore, mutation of the PAS hexamer does not affect HIV-215 1 nascent transcripts profile, suggesting that the 5' LTR PAS has 216 very little effect on RNAPII processivity (*SI Appendix*, Fig. S1*C*). 217 Depletion of PCF11 leads to a similar reactivation of LTR-driven 218 expression in all three cell lines, indicating that the PAS motif and 219 the CS are not required for PCF11-mediated repression of the HIV-220 1 promoter (Fig. 1 F and G). Taken together, our results suggest 221 that amongst the subunits of the 3' end processing complex, only PCF11 plays a significant role in the repression of LTR-driven 222 expression activity. This PCF11-mediated repression occurs for the 223 most part independently of the PAS motif and the CPA activity. 224

225 PCF11 Interacts with WDR82 in an RNA-Independent Manner. 226 Having shown that the effect of PCF11 depletion on LTR-driven 227 expression is both CPA- and PAS-independent, we sought to 228 determine whether PCF11 could interact with other factors involved 229 in transcriptional termination. First, we performed glycerol gradient 230 sedimentation of HEK293T cell nuclear extracts to determine 231 whether PCF11 exists in one or several complexes. Western blotting 232 analysis of the collected fractions shows that PCF11 and CLP1 233 peak in fractions of lower molecular weight than the peak of CPSF 234 subunits (Fig. 2A). In addition, RNAPII was found in fractions 235 of similar molecular weight to CPSF subunits. Interestingly, we 236 observed that WDR82 displays a sedimentation profile similar to 237 that of CFIIm (Fig. 2A). WDR82 is the human orthologue of the 238 yeast Swd2, a subunit of the APT complex with phosphatase activity



Fig. 1. PCF11 depletion stimulates HIV-1 LTR-driven RNA levels. (A) Schematic of the HIV-1 LTR Luc construct (HIV-1 LTR-Luc) integrated in the HeLa-LTR-Luc cell line, indicating TAR region, AATAAA hexamer, and CA dinucleotide site of cleavage from the 5' PAS and the Luc coding region. Of note, HIV-1 mRNAs transcribed from the 5' LTR are devoid of the UGUA sequence as the +1 TSS is positioned 3 nucleotides downstream of the corresponding TGTA motif. (B) Schematic of the mammalian 3' end processing machinery, including CPA subcomplexes and cis-elements contributing to 3' end processing. (C) HeLa-LTR-Luc cells were transfected with indicated siRNAs directed against CPA subunits or a control siRNA (CTRL). Cells were harvested at 72 h posttransfection and analyzed by western blotting using the indicated antibodies (\* indicates nonspecific signal). (D) Cells described in (C) were analyzed for LTR-driven Luc RNA levels were measured by RT-qPCR upon each individual siRNA knockdown using 3 different pairs of primers amplifying the TAR-U5 region (R-U5), the 5' proximal (Luc prox) region or the 3' distal (Luc dist) region of the Luc gene. Results are presented as fold increase over siRNA control condition (siCTRL). Data represent mean ± SEM obtained from 3 independent experiments (n = 3). P values were calculated using student's one-sample t test. (E) Schematic of the LTR mutations introduced in the AATAAA hexamer (AATAAA->AAGAAA; PASmut) or CA dinucleotide site of cleavage (CA->GA; CSmut). Each of the HIV-1 LTR-Luc constructs (WT, PASmut and CSmut) was integrated at the same genomic location in the HeLa Flp-IN parental cell line, therefore avoiding position-effect differences of expression between the different HIV LTR-Luc constructs. (F) Western blotting showing the depletion of PCF11 in HeLa LTR-Luc cell lines 72 h after siRNA transfection. (G) LTR-driven Luc RNA levels were quantified by RT-qPCR after knockdown of PCF11 in HeLa LTR-Luc WT, PASmut, or CSmut. Data represent mean ± SEM obtained from 4 independent experiments (n = 4). P values were calculated using multiple unpaired t test.

