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### ► **To cite this version:**

Lilia Sennoun, Ileana Florea, François Orange, Michèle Ude, Peter Hesemann, et al.. Enhanced optical fiber fabrication via the MCVD process incorporating pre-synthesized ZrO<sub>2</sub> nanoparticles. *Optical Materials*, 2025, 164, pp.117015. <10.1016/j.optmat.2025.117015>. <hal-05031556>

**HAL Id: hal-05031556**

**<https://cnrs.hal.science/hal-05031556v1>**

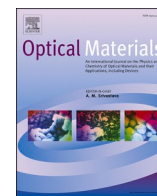
Submitted on 11 Apr 2025

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## Enhanced optical fiber fabrication via the MCVD process incorporating pre-synthesized ZrO<sub>2</sub> nanoparticles

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### ARTICLE INFO

**Keywords:**  
Optical fiber  
ZrO<sub>2</sub>  
Nanocrystals  
MCVD  
STEM  
EDS  
SEM

### ABSTRACT

Nanoparticle-doped optical fibers have experienced significant advancements in recent years, driven by their numerous applications. Nanoparticles (NPs) enable the isolation of luminescent ions from the silica matrix allowing precise engineering of luminescence properties. Additionally, light scattering induced by nanoparticles can also be exploited to develop sensors. The effectiveness of these applications relies on the ability to control the nanoparticle characteristics within the fiber. This study highlights the use of pre-synthesized zirconium oxide (ZrO<sub>2</sub>) nanocrystals as dopant with a particular focus on their characterization at every stage of the manufacturing process. The findings highlight the survival of ZrO<sub>2</sub> nanocrystals throughout the entire process, from the preform to the as-drawn fiber, demonstrating the robustness and potential of this approach.

### 1. Introduction

Silica (SiO<sub>2</sub>) has long been the ideal host matrix for the fabrication of optical fibers, owing to its outstanding intrinsic properties such as high transparency over a wide wavelength range, thermal and chemical stability, high durability, and good compatibility with common optical components [1]. However, pure silica glass reveals certain limitations which could be detrimental for new applications. For instance, the high energy of phonon in silica glass reduces the quantum yield of rare-earth ions, affecting their photoluminescence [2]. Furthermore, the ultra-high transparency of silica glass leads to a small backscattered signal of interest for sensors [3].

In order to overcome these limitations and to avoid the need of utilizing alternative glass compositions, one potential approach is to form glass-ceramics, a glassy matrix containing crystalline particles whose composition differs from that of the host matrix [4].

To illustrate this approach, the work of Professor Giancarlo Righini, that we celebrate in this special issue, is strongly inspiring. Indeed, during the last 35 years, Professor Giancarlo Righini was strongly involved in many studies aiming at improving the rare-earth ions

luminescent properties in silica-based glass-ceramics waveguides. In 1989, he started to study the nonlinear optical properties of glass containing semi-conductor nanoparticles such as Cd(Se,S) [5–7]. Twenty years later, he was interested in the formation of silica-based glass-ceramics containing oxide nanocrystals, in particular SnO<sub>2</sub> and HfO<sub>2</sub> [8–11]. The aim was to isolate the rare-earth ions (Er<sup>3+</sup>, Yb<sup>3+</sup>, Tb<sup>3+</sup>) within the nanocrystals to improve their luminescence properties.

Over the last years, this strategy has been applied to optical fibers too [12,13]. Depending on the applications, the requirements on the characteristics of the nanoparticles are different. For laser technology, small nanoparticles (~10 nm) are of interest to minimize optical loss induced by light scattering [14]. On the contrary, sensors are based on light scattering so larger nanoparticles are required to enhance their performance [15].

Numerous techniques have been adopted to create these nanoparticles within the matrix, such as post heat-treatment of optical fibers [14] or *in situ* formation and growth of nanoparticles in the preform via phase separation mechanisms [16]. In both cases, a number of parameters, including the temperature selected, the treatment duration, and the concentration of the phase-separating element in the doping

This article is part of a special issue entitled: 80th birthday of Giancarlo C. Righini published in Optical Materials.

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<https://doi.org/10.1016/j.optmat.2025.117015>

Received 3 March 2025; Received in revised form 28 March 2025; Accepted 31 March 2025

Available online 4 April 2025

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solution, affect nucleation and particle growth. Moreover, the drawing step can also alter the characteristics of the nanoparticles [17,18]. Very small nanoparticles can be produced by high-temperature fiber drawing (2050 °C), whereas lower temperature (1850 °C) favors the formation of elongated and fragmented particles [19].

A more convenient route would be to incorporate pre-synthesized nanoparticles during the fabrication of the preform by using the Modified Chemical Vapor Deposition (MCVD) process and the solution doping step. However, a key challenge lies in preserving the integrity of these nanoparticles against the corrosive action of the host matrix at elevated temperature (typically 2000 °C). It has been shown recently that YbPO<sub>4</sub> nanocrystals, introduced into a silica preform, survive until drawn into optical fibers, retaining their original composition and structure [20]. On the contrary, Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YAG) nanocrystals react to form YPO<sub>4</sub> nanocrystals if the porous layer contains phosphorous, or amorphous Y<sub>2</sub>O<sub>3</sub>-Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub> (YAS) nanoparticles if there is no phosphorous in the porous layer [21,22]. Fluoride nanoparticles, such as LaF<sub>3</sub>:Tm<sup>3+</sup>, undergo transformations during the fabrication process due to the high reactivity of fluoride ions with silica. This reaction leads to the formation of the gaseous species SiF<sub>4</sub>, causing fluorine evaporation and resulting in the conversion of LaF<sub>3</sub> into La-silicate nanoparticles [23]. These results indicate that the study of the survival of the pre-synthesized nanoparticles is still in its infancy. It deserves to be extended to other nanoparticles.

