

Higher proactivity in later-borns: effects of birth date on personality in a small mammal

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1 Higher proactivity in later-borns: effects of birth date on

2 personality in a small mammal

24 **Acknowledgments**

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30 **Significance statement**

31 In short-lived animals, the birth date during the breeding season can lead to differences 32 in life history and corresponding behavioral traits. We studied the link between birth date 33 and personality in the bush Karoo rat, a seasonally-breeding and short-lived rodent which 34 relies on a limited resource, stick lodges, essential to survive in a harsh semi-desert 35 environment. Individuals born later in the season were more active, bolder and more 36 explorative; these traits likely help them to successfully compete for stick lodges during 37 the late breeding season when population density and thus competition is high. Early-38 born females, which can potentially start reproducing within their season of birth, showed 39 no personality differences regardless of their reproductive status, suggesting that resource 40 availability rather than reproduction opportunity may underly the emergence of seasonal 41 personality differences in this species.

42

43 **Abstract**

44 In short-lived animals, individuals born earlier in the breeding season frequently 45 reproduce within the season of birth. Consequently, it has been proposed that those born 46 early benefit from a more proactive behavioral type to compete for reproductive 47 opportunities whereas later-borns adopt a more reactive personality to conserve energy to 48 survive through the non-breeding season and reproduce in the following year. However, 49 being proactive could also benefit later-borns in acquiring decreasing resources in the late 50 breeding season. We investigated personality differences depending on the date of birth 51 in relation to resource variation in a free-living population of the bush Karoo rat (*Otomys* 52 *unisulcatus*). This species constructs stick lodges, a critical resource protecting the rats 53 from the harsh semi-desert environments, but the availability of vacant lodges decreases

54 with increasing population density during the breeding season. We predicted an increased 55 occurrence of proactive phenotypes during the later breeding season, contrasting with the 56 commonly assumed decrease in proactive phenotypes in late season due to lack of 57 reproductive opportunity. We behaviorally phenotyped $n = 99$ individuals through 58 repeated behavioral tests and found consistent individual differences along a proactive-59 reactive gradient. Most importantly, later-borns showed greater activity, boldness and 60 exploration tendencies, indicating a more proactive personality. In addition, among early-61 born females, individuals which reproduced showed no differences in personality 62 compared to those which did not reproduce. Our results indicate that seasonal differences 63 in personality types in the bush Karoo rat may be driven by resource constraints in the 64 late season rather than by differences in reproduction opportunities.

65

66 *Keywords:* behavioral phenotype, consistent individual differences, *Otomys,* pace-of-life

67 syndrome

68 **Introduction**

69 For animals living in seasonal environments, reproduction typically coincides with 70 periods of high resource abundance (Whittier and Crews 1987). The timing of birth 71 during such highly concentrated reproductive periods can lead to different life history 72 trade-offs and thus to alternative phenotypic developmental trajectories (Roff 1993; 73 Varpe 2017). In many short-lived species, such as rodents, individuals born early during 74 the breeding season can reach sexual maturity and reproduce within the same season 75 (Tkadlec and Zejda 1995; Montiglio et al. 2014). In contrast, individuals born later 76 experience a shorter resource-rich period and are less likely to reproduce within the 77 season of their birth. Thus, they need to survive through the non-productive period to 78 reproduce in the following year (Lambin and Yoccoz 2001). Consequently, the timing 79 of birth within the season may shape distinct behavioral traits in early and late born 80 offspring to adaptively cope with their different survival and reproduction challenges. 81 Over the past decade, behavioral studies have gained a deeper understanding of 82 consistent individual differences (animal personality), how these are maintained and 83 their association with life history (Réale et al. 2007; Biro and Stamps 2008). The pace-84 of-life syndrome proposes that the trade-off between current versus future reproduction 85 leads to differences in behavioral traits (Réale et al. 2010; Dammhahn et al. 2018): in 86 seasonal breeding rodents, individuals born early which have an opportunity to 87 reproduce within the season of birth, should benefit from an active and risk-taking 88 personality that could be advantageous when acquiring resources needed for 89 reproduction. In contrast, being less bold and less risk-taking may be more adaptive for 90 later-borns because such a personality type will contribute to saving energy, increasing 91 the probability of surviving through the non-breeding season until they can reproduce in

116 association between personality and the birth timing, further investigations, preferably 117 under natural conditions are necessary.