that associates with the core CPF complex (38, 46). Because yeast Pcf11 was shown to interact directly with Swd2 in vitro (47, 48), we next investigated whether this interaction was conserved in human cells. We performed immunoprecipitation (IP) of endogenous PCF11 from the nuclear fraction of HEK293T cells and the presence of cofactors was assessed by western blotting. We found that PCF11 interacts robustly with its CFIIm cofactor CLP1, whereas association with CPSF subunits CPSF2, CPSF3, and WDR33 was weaker. In sharp contrast, we observed a strong enrichment for

WDR82 with immunoprecipitated PCF11. The binding of PCF11 to CLP1 and WDR82 was not affected by RNAse A treatment, whereas its association with CPSF2, CPSF3, and WDR33 sharply decreased, indicating that PCF11 strongly associates with WDR82 through protein-protein interaction (Fig. 2B). Interestingly, IP of endogenous WDR82, revealed a robust association with CPSF2, CPSF3, CPSF4, and PCF11, indicating that WDR82 can also engage with the CPSF complex (Fig. 2C). To further characterize whether PCF11, WDR82, and the CPSFs can interact within the

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Fig. 2. PCF11 interacts with WDR82, (A) HEK293T cells nuclear extracts were separated by centrifugation through a 5 to 40% glycerol gradient. Fractions were collected, pooled 2 by 2 and analyzed by western blotting using the indicated antibodies. (B) HEK293T cells were first fractionated into cytoplasmic (Cyt) and nuclear extracts (Nuc). Nuclear extracts were further treated or not with RNAse A and subjected to PCF11 affinity IP. The anti-PCF11 immunoprecipitate was analyzed by western blotting using the indicated antibodies. (C) The HEK293T cells nuclear fraction treated with RNAse A was subjected to anti-WDR82 (F-WDR82) P and analyzed by western blotting using the indicated antibodies. (D) Nuclear extracts from HEK293T cells transfected with a Flag-WDR82 (F-WDR82) expressing construct were subjected to anti-Flag IP then immunoprecipitated complexes were washed and eluted with an excess of Flag peptide and reimmunoprecipitated with an anti-PCF11 antibody. The resulting double immunoprecipitated complex was analyzed by western blotting using the indicated antibodies.

same complex, Flag-WDR82 was overexpressed in HEK293T cells,
immunoprecipitated using an anti-Flag antibody and subjected to
reciprocal IP using an anti-PCF11 antibody. Flag-WDR82 and
CPSF3 were found to belong to the same complex, together with
the RNAPII, whereas the CFIm subunit CPSF6 was not present
(Fig. 2D). These results indicate that WDR82 and PCF11 associate
within the same complex that also engages with CPSF subunits.

399 PCF11 and WDR82 Knockdown Increases HIV-1 Reactivation 400 from Latently Infected Cells. To further address the role of 401 PCF11, WDR82, and the CPA complex in HIV-1 latent provirus 402 silencing, we first depleted CPSF (CPSF2, CPSF3, and FIP1) subunits, 403 CFIIm (PCF11, CLP1) subunits, SYMP or WDR82 with specific 404shRNAs in the JLat A1 cell line containing a single integrated copy of 405 LTR-Tat-GFP-LTR HIV minigenome (Fig. 3A) (49). We measured transcriptional reactivation from latency by monitoring the percentage 406 of cells reexpressing GFP at day 6 and day 9 posttransduction (d.p.t.). 407 Flow cytometry analysis revealed that depletion of CPSF subunits 408 had no significant effect on basal HIV-1 expression. In contrast, we 409 observed a strong reactivation of HIV-1 expression upon depletion 410 of PCF11 and WDR82, and SYMP to a lesser extent (Fig. 3 B and 411 C). Similar reactivation of HIV expression was observed when JLat 412 A1 cells were transduced with a second shRNA targeting PCF11 413 (SI Appendix, Fig. S2A), or with a sgRNA against WDR82 using the 414 CRISPR-Cas9 system (SI Appendix, Fig. S2B). We further confirmed 415 that depletion of PCF11 and WDR82 significantly reactivates HIV 416 expression in two other models of latency, JLat A2 cells that also 417 contain a single integrated copy of the HIV minigenome, and JLat 418 10.6 cells that contain a nearly complete latent provirus (Fig. 3*E*) (49). 419 Thus, we found that WDR82 and PCF11 are both involved in the 420 repression of HIV-1 expression from different integration sites in 421 latently infected cells.