In this article, we are interested in zirconium oxide (ZrO<sub>2</sub>) nanocrystals. Several authors, among them Professor Giancarlo Righini, have demonstrated the potential of this nanocrystal to be a very promising codopant for rare-earth ions in silica glass for optical applications [24,25]. With a wide bandgap of 5 eV and a low phonon energy of 470 cm<sup>-1</sup> [26–28], ZrO<sub>2</sub> effectively enhances radiative transitions in rare-earth-doped nanocrystals. It is also notable for its high refractive index ( $n = 2.15$  at 633 nm) which can induce strong light scattering for sensors [28].

Several studies are related to the presence of ZrO<sub>2</sub> nanocrystals in optical fibers made by the MCVD (Modified Chemical Vapor Deposition) process. They all dealt with phase separation mechanism to form those nanocrystals. Based on a zirconia sol used as doping solution, scanning electron microscopy (SEM) images reveal the presence of nanoparticles in the preform, but their composition and structure were not characterized [29]. In another study, tetragonal ZrO<sub>2</sub> phase was identified by X-ray diffraction in a sol-gel preform [30]. The characterization of the nanoparticles in the fiber was only reported in three articles to the best of our knowledge. Based on a sol-gel approach, monoclinic zirconia phase was confirmed by X-ray diffraction in fiber [28]. Nanoparticles were also observed in the fiber core by transmission electron microscopy (TEM) when Zr, Y, Al and Yb chlorides were dissolved in the doping solution. However, their composition is not pure ZrO<sub>2</sub> as they also contain Al, Ge and Yb elements [31]. Zirconium, yttrium and erbium elements were reported to be dominant in the oxide nanoparticles when Al, Zr, Er and Y chlorides are used [32].

Here, we propose an alternative approach based on the MCVD and the direct doping of the porous layer with pre-synthesized ZrO<sub>2</sub> nanocrystals dispersed in the doping solution. Regarding the high melting temperature of ZrO<sub>2</sub> (about 2680 °C [33]), such nanocrystals are usually considered to be preserved all along the fabrication process. However, in our case, nanocrystals are embedded in silica matrix. The phase diagram of the SiO<sub>2</sub>-ZrO<sub>2</sub> system reveals that both phases can mix to form ZrSiO<sub>4</sub> at temperature up to 1680 °C and a single homogeneous liquid (i.e. a complete dissolution of ZrO<sub>2</sub> crystals) at temperature lower than 2000 °C (temperature reached during the fabrication of both the preform and the fiber) [34]. Based on these considerations, we focused our attention on the potential evolution of the nanocrystals all along the fabrication process, from the pre-synthesized nanocrystals up to the fiber. Based on SEM, TEM and EDS (Energy Dispersive X-ray spectroscopy) analyses, we demonstrate that ZrO<sub>2</sub> nanocrystals are present both in the preform and the fiber. This opens new perspective to prepare fiber

with ZrO<sub>2</sub> nanocrystals.

## 2. Experimental

### 2.1. Preform and fiber fabrication

Our aim in this study is to follow the evolution of ZrO<sub>2</sub> nanoparticles within the silica matrix throughout the manufacturing process of optical fiber. Firstly, the preform was fabricated using the Modified Chemical Vapor Deposition (MCVD) process. A porous germanium-doped silica layer was deposited at 1630 °C inside high-purity silica tube provided by Heraeus. Flow rate of oxygen was 50 cc/min in both SiCl<sub>4</sub> and GeCl<sub>4</sub> bubblers. The outer and inner diameters of the tube were 20 mm and 17 mm, respectively. The tube containing the porous layer was then immersed in a suspension of monoclinic ZrO<sub>2</sub> nanoparticles (40 nm in size, US Research Nanomaterials, Inc) dispersed in ethanol under magnetic stirring at 500 rpm for 1 h. This suspension was prepared by suspending 3 g of ZrO<sub>2</sub> nanoparticles in 45 mL of ethanol (concentration of 0.67 g/L). It is important to highlight that, while the use of an ultrasound bath can be effective in preventing nanoparticle aggregation, this method was not employed as it tends to remove the porous layer from the substrate tube. After removing the doping solution, the resulting impregnated porous layer was dried on the MCVD lathe at approximately 1000 °C under oxygen flow. Subsequently, the porous layer was gradually densified by increasing the temperature (from 1500 °C to 1900 °C). The tube was then collapsed at a temperature of 2000 °C to form the final glass rod (the preform). The obtained preform (1 cm in diameter) shows a dense white coloration of its core, attributed to the presence of nanoparticles-induced light scattering.

Finally, this preform was drawn into a 125- $\mu$ m fiber in a fiber drawing tower at 1950 °C under standard conditions.

### 2.2. Electron microscopy analyses

To detect the presence of nanoparticles in both the preform core and the optical fiber core, a section (2–3 mm thick) was cut from the end of the preform and polished on one side, while the optical fiber was cleaved. Samples were carbon-coated prior being analyzed using a Tescan Vega3 XMU scanning electron microscope (Tescan France, Fuveau, France) equipped with an Oxford X-MaxN 50 EDS detector (Oxford Instruments, Abingdon, U.K.). For detailed morphological and structural analyses, transmission electron microscopy (TEM) analyses were performed using a Titan SPECTRA 200 transmission electron microscope operating at 200 kV equipped with a cold FEG (Field emission Gun) and a Cs aberration probe corrector together with 2 large EDS (Energy dispersive X-ray spectroscopy) detectors presenting a high sensibility corresponding to a solid angle of 1.8 sr. All the analyses were performed using a probe convergent semi-angle of 29.4 mrad and a collection angle between 109 and 200 mrad allowing STEM-HAADF (Scanning Transmission electron microscopy-High angle annular dark field imaging mode). For the chemical analysis done using EDS within the STEM-HAADF imaging we considered silicon as element of interest with  $K\alpha = 1.7400$  KeV ionization edge, O with  $K\alpha = 0.5230$  KeV ionization edge and Zr with  $L\alpha = 2.0423$  KeV ionization edge. The total probe current for this analysis was set to about 85 pA. To facilitate the analysis, the ZrO<sub>2</sub> NPs were directly deposited on TEM copper grids after dispersion within ethanol.