118 The seasonal breeding bush Karoo rat (*Otomys unisulcatus*), a short-lived small 119 mammal living in arid environments of South Africa, is an appropriate model to study 120 the association between birth timing and personality. This species shows a distinct 121 ecological feature that can result in intense resource competition during the breeding 122 season. Bush Karoo rats construct "stick lodges" from dry plant material as refugia 123 (Vermeulen 1988; Pillay 2001), providing a favorable micro-climate that protects the rat 124 from the harsh ambient environment (Vermeulen 1988; Brown and Willan 1991; Du 125 Plessis et al. 1992). A stick lodge is costly to build and is commonly used by only one 126 adult individual in this solitary species (Makuya et al. 2024), although it can be reused 127 by others after the builder disappears. Thus, vacant stick lodges represent a limited 128 survival resource, and the availability decreases in the late breeding season when 129 subadult individuals start to occupy stick lodges of their own. As more lodges become 130 occupied, individuals born later face increasing difficulties in finding unoccupied lodges 131 or will even need to build new ones. In this situation, having a proactive personality 132 becomes adaptive as it could lead to finding and competing for unoccupied lodges, or in 133 competing for building materials for constructing new lodges (Vermeulen 1988). 134 Our aim was to investigate the effect of the date of birth on personality in adult bush 135 Karoo rats. First, we studied whether free-living bush Karoo rats show consistent 136 personality traits in activity, boldness and exploration behavior. Next, considering the 137 potential effects of increasing population density on the availability of stick lodges, we 138 predicted that such personality traits would be associated with birth timing, as later-139 borns would adopt a more proactive (active, bold and/or explorative) behavioral type.

140 Finally, we studied whether there was a seasonal change in behavior, specifically 141 whether the proactive response decreased in the food restricted dry season when 142 compared to the food rich moist season. We conducted a field study over two years 143 (2022 - 2023) and repeatedly quantified six behavioral parameters related to three 144 different behavioral personality traits, activity, boldness and exploration (sensu Réale et 145 al. 2007), which was compared between individuals born earlier and later during the 146 breeding season.

147 **Materials and Methods**

148 *Study site and study population*

149 Our study population occurred in the arid Succulent Karoo, a biodiversity hotspot in 150 South Africa, characterized by variable climate and low precipitation. Bush Karoo rat 151 breeding activity coincides with season (Wolhuter et al. 2022). Reproduction is 152 concentrated in the moist period from July to November, followed by the hot and dry 153 non-breeding period from December to June. Bush Karoo rats have a relatively short 154 life span (1 - 2 years). The earliest age at sexual maturity is between 5 - 6 weeks, and 155 the reproductive period spans over 4 - 5 months per year (Vermeulen 1988; Wolhuter et 156 al. 2022). Offspring born early in the breeding season can reach sexual maturity within 157 the season of their birth (Wolhuter et al. 2022). The competition for reproductive 158 resources mostly concerns individuals born early in the season, while the availability of 159 stick lodges is relevant for the survival of all individuals and may be especially limiting 160 for those born late in the season. 161 The study was conducted in the Goegap Nature Reserve, Northern Cape Province, 162 South Africa. The field site in the semi-arid Succulent Karoo (Cowling et al. 1999) is 163 characterized by an annual rainfall of 160 mm/year on average, and by temperatures 164 varying from −1.5 to 24 °C during winter and from 4 to 42 °C during summer (weather

165 station at the field site). Most of the rainfall occurs in winter, creating abundant

166 vegetation that supports the onset of reproductive activity in our study population

167 (Wolhuter et al. 2021). The field site for the study is approximately 4.5 ha.

168

169 *Stick lodge surveys*

170 Stick lodge surveys were conducted twice a year, at the beginning of the breeding 171 season in July and after the breeding season in January. We classified the stick lodges, 172 which built within shrubs, into three size categories: (i) small: lodges with a height 173 below 20 cm; (ii) medium: lodges with a height from 21-50 cm; and (iii) large: lodges 174 that almost covered the entire shrub with a height above 50 cm (Schradin 2005). For 175 every lodge, we recorded whether it was old (several years old) or whether it was newly 176 built within the past few months, based our field records.

177 For each season, we calculated the total number of stick lodges on the field site as the 178 total of old lodges surveyed in January (e.g. lodge survey in January 2023 for the 179 breeding season that started in July 2022). Because we wanted to have a measure of 180 available old lodges, we recorded these separately from lodges that were built within the 181 prevailing season (the new lodges). More fine-scaled data on changes in the numbers of 182 available stick lodges during 2022 and 2023 were not available for this study.

183

184 *Trapping and individual tagging*

185 In the field site, trapping was carried out at all occupied stick lodges throughout the 186 year as part of a long-term data collection. The field site was split into 6 trapping areas, 187 with trapping being carried out at two areas simultaneously by two people for three 188 days, before switching to two other areas. Additional trapping was done at lodges with 189 previously unmarked juveniles and focal individuals for behavioral tests. Trapping was 190 done 5 days a week, and occurred before sunrise. The traps were set at lodge entrances 191 and checked every 30 min. All traps were closed within two hours after sunrise to avoid 192 overheating. We used Sherman traps and locally produced metal (Sherman-like) live 193 traps $(26 \times 9 \times 9 \text{ cm})$, which had small holes in the sides to allow circulation of air.