452 PCF11 and WDR82 Are Required for HIV-1 Post-Integrative 453 Transcriptional Silencing. To further assess the role of PCF11 454 and WDR82 on HIV latency in infected CD4+ cells, we used the 455 dual-labeled HIV-GKO virus containing an LTR-driven GFP and 456 expressing the mKO2 fluorescent protein under the constitutive EF1a 457 promoter (50). Infection with HIV-GKO allows the quantification 458 of both productively (GFP+ mKO2+) and latently (GFP- mKO2+) 459 infected cells (Fig. 4A). Jurkat cells were first infected with HIV-460 GKO, then transduced with shRNAs targeting either PCF11 or 461 WDR82 at 7 d post-infection, and analyzed by western blotting 462 (Fig. 4B) and flow-cytometry 6 d posttransduction (Fig. 4 C and 463 D). The total percentage of mKO2 expressing cells (sum of the 464 percentages of GFP+ mKO2+ and GFP- mKO2+cells) upon 465 PCF11 or WDR82 depletion was comparable to control conditions, indicating that their depletion does not impact the proportion of cells 466 containing an integrated provirus (Fig. 4C). Amongst infected cells, 467 PCF11 KD significantly increased the number of productive cells 468 (48.5% GFP+ cells in shPCF11, compared to 29.0% for shCTRL), 469 which correlated with a decrease in the proportion of latent cells. 470 WDR82 KD also resulted in a significant increase of the percentage 471 of productive cells (37.2% GFP+ cells in shWDR82) (Fig. 4D). 472 To further quantify the proportion of latent cells reactivated after 473 PCF11 or WDR82 KD, cells were infected with HIV-GKO for 4 d 474 before sorting the latent population (GFP-mKO2+). These latently 475 infected cells were then transduced with shRNA targeting PCF11 476 or WDR82 and HIV reactivation was monitored by measuring 477 the percentage of GFP+ cells by FACS. Knocking down PCF11 or 478 WDR82 lead to an increase of the percentage of GFP+ expressing 479 cells (from 1.9% in shCTRL to 10.1 % and 4.8% in shPCF11 and 480 shWDR82, respectively), indicating that both factors are involved 481 in the maintenance of HIV-1 latency (Fig. 4 E and F). Of note, 482 knocking down PCF11 in primary CD4+T cells resulted in high

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Fig. 3. Depletion of PCF11 and WDR82 reactivates latent HIV-1 expression. (A) JLat A1 cells were transduced with lentivectors expressing a shRNA targeting the indicated CPA subunits or a control (CTRL) shRNA. Cells were harvested at 6 d posttransduction and analyzed by western blotting using the indicated antibodies.
 (B) HIV-1 proviral reactivation was monitored in JLat A1 from (A) by flow cytometry analysis of GFP+ cells at day 6 and day 9 post transduction (d.p.t.) of the indicated shRNA or in nontransduced cells (NT). Data represent mean ± SEM obtained from 5 independent experiments (n = 5). *P* values were calculated using 2-way ANOVA test. (C) Representative FACS histograms and quantification of GFP+ cells at 6 d posttransduction. (*D*) Effect of PCF11 and WDR82 knockdown on HIV expression at 9 d.p.t. was measured by FACS quantification of GFP+ cells in JLat A2 cells containing a HIV minigenome. Data represent mean ± SEM obtained from 6 independent experiments (n = 3). (*E*) FACS quantification of GFP+ JLat 10.6 cells that contain a near full-length proviral genome harboring a GFP gene. Data represent mean ± SEM obtained from 6 independent experiments (n = 6). *P* values were calculated using unpaired *t* test.

levels of cell toxicity which prevented us from further studying
its role in silencing HIV expression in this model. Thus, our
results show that both PCF11 and WDR82 depletion reactivates
latent proviruses expression from heterogeneous integration sites,
suggesting that these 2 factors are involved in the maintenance of
post-integration HIV-1 latency.