To access the characteristics of the ZrO<sub>2</sub> NPs within the preform prior to the electron microscopy analyses the sample was carefully cut in order to isolate the fiber core, minimizing the inclusion of the cladding. The end of the sample was then ground using an agate mortar, and the resulting powder was dispersed in 96 % ethanol. A drop of this suspension was then added on a TEM copper grid. For the characterization of the ZrO<sub>2</sub> NPs within the core of the optical fiber the focused Ion Beam (FIB) preparation technique was used for preparing a thin lamella taken in specific area previously identified by performing SEM observations.

### 3. Results

#### 3.1. Characterization of the commercial $ZrO_2$ nanoparticles

To form  $ZrO_2$ -doped preforms, glass tube was impregnated with spherical crystalline  $ZrO_2$  nanoparticles, about 40 nm sized, provided by US Research Nanomaterials, Inc. To confirm their size, structure, morphology and composition, STEM analyses were performed on several assemblies of  $ZrO_2$  NPs. The STEM-HAADF images illustrated in Fig. 1a allow us to confirm the size of the NPs which is about 40 nm with a size distribution between 30 and 50 nm estimated by counting 100 nanoparticles. The chemical analysis illustrated in Fig. 1b and c, performed using the STEM-EDS imaging mode allowed us revealing that the nanoparticles are mainly composed of Zr and O, with  $70.03 \pm 2.69$  at% of Zr and  $29.97 \pm 2.69$  at% of O, in accordance with the  $ZrO_2$  composition given by the provider: The impurities in the  $ZrO_2$  nanoparticles are less than 1 %, with a composition of  $ZrO_2 + HfO_2 > 99.9$  % and  $ZrO_2 > 99$  %. From the STEM-HAADF images we can observe that the morphology of the  $ZrO_2$  NPs presents some facets. Moreover, closer analyses done at higher magnification (Fig. 1d–f) on the NPs allowed us evidencing the NPs crystalline structure.

In the Fast Fourier Transform taken on the selected area on the NPs, the interplanar spacing of  $d_1 = 0.300$  nm corresponds to the  $(-1 -1 1)$  plane (Fig. 1e–f). According to the JCPDS 37–1484 database, this result is consistent with the monoclinic phase of  $ZrO_2$ .

#### 3.2. Characterization of the $ZrO_2$ NPs in the preform core

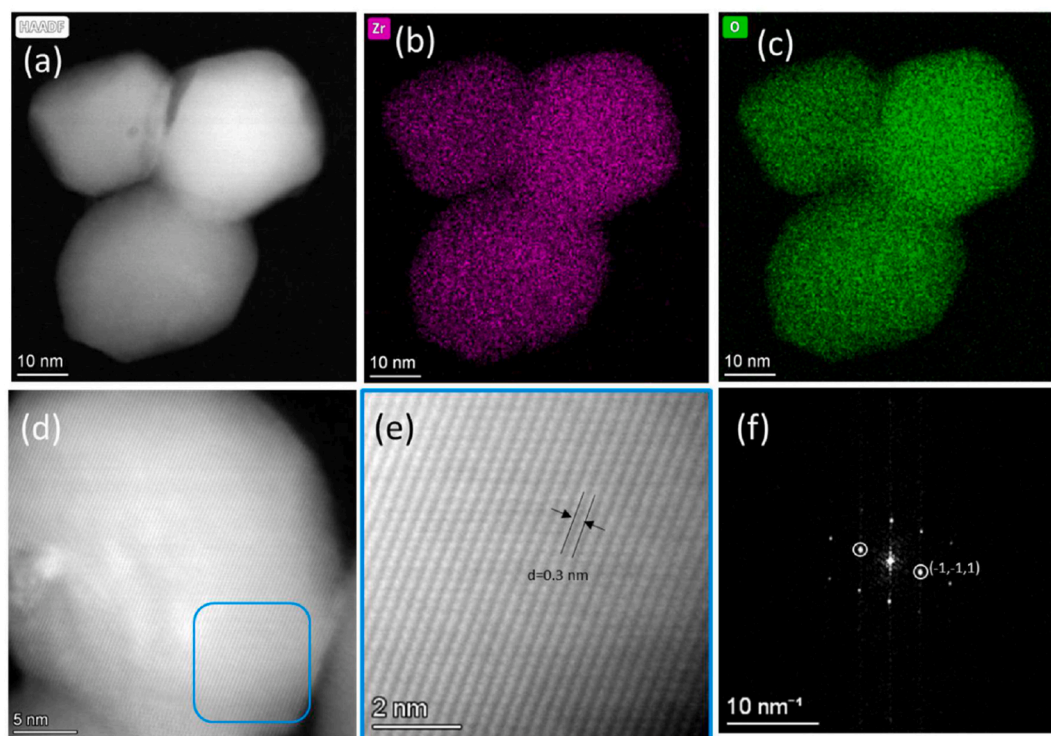
Fig. 2 presents SEM images of the transverse section of the preform sample. As can be observed, the core exhibits a distinctive starburst shape, a structural feature that has been previously reported in germanosilicate preforms prepared by the MCVD process [35]. This phenomenon has been attributed to a higher viscosity of the core layer compared

to that of the silica cladding. The difference in viscosity between the two glasses can result in the formation of this starburst shape during the collapse stage. In our preform, the core layer was doped with  $ZrO_2$  NPs which may have contributed to an increase in the core glass viscosity. Indeed, it has been reported that the presence of nanocrystals induces an increase in the effective viscosity of the glass [36].

Average concentration of Zr element was determined by SEM-EDS measurement. From these analyses we observed that its concentration is higher in the center of the core (7 wt%, 1.5 at%) than close to the core-cladding interface (less than 1 wt%, 0.12 at%). A more detailed analysis of the core composition is presented in the next section.