208 *Assessment of changes in population density*

209 Based on trapping data, we recorded the population density of adult (older than 5 210 weeks) bush Karoo rats (*n*/ha) at the beginning of the breeding season in July and again 211 after the breeding season in January for both seasons. Therefore, for each month, we 212 counted the total number of trapped adult bush Karoo rats and divided this number by 213 the size of the field site. We were able to mark and monitor the population through 214 observations because the field site is an open terrain (dispersed shrubs with sandy areas 215 in between), the bush Karoo rat is diurnal, and occupied stick lodges showed clear signs 216 of occupancy. At lodges with signs of occupancy where we did not trap an individual 217 within 3 days, we continued with additional trapping. We also conducted behavioral

218 observations (described in Makuya et al. 2024), enabling us to identify occupied lodges 219 and unmarked juveniles.

220

221 *Experimental procedure*

222 Trapping of focal individuals was conducted using the same method as described 223 above. Individuals were transported to a field laboratory situated next to the field site 224 (less than 10 min walking distance) for behavioral testing. Except when checking ear 225 tags and performing behavioral tests, the rats remained in their traps during the whole 226 time. After the test procedure, individuals were released next to their stick lodge, i.e., at 227 the site where they had been trapped.

228 Focal individuals underwent up to four repetitions of behavioral tests. Because the 229 field site changed from the moist to dry season with a decrease in food abundance over 230 time, we measured behavioral repeatability at short term (two-week interval) and long 231 term (16-week interval, in the moist and dry seasons) to account for the seasonal 232 variation of food abundance. The test schedule was determined by age; the first and 233 second tests were conducted at early adult stage (age class "young adults"), with the 234 first age at approximately 6 weeks when they reach sexual maturity (Pillay 2001). The 235 second test was scheduled two weeks later. The third and fourth tests were conducted at 236 fully adult stage (age class "older adults"), with the third test scheduled when 237 individuals were approximately 20 weeks old, and the fourth test two weeks later. 238 As was evident from our trapping data, females usually disperse for shorter distances 239 and therefore were more likely be continually caught using our trapping protocol. Thus, 240 in the first year, the selection of focal individuals was limited to females and included 241 four behavioral test replicates. In the second year, both males and females were selected 242 as focal individuals. However, due to time constraints, the rats underwent only the first 243 and second behavioral tests in the second year. Due to unpredictable field conditions, 244 trapping of focal individuals was not always successful, which led to delays for repeated 245 behavioral tests in some cases. The average age for "young" adults (at the $1st$ and $2nd$ 246 test) was 54 days, and the average age for "older" adults (at the $3rd$ and $4th$ test) was 151 247 days. Because our study involved individually-marked focal animals, the 248 experimenter(s) were not naive to individual identities during testing. 249 Population density of small mammals in our field site typically decreases 250 dramatically during the dry season to the onset of the next breeding season, when it is 251 only approximately a quarter of the density at the end of the breeding season (Nater et 252 al. 2018). As expected, many individuals disappeared from the field site throughout the 253 study, mainly due to predation. We could not predict which individuals would 254 disappear, so we tested as many individuals as possible at the onset of the breeding 255 season. 256 During the first year (2022), we tested a total of 56 individuals, out of which 37 257 individuals were successfully trapped and underwent the last two $(3rd$ and $4th)$ tests as 258 older adults: 19 of them underwent all four tests (i.e. two times during older adult stage 259 and two times during young adult stage), eight individuals were tested three times (i.e. 260 two times during older adult stage and one time during young adult stage), and 10 261 individuals were only tested two times (i.e. two times during older adult stage). This 262 was not the case for 19 individuals that had disappeared prior to the last testing during 263 older adult stage. During the second year (2023), we tested a total of 43 individuals, out 264 of which 29 underwent all two tests; note that during the second year, we only carried

265 out two tests during the younger adult stage (see details above). The remaining 14

266 individuals could only be trapped and tested for the $1st$ test. Overall, out of the total of 267 99 individuals tested in 2022 and 2023, 66 individuals did not disappear prior to the end 268 of the testing.

269 As a consequence of the disappearance of some animals in combination with the 270 differences in (re-)trapping success, the individuals had different experiences when 271 undergoing the different tests. All 99 individuals of our sample were tested at least one 272 time, $n = 78$ individuals underwent at least a 2nd test, $n = 30$ individuals underwent at 273 least a 3rd test and only $n = 19$ individuals underwent all four tests.