PCF11 and WDR82 Associate with the Silent Provirus and<br/>Repress Its Transcription. To assess whether PCF11 and WDR82<br/>are directly implicated in the repression of the integrated latent<br/>provirus, we performed chromatin IP (ChIP) experiments in<br/>JLat A1 cells using specific primers (Fig. 5A). As expected, paused<br/>RNAPII was found to accumulate at the TSS and promoter-proximal599<br/>600<br/>601<br/>602<br/>603



**Fig. 4.** PCF11 and WDR82 are involved in the maintenance of HIV-1 latency. (*A*) Schematic representation of HIV-GKO reporter virus. (*B*) Jurkat cells were infected with HIV-GKO and cultured for 7 d. Infected cells were then transduced with lentivectors expressing the indicated shRNAs, harvested at 6 d posttransduction and analyzed by western blotting using the indicated antibodies. (*C*) Cells from (*B*) were analyzed by FACS at 6 d posttransduction. Quantifications are shown as mean ± SEM obtained from 4 independent experiments (n = 4). *P* values were calculated using unpaired *t* test. (*D*) Representative FACS histograms and quantification of latently infected cells was sorted, maintained in culture for 6 wk to stabilize the latent population and transduced with lentivectors expressing shRNAs targeting PCF11 or WDR82, or a control shRNA (CTRL). The percentage of latent cells reactivated by the KD of PCF11 or WDR82 was quantified by FACS. Data represent mean ± SEM obtained from 4 independent experiments (n = 4). *P* values were calculated using unpaired *t* test. (*F*) Representative FACS histograms and quantification of reactivated cells (GFP+).

(Prox) regions of the HIV-1 promoter in latent cells (TSS and Prox, Fig. 5 B, Upper). PCF11 displayed a similar profile to the RNAPII while WDR82 specifically accumulated at the promoter-proximal region (Fig. 5 B, Middle and Lower). Thus, our results indicate that PCF11 and WDR82 are recruited at the promoter-proximal region in latent cells. Given that PCF11 and WDR82 were previously shown to regulate PTT at cellular genes and extragenic regions (24, 51), we performed 4-thiouridine (4sU) metabolic labelling

of newly synthesized transcripts to determine the effect of their depletion on LTR-driven transcription in JLat A1 cells. Upon PCF11 and WDR82 depletion, we observed a robust increase in levels of nascent transcripts that was similar to the increase in levels of steady-state mRNAs, indicating that PCF11 and WDR82 act mainly as transcriptional repressors of the HIV-1 promoter (Fig. 5*C*).

We next analyzed the interdependence between PCF11 and WDR82 recruitment at the integrated latent provirus. Of note,