To access more precise information concerning the composition and the structure of the  $ZrO_2$  NPs after their impregnation within the preform we further analyzed them using high-resolution STEM-HAADF and STEM-EDS elemental mapping. Fig. 3 presents this detailed analysis in Fig. 3a a STEM-HAADF image illustrating a part of the preform core containing the  $ZrO_2$  NPs homogeneously dispersed within the preform matrix mainly composed of silica ( $SiO_2$ ). Contrary to the commercialized  $ZrO_2$  NPs which present a facets morphology, the  $ZrO_2$  NPs present within the preform presents a more spherical morphology with 2 well separated sizes, smaller one up to 8 nm or larger one up to 40 nm (Fig. 3a). From the HR-STEM image illustrated in Fig. 3b we were able to reveal information about the crystalline structure. From the FFT (Fig. 3c) could estimate an interplanar spacing  $d_2 = 0,310$  nm for the  $(-1, -1, 1)$  plane, indicating that the monoclinic phase after the impregnation is preserved (Fig. 3b and c).

An important question that arises at this stage of analysis concerns the chemical composition of the formed  $ZrO_2$  nanoparticles. Given their characteristics significantly different, i.e smaller size and spherical morphology, compared to commercial  $ZrO_2$  nanoparticles, we aimed to determine whether this modification is related to the incorporation of silicon atoms contained within the preform matrix. Elucidating this information is highly challenging, as most of the  $ZrO_2$  nanoparticles are



**Fig. 1.** (a) Image STEM-HAADF illustrating an assembly of  $ZrO_2$  nanoparticles presenting a faceted morphology and its corresponding STEM-EDS chemical analyses with in (b) the Zr elemental map and in (c) the oxygen elemental map; (d) HR-STEM-HAADF image on a  $ZrO_2$  NP with in (e) zoom on an area illustrating the NPs crystalline structure and (f) Fast Fourier Transform (FFT) of the area marked by the blue square in (d). (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

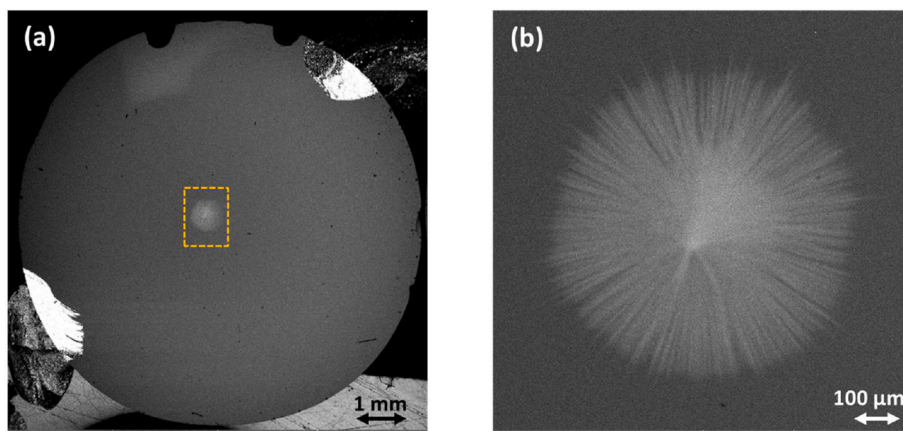


Fig. 2. SEM images of (a) the preform with (b) a close-up on the core.

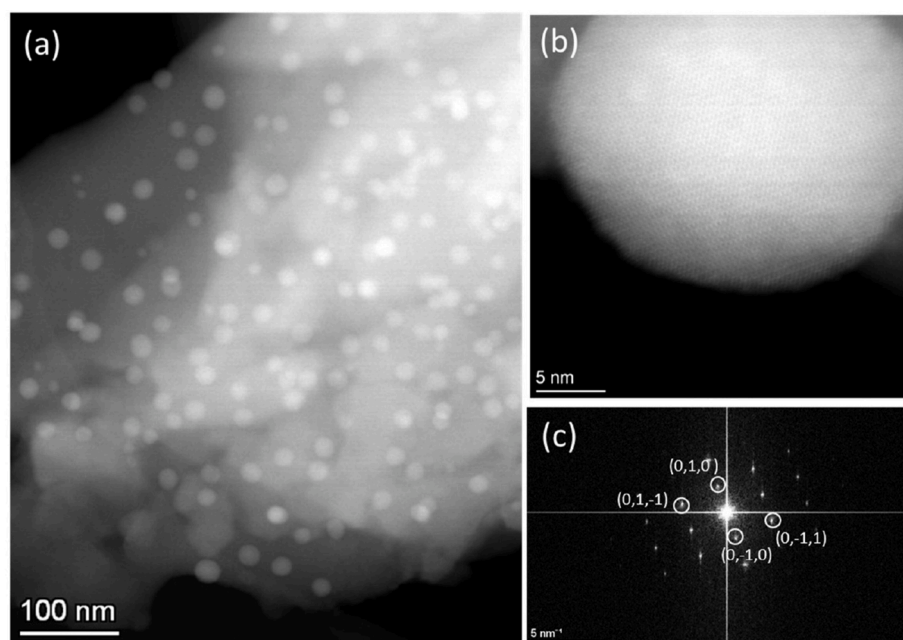


Fig. 3. (a) STEM-HAADF image of  $\text{ZrO}_2$  nanoparticles within the preform core, (b) high magnification STEM-HAADF image on a unique  $\text{ZrO}_2$  NP with in (c) its corresponding FFT illustrating its crystalline structure with  $d_1 = 0.550$  nm corresponding to the (0, 1, 0) interplanar distance and  $d_2 = 0.310$  nm corresponding to (-1, -1, 1) interplanar distance.