274 *Behavioral tests*

275 One to a maximum of four individuals were trapped for behavioral tests per day. A 276 white chamber (100 cm long, 85 cm wide and 65 cm high) made of melamine panels 277 was used as a test arena (Fig. 1). Before introducing a new individual into the arena, the 278 arena was always thoroughly cleaned using 95% alcohol and air dried. All tests were 279 video-recorded and later analyzed using the software BORIS (Friad and Gamba 2016) 280 and Single Mouse Tracker (Icy software, De Chaumont 2012). Focal individuals 281 underwent three successive behavioral tests directly after the morning trapping. To 282 minimize observer bias, blinded methods were used when all behavioral data were 283 recorded and/or analyzed. *Starting box*– This part of the apparatus (the starting box) consisted of a 10 cm³ black 285 acrylic and opaque square box with one side that could be opened (door) and a lid at the 286 other side. The open side was directly attached to the test arena and separated by a

287 closed door (Fig. 1a). After individuals were placed into the starting box via the lid,

288 they were allowed to calm down for 3 min in the closed box. Then the door was opened

289 and we recorded whether the animal entered the test arena in the following 10 min. If

306 After the open field test was completed, the animal remained in the arena and was 307 confined again by the experimenter using the same black acrylic square box. The box 308 was attached to a fixed pulley system so it could be lifted to release the individual with 309 minimum disturbance. The focal individual was first placed in the center of the arena 310 while covered by the box. Then, four identical hollow metal, egg-shaped sieve balls (4.5 311×3.9 cm, steel) providing the same novel odors were placed inside the arena, 10 cm 312 away on the extended diagonal of the black box (see Fig. 1b). The source of odor used 313 in the 1st test was orange peel, tomato sauce was in the $2nd$ test (two weeks later), peanut

314 butter was used in the $3rd$ test and strawberry jam in the $4th$ test. After setting up the 315 arena, the rat was allowed to calm down for 5 min inside the box, then the box was 316 lifted and the individual was given 5 min to explore the four metal balls. We recorded 317 two behavioral parameters: (1) the time the animal spent exploring the objects, defined 318 as the total (summed-up) duration the individual sniffed or touched one of the four tea 319 balls; and (2) the number of objects explored, defined as the total number of tea balls, 320 which were sniffed or touched by the individual during the test (range from $0 - 4$).

321

322 *Quantification of seasonal food abundance*

323 Because the repeated behavioral tests were conducted in two seasons with variable 324 food availability, we quantified seasonal changes in the abundance of food plants and 325 considered the potential effects on individual behavioral performance during testing. 326 Food plant abundance was measured monthly using the Braun-Blanquet method as part 327 of the long-term data collection on the field site, assessed by the average number of 328 food plants from eight $2 \text{ m} \times 2 \text{ m}$ plots randomly located in the field site (Werger 1974; 329 Schradin and Pillay 2006). The resulting index was used in the statistical analysis (see 330 details below) as an estimate of the food plant availability at the time of the different 331 test sessions.

332 *Statistical analysis and sample sizes*

333 In total, 99 individuals (*n*males = 15, *n*females = 84) were tested in 226 behavioral tests. 334 We considered potential effect of multiple test replicates per individuals, as such 335 repeated testing may lead to habituation effects (Salomons et al. 2010). The sample 336 sizes available during the different test replicates (hereafter referred to as "test

337 sequence"), see more details above, in the last paragraph of section "Experimental 338 procedure".

339 Statistical analyses were carried out in R, version 4.3.0 (R Core Team 2023). In the 340 first step, we checked for repeatabilities of the six behavioral variables quantified in the 341 starting box test, open field test and in the novel odor test, across the four different test 342 sessions, as well as for associations between these behavioral variables. All six response 343 variables (behavioral variables, given in Table 1) were scaled for analysis. This analysis 344 (with $n = 99$ individuals) was done using a single, multivariate generalized linear 345 mixed-effects model GLMM (i.e., with six response variables) based on the R package 346 *MCMCglmm* (Hadfield 2010). The predictors were year and sex (2 levels each) and test 347 sequence (number of tests the individual has done before, 4 levels), with random effects 348 for individual ID. We applied a weakly informative prior, allowing the data to primarily 349 inform the posterior distributions. One chain was run with 100,000 iterations. The first $5,000$ iterations were discarded as burn-in, and every $100th$ iteration was retained 351 (thinning interval = 100), resulting in 950 samples per chain. The response variables 352 followed appropriate distributions: a categorical distribution for the latency to enter the 353 arena, poisson distribution for the number of objects explored (count variable from 0 to 354 4), and Gaussian distribution (after some transformations, see details below Table 1) for 355 the remaining four continuous behavioral variables. As the early disappearance of some 356 animals prior to the completion of the four test sessions in the year 2022 or of the two 357 test sessions in 2023 may have potentially created a calculation bias, we repeated the 358 analysis by a multivariate GLMM with a subsample of *n* = 66 animals (out of the 359 original $n = 99$ individuals) which were still present (i.e. trapped and tested) during the 360 last test sessions of both years of study. See more details above, in the section

361 "Experimental procedure". However, this more conservative analysis revealed highly 362 similar findings, as presented in Tables B, C in Suppl. Materials.