Fig. 5. PCF11 and WDR82 are required for transcriptional inhibition of HIV latent provirus. (A) Schema indicating the position of primers on the provirus in JLat A1 cells used for the ChIP-qPCR analysis. (B) RNAPII, WDR82, and PCF11 occupancy at the latent provirus in JLat A1 cells was measured by ChIP assay using indicated primers. Data represent mean ± SEM obtained from 4 independent experiments (n = 4). P values were calculated using 2-way ANOVA test with multiple comparisons. (C) Effect of PCF11 or WDR82 depletion on HIV transcription was monitored in JLat A1 cells by isolation and RT-qPCR quantification of newly transcribed HIV-1 RNA using 4-thiouridine (4sU) metabolic labelling. Steady-state levels of HIV-1 RNA were quantified by RT-qPCR on whole-cell RNA before 4sU isolation. Data represent mean ± SEM obtained from 3 or 4 independent experiments (WDR82, n = 3; PCF11, n = 4). P values were calculated using unpaired t test. (D) Impact of WDR82 or PCF11 depletion on their mutual recruitment on HIV-1 provirus was measured by ChIP assay in JLat A1 cells transduced for 6 d with a lentivector expressing control shRNA (shCTRL) or shRNA targeting PCF11 (shPCF11) or WDR82 (shWDR82). Of note, because the HIV-1-based lentivector shares the same LTR U5 sequence as the integrated HIV-1 minigenome, the TSS primers within this region cannot be used for the ChIP-qPCR assay. Data represent mean ± SEM obtained from 4 independent experiments (n = 4). P values were calculated using 2-way ANOVA test with multiple comparisons. (E) JLat A1 cells were transfected with sgCTRL or sgWDR82, as indicated, then transduced with shWDR82 or shPCF11 and the effect on HIV-1 reactivation was monitored by FACS 6 d after shRNA transduction. Data represent mean ± SEM obtained from 4 independent experiments (n = 4). P values were calculated using 2-way ANOVA test with multiple comparisons. shPCF11 fold increase over shCTRL in sgCTRL and sgWDR82 cells were compared using unpaired t test. 

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 within this region cannot be used for the ChIP-qPCR assay. ChIP

analysis showed that PCF11 and WDR82 occupancy significantly decreases at the promoter-proximal region upon the KD of each other, suggesting that both factors are required for the recruitment

of the PCF11/WDR82 complex (Fig. 5D). Next, WDR82 was 849 KD in JLat A1 cells using WDR82-targeting sgRNA:Cas9 ribo-850 nucleotide complex. We show that partial loss of WDR82 specif-851 ically reduced PCF11 occupancy at the promoter-proximal 852 region, without affecting its recruitment at the TSS. Remarkably, 853 RNAPII recruitment to the promoter-proximal region was signif-854 icantly increased while its level at the TSS was unaffected 855 (SI Appendix, Fig. S3A). To further determine whether PCF11 856 and WDR82 are involved in the same pathway to repress HIV-1 857 expression or whether they act separately, we simultaneously 858 knocked down the expression of both proteins in JLat A1 cells. 859 In cells depleted for WDR82 expression, silencing PCF11 using 860 shRNA increased HIV-1 expression by less than twofold compared 861 to the control (Fig. 5E). This limited increase is below the level 862 expected for an additive effect, suggesting that PCF11-mediated 863 repression of HIV transcription requires, at least partially, the 864 presence of WDR82. Taken together, these findings suggest that 865 PCF11 and WDR82 cooperate at the promoter-proximal region 866 downstream of the TSS to repress HIV-1 transcription in latently 867 infected cells (SI Appendix, Fig. S3B). 868

#### Discussion

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As for cellular promoters, RNAPII proximal pausing near TSS at 871 the HIV 5' LTR promoter is a rate-limiting regulatory checkpoint 872 that can lead to PTT if paused RNAPII complexes do not shift to 873 productive elongation and therefore contributes to HIV latency. 874 Here, we present evidence that PCF11 and WDR82 interact at the 875 promoter-proximal region downstream of the TSS on HIV-1 pro-876 virus to enforce transcriptional silencing in latently infected cells, 877 independently of the CPA machinery. This model is based on the 878 following observations: i) PCF11-mediated repression of HIV-1 879 transcription does not require the CPA complex nor the PAS motif 880 present at the 5' LTR. ii) PCF11 interacts with the termination 881 factor WDR82. iii) PCF11 and WDR82 are involved in the repres-882 sion of HIV-1 expression in different models of latently infected 883 cells. iv) PCF11 and WDR82 control the maintenance of HIV 884 latency during de novo infection in CD4+T lymphocytes. v) 885 PCF11 and WDR82 associate with the promoter-proximal region 886 of the latent provirus in an interdependent manner. vi) Knocking 887 down PCF11 or WDR82 derepresses HIV-1 nascent transcription 888 in latently infected cells. vii) PCF11 and WDR82 act on the same 889 pathway to silence HIV-1 expression.