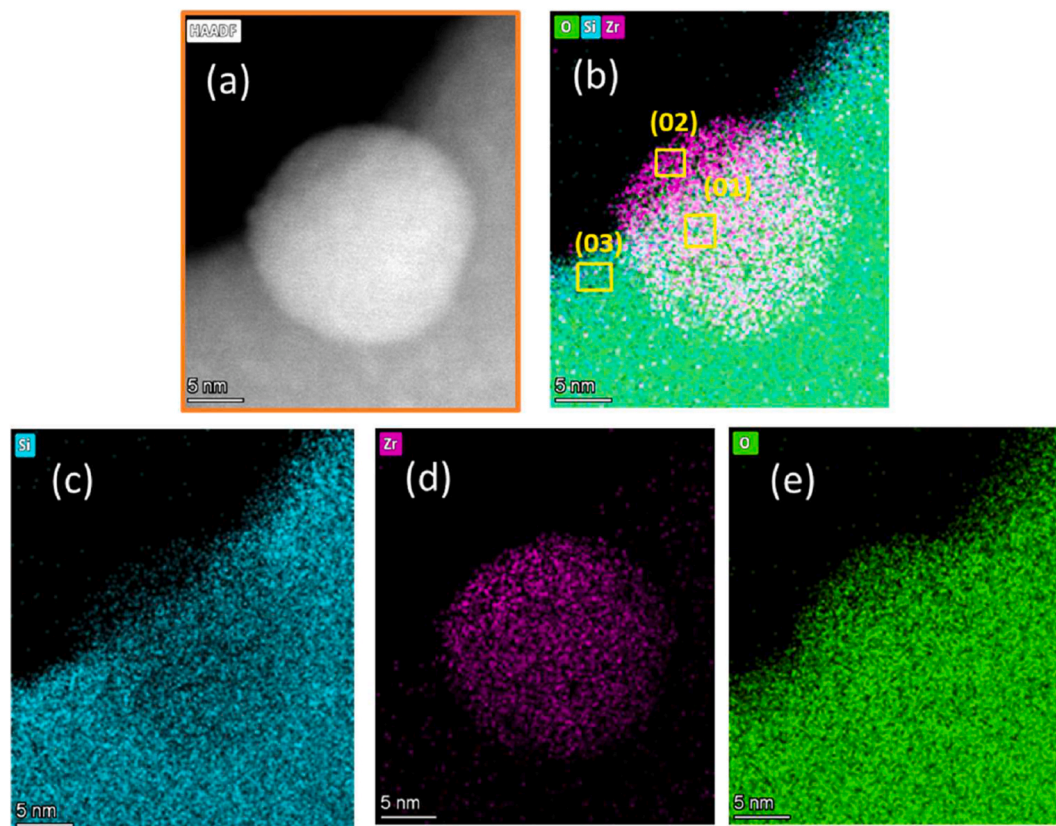
embedded within the silica preform matrix. Consequently, the chemical signal in an EDS elemental map will originate from both the matrix and the nanoparticles. Several areas containing both the  $\text{ZrO}_2$  nanoparticles and the  $\text{SiO}_2$  matrix were identified and analyzed by STEM-EDS chemical mapping (Fig. 4). A close elemental analysis on a single  $\text{ZrO}_2$  NP, for which a small part was not embedded within the preform matrix, indicates a large difference in the silicon concentration. As can be seen in Fig. 4, the chemical analyses on a small area selected on the outer part of the nanoparticle shows concentration of  $62.66 \pm 3.16$  atomic % O and  $33.31 \pm 3.27$  atomic % Zr with a very small Si concentration about  $4.04 \pm 1.07$  atomic % (Area 02, Fig. 4b and Table 1). This finding combined with the HR-STEM analysis, makes us advance that the  $\text{ZrO}_2$  NPs in the preform core preserve their initial crystalline structure, i.e the monoclinic phase even if their morphology has undergone some modifications.

### 3.3. Characterization of the $\text{ZrO}_2$ nanoparticles in the fiber core

SEM images taken on different areas of the fiber along its transversal

and longitudinal cross-sections are reported in Fig. 5. As can be observed, the  $\text{ZrO}_2$  nanoparticles are dispersed in the central part of the core. According to the longitudinal cross-sectional image (Fig. 5b), the  $\text{ZrO}_2$  NPs retained their initial spherical shape, and they don't undergo any elongation or fragmentation during the drawing process [18,37]. Information about the composition of the fiber core could also be obtained by performing SEM-EDS chemical analyses at different locations at the fiber surface marked in Fig. 5b. The corresponding concentrations are reported in Table 2. The highest concentration of Zr content corresponds to the area containing the nanoparticles (area 06 in Fig. 5b). This area contains a lower content of germanium. A lower concentration of germanium is commonly observed for the preform prepared with the MCVD process and it is attributed to the evaporation of this element during the collapse stage. Zr is detected in the central part of the core (where nanoparticles are observed) but also around this part (areas 05 et 07 in Fig. 5b). A lower concentration of Zr around the core may be detrimental to trigger the formation of  $\text{ZrO}_2$  nanoparticles by phase separation. Or nanoparticles are too small to be detected by SEM.

To go further with the characterizations of the nanoparticles



**Fig. 4.** (a) STEM-HAADF image of a 20-nm sized  $\text{ZrO}_2$  NP for which a small part is emerging from the silica matrix and its corresponding STEM-EDS chemical analysis in (b) illustrating the distribution of Si, Zr and O elements within a chosen area represented in (c), (d) and (e) respectively.

**Table 1**

Concentration (in atomic %) of each element contained within the fiber core estimated from the STEM-EDS chemical analyses. The selected area number refers to Fig. 4b.

	O (atomic %)	Si (atomic %)	Zr (atomic %)
Area 01	$61.39 \pm 3.01$	$23.22 \pm 3.46$	$15.39 \pm 1.84$
Area 02	$62.66 \pm 3.16$	$4.04 \pm 1.07$	$33.31 \pm 3.27$
Area 03	$51.91 \pm 4.81$	$47.66 \pm 4.85$	$0.43 \pm 0.57$

contained within the fiber core a thin cross-section lamella was prepared using the FIB preparation technique. Fig. 6 depicts a cross-sectional view of a TEM lamella, featuring a portion of the core fiber with  $\text{ZrO}_2$  nanoparticles concentrated in the center. The fiber core is highlighted within a 3  $\mu\text{m}$ -wide region.