363 Repeatabilities across the (up to) four repeated tests per behavioral parameter (see 364 Table 1) and pair-wise correlation coefficients between the different behaviors (see 365 Table 3) were calculated based on the within-individual and among-individual variance 366 matrices provided by this model. Associations between the different behavioral 367 variables were considered statistically significant $(P < 0.05)$ when the 95% Bayesian 368 credible intervals of the correlation coefficients (*R*) did not overlap zero (Houslay and 369 Wilson 2017). However, in case of repeatabilities over time, as per definition only non-370 negative values can be obtained and thus the 95% credible intervals cannot overlap zero, 371 *P*-values could not be calculated based on the above-mentioned method (Houslay and 372 Wilson 2017). Consequently, our inference on repeatabilities was only based on 373 interpretation of the 95% credible intervals. We calculated the overall (long-term) 374 repeatability, based on all (up to) four behavioral tests of our 99 focal individuals over 375 all age classes (young adult at first and second tests, older adult at third and fourth tests; 376 *n* = 226 measurements for each behavioral variable), as well as short-term repeatability, 377 based on the first two behavioral tests for 99 young adults with a total of *n* = 145 378 measurements for each behavioral variable. 1379 In the second step, we tested the effects of the date of birth (covariate, 1st July as 380 baseline; see the rather consistent distribution of birth dates along the season in Fig. 2)

381 on the six different behavioral variables (see Table 3). Therefore, using the R package

382 *lme4* (Bates et al. 2015), we applied separate models - a generalized linear mixed-

383 effects model (GLMM) for binomial data with a logit link for the probability to enter

384 the test arena (see Table 3a), and linear mixed-effects models (LMM) for all remaining

385 (continuous) behavioral variables (see Table 3b-f). To obtain a normal distribution of 386 model residuals (verified by visually checking normal probability plots) and 387 homogeneity of variances (by plotting residuals versus fitted values) for LMMs, we 388 square-root transformed the distance travelled and the % time the animals spent 389 exploring the walls and corners of the arena, and $log(x+1)$ transformed the time the 390 animal spent exploring the object in the novel odor test. Individual identity was always 391 included as a random (intercept) factor. All models included the age class at testing 392 ("young adult" at the 1st and 2nd tests or "older adult" at the 3rd and 4th tests; 2 levels), 393 the sex of the focal animals and the year of testing (all factors with 2 levels), the test 394 sequence (factor with 4 levels) and the food plant abundance at testing (covariate). 395 Because we were interested in whether possible differences between earlier- and later-396 born individuals were only apparent in young adults or in older adult individuals, we 397 also tested the 2-way interaction between date of birth and age class. When non-398 significant, this interaction was removed from the models and these were recalculated 399 (Engqvist 2005). *P*-values were calculated by type-3 Wald chi-square tests (Bolker et al. 400 2009). 401 We also tested whether the behavioral responses of early-born females (i.e., females

402 born until/including the $5th$ week of the breeding season, when the last reproducing 403 female was born) in the different tests were associated with their actual reproductive 404 activity during their season of birth. Using the R package *lme4* (Bates et al. 2015), we 405 applied GLMMs for binomial data with a logit link using the same transformations for 406 some of the behavioral variables (now used as predictors in our model) as described 407 above. Each model included one behavioral variable, and all included year of testing (2

- 408 levels) as fixed variance. Individual identity was always included as a random
- 409 (intercept) factor. Also, *P*-values were calculated by type-3 Wald chi-square tests.

410 **Results**

411 *Seasonal differences in population density and stick lodge availability*

412 In both years of the study, the adult population density showed dramatic variation 413 between the breeding/non-breeding seasons. Population density increased during the 414 breeding season from July to January by 120.7% on average. Specifically, during the 415 first year of study (Jul 2022 – Jan 2023), the adult density increased by 81.4% from 12.6 416 to 23.4 individuals/ha, and during the second year (Jul 2023 – Jan 2024), it increased by 417 160% from 6.5 to 16.9 individuals/ha. However, the population also dramatically 418 decreased during the non-breeding season by 72.0% between January to July 2023. 419 In the breeding season starting in July 2022, 172 old lodges (i.e. existing ones) were 420 available and 26 new lodges were built. The availability of old lodges decreased during 421 the breeding season, from 3.1 to 1.6 lodges/adults from July 2022 to January 2023. In 422 the breeding season starting in July 2023, 194 old lodges were available and 9 new 423 lodges were built. The availability of old lodges decreased from 6.9 to 1.8 lodges/adults 424 from July 2023 to January 2024. When only considering the large lodges with a height 425 above 50 cm, which can be assumed to be the most valuable resource, this seasonal 426 difference was more pronounced. The availability of such large lodges decreased from 427 0.8 per adult individual to 0.4 in 2022/2023, and from 1.7 to 0.4 in 2023/2024.