890 Although termination factors have been reported to attenuate 891 levels of promoter-associated RNAs via the recognition of cryptic 892 PAS (16, 44, 52, 53), our results highlight a role for PCF11 in 893 silencing transcription independently of other subunits of the CPA complex, further supporting a noncanonical role for PCF11 in 894 regulating PTT at the HIV-1 promoter. In human cells, the bind-895 ing profile of PCF11 at protein-coding genes shows an enrichment 896 at both TSSs and 3'ends (24). This is consistent with observations 897 showing that, in addition to its role in 3' end transcription ter-898 mination and CPA efficiency at protein coding genes, PCF11 is 899 also involved in repressing TSS-associated noncoding transcription 900 (24, 54). Interestingly, PCF11 also autoregulates its own expres-901 sion by a premature termination mechanism. However, this effect 902 requires PAS motifs within its first intron and is regulated by the 903 CPA complex (24, 28). In sharp contrast, we found that repression 904 of HIV-1 transcription by PCF11 involves a different mode of 905 action as it is independent of the AATAAA poly(A) signal and CS. 906 Here, we show that PCF11 interacts with WDR82, a RNAPII 907 CTD-binding factor shared by several protein complexes, includ-908 ing PNUTS, Restrictor, and SET1 H3K4 methyltransferases (34, 909 35, 37, 41, 55). In latently infected cells, PCF11 occupancy profile at the provirus indicates that it likely interacts with the promoter-910 proximal associated RNAPII complex that contains high levels of 911 phosphorylated Ser5P CTD. However, as a subunit of the CPA 912 complex that functions at 3' end of genes, PCF11 was shown to 913 bind preferentially to the CTD of the RNAPII phosphorylated 914 on Serine 2 (Ser2P) that marks the latter stages of transcription 915 elongation and termination (30, 31, 56). WDR82 is structured as 916 a circular beta-propeller composed of seven WD40 repeats and 917 binds directly to Ser5P-CTD (36, 57, 58). We propose that 918 WDR82 directly participates in the recruitment of PCF11 to 919 paused RNAPII at the HIV-1 promoter-proximal region. We 920 observed that occupancy of PCF11 and WDR82 is co-dependent 921 of the other suggesting that the interaction between PCF11 and WDR82 is important for their recruitment to the CTD of RNAPII 923 at the promoter-proximal region. This might reflect previous 924 observations showing that WDR82 alone binds poorly to the 925 Ser5P-CTD RNAPII CTD, but this interaction is strongly 926 enhanced when it is engaged within the SET1 or the ZWC com-927 plex (36, 57, 58). 928

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Our results support previous observations showing that, in addition to a major pause site at the TAR region, RNAPII also accumulates at additional downstream sites on the latent HIV-1 LTR (7). Together with DSIF, NELF binds to the paused RNAPII and repressed HIV-1 transcription in latent cells (6-8). Part of this effect depends on the ability of NELF to facilitate PCF11 recruitment at the TSS on HIV-1 LTR and repress its expression (21). However, it has been shown that NELF does not affect RNAPII occupancy at additional downstream pause sites at the HIV-1 promoter, suggesting that the downstream transcriptional block does not depend on NELF (7). Similar to cellular genes, NELF function could regulate an early LTR TSS-associated step that is distinct from RNAPII pause-release mediated by P-TEFb (59). Instead, our work shows that depletion of WDR82 alleviates a PCF11-dependent transcription block downstream of the TSS, at the promoter-proximal region, suggesting a role at a step distinct from that regulated by NELF. Thus, our results indicate that PCF11 is recruited to the latent provirus by two distinct mechanisms: i) independently of WDR82 at the TSS, likely via its interaction with NELF as suggested by Natarajan et al. (21) and ii) via WDR82 at the promoter-proximal region (*SI Appendix*, Fig. S3B).