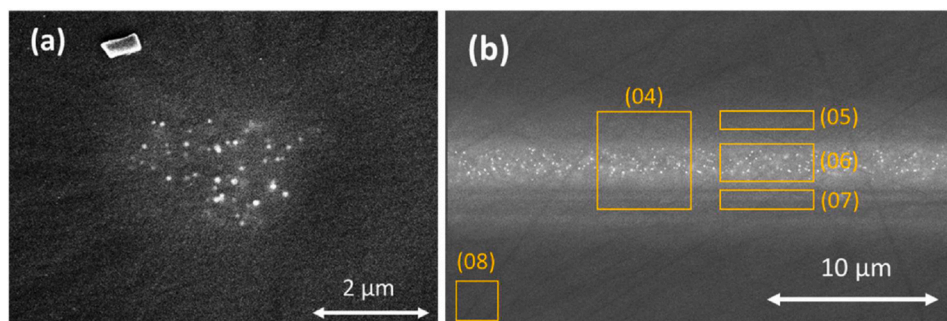
Regarding the crystalline structure of the  $\text{ZrO}_2$  NPs embedded within

the fiber core, deeper analyses have been performed using electron diffraction analyses on a selected area of the FIB lamella containing several  $\text{ZrO}_2$  NPs.

Fig. 7 displays an HR-STEM image of  $\text{ZrO}_2$  NPs within the fiber matrix, along with zoomed-in view of different area highlighting their crystalline structure. The corresponding Fast Fourier Transform (FFT) pattern reveals the crystalline structure of corresponding NP with diffraction spots indicating the crystalline nature of the  $\text{ZrO}_2$  NPs. These observations are further supported by Figs. SI–2.

Chemical analysis conducted using the STEM-EDS mode (Fig. 8) on the  $\text{ZrO}_2$  nanoparticles embedded within the fiber core confirmed, as expected, that the nanoparticles are rich in Zr and O, while the surrounding matrix is predominantly composed of silica. As can be seen on the silicon elemental map, the silicon signal is decreasing at the  $\text{ZrO}_2$  places.

A detailed analysis of the chemical maps was performed on  $\text{ZrO}_2$  NPs



**Fig. 5.** SEM images illustrating a transverse (a) and a longitudinal (b) cross-section of the fiber containing the  $\text{ZrO}_2$  NPs. The orange rectangles in (b) correspond to areas which have been chosen for the SEM-EDS chemical analyses; the Areas (04–08) marked in (b) correspond to EDS analyses discussed in the text and reported in Table 2. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

**Table 2**

The concentration (in mol %) of each element contained within the fiber core measured by estimated from the SEM-EDS chemical analyses. The area number refers to Fig. 5b.

	Area 04	Area 05	Area 06	Area 07	Area 08 <sup>a</sup>
SiO <sub>2</sub>	97.24	98.05	96.34	97.72	100
ZrO <sub>2</sub>	1.95	1.08	3.27	0.99	0
GeO <sub>2</sub>	0.81	0.87	0.39	1.29	0

<sup>a</sup> Cl (0.14 wt%) was detected in this area only.

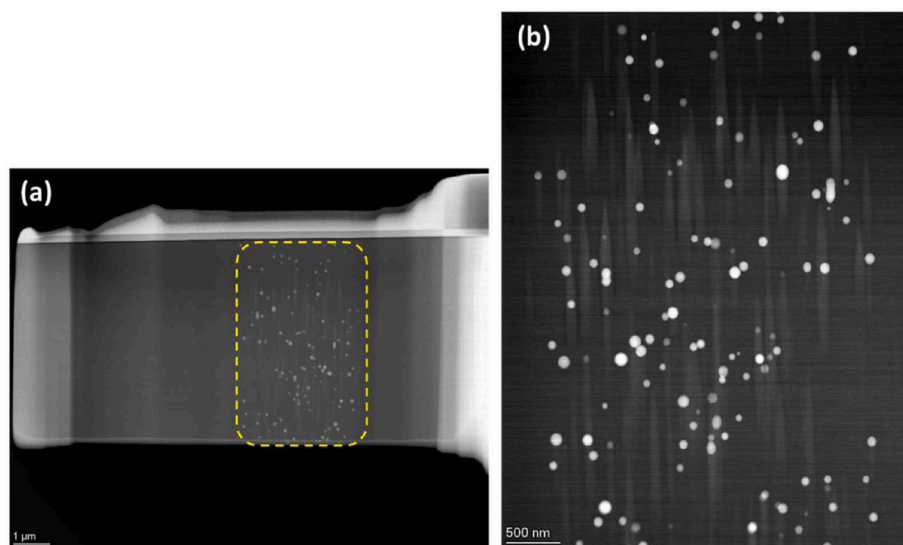
in two distinct areas (09) and (10) enabled precise determination of the atomic percentage of each element, with the corresponding values listed in Table 3. These results confirm that the nanoparticles are primarily composed of Zr and O (Fig. 9 and Table 3), Regarding silicon, analysis of

the area (09) in its corresponding chemical map revealed a content less than 1 %, confirming that the NPs consist entirely as ZrO<sub>2</sub>. In contrast, the matrix is characterized by a high concentration of silicon and oxygen, consistent with the expected composition for SiO<sub>2</sub> glass.