428

429 *Pattern of seasonal reproduction*

430 We quantified the temporal distribution of reproductive events during the breeding 431 season using the estimated dates of birth of the juveniles trapped, based on a sample of

432 *n* = 226 juveniles (129 born in 2022 and 97 born in 2023, Fig. 2). The annual start of the

433 reproductive season was determined by the occurrence of at least three juveniles born

434 on different dates within a week (i.e., apparently from different litters), revealing a 435 highly similar onset of the reproductive season between the two years of study (2022: 436 19th July; 2023: 14 th July). In 2022, the mean date of birth was on 29th August, and 437 during the reproductive season in 2023, it was on $8th$ September.

438

439 *Consistent individual differences in behavior*

440 We analyzed the consistency of six behavioral variables recorded in up to four 441 repeated starting box tests, open field tests and novel odor tests. Overall, we found 442 notable long-term consistencies across time (i.e., repeatability) and thus across different 443 age classes (young to older adults), with respect to three of the four behavioral variables 444 recorded in the starting box and open field tests. These variables were the probability to 445 enter the arena within 10 min, the distance travelled, and the % time the individual spent 446 exploring the walls and corners of the arena (Table 1a). In contrast, the % time the rats 447 were active in the open field arena as well as both behavioral variables measured during 448 the novel odor tests showed very low repeatabilities with large credible interval closely 449 approaching zero (Table 1a).

450 Regarding short-term consistency, when only considering the first two tests during 451 which individuals could be considered as young adults, we found repeatabilities similar 452 to long-term consistency in the starting box and open field test, but again no noticeable 453 repeatability in the novel odor test (Table 1b).

454 We also found significant associations among the parameters recorded in the starting 455 box test and open field test. Specifically, the probability to enter the arena, the distance 456 travelled, the % time the animals showed activity and the % time the animals spent

457 exploring the walls and corners of the open field arena were positively and significantly 458 correlated at the between-individual level (Table 2).

459 *Effects of birth date on personality traits*

460 All behavioral parameters recorded in the starting box and open field tests were 461 significantly associated with the individual date of birth (Table 3). The probability to 462 enter the arena (Table 3a, Fig. 3a), the distance travelled (Table 3b, Fig. 3b), the % time 463 active (Table 3c, Fig. 3c) and the % time exploring the walls and corners of the arena 464 (Table 3d, Fig. 3d) were all significantly higher in individuals born later in the season. 465 The interaction between the date of birth and age class at testing was never statistically 466 significant, indicating that the significant effects of date of birth on behavior (Table 3a-467 d) were independent of age class. In contrast, the two parameters recorded during the 468 novel odor test were not significantly associated with date of birth (Table 3e, f). We 469 found a significant and positive effect of the current food plant abundance only for the 470 total time spent exploring the objects carrying the novel odors (Table 3e). Specifically, 471 the higher the food availability around the time of testing, the longer the individuals 472 explored the novel odor.

473 In two parameters measured in the open field, we found significant sex differences; 474 males travelled a longer distance in the arena (Table 3b) and spent a higher % time 475 being active (Table 3c). We also found highly significant effects of the test sequence 476 (number of tests the individuals had experienced, range from 0 - 3) regarding the 477 distance travelled, the % time active, and the % time of exploring walls and corners in 478 the open field arena (Table 3b-d). Post hoc comparisons revealed that for these three 479 parameters, the values were significantly higher during the first test compared to all 480 subsequent test sessions (post-hoc statistics in Fig. A in Suppl. Material).

481 *Personality-specific reproduction of early-born females*

492 **Discussion**

493 We studied whether the date of birth during the breeding season affects personality in 494 a seasonally breeding rodent, the bush Karoo rat. We hypothesized that individuals born 495 later in the season should adopt a more proactive personality because such a behavioral 496 type would be advantageous for finding/building their own stick lodges. This was 497 confirmed by our findings: later-born individuals were bolder and more active and 498 explorative in behavioral tests, suggesting a more proactive personality in these rats 499 than in those born earlier. We did not find support that proactivity has evolved to 500 support reproduction in early-born females because females that reproduced within the 501 season of their birth did not show higher proactivity compared to females that did not 502 reproduce within the same season. Finally, while food abundance decreased from the 503 moist breeding season to dry non-breeding season, this did not affect the proactive 504 responses.

505 Individuals displayed higher levels of some behaviors during the first test compared 506 to all subsequent tests (Suppl. Material, Fig. A), even in three behavioral parameters 507 which were notably repeatable over time. Such decreases in the expression of behaviors 508 related to activity and exploration is frequently explained by the animals' habituation to 509 the test arena, i.e. learning about its structural elements, thus leading to a loss of 510 motivation to explore (Bolivar et al. 2000; Salomons et al. 2010). Accordingly, a study 511 in laboratory mice showed that the introduction of novel, tactile cues during repeated 512 open-field testing could prevent such decreases in behaviors indicative of exploration 513 (Chen et al. 2023). In contrast, in our setting, the open field arena constituted a novel 514 environment only during the first trial, triggering comparatively higher levels of 515 exploration-related behaviors. Nevertheless, as shown in our study, such an initial

516 change in population-level test responses over the first two test sessions does not call 517 into question the existence of consistent individual rank differences in personality.