Interestingly, a direct in vitro interaction has been reported in yeast between Pcf11 and the WDR82 homolog Swd2 (47, 48). Swd2 is part of the APT subcomplex involved in the transcription termination of snoRNAs that are mostly encoded by independent transcription units in yeast (38, 39, 60-63). Mature snoRNAs are not polyadenylated and therefore do not require subunits of the core cleavage and polyadenylation factor (CPF) complex for their biogenesis. Instead, Pcf11 cooperates with both APT and the ncRNA Ndr1-Nab3-Sen1 (NNS) complex at 3' ends of snoRNA to stimulate RNAPII termination (39, 62, 63). While the NNS complex is specific to yeast and not present in human cells, a role of PCF11/WDR82 in HIV-1 promoter-proximal transcription termination may share some similarity to the Pcf11/APT/NNS pathway involved in yeast snoRNAs termination (64).

Importantly, by using NRO approaches, we report that levels of short nascent transcripts that accumulate at the 5' end of the viral gene progressively decrease along the 5' LTR region, reflecting transcription attenuation rather than site-specific PAS-dependent cleavage. These results show that a mechanism of PTT takes place at the HIV promoter and occurs independently of the PAS, suggesting that these nascent viral RNAs are nonadenylated at their 3' end. In addition, our study shows that PCF11 represses HIV expression at the transcriptional level and independently of other CPA subunits. However, we cannot rule out that other mechanisms

971 involving the cleavage of nascent transcripts could cooperate with 972 PCF11/WDR82 to promote RNAPII termination at the HIV-1 promoter. The Microprocessor complex, composed of Drosha and 973 DGCR8, cleaves HIV-1 TAR and recruits the 5'-3' RNA exonu-974 clease XRN2, the RNA/DNA helicase SETX, and the nuclear 975 exosome subunit RRP6 to induce PTT at the 5' LTR (14). In 976 yeast, Pcf11 binding to Ser2P CTD-RNAPII facilitates the subse-977 quent recruitment of SETX homolog Sen1 to the RNAPII. Sen1 978 then translocates along the nascent transcript and unwinds the 979 RNA:DNA hybrid to promote RNAPII release (62). Similarly, 980 under basal conditions, PCF11 could facilitate SETX occupancy 981 at the HIV-1 promoter and further stimulate RNAPII removal 982 from DNA to terminate transcription. 983

While PAS-dependent cleavage of nascent viral transcripts by the 984 CPA complex is not required to repress HIV-1 transcription, our 985 results show that WDR82/PCF11 can also interact with other CPA 986 subunits. Recent studies have highlighted a role of WDR82 in tran-987 scription termination through its cooperation with nuclear exosome 988 targeting complexes (43, 65). Interestingly, WDR82 was also found 989 to cooperate with CPSF and human silencing hub complex to favor 990 3'-end transcription termination (66). As PCF11 is a master regulator 991 of transcription termination, the identification of a novel complex 992 containing PCF11 and WDR82 suggests an unsuspected relationship between subunits of the CPA pathway and WDR82-mediated pre-993 mature termination. Further studies will determine whether PCF11/ 994 WDR82 could be involved in CPA-dependent termination at 995 protein-coding and noncoding genes. 996

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#### **Materials and Methods**

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For detailed protocols on cell culture, transfection of small interfering RNAs in 1034 adherent cells, production and transduction of pseudotyped viruses, nucleofec-1035 tion of sgRNA: Cas9 and siRNA in nonadherent cells, glycerol gradient sedimen-1036 tation, nuclear proteins IPs, Western blot analysis, total RNA extraction, reverse 1037 transcription and quantitative PCR analysis, NRO assay, 4sU labelling of newly 1038 synthesized RNAs, ChIP, flow cytometry analysis and cell sorting, and statistical 1039 analysis, see SI Appendix, Materials and Methods.

1040 Data, Materials, and Software Availability. All study data are included in the  $\mathsf{Q:7}_{1041}$ article and/or SI Appendix. 1042

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