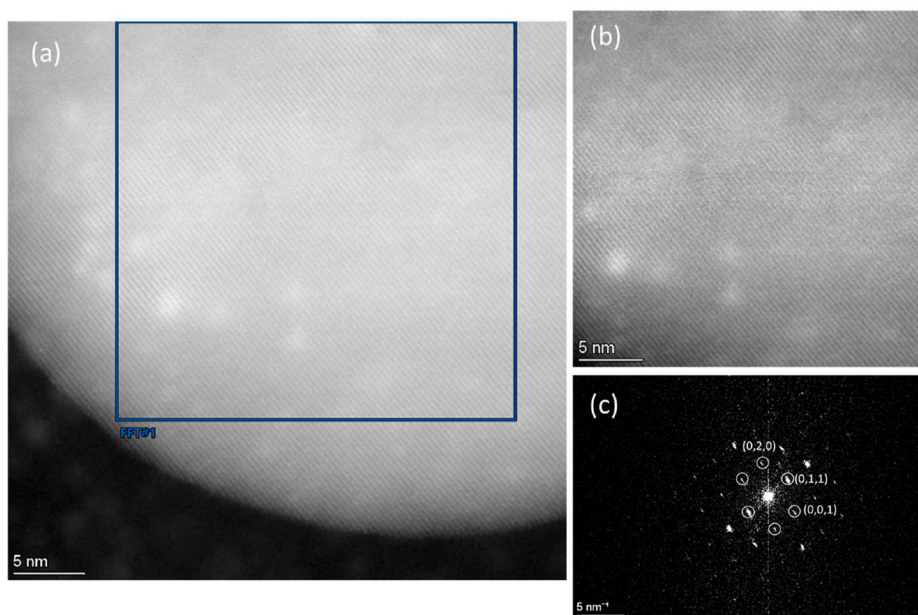
#### 4. Discussion

The detailed morphological and structural characterization of the ZrO<sub>2</sub> NPs during the different steps reveals that they preserve their initial composition and crystalline monoclinic structure. However, these analyzes were carried out in cooled materials and do not allow us to conclude that the nanocrystals do not evolve at high temperatures during the different manufacturing phases.

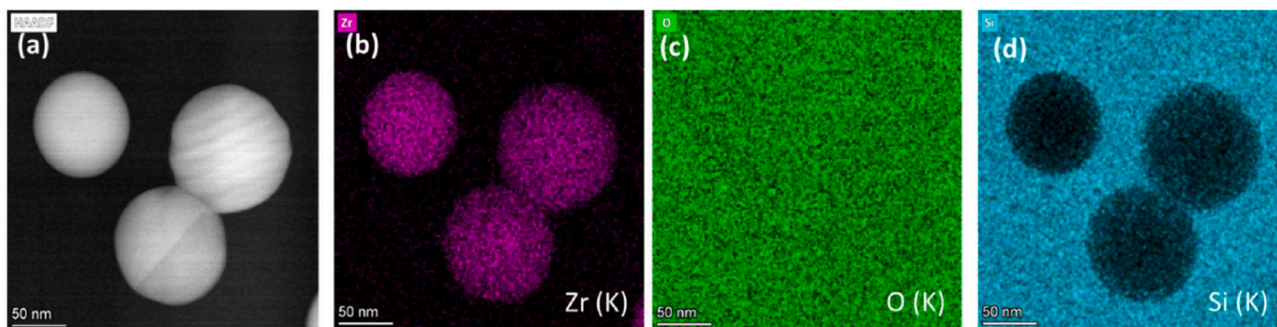
One of the possible reactions between ZrO<sub>2</sub> and SiO<sub>2</sub> could lead to the formation of ZrSiO<sub>4</sub>, a phase that is stable only at temperatures up to



**Fig. 6.** low magnification STEM-HAADF of a cross-sectional lamella, featuring a portion of the core fiber with ZrO<sub>2</sub> nanoparticles concentrated in the center. The draw axis is along the vertical direction.; (b) a zoom on the center of the fiber highlighting the spherical morphology of the ZrO<sub>2</sub> nanoparticles (50–130 nm sized) located within the fiber core.



**Fig. 7.** (a) HR-STEM image of ZrO<sub>2</sub> NPs within the fiber matrix, (b) and (c) zoomed-in view of one area highlighting the crystalline structure of the NPs, and (c) FFT of the corresponding area, showing diffraction spots attributed to the crystalline structure of ZrO<sub>2</sub> nanoparticle.



**Fig. 8.** (a) STEM-HAADF image on three selected  $\text{ZrO}_2$  nanoparticles contained within the core fiber and the corresponding STEM-EDS elemental maps for Zr (b), O (c) and Si (d) elements.

**Table 3**

The concentration of each considered element (in atomic %) taken in two different areas (9) and (10) on the  $\text{ZrO}_2$  NPs within the fiber core estimated from the STEM-EDS chemical analyses. The area number refers to Fig. 9d.

	O (atomic %)	Si (atomic %)	Zr (atomic %)
Area 09	68.49	0.94	30.57
Area 10	68.41	8.46	23.13

$\sim 1700$  °C [34]. However, during both the MCVD process and fiber drawing, temperatures can reach 2000 °C or even more during the collapsing of the preform, exceeding the melting point of  $\text{ZrSiO}_4$ . Despite these extreme temperatures, the high-temperature steps persist for only a few minutes, whereas the decomposition of  $\text{ZrSiO}_4$  microcrystals typically requires several hours of heat treatment at 1700 °C [34]. None of the analyzes revealed the presence of the  $\text{ZrSiO}_4$  crystalline phase, neither in the preform nor in the fiber. The manufacturing process, as implemented, does not lead to the formation of  $\text{ZrSiO}_4$ , either by inhibiting the  $\text{SiO}_2 + \text{ZrO}_2 \rightarrow \text{ZrSiO}_4$  formation reaction, or by ensuring a sufficiently high temperature to melt this phase while avoiding its reformation when the temperature drops thanks to the fast-quenching operating during the drawing.