518 Similar findings have been reported in other studies conducting behavioral phenotyping

519 in small mammals, frequently showing notably higher or lower responses (dependent on

520 the kind of test) during the first test repeat compared to subsequent ones (Võikar et al.

521 2004; Matsunaga and Watanabe 2010; Lewejohann et al. 2011).

522 Despite such changes over time in the absolute levels of some of the behaviors 523 considered, we found consistent individual differences in measurements of activity, 524 boldness and exploration as well as significant associations between these different 525 variables, confirming findings obtained in other small mammals under field conditions 526 (e.g., Lantová et al. 2011; Eccard and Herde 2013). Individuals in our study that were 527 more active were also bolder and more explorative, suggesting a behavioral syndrome 528 which we describe as "proactivity" (Koolhaas et al. 1999). Similar associations have 529 been reported in other small mammals under field conditions; for example, more 530 exploratory European rabbits (*Oryctolagus cuniculus*) were bolder during early age, and 531 were less sociable and tended to be more aggressive as subadults (Rödel et al. 2015). 532 Furthermore, bent-wing bats (*Miniopterus fuliginosus*) showed positive associations 533 between traits reflecting boldness, activity and exploration, described by the authors as 534 'proactiveness' (Kuo et al. 2024).

535 The main hypothesis of our study, that later-borns are more proactive, was based on 536 the assumption that such individuals face more intense competition for stick lodges, a 537 critical and limiting resource for survival in their harsh ambient environment. As it is 538 typical for a short-lived seasonal breeder, population density of bush Karoo rat peaks 539 during the late breeding season, and such a high population density has the potential to

540 negatively affect resource availability (White 2008). Accordingly, in our study, we 541 found a sharp rise in population density during the course of the breeding season and an 542 associated and notable decrease in stick lodge availability. Although there was always 543 more than one lodge available per adult, lodges differed in their quality, such that the 544 availability of large high-quality lodges decreased to below one lodge per adult by the 545 end of the breeding season. The availability of smaller lodges was higher but they were 546 usually less steady and in poor condition, thus needing a higher investment in building 547 and repairing. The emergence of new lodges during both seasons suggests that the 548 existing lodges were not able to meet the demand of the increasing population. Thus, 549 stick lodges clearly represented a limited resource at the end but not at the start of the 550 breeding season.

551 Individuals born at high population density during the late breeding season can be 552 expected to be at a disadvantage at locating and occupying vacant stick lodges. Due to 553 their young age and relatively small body size, they can be expected to be less 554 competitive than older and larger individuals born earlier. As a result, they would need 555 to invest in either finding unoccupied lodges or building their own ones, and both would 556 require travelling and exploring a broader range of their habitat. In support, a link 557 between increased space use and a more proactive personality has been found in North 558 American red squirrels (*Tamiasciurus hudsonicus*) in which individuals with higher 559 activity levels in standardized tests were re-trapped over a larger range of the study site 560 (Boon et al. 2008). Under field conditions, the trait combination of being more 561 exploratory and more active, as found in our study (see also Perals et al. 2017), could 562 contribute to an increased efficiency in searching for stick lodges or of building

563 materials. Thus, being more proactive could be beneficial in later-born individuals in 564 response to the increasing difficulty of acquiring stick lodges.

565 The life history of bush Karoo rats allows individuals born early to have the chance 566 for precocious reproduction within the same season. In our study, precocious 567 reproduction occurred in 10.7% ($n = 9$) of the females, which is lower than in some 568 other seasonally breeding rodents or lagomorphs (greater Guinea pig *Cavia magna*: 569 18.8%, Kraus et al. 2005; European rabbits in a Mediterranean habitat: 18.6%, Soriguer 570 1981). In our study, females reproducing during their season of birth were born 571 relatively early, all before the middle of the breeding season. However, when only 572 considering early born females, we did not find support for a higher proactivity 573 associated with precocious reproduction. In addition, females born early, i.e. the ones 574 that had an opportunity to reproduce, were generally less proactive than those born later 575 during the season (see Fig. 3).

576 We suggest that the emergence of a lower proactivity in earlier-born bush Karoo rats 577 has possibly evolved due to ecological constraints. Our study population experiences a 578 short breeding season and individuals have to survive a long dry season thereafter. Such 579 a harsh environment could limit the benefits of precocious reproduction. Reproducing at 580 young age can even have negative fitness consequences on both the first litters and the 581 mothers (Lambin and Yoccoz 2001; Rödel et al. 2023), and furthermore a more 582 proactive personality has negative consequences on survival (Smith and Blumstein 583 2008; Cole and Quinn 2011; Luna et al. 2020), for example through increased predation 584 risk (Rödel et al. 2015; Denoël et al. 2019). Even though earlier-born females may 585 benefit from being proactive in competition for reproductive resources, the survival 586 impact might decrease the general fitness of both the young females and their litters to

587 below the threshold for surviving the extremely harsh non-productive period. As a 588 result, the benefit of being proactive may depend on the time of birth during the 589 breeding season: for earlier-born individuals, being a proactive breeder may not be 590 adaptive due to the harsh ecological environments, while for later-borns, the scarcity of 591 life-critical resources (stick lodges) makes the risk of being proactive worthwhile in 592 exchange of better chances in acquiring stick lodges.