With an average  $\text{ZrO}_2$  concentration of 3.27 mol% in the central part

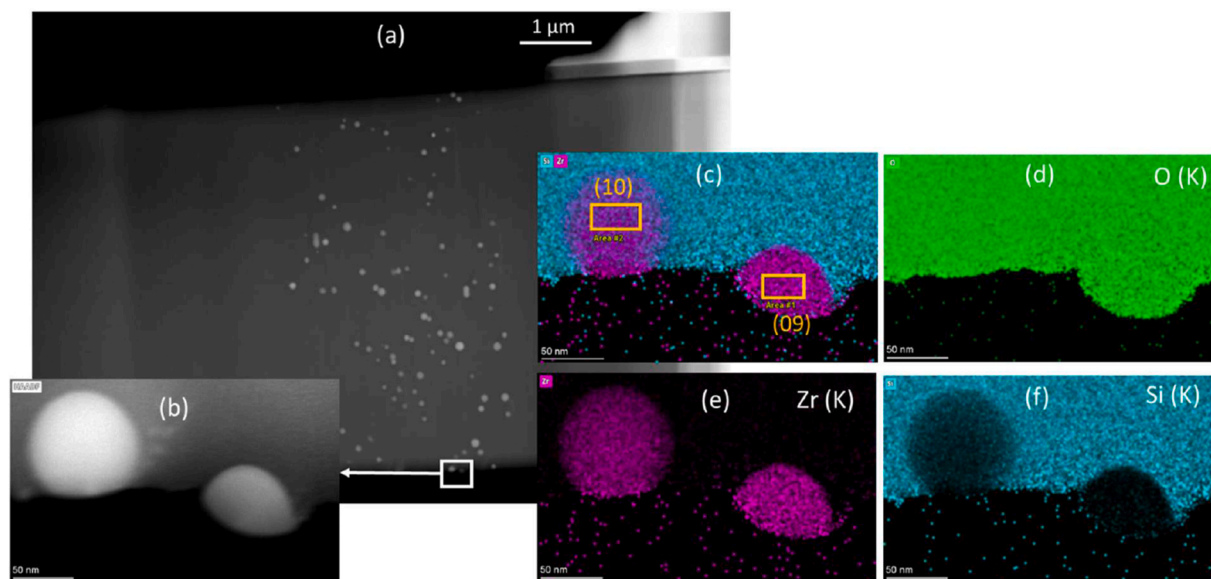
of the fiber core (Table 2, area 06), the phase diagram of the  $\text{SiO}_2$ – $\text{ZrO}_2$  binary system predicts the formation of a homogeneous liquid at 1726 °C [38].

It's worth noting that according to this phase diagram, the eutectic is obtained for a concentration of 5.6 mol%  $\text{ZrO}_2$ , very close to the concentration measured in the fiber. Given the temperatures involved during the manufacturing process, it is therefore possible that the initial  $\text{ZrO}_2$  nanocrystals partly react with the silica matrix at high temperature. A melting of these crystals would explain the presence of Zr around the central part of the fiber core, in a zone without nanocrystals or very small ones (Fig. 5, areas 05 and 07). In addition, the melting of the smaller  $\text{ZrO}_2$  nanocrystals would make it possible to supply the remaining nanocrystals, which would explain an increase in the average size of the nanocrystals during the manufacturing process.

## 5. Conclusion

In this work,  $\text{ZrO}_2$  crystalline nanoparticles were successfully into silica matrix via solution impregnation, using the MCVD process within a glass tube. The evolution of these nanoparticles throughout the fabrication process, from preform synthesis to optical fiber drawing, was thoroughly investigated through various heat treatments.

Starting with 40-nm  $\text{ZrO}_2$  nanocrystals, the resulting preforms



**Fig. 9.** (a) STEM-HAADF image of the cross-sectional FIB lamella illustrating the fiber region after its thinning using ion milling by PIPS, (b) showing an area with  $\text{ZrO}_2$  NPs not embedded in the fiber matrix (c) STEM-EDS chemical analysis of two different areas (09) and (10) with the elemental maps of each considered elements, (d) O, (e) Zr and (f) Si marked by orange rectangles in area (c). (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

revealed the presence of spherical nanocrystals ranging in size from 8 to 40 nm. TEM diffraction confirmed that these nanoparticles retained their monoclinic  $\text{ZrO}_2$  crystal structure, with no evidence of structural transformation or  $\text{SiO}_2$ - $\text{ZrO}_2$  phase formation during the MCVD process.

The fiber resulting from the preform drawing contained spherical crystalline nanoparticles ranging in size from 50 to 130 nm. The analysis revealed that there has been no structural alterations and that the  $\text{ZrO}_2$  nanoparticles are monoclinic.

While the same nanocrystals are observed in the final fiber as the ones dispersed in the doping solution, we do not claim that  $\text{ZrO}_2$  nanocrystals do not evolve all along the fabrication process. In particular, the change of their size could be explained by their partial melting as supported by the phase diagram.

These findings highlight the potential and the robustness of this approach to obtain nanocrystals in the as-drawn fiber (without additional heat-treatment), based on the JCVD process. Moving forward, the next step will be to investigate the luminescence properties of these  $\text{ZrO}_2$  nanocrystals by doping them with rare-earth ions, further expanding their potential applications.

### CRedit authorship contribution statement

**Lilia Sennoun:** Methodology, Validation, Formal analysis, Investigation, Resources, Writing – original draft, Writing – review & editing, Visualization, Funding acquisition. **Ileana Florea:** Methodology, Validation, Formal analysis, Investigation, Writing – review & editing, Visualization. **François Orange:** Methodology, Validation, Formal analysis, Investigation, Writing – review & editing, Visualization. **Michele Ude:** Methodology, Investigation, Resources, Writing – review & editing. **Peter Hesemann:** Conceptualization, Methodology, Validation, Formal analysis, Investigation, Resources, Writing – review & editing, Supervision. **Ahmad Mehdi:** Conceptualization, Methodology, Validation, Formal analysis, Investigation, Resources, Writing – review & editing, Supervision. **Wilfried Blanc:** Conceptualization, Methodology, Validation, Formal analysis, Investigation, Resources, Writing – original draft, Writing – review & editing, Visualization, Supervision, Funding acquisition.

### Editor disclosure statement

Given his role as Editor for this journal, Wilfried Blanc had no involvement in the peer review of articles for which he was an author and had no access to information regarding their peer-review. Full responsibility for the peer-review process for this article was delegated to another Editor.

### Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Wilfried Blanc reports financial support was provided by French National Research Agency. Lilia Sennoun reports financial support was provided by Algerian Government. If there are other authors, they declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Acknowledgements

The authors acknowledge the financial support from Algerian Government for the Algerian grant of excellence 2019 awarded to Lilia Sennoun.

This work was supported by the Nanoslim ANR-17-CE08-0002-05 project, granted by The French National Research Agency.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.optmat.2025.117015>.

### Data availability

Data will be made available on request.

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