593 In conclusion, our study presents an example of personality differences in association 594 with a key life history trait, the timing of birth within the season. Bush Karoo rats born 595 later in the season showed higher proactivity and we suggest that such an association 596 has evolved in response to the availability of survival resources, particularly the reduced 597 availability of vacant stick lodges. Being more active, bolder, and more exploratory 598 could be adaptive under such conditions because it could help later-borns explore more 599 habitat to find unoccupied lodges or building materials. Our study highlights the 600 importance of investigating not only reproduction opportunity, but also potential 601 survival challenges to better understand the diverse mechanisms underlying the 602 integration between life history and behavioral traits.

603

604 **Declarations**

605 *Ethics approval*

606 The study was conducted according to accepted international standards regarding the 607 guidelines for the use of animals in behavioral research (Vitale et al. 2018), and the legal 608 requirements (section 20 permit) of South Africa, where the study was carried out. Ethical 609 clearance (2022/05/02B) was provided by the Animal Research and Ethics Committee of 610 the University of the Witwatersrand, Johannesburg, South Africa. No injuries or

- 611 mortalities occurred in any study animals; all individuals were successfully released at
- 612 the exact sites from where they were trapped.

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- 616 *Competing interests*
- 617 The authors declare no conflicts of interest.
- 618 *Author contributions*
- 619 JQ, HGR and CS conceived the study. Data collection was performed by JQ and LM,
- 620 data analysis was performed by JQ and HGR. The first draft of the manuscript was written
- 621 by JQ and HGR, all authors commented on previous versions of the manuscript. All
- 622 authors read and approved the final manuscript.
- 623 *Data availability*
- 624 All data generated or analysed during this study are included in this article and the
- 625 supplementary information files "ESM_2.zip".
- 626

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763 **Tables**

764 **Table 1** Repeatability (*R*, including its 95% credible interval *CI*) of behavior parameters 765 measured in repeated starting box (SB), open field (OF) and novel odor (NO) tests of 99 766 individuals. Analysis by a multivariate GLMM including individual identity as a 767 random factor, year, sex and test sequence (4 levels) as fixed variance. (a) Long-term 768 repeatability was based on all (up to) four behavioral tests of all age classes $(n = 226$ 769 measurements for each behavioral variable), (b) Short-term repeatability was based on 770 (up to) two behavioral tests during young adult stage (*n* = 145 measurements for each

771 behavioral variable)

 772 $\frac{1}{1}$ square-root transformation of dependent variable

 773 ² log [x+1] transformation of dependent variable

774 **Table 2** Associations between the different behavioral parameters, based on 775 measurements taken from 99 individuals of different age classes. Analysis by a 776 multivariate GLMM including individual identity as a random factor, and year, sex and 777 test sequence (4 levels) as fixed variance. Correlation coefficients (among individual-778 level) are given, values given in bold indicate that the 95% credible interval s do not 779 overlap zero, otherwise they are given in brackets. Note that results stem from the same 780 model as used for the calculation of Table 1a, more details, including the credible

781 intervals, are given in Table A in Suppl. Materials

782 PEA: Probability to enter arena; DT: Distance travelled (square-root transformed); %TA: % Time active; %TEA: %

783 Time exploring walls and corners of arena (square-root transformed); TEO: Time exploring object (log [x+1]

784 transformed); NOE: Number of objects explored

785

 793 ¹ square-root transformation of dependent variable

794 $\frac{2 \log [x+1]}{x}$ transformation of dependent variable

798 **Fig. 1** Experimental setting used for (a) starting box/open field tests and (b) novel odor 799 tests, either orange skin, tomato sauce, peanut butter or strawberry jam (for the 4 800 replicates) were used to provide novel odors carried in the identical sieve balls. Note 801 that the starting box inside the arena in (b) was lifted by a pulley system once the 802 experiment started

Fig. 2 Distribution of reproductive activity (assessed by the frequency of birth events)

- 808 during the breeding season in the bush Karoo rat, given as density probability (red line).
- 809 Data from *n* = 226 individuals captured during the years 2022 and 2023, including the
- 810 99 focal individuals which underwent behavioral tests

Fig. 3 Effects of birth date within the breeding season on different behavioral traits in 814 the bush Karoo rat. All effects presented here are statistically significant. Regression 815 lines (including 95% confidence intervals given as grey shadings) are based on 816 parameter estimates as given in Table